

## RESEARCH ARTICLE

# A Color Image Encryption Algorithm Based on Compressive Sensing and Block-Based DNA Coding

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**ABSTRACT** Image encryption is an effective method to prevent images from being captured or stored illegally. With the increasing demand for image security and transmission efficiency, this paper proposes a color image encryption algorithm based on chaotic systems, which combines block-based DNA coding and compressive sensing. Firstly, the plain image is compressed using compressive sensing (CS) to obtain three measurement value matrices, which are then quantized into integer matrices and permuted by the method of the Josephus problem. Subsequently, the scrambled measurement value matrices are divided into subblocks. These subblocks are encoded by random DNA rules, diffused by chaotic sequences-based DNA operations, and dynamically decoded. It is worth noting that the initial values of the chaotic systems used for the DNA operations and the generation of the measurement matrices depend on the plain image, which enables our algorithm to withstand well the chosen-plaintext attacks and the known-plaintext attacks. Moreover, we utilize singular value decomposition (SVD) to optimize the measurement matrices to enhance the reconstruction quality of the encrypted image. Simulation results and security analysis show that the algorithm has excellent compression and encryption performance and is resistant to various typical attacks.

**INDEX TERMS** Image encryption, compressive sensing, chaotic system, color image, block-based DNA encoding.

## I. INTRODUCTION

Nowadays, with the rapid development of Internet communication and multimedia, digital images have been widely applied in military, medical, and commercial fields. However, images are frequently transmitted in a shared and accessible cyberspace, where their security and transmission efficiency have become a challenge. Image encryption is an effective technology that can protect image information from illegal interception or tampering. Considering the high redundancy of images and the strong correlation of adjacent pixels, traditional text encryption algorithms, such as AES, DES, and RSA, are no longer suitable for image encryption. There are great deals of alternative image encryption algorithms

introduced with different technologies, such as chaotic systems [1], [2], [3], CS [4], [5], [6], DNA encoding [7], [8], [9], [10], quantum computing [11], [12], cellular automata [13], [14] and so on, which turn meaningful plain images into noise-like or texture-like cipher images preventing the attacker from obtaining any valid plain image information.

Since Friedrich et al. [15] proposed a classical permutation and diffusion encryption architecture based on chaotic systems in 1998, image encryption techniques based on chaotic systems have been widely studied in recent years due to their excellent properties consisting of good pseudorandomness, unpredictability, ergodicity, and extreme sensitivity to initial values. One-dimensional(1D) chaotic systems with simple formats are capable of rapidly generating chaotic sequences. In [16], the logistic map was utilized to encrypt the confused

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image. Jain et al. [17] generated a mask by using a one-dimensional chaotic map to add with the *DNA*-encoded image. However, Li et al. [18] demonstrated the insecurity of image encryption algorithms based on chaotic logic mapping through a typical example. Wang et al. [19] also successfully conducted a cryptanalysis of an image encryption scheme [20] based on a one-dimensional chaotic system with a small key space via the chosen-plaintext attack. Increasingly, high-dimensional (*HD*) chaotic systems are adopted for image encryption systems because of their more complex dynamic behavior. In reference [21], the authors proposed a color image encryption scheme based on a novel Five Dimensional Sine Chaotic System that produces chaotic data used for encryption. Es-Sabry et al. [22] presented a secure image encryption scheme using a high-dimensional chaotic system and *DNA* coding, which adopts the improved Rossler system as the keystream generator, and the improved Rossler system has better chaotic performance and higher security. Zou et al. [23] developed a new wide-range 2*D*-Logistic-Sine hyperchaotic map, which was proved to possess good ergodicity and unpredictability, and proposed an image encryption algorithm by combining this map with an improved zigzag diffusion method. In addition, Li et al. [24] introduced a new fast image encryption scheme based on Chen's hyper-chaotic system, which generates random sequences to scramble the four low-frequency components of the plain image. Despite the high security of *HD* chaotic systems with complex trajectories and long iteration periods, the time complexity is higher and more time-consuming than 1*D* chaotic systems. Zhu et al. [25] established a fast and flexible novel chaos-based image encryption algorithm that utilizes a 1*D* piece-wise quadratic polynomial chaotic map. Compared with some existing 1*D* chaotic systems, the composite chaotic system has better chaotic properties and chaotic performance and shows robust chaotic behavior over a large range of continuous parameters. In this paper, we combine the advantages of low time complexity of 1*D* chaotic systems and excellent randomness of *HD* chaotic systems by using three composite chaotic systems: Logistic-Tent System (*LTS*), Logistic-Sine System (*LSS*) and Tent-Sine System (*TSS*). The entropy values and the *SHA*-384 hash value of the plain image are utilized to calculate the initial values of the composite chaotic systems, which enables our encryption algorithm to be robust to both known-plaintext attacks and chosen-plaintext attacks.

Due to the high parallelism and large storage capacity of *DNA*, there are a growing number of image encryption schemes that combine *DNA* encoding and chaotic systems have been developed [26], [27], [28]. For example, in [29], Chen et al. proposed a technique for image encryption with the help of self-adaptive permutation-diffusion and *DNA* random coding. Reference [30] presented a color image encryption algorithm based on dynamic *DNA* encryption and a four-wing hyperchaotic system. They adopted the *SHA*-384

hash of the plain image to calculate the initial values of the hyperchaotic system, which resulted in an encryption scheme that is very sensitive to the plain image. However, the current study suffers from two major safety concerns [31]. The first one is that for many image encryption systems, the *DNA* encoding and decoding rules are stationary for all pixels. The adoption of fixed rules not associated with the plain image will significantly degrade the security of the image encryption scheme. The second one is that most *DNA*-based image encryption algorithms employ the *DNA* addition operation, *DNA* subtraction, *DNA XOR* operation, and *DNA XNOR* operation to diffuse the *DNA* matrices. They are all based on binary calculus, so the result of the conversion between the four *DNA* bases is well predicted, which increases the probability that the encryption algorithm is cracked. Liang et al. [32] proposed an image encryption algorithm based on a 1*D* sine-cosine chaotic map and random *DNA* encoding. Although the new chaotic system has good chaotic performance and the *DNA* encoding rules are based on pseudo-random sequences, the *DNA* operations are limited to addition, subtraction, exclusive *OR* and complementary, and the algorithm is only applicable to grayscale images. Combining Newly Designed Chaotic Map and parallel *DNA* coding, Zhu et al. [33] designed a gray-scale image encryption algorithm, their chaotic system has a larger range of chaotic parameters than the traditional 1*D* chaotic system and the encryption efficiency of the algorithm is higher than that of common *DNA* coding-based image encryption algorithms. However, the algorithm has a small number of image subblocks when performing parallel *DNA* operations, which means that the increase in speed is more limited. Moreover, the *DNA* permutation is only based on the chaotic sequence index permutation, and its security is still insufficient. In this paper, composite chaotic systems and block-based *DNA* coding are integrated and a color image encryption algorithm is proposed. The algorithm divides the image into small sub-blocks and then synchronizes *DNA* encoding, *DNA* diffusion and *DNA* decoding of the image sub-blocks, which are all extremely dependent on chaotic sequences. Notably, this algorithm performs two rounds of *DNA* diffusion, which improves the security considerably.

Considering the frequent transmission of images and the large size of images, *CS* is available for image compression to improve transmission efficiency, reduce transmission bandwidth and save storage space. *CS* enables simultaneous non-uniform sampling, compression and encryption of images. Since Donoho proposed compressive sensing [34], numerous *CS*-based image encryption schemes have been introduced. Lu et al. [35] presented an image encryption scheme based on *CS* and a double random-phase encoding technique. Although this algorithm is satisfactory, they consider the entire measurement matrix as a key, thus increasing the transmission burden and storage space of the key. Huang et al [36] constructed an algorithm that

enhanced the security of the CS-based algorithm by using a chaotic system to permute the compressed image, but the key remains the entire measurement matrix. None of these schemes are resistant to chosen-plaintext attacks. Therefore, most of the studies utilize the information from the plain image to calculate the initial values of the chaotic systems and then iterate the chaotic systems to obtain the chaotic sequences to construct the measurement matrices. In [37], a fast image encryption algorithm based on parallel CS and DNA sequences is presented. In their scheme, they adopted the SHA-256 hash value and information entropy of the plain image to construct the measurement matrix, and thus the compressed and encrypted image was sensitive to the plain image. Reference [38] developed an image encryption scheme that is based on compressive sensing and chaos. The scheme utilizes LTS to construct a measurement matrix to measure the sparsified image matrix, this effectively reduces the storage space or transmission bandwidth of the cipher image. Given the limitations of 1D compressive sensing for image sampling, several researchers have proposed 2D compressive sensing for image encryption schemes. A visually meaningful dual-image encryption scheme using 2D compressive sensing and multi-rule DNA encoding was proposed by Huo et al. [39]. In this scheme, two plain images are permuted, diffused and 2D compressive sensing to obtain two private images, then these two private images are diffused again using DNA coding theory to obtain secret images and finally embedded to obtain the visually meaningful encrypted image. The application of 2D compressive sensing improves the encryption capability of the system by reducing the amount of data. In this scheme, we adopt a 2D compressive sensing, which allows us to efficiently sample the information of the image and significantly reduce the size of the transmitted image.

Given the above analysis, a color image encryption algorithm based on CS and blocked DNA encoding is introduced in this paper, which satisfies security and achieves effective compression. The contributions of this paper can be summarized in the following aspects:

- (1) Combining CS and chaotic systems. Both the initial values of the chaotic systems and the construction of the measurement matrices depend on the plain image, which contributes to the well-robustness of our encryption scheme against the known-plaintext attacks and the chosen-plaintext attacks.
- (2) A permutation method based on pseudo-random numbers and the Josephus problem is used to reduce the pixel correlation between and within the red, green, and blue components of a color image, which can effectively decrease the risk of statistic attacks and upgrade the security level.
- (3) Unlike DNA addition, DNA subtraction, and DNA XOR operations based on binary computation, the DNA matrix sub-blocks of the compressed image are diffused by pseudo-random numbers derived from chaotic sequences. Besides, the encoding

and decoding rules of the DNA sub-blocks of the compressed image are also related to pseudo-random numbers.

- (4) SVD is applied to optimize the measurement matrix to enhance the quality of the reconstructed image.

The rest of this paper is organized as follows, Section II introduces basic knowledge of chaotic systems, compressive sensing, DNA operation, and the Josephus problem. The proposed encryption scheme is introduced in detail in Section III. The simulation results are presented in Section IV and the security performance is analyzed in Section V. And Section VI summarizes this paper.

## II. PRELIMINARIES

### A. COMPOSITE CHAOTIC SYSTEMS

As a result of the limited chaotic range and the less-than-ideal chaotic behavior of one-dimensional chaotic systems, [40] combined the existing one-dimensional chaotic systems including the Logistic map, Tent map, and Sine map in pairs to obtain the Logistic-Tent system, the Logistic-Sine system and Tent-Sine system, which are composite chaotic systems with a wider chaotic range and better chaotic behaviors. Their mathematical definitions are as follows.

The LTS is defined by Eq. (1).

$$x_{n+1} = \begin{cases} \text{mod}((rx_n(1-x_n) + (4-r)x_n/2), 1) & x_i < 0.5 \\ \text{mod}((rx_n(1-x_n) + (4-r)(1-x_n)/2), 1) & x_i \geq 0.5 \end{cases} \quad (1)$$

where  $r$  is an adjustable parameter with range of  $(0, 4]$ ,  $\text{mod}(\cdot)$  is the modulo operation,  $x_n$  is input chaotic sequence and  $x_{n+1}$  is output sequence,  $x_n, x_{n+1} \in [0, 1]$ .

The LSS is defined as follows.

$$y_{n+1} = (uy_n(1-y_n) + (4-u)\sin(\pi y_n)/4) \text{ mod } 1 \quad (2)$$

where control parameter  $u \in (0, 4]$ ,  $y_n$  is input chaotic sequence and  $y_{n+1}$  is output sequence,  $y_n, y_{n+1} \in [0, 1]$ .

Eq. (3) is the definition of the TSS.

$$z_{n+1} = \begin{cases} (az_n/2 + (4-a)\sin(\pi z_n)/4) \text{ mod } 1 & x_i < 0.5 \\ (a(1-z_n)/2 + (4-a)\sin(\pi z_n)/4) \text{ mod } 1 & x_i \geq 0.5 \end{cases} \quad (3)$$

where, control parameter  $a \in (0, 4]$ ,  $z_n$  is input chaotic sequence and  $z_{n+1}$  is output sequence,  $z_n, z_{n+1} \in [0, 1]$ .

### B. COMPRESSIVE SENSING

Compressive sensing is a sampling technique that compresses data during sampling. It breaks the sampling theory of Nyquist, which was first introduced by Donoho et al. [34]. This allows us to reconstruct the original signal from the

TABLE 1. DNA encoding rules.

Rules	1	2	3	4	5	6	7	8
A	00	00	01	01	10	10	11	11
T	11	11	10	10	01	01	00	00
C	01	10	00	11	00	11	01	10
G	10	01	11	00	11	00	10	01

TABLE 2. Addition of DNA sequence.

+	A	T	G	C
A	C	G	T	A
T	G	C	A	T
G	T	A	C	G
C	A	T	G	C

TABLE 3. Diffusion rules of DNA sequence.

diffusion	A	C	T	G
1	A	C	T	G
2	C	G	A	T
3	G	T	C	A
4	T	A	G	C

TABLE 4. Inverse diffusion rules of DNA sequence.

Inverse diffusion	A	C	T	G
1	A	C	T	G
2	T	A	G	C
3	G	T	C	A
4	C	G	A	T

sparse signal with high accuracy using a reconstruction algorithm. Suppose  $X$  is a sparse signal of  $N \times 1$ , and the one-dimensional CS (1DCS) can be represented as the Eq. (4).

$$Y = \Phi X = \Phi \Psi s \tag{4}$$

where  $\Phi$  is a measurement matrix of size  $M \times N (M < N)$ ,  $\Psi$  is sparse basis matrix of dimensions  $N \times N$ ,  $s$  is the coefficient vector of signal  $X$  with only  $K (K \ll N)$  non-zero elements, and  $Y$  is the compressed signal of size  $M \times 1$ . The popular sparsifying bases include Fourier, DCT, and wavelets. More generally, 1DCS is applied to compress 1D signals. In this case, in order for 1DCS to be able to compress a 2D image, it is necessary to convert the 2D image into a long vector and then sample this long vector [41]. It is represented by

$$y = \Phi \text{vec}(X) = \Phi x \tag{5}$$

where  $\Phi \in \mathbb{R}^{M \times N \times N}$  is a measurement matrix,  $\text{vec}(\cdot)$  is a vectorized function that arranges 2D image in columns,  $X$  is 2D image of size  $N \times N$ ,  $x$  is a long vector of size  $N^2 \times 1$ , and  $y \in \mathbb{R}^{M^2 \times 1}$  is the compressed image. However, this faces two main challenges: high computational time complexity and large storage capacity of the measurement matrix. To make up for these shortcomings, in this paper, two-dimensional CS (2DCS) is used to compress the plain image. It is denoted by Eq. (6).

$$Y = \Phi_{\Delta} X \Phi_{\Theta}^T \tag{6}$$

where  $\Phi_{\Delta}$  and  $\Phi_{\Theta}$  are measurement matrices of dimension  $M \times N$ ,  $X \in \mathbb{R}^{N \times N}$  and  $Y \in \mathbb{R}^{M \times M}$  are the original 2D image and the compressed 2D image, respectively. In general, common measurement matrices are random Gaussian matrices, random Bernoulli matrices, partial Hadamard matrices, and circular measurement matrices. Due to their high storage space, many schemes [42], [43], [44] have proposed measurement matrices constructed based on chaotic systems. When using 2DCS sampling 2D image, the compression ratio

(CR) is calculated by the following formula (7).

$$CR = \frac{M^2}{N^2} \tag{7}$$

where  $N$  and  $M$  are the image sizes before and after the image is compressed. Exact reconstruction of  $X$  from  $Y$  has to be done with the help of some reconstruction algorithms, such as match tracking (MP) [45], orthogonal matching pursuit (OMP) [46], smooth  $l_0$  norm ( $Sl_0$ ) [47]. Inspired by the project of [48], an iterative gradient projection reconstruction algorithm is adopted to reconstruct the plain image in this paper.

### C. DNA OPERATION

#### 1) DNA ENCODING AND DNA DECODING RULES

The four nucleic acid bases adenine (A), guanine (G), cytosine (C), and thymine (T) can construct a unique DNA sequence. There are total  $4! = 24$  kinds of coding to encode a decimal integer in the range 0 to 255 into a DNA sequence [7]. However, since nucleic acid bases are complementary, that is, A with T and C with G are two complementary base pairs, respectively, only 8 coding rules are compatible with complementarity, as shown in Table. 1.

Based on the DNA encoding rules, the image can be converted into a DNA matrix. For example, assuming that the pixel value in the image is 45, converting it to an 8-bit binary sequence [00101101] can be encoded as a DNA sequence [TGAC] according to rule 7. DNA decoding is the reverse process of DNA encoding, that is, the DNA sequence can be decoded to the decimal number. The DNA sequence [TGAC] is decoded according to rule 7 to obtain the binary sequence [00101101], and its corresponding decimal number is 45. Therefore, the DNA matrix can be recovered as an image matrix. Frequently we use random number-based encoding and decoding rules to scramble the image, which can be effective anti-statistic attacks. Moreover, the addition operation of DNA is defined in Table. 2.

#### 2) DNA DIFFUSION

Diffusion of DNA matrices is performed employing traditional binary-based operations of DNA addition, DNA

subtraction, and *DNA XOR*, which are inclined to be predictable and may consume excessive time. This paper adopts a *DNA* matrix diffusion mechanism based on chaotic systems [30]. We first iterate the *LTS* to obtain a sequence  $T$ , convert the sequence values to integers from 1 to 4, and then diffuse the *DNA* coding-based image sub-blocks based on this integer sequence. Since the diffusion process of the *DNA* coding-based image sub-blocks is completely randomized, this guarantees that the diffusion process is unpredictable to crack. The diffusion rules for *DNA* are listed in Table. 3 and Table. 4. For example, suppose there is a *DNA* sequence [CGATGAAC] and an equal-length chaotic sequence [13241143], which can be converted into another *DNA* sequence [CACGGATT] according to the diffusion rule. In the same way, *DNA* sequences can be recovered by *DNA* inverse diffusion rules.

### D. JOSEPHUS PROBLEM

The Josephus problem is a problem that arises in computer science and mathematics, and we can describe it this way: given the number of people in a circle, a starting point, a direction, as well as a number to be skipped, and then sequentially choose the people in a certain position in the circle. To understand it better, an example is given: Suppose there is a six-person circle [125,241,69,97,223,168], the starting position is 6, and the skipped number is 3, a new Josephus can be generated clockwise sequence [241,223,69,125,97,168]. Fig. 1 shows the generation process of the Josephus sequence.

## III. THE PROPOSED IMAGE ENCRYPTION AND DECRYPTION ALGORITHM

### A. GENERATE INITIAL VALUES FOR CHAOTIC SYSTEMS AND JOSEPHUS PROBLEM

To enhance the dependency between the encryption scheme and the plain image, the *SHA*-384 hash value and entropy values of the plain image are utilized to calculate the initial values of the composite chaotic systems. The specific calculation steps are as follows:

Initially, we calculate the 384-bit hash value  $K$  of the plain image and convert  $K$  into 48 decimal numbers,  $K$  can be expressed as  $K = K_1, K_2, \dots, K_{48}$ . Then calculate the entropy values  $s_1, s_2, s_3$  of the three components of the color image  $R, G$ , and  $B$ . The entropy value calculation formula is as follows:

$$s = \sum_{i=0}^{2^n-1} P(m_i) \log_2 \frac{1}{P(m_i)} \quad (8)$$

where  $P(m_i)$  is the probability that  $m_i$  occurs and  $n = 8$  for an image with 256 gray levels.

The two sets of initial values  $x_1, r_1, x_2, r_2$  for *LTS*, the two sets of initial values  $y_1, u_1, y_2, u_2$  for *LSS*, the initial values  $z_1, a_1$  for *TSS* and the initial values  $x_0, y_0$  for the Josephus

problem are obtained by Eq. (9).

$$\left\{ \begin{array}{l} x_1 = \left( \frac{(k_1 + k_2 + k_3 + k_4 + k_5 + k_6)}{256} + t_1 \right) \bmod 1 \\ r_1 = \left( \frac{(k_7 + k_8 + k_9 + k_{10} + k_{11} + k_{12})}{256} + t_2 \right) \bmod 4 \\ x_2 = (x_1 + (s_1 + s_2)/2) \bmod 1 \\ r_2 = (r_1 + (s_1 + s_3)/2) \bmod 4 \\ y_1 = ((k_{13} \oplus k_{14} \oplus \dots \oplus k_{18})/256 + t_3) \bmod 1 \\ u_1 = ((k_{19} \oplus k_{20} \oplus \dots \oplus k_{24})/256 + t_4) \bmod 4 \\ y_2 = (y_1 + s_1 + s_2 + s_3) \bmod 1 \\ u_2 = (u_1 + s_1 + s_2 + s_3) \bmod 4 \\ z_1 = \left( \frac{(k_{25} + k_{26} + k_{27}) \oplus (k_{28} + k_{29} + k_{30})}{256} + t_5 \right) \bmod 1 \\ a_1 = \left( \frac{(k_{31} + k_{32} + k_{33}) \oplus (k_{34} + k_{35} + k_{36})}{256} + t_6 \right) \bmod 4 \\ x_0 = \frac{k_{37} + k_{38} + \dots + k_{42}}{6} \\ y_0 = \frac{(k_{43} + k_{44} + k_{45}) \oplus (k_{46} + k_{47} + k_{48})}{3} \end{array} \right. \quad (9)$$

where  $t_1, t_2, t_3, t_4, t_5$  and  $t_6$  are variable extra control parameters that take values between 1 and 4 and are used to calculate the initial value of the composite chaotic systems. When encrypting the same plain image, even with the same *SHA* value, differences in these parameters result in a different encrypted image, which effectively improves security.

### B. CONSTRUCTION OF THE MEASUREMENT MATRIX

In this paper, *2DCS* is adopted, hence two measurement matrices  $\Phi_{\Delta}$  and  $\Phi_{\Theta}$  are required to be constructed to sample the plain image. Suppose the plain image is of size  $N \times N$ , the construction process is as follows:

**Step 1.** Calculate the initial values  $x_1, r_1, x_2, r_2$  of the *LTS* by Eq. (9).

**Step 2.** Iterate *LTS*  $MN + N_0$  times ( $N_0 \geq 1000$ ) with  $x_1$  and  $r_1, x_2$  and  $r_2$  respectively. To avoid harmful effects, the first  $N_0$  values are discarded and obtaining two chaotic sequences of length  $MN, P_1 = \{p_1, p_2, \dots, p_{MN}\}$  and  $Q_1 = \{q_1, q_2, \dots, q_{MN}\}$ . And  $M = \sqrt{CR \times N^2}$ ,  $CR$  is the compression ratio of the plain image.

**Step 3.** Reshape the chaotic sequences  $P_1$  and  $Q_1$  in a column-major manner to obtain matrices  $P_2$  and  $Q_2$ , which can be denoted via Eq. (10).

$$\left\{ \begin{array}{l} P_2 = \begin{pmatrix} p_1 & p_{M+1} & \dots & p_{M(N-1)+1} \\ p_2 & p_{M+2} & \dots & p_{M(N-1)+2} \\ \vdots & \vdots & \ddots & \vdots \\ p_M & p_{2M} & \dots & p_{MN} \end{pmatrix} \\ Q_2 = \begin{pmatrix} q_1 & q_{M+1} & \dots & q_{M(N-1)+1} \\ q_2 & q_{M+2} & \dots & q_{M(N-1)+2} \\ \vdots & \vdots & \ddots & \vdots \\ q_M & q_{2M} & \dots & q_{MN} \end{pmatrix} \end{array} \right. \quad (10)$$

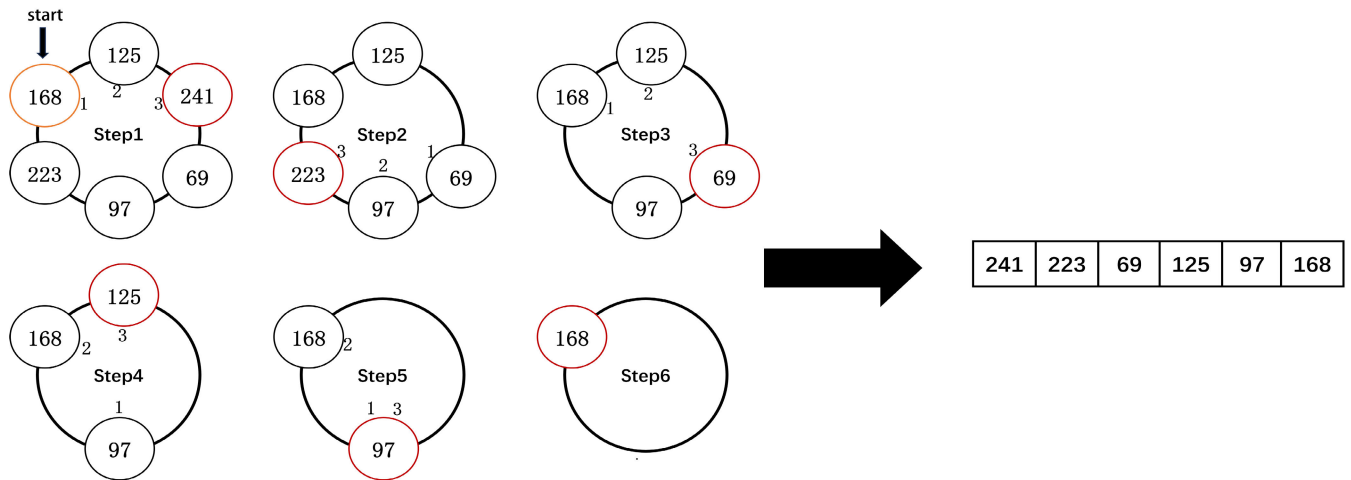


FIGURE 1. A example of Josephus problem and its resulting vector.

**Step 4.** The measurement matrices  $\Phi_{\Delta}$  and  $\Phi_{\Theta}$  are obtained by optimizing  $P_2$  and  $Q_2$  by means of *SVD*. Taking  $P_2$  as an example, the optimization process is as follows [49]:

**Step 4.1.** The *SVD* is conducted on the matrix  $P_2$  with  $P_2 = U\Sigma V^T$ , where  $U$  is the orthogonal matrix of  $M \times M$ ,  $\Sigma$  is the diagonal matrix of  $M \times N$ , and  $V^T$  is the orthogonal matrix of  $N \times N$ ,  $(\cdot)^T$  denotes the matrix transpose.

**Step 4.2.** The mean *var* of the diagonal matrix  $\Sigma$  is calculated to obtain the number of elements  $f$  in the diagonal matrix greater than or equal to *var*.

**Step 4.3.** Generate a matrix  $H$  of size  $M \times N$  whose element values are all equal to 1. Then multiply its first  $f$  columns by a weighting factor  $t$ . The ideal value of  $t$  is 5 [50].

**Step 4.4.** The measurement matrix  $P_2$  is dot-multiplied by matrix  $H$  to obtain  $P'_2$ .

**Step 4.5.** Manipulate *SVD* on matrix  $P'_2$ , that is,  $P'_2 = U_1\Sigma_{\Delta}V_{\Delta}^T$ . Then set all elements of  $\Sigma_{\Delta}$  to 1 to gain  $\Sigma'_{\Delta}$  [50].

**Step 4.6.** The optimized measurement matrix  $\Phi_{\Delta}$  is obtained by calculating  $\Phi_{\Delta} = U_{\Delta}\Sigma'_{\Delta}V_{\Delta}^T$ . Likewise, measurement matrix  $\Phi_{\Theta}$  can be obtained by optimizing  $Q_2$ .

### C. COMPLETE ENCRYPTION ALGORITHM

The flowchart of the proposed encryption scheme is presented in Fig. 2, if the color plain image  $I$  is of size  $N \times N$ , and the detailed encryption steps are given below.

**Step 1.** Compute the *SHA*-384 hash value  $K$  of  $I$ .

**Step 2.**  $I$  is decomposed into red, green, and blue components with information entropy values  $s_1, s_2$ , and  $s_3$  by Eq. (8) are calculated. These three components are denoted as  $R, G$ , and  $B$ , respectively.

**Step 3.**  $K, s_1, s_2$  and  $s_3$  are combined with external parameters by Eq. (9) to compute the initial values of the chaotic systems and the Josephus problem.

**Step 4.** Generate measurement matrices  $\Phi_{\Delta}$  and  $\Phi_{\Theta}$  of size  $M \times N$ , and the detailed generation process is in Section III-B.

**Step 5.** Sampling  $R, G$ , and  $B$  to obtain  $R_1, G_1$ , and  $B_1$  of size  $M \times M$ . The measurement process is as Eq. (11).

$$\begin{cases} R_1 = \Phi_1 R \Phi_2^T \\ G_1 = \Phi_1 G \Phi_2^T \\ B_1 = \Phi_1 B \Phi_2^T \end{cases} \quad (11)$$

**Step 6.** The integer matrices  $R_2, G_2$ , and  $B_2$  are acquired by quantizing  $R_1, G_1$ , and  $B_1$ . As an example, the quantization of  $R_1$  is performed by Eq. (12).

$$R_2 = \text{round}\left(\frac{255 \times (R_1 - \min)}{\max - \min}\right) \in [0, 255] \quad (12)$$

where  $\min$  and  $\max$  are the minimum and maximum values of measurement value matrix  $R_1$ .

**Step 7.** To mutually permute the components, the Josephus problem is used to displace  $R_2, G_2$ , and  $B_2$ , the principle of which has been presented in Section II-D.  $R_3, G_3$ , and  $B_3$  are obtained by the following substitution operations.

**Step 7.1.** Combining  $R_2, G_2$  and  $B_2$  yields  $S = [R_2, G_2, B_2]$  with dimension  $M \times 3M$ .

**Step 7.2.** A Josephus sequence  $J$  of length  $3M$  is generated using  $x_0$  as the starting position and  $y_0$  as the skipping number, and then manipulate the following process to permute  $S$ .

$$S(:, i) = S(:, J(i)), \quad i = 1, 2, \dots, 3M \quad (13)$$

**Step 7.3.** Decomposing  $S$  yields  $R_3, G_3$ , and  $B_3$ , whose size is  $M \times M$ , the process is as below.

$$\begin{cases} R_3 = S(:, 1 : M) \\ G_3 = S(:, M + 1 : 2M) \\ B_3 = S(:, 2M + 1 : 3M) \end{cases} \quad (14)$$

**Step 8.** Iterate *LSS*  $L + N_0$  times by utilizing  $y_1, u_1$  and  $y_2, u_2$  respectively. To avoid the transient effect, remove the former  $N_0$  numbers to obtain sequences  $E = \{e_1, e_2, \dots, e_L\}$  and  $D = \{d_1, d_2, \dots, d_L\}$ .  $L = (M/n)^2$  is the number of blocks and  $n$  is defined as the sub-block size.

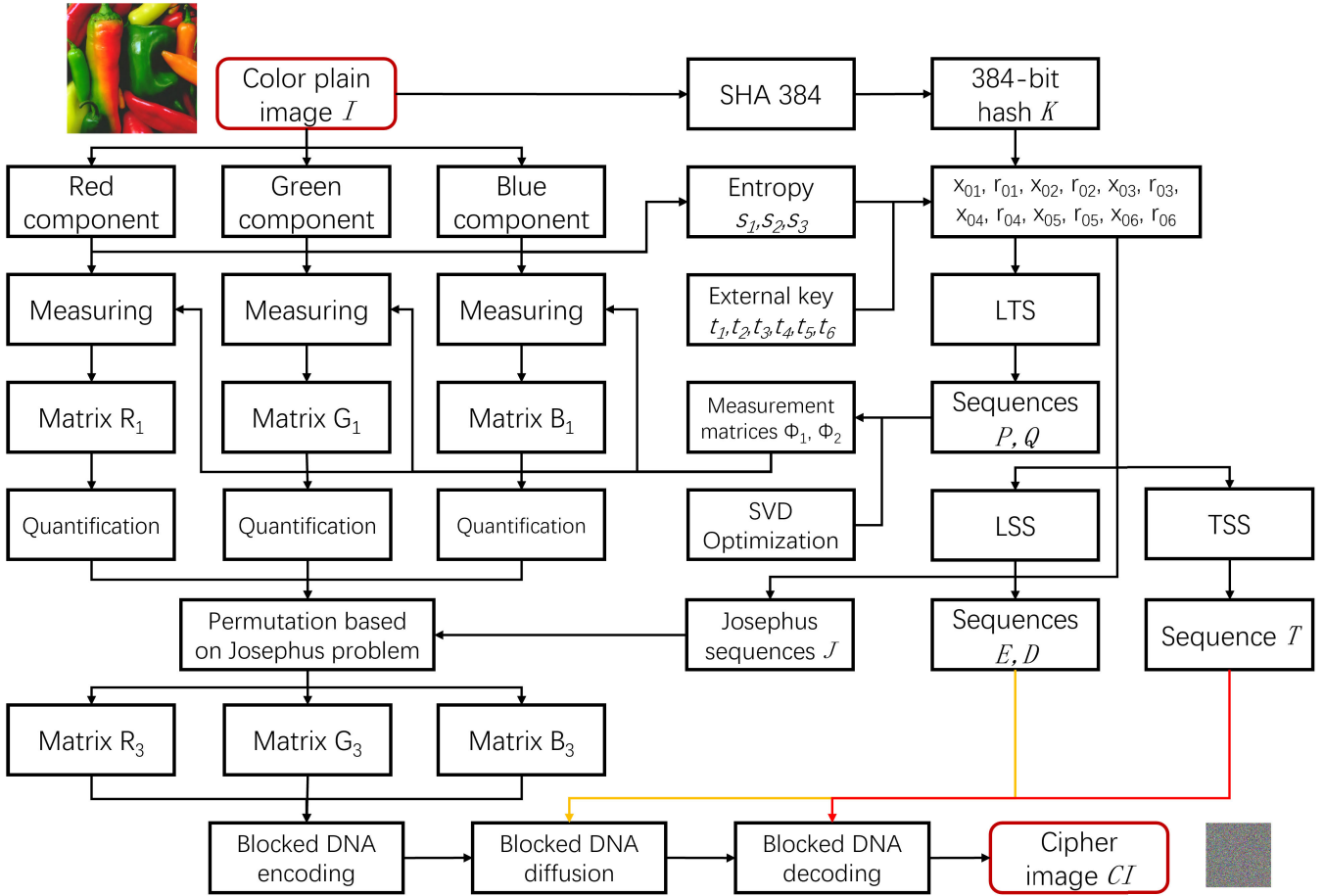


FIGURE 2. The flow diagram of the proposed encryption scheme.

**Step 9.** According to Eq. (15), the elements in sequences  $\{E\}$  and  $\{D\}$  are converted to integers in the range 1 to 8. They are then applied to sub-block DNA encoding and DNA decoding.

$$E'_i = \text{mod}(\text{round}(E_i \times 1000), 8) + 1 \quad (15)$$

**Step 10.** The initial values  $z_1$  and  $a_1$  are applied to iterate TSS  $L + N_0$  times, and the former  $N_0$  values are discarded to obtain the sequence  $T = \{t_1, t_2, \dots, t_L\}$ . Then its elements are transformed via Eq. (16).

$$T'_i = \text{mod}(\text{round}(T_i \times 1000), 4) + 1 \quad (16)$$

where the value range of the sequence  $\{T\}$  obtained again is between 1 and 4.

**Step 11.** DNA permutation and diffusion were performed on  $R_3, G_3, B_3$  obtained in Step 7. Take  $R_3$  as an instance and proceed as follows:

**Step 11.1.** Divide  $R_3$  into  $L$  sub-blocks of size  $n \times n$  in the order from top to bottom and left to right.

**Step 11.2.** All sub-blocks of  $R_3$  are operated as follows:

**Step 11.2.1.** The sub-block is encoded with the sequence  $\{E\}$ . The values of  $\{E\}$  range from 1 to 8, corresponding

to the 8 DNA encoding rules. Eq. (17) represents the DNA coding process for each sub-block.

$$R_4(i) = \text{Encode}(R_3(i), E(i)), \quad i = 1, 2, \dots, L \quad (17)$$

where  $R_3(i)$  is the  $i$ -th sub-block of the image matrix to be encoded,  $R_4$  denotes the image matrix after DNA encoding,  $R_4(i)$  represents the  $i$ -th coding sub-block of the DNA matrix.

**Step 11.2.2.** First-round DNA diffusion. The current sub-block DNA matrix and the previous sub-block DNA matrix are added according to Eq. (18). DNA addition operations follow Table 2.  $R_4(i)$  and  $R_4(i-1)$  denote the current sub-block DNA matrix and the previous sub-block DNA matrix.

$$\begin{cases} R_4(i) = R_4(i) + R_4(L) & (i = 1) \\ R_4(i) = R_4(i) + R_4(i-1) & (i > 1) \end{cases} \quad i = 1, 2, \dots, L \quad (18)$$

**Step 11.2.3.** Second-round DNA diffusion. DNA diffusion is performed for each sub-block DNA matrix of  $R_4(i)$ . The diffusion process is described by Eq. (19).

$$R_5(i) = \text{Diffusion}(R_4(i), T(i)), \quad i = 1, 2, \dots, L \quad (19)$$

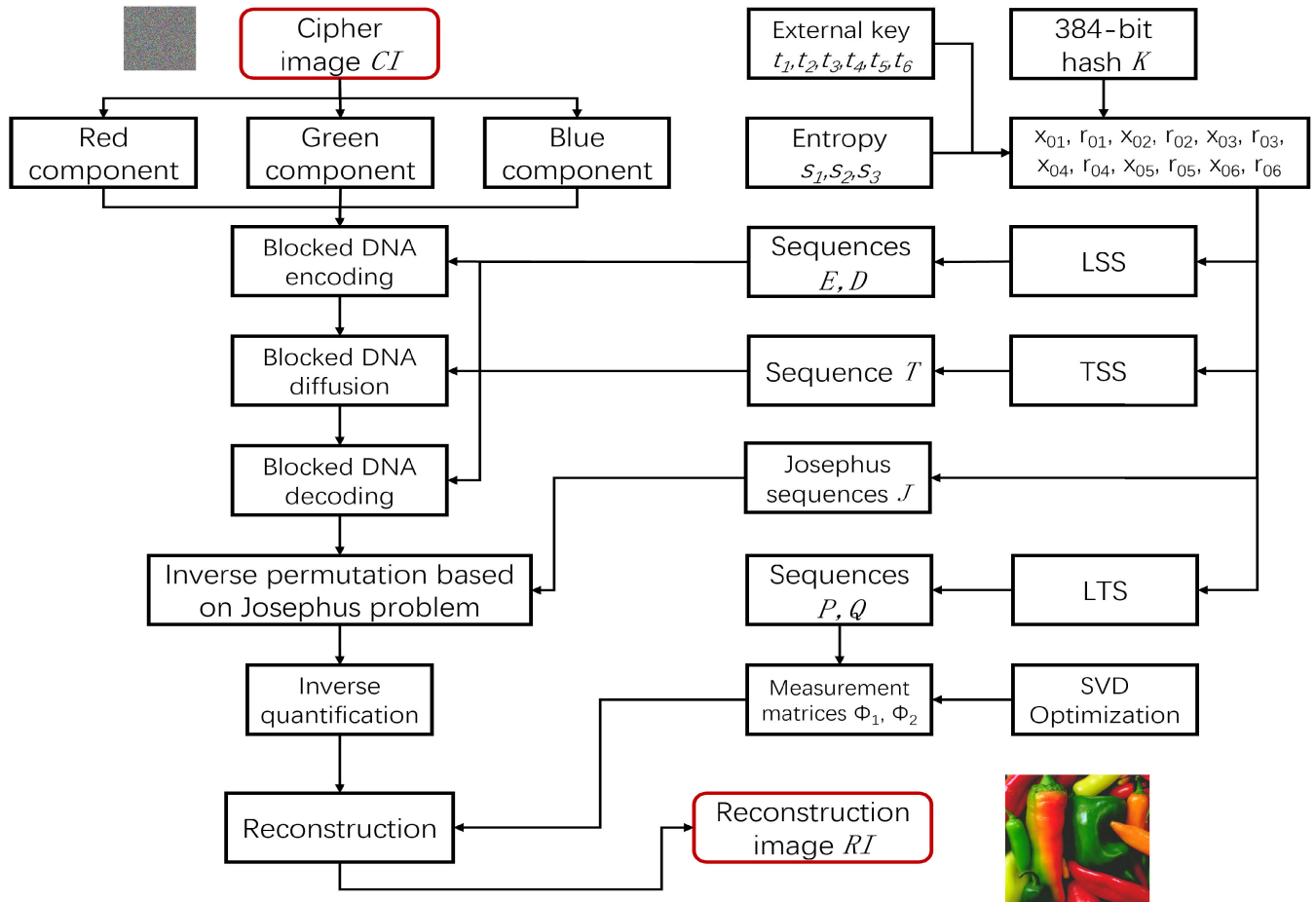


FIGURE 3. The flow chart of the proposed decryption scheme.

where  $R_5(i)$  is the  $i$ -th sub-block of the DNA matrix after DNA diffusion. The detailed DNA diffusion scheme has been described in section II-C2.

**Step 11.2.4.** Decode the sub-block DNA matrix  $R_5(i)$  using the quantized chaotic sequence  $\{D\}$  and convert it to sub-block decimal matrix  $R_6(i)$ , which can be described as Eq. (20).

$$R_6(i) = Decode(R_5(i), D(i)), \quad i = 1, 2, \dots, L \quad (20)$$

Similarly,  $G_6$  and  $B_6$  can also be obtained through the above encryption process.

**Step 12.** Integrating  $R_6$ ,  $G_6$ , and  $B_6$  to generate the final cipher image  $CI$ .

#### D. COMPLETE DECRYPTION ALGORITHM

The decryption process is illustrated in Fig. 3. It is an inverse process of the encryption process, and the detailed decryption algorithm is described below:

**Step 1.** The initial values of chaotic systems and the Josephus problem are calculated with the keys  $K$ ,  $s_1$ ,  $s_2$ , and  $s_3$  and extra parameters  $t_1, t_2, t_3, t_4, t_5, t_6$ .

**Step 2.** Iterate LSS with initial values  $y_1$  with  $u_1$  and  $y_2$  with  $u_2$ . Chaotic sequences  $\{E\}$  and  $\{D\}$  of length  $L$

are obtained for determining DNA encoding rules and DNA decoding rules. The chaotic sequence  $\{T\}$  of length  $L$  is also obtained by iterating over the TSS with initial values  $z_1$  and  $a_1$  for the DNA diffusion.

**Step 3.** Cipher image  $CI$  of dimension  $M \times M$  is decomposed into three components  $R$ ,  $G$ , and  $B$ .

**Step 4.** The inverse DNA substitution and inverse DNA diffusion processes of  $R$ ,  $G$ , and  $B$  are carried out according to the following steps. An example is taken for  $R$ .

**Step 4.1.** Divide  $R$  into  $L$  sub-blocks of size  $n \times n$  and arrange them in order from top to bottom and from left to right.

**Step 4.2.** The block-based DNA encoding is executed, and this process is expressed as Eq. (21), where  $R_1(i)$  denotes the  $i$ -th sub-block of the DNA matrix.

$$R_1(i) = Encode(R(i), D(i)), \quad i = L, L - 1, \dots, 1 \quad (21)$$

**Step 4.3.** According to Eq. (22), the inverse DNA diffusion is implemented on  $R_1$  by means of sequence  $\{T\}$ .

$$R_2(i) = INdif(R_1(i), T(i)), \quad i = L, L - 1, \dots, 1 \quad (22)$$



**TABLE 5.** Mean time for DNA diffusion for different block sizes.

n	8	16	32	64
Mean time(s)	0.0199	0.0802	0.3151	1.4440

**TABLE 6.** PSNR of the reconstructed images.

CR	PSNR			
	Peppers	Peppers2	Airplane	Tiffany
0.25	37.1197	31.3243	32.4741	34.8178
0.5	40.2718	33.8798	37.6650	39.5580
0.75	42.994	37.2358	41.9846	43.7637

where  $INDif(\cdot)$  is the inverse DNA diffusion described in Section II-C2 and  $R_2$  is the resulting DNA matrix after inverse diffusion.

**Step 4.4.** The secondary inverse diffusion of the DNA matrix  $R_2$  is conducted based on Eq. (23).

$$\begin{cases} R_3(i) = R_2(i) + R_2(L) & i = 1 \\ R_3(i) = R_2(i) + R_2(i-1) & i > 1 \quad i = L, L-1, \dots, 1 \end{cases} \quad (23)$$

where  $R_3(i)$  represents the  $i$ -th sub-block DNA matrix after re-inverse diffusion.

**Step 4.5.** The decimal matrix  $R_4$  of size  $M \times M$  is obtained by decoding  $R_3$ , the decoding rule follows the pseudo-random sequence  $\{E\}$ . It is expressed as Eq. (24).

$$R_4(i) = Decode(R_3(i), E(i)) \quad i = L, L-1, \dots, 1 \quad (24)$$

**Step 5.** With step 4, the inverse scrambling and diffusion matrices  $G_4$  and  $B_4$  of the green and blue components are also obtained. Then combine  $R_4$ ,  $G_4$ , and  $B_4$  into a matrix  $SC$  of size  $M \times 3M$ .

**Step 6.** Generate a Josephus sequence  $J$  of length  $3M$  with  $x_0$  and  $y_0$  as initial values and then perform an inverse permutation of  $SC$  based on this Josephus sequence, which can be described as

$$SC(:, J(i)) = SC(:, i) \quad i = 1, 2, \dots, 3M \quad (25)$$

where  $SC(:, i)$  denotes the  $i$ -th column of  $SC$ .

**Step 7.** Three matrices  $R_5$ ,  $G_5$ ,  $B_5$  are obtained by decomposing  $SC$  with the following formula.

$$\begin{cases} R_5 = SC(:, 1 : M) \\ G_5 = SC(:, M + 1 : 2M) \\ B_5 = SC(:, 2M + 1 : 3M) \end{cases} \quad (26)$$

**Step 8.** The initial values  $x_1$  with  $r_1$  and  $x_2$  with  $r_2$  are used to iterate the LTS, respectively, generating two measurement matrices  $\Phi_\Delta$  and  $\Phi_\Theta$ . The construction of the measurement matrices is illustrated in Section III-B.

**Step 9.**  $R_6$ ,  $G_6$ , and  $B_6$  are obtained by performing inverse quantization on  $R_5$ ,  $G_5$ , and  $B_5$  according to the following Eq. (27).

$$R_6 = \frac{R_5 \times (\max - \min)}{255} + \min \quad (27)$$

**TABLE 7.** Compression performance of different algorithms.

CR	Ref. [51]	Ref. [52]	Ref. [53]	Ours
0.25	<30	32.7130	14.0119	37.1197
0.5	$\approx 35$	35.1721	26.4965	40.2718
0.75	<40	38.4215	31.7121	42.9942

**TABLE 8.** The SSIMs between plain images and reconstructed images.

Image	R component	G component	B component	SSIM(%)
Peppers	99.98	99.90	99.99	
Sailboat	99.89	99.96	99.98	
Tiffany	99.91	99.93	99.96	
Peppers2	99.92	99.92	99.94	
Baboon	99.97	99.90	99.92	

**Step 10.** Inspired by [45], a two-dimensional reconstruction algorithm is employed to reconstruct  $R_6$ ,  $G_6$ , and  $B_6$  to obtain  $R_7$ ,  $G_7$ , and  $B_7$  of size  $N \times N$ .

**Step 11.** The reconstructed color image  $RI$  is obtained by combining  $R_7$ ,  $G_7$ , and  $B_7$ .

#### IV. EXPERIMENTAL SIMULATION RESULTS

This section presents the simulation results of the proposed algorithm, and all experiments are performed on a personal computer environment: Windows 11, 3.2Ghz CPU, 16GB RAM. The compilation software is MATLAB R2021a.

##### A. ENCRYPTION AND DECRYPTION RESULTS

In this section, four color images Peppers ( $512 \times 512$ ), Peppers2 ( $512 \times 512$ ), Airplane ( $512 \times 512$ ), and Tiffany ( $512 \times 512$ ), are used as plain images. The additional self-defined parameters  $t_1, t_2, t_3, t_4, t_5$ , and  $t_6$  used to compute the initial values of the chaotic systems in Section III-A are randomly determined to be 0.25, 2.78, 0.43, 3.72, 0.39, and 1.90, respectively. As in Table 5, the mean time of the diffusion process for each sub-block for different blocking cases is given, which determines the image sub-block size of 8 for this simulation experiment (i.e.,  $n = 8$ ). And their simulation results are presented in Fig. 4. Since 2DCS is adopted in this image encryption scheme, the size of the encrypted image is one-fourth of the plain image, which significantly saves the bandwidth in the transmission of the cipher image. From Fig. 4, it is observed that the cipher images are noisy, meaning that it is almost impossible for an attacker to obtain any valuable information from the cipher image. The peak signal-to-noise ratio (PSNR) is an objective criterion used to evaluate image quality and is defined as:

$$PSNR = 10 \log_{10} \frac{255^2}{MSE}$$

$$MSE = \frac{1}{mn} \sum_{i=1}^m \sum_{j=1}^n \|I_1(i, j) - I_2(i, j)\|^2 \quad (28)$$

where  $MSE$  is the mean square error between the plain image  $I_1(i, j)$  and the reconstructed image  $I_2(i, j)$ , and  $m$  and

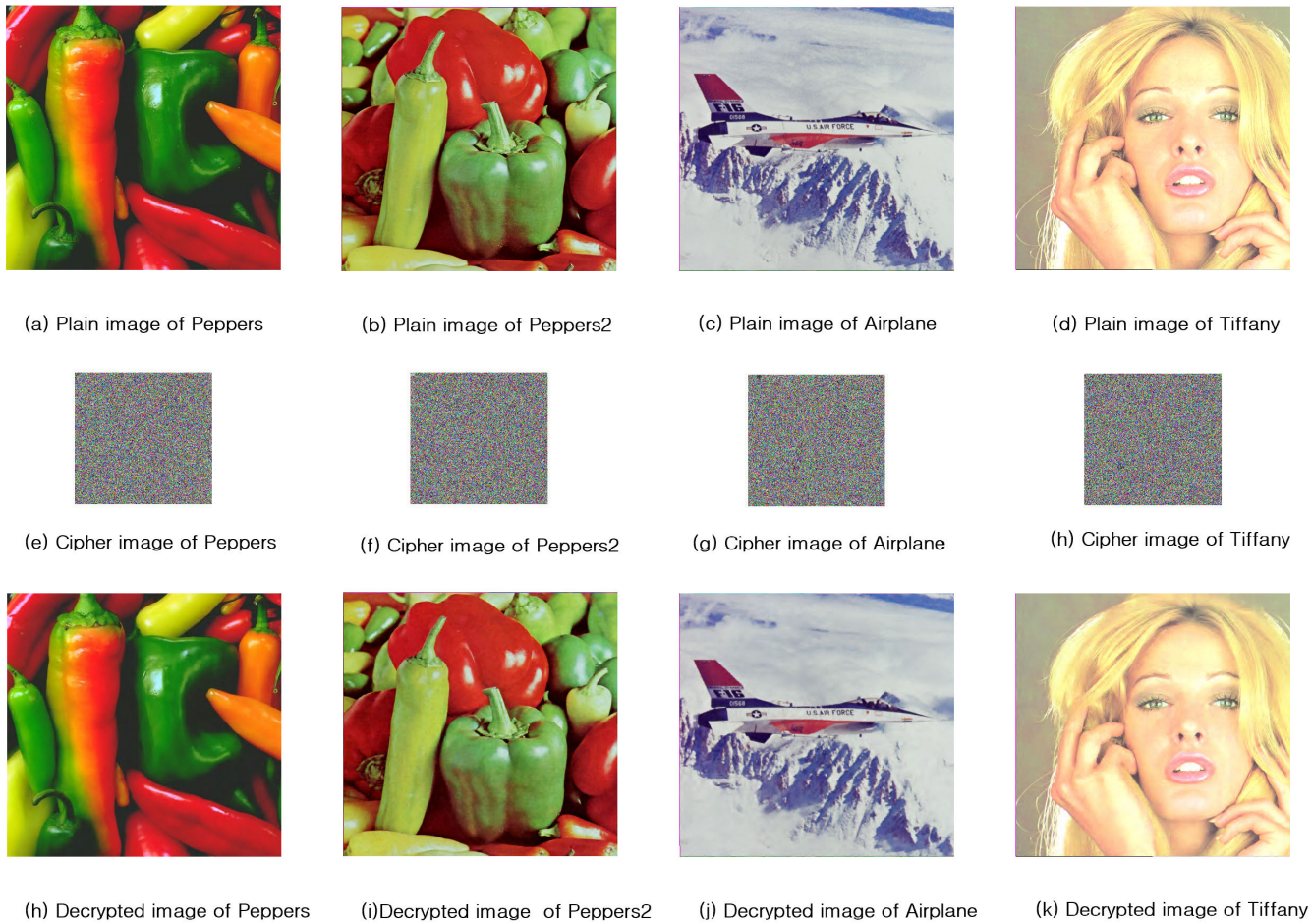


FIGURE 4. Simulation results.

TABLE 9. Comparison of key spaces.

Algorithm	Ref. [37]	Ref. [49]	Ref. [55]	Ref. [56]	Ours
Key space	$2^{372}$	$2^{215}$	$2^{288}$	$2^{256}$	$2^{465}$

$n$  represent the height and width of the image. As shown in Table. 6, the  $PSNR$  values of the reconstructed images increase continuously as  $CR$  increases from 0.25, 0.5 to 0.75 and are all greater than 31. This means that the reconstructed image is extremely similar to the plain image. Table. 7 presents the  $PSNR$  results of the color Peppers image under different  $CR$ , as well as compares them with the existing algorithms [51], [52], [53]. From Table. 7, we can see that the quality of our reconstructed images is better than other algorithms.

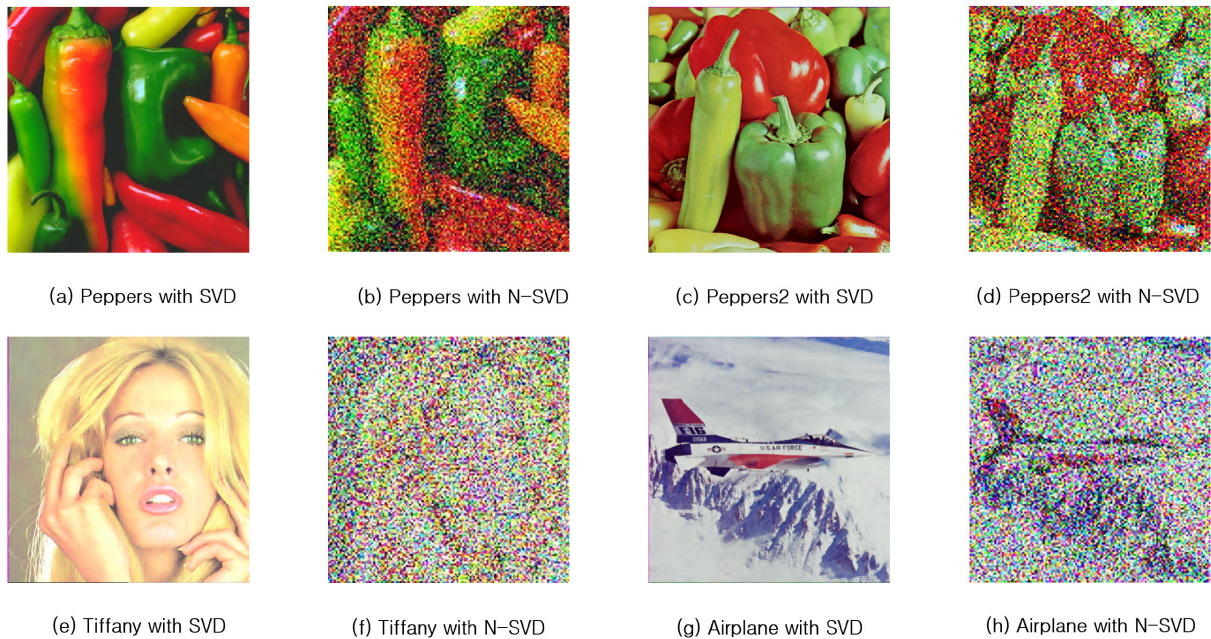
To further demonstrate the effectiveness of the present algorithm, the Structural Similarity Index ( $SSIM$ ) is used to evaluate the similarity between the three component channels of the color plain image and the reconstructed image. The  $SSIM$  takes into account the luminance, contrast, and structural information of the image, and therefore provides a more comprehensive evaluation. The value domain of the

$SSIM$  is usually between  $[-1, 1]$ , where 1 means that the two images are identical, while 0 means that the two images do not have any similarity. In the case of image encryption, ideally, the  $SSIM$  value of the encrypted image and the original image is close to 1, indicating that the encryption algorithm has not significantly altered the structural information of the image and proving the effectiveness of the algorithm.  $SSIM$  is calculated as in Eq. (29).

$$SSIM(x, y) = \frac{(2\mu_x\mu_y + C_1)(2\sigma_{xy} + C_2)}{(\mu_x^2 + \mu_y^2 + C_1)(\sigma_x^2 + \sigma_y^2 + C_2)} \quad (29)$$

where  $x$  and  $y$  represent the plain and encrypted images, respectively,  $\mu_x$  and  $\mu_y$  are the mean values of the pixels of  $x$  and  $y$ , respectively, as well as  $\sigma_x^2$  and  $\sigma_y^2$  denote the variances of  $x$  and  $y$ , respectively, and  $\sigma_{xy}$  is the covariance between  $x$  and  $y$ ,  $C_1$  and  $C_2$  are stabilization constants to prevent division by zero. Ordinarily,  $C_1 = (K_1L)^2$ ,  $C_2 = (K_2L)^2$ ,  $K_1$  and  $K_2$  are default values set to 0.01 and 0.03. They control the visibility of the first and second order statistics in the  $SSIM$  index.  $L$  is the dynamic range of the pixel values (usually 255 for an 8-bit image).

The  $SSIM$  values of the plain images and the reconstructed images are tested and displayed in Table. 8, it can be



**FIGURE 5.** The effect of the SVD-based measurement matrix on the reconstructed image.

**TABLE 10.** NPCR of the cipher images.

Image	Changed key	NPCR(%)
Fig. 6(a)	—	0
Fig. 6(b)	$t_1 + 10^{-14}$	99.61
Fig. 6(c)	$t_3 + 10^{-14}$	99.47
Fig. 6(d)	$t_5 + 10^{-14}$	96.95
Fig. 6(e)	—	0
Fig. 6(f)	$t_1 + 10^{-14}$	99.62
Fig. 6(g)	$t_3 + 10^{-14}$	99.62
Fig. 6(h)	$t_5 + 10^{-14}$	96.96

**TABLE 11.** NPCR of the reconstructed images.

Image	Changed key	NPCR(%)
Fig. 7(a)	—	0
Fig. 7(b)	$k_1$	99.61
Fig. 7(c)	$t_6 + 10^{-14}$	99.53
Fig. 7(d)	—	0
Fig. 7(e)	$k_1$	99.15
Fig. 7(f)	$t_6 + 10^{-14}$	99.27

observed that the *SSIM* values of the three components of the plain images and the corresponding three components of the reconstructed images are very close to 1, which proves the effectiveness of the proposed algorithm.

### B. INFLUENCE OF SVD OPTIMIZATION ON RECONSTRUCTED IMAGES

Furthermore, Fig. 5 illustrates the impact of SVD-based measurement matrix optimization on image reconstruction. Fig. 5(a), (c), (e), and (g) are reconstructed images with SVD-based measurement matrices and they are almost identical to their plain images. Fig. 5(b), (d), (f), and (h) are the reconstructed images with non-SVD-based (*N-SVD*) measurement matrices, and their sharpness is not sufficient to recognize the details of their plain images. It is observed that the optimized measurement matrices significantly improve the quality of the reconstructed images.

## V. PERFORMANCE ANALYSIS

### A. KEY SPACE ANALYSIS

In cryptography, key space is an important performance indicator of an encryption algorithm. A cryptosystem with a

large key space tends to resist brute force very well. Ideally, the key space should be more than  $2^{100}$ . The secret keys in this scheme mainly include (1) The 384-bit hash value  $K$  of the plain image. (2) the given parameters  $t_1, t_2, t_3, t_4, t_5$ , and  $t_6$ . (3) the information entropy  $s_1, s_2, s_3$  of  $R, G, B$ . Besides, the *max* and *min* of the quantization process can also be used as secret keys. We set the initial values of the Josephus problem as the public keys. If the precision of the computer is  $10^{14}$ , then the key space of our algorithm is about  $(10^{14})^{10} \approx 2^{465} \gg 2^{100}$ . When considering only the 384-bit hash as a key, our key space is already much larger than  $2^{100}$ . Therefore, the key space of our encryption scheme can completely disable brute force attacks. Additionally, as shown in Table. 9, a key space comparison between our scheme and existing encryption algorithms is performed.

### B. KEY SENSITIVITY ANALYSIS

A secure encryption algorithm should be sensitive to the key. Even a tiny change in the secret key can significantly change the final encryption or decryption result. In this section, we evaluate the key sensitivity of our scheme by making slight modifications to the secret keys: the hash value  $K$  of the plain image, and additional self-defined parameters

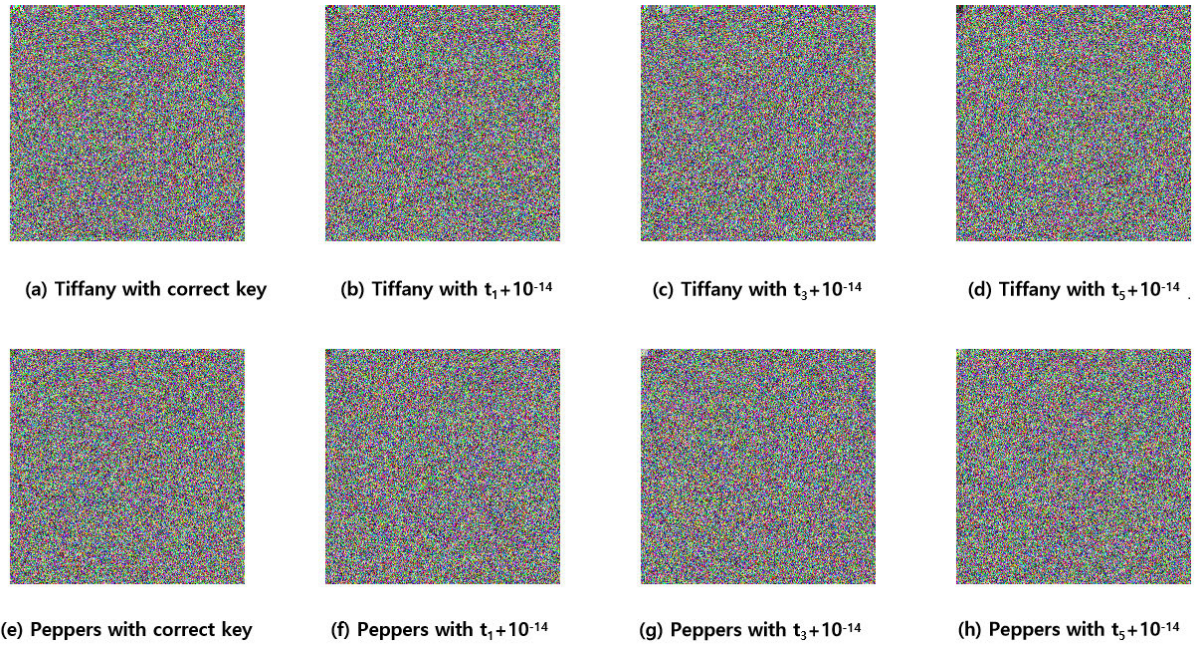


FIGURE 6. Key sensitivity results in encryption process .

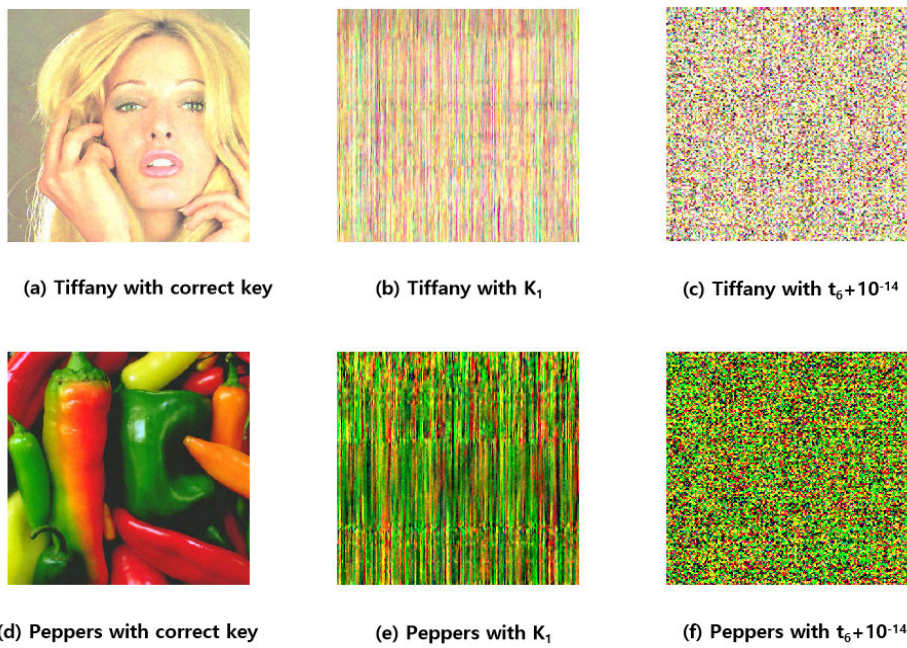


FIGURE 7. Key sensitivity results in decryption process.

$t_1, t_3, t_5, t_6$  of the initial values of the chaotic systems. The test color images are Tiffany ( $512 \times 512$ ) and Peppers ( $512 \times 512$ ).

We change  $t_1, t_3, t_5, t_6$  by adding  $10^{-14}$  to gain  $t'_1, t'_3, t'_5, t'_6$ .  $K_1$  is obtained by changing only one bit of  $K$ . These changed keys are used in the encryption and decryption phases of the test images. As shown in Fig. 6, (a) and (e) are cipher images with the correct key, and (b)-(d) with (f)-(h) are

the respective cipher images obtained with  $t'_1, t'_3,$  and  $t'_5$ .  $K_1$  and  $t'_6$  are used in the decryption process to acquire different decrypted images, as shown in Fig. 7. As seen from Fig. 6 and Fig. 7, our encryption scheme is highly sensitive to keys. The encrypted images with the slightly modified keys are completely different from the encrypted images with the correct keys. Likewise, in the decryption stage, the decrypted images with the changed key are almost distinct

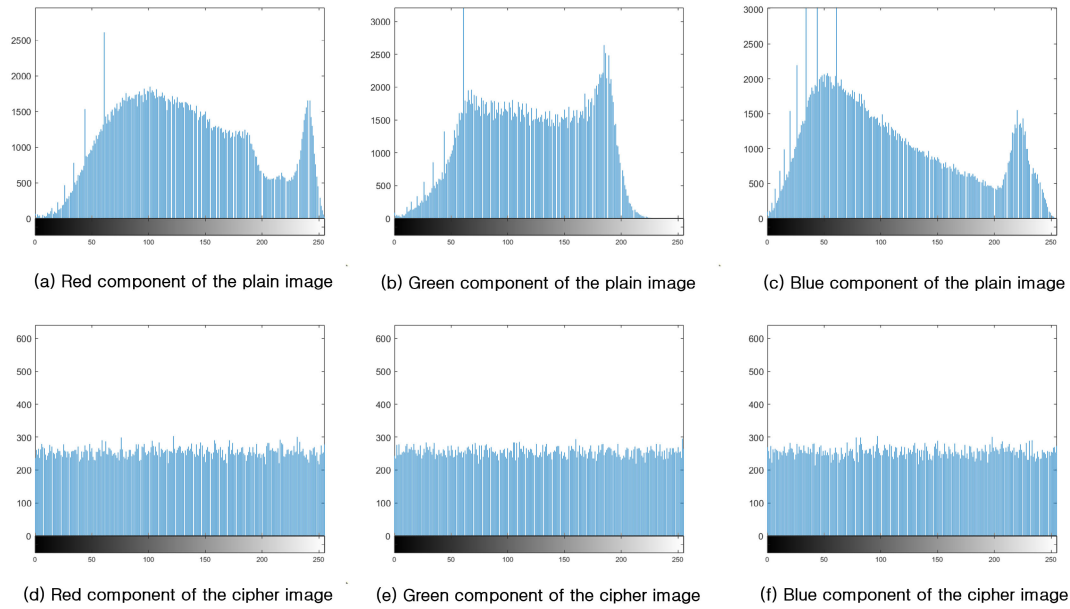


FIGURE 8. The Histograms of plain image Baboon and its cipher image.

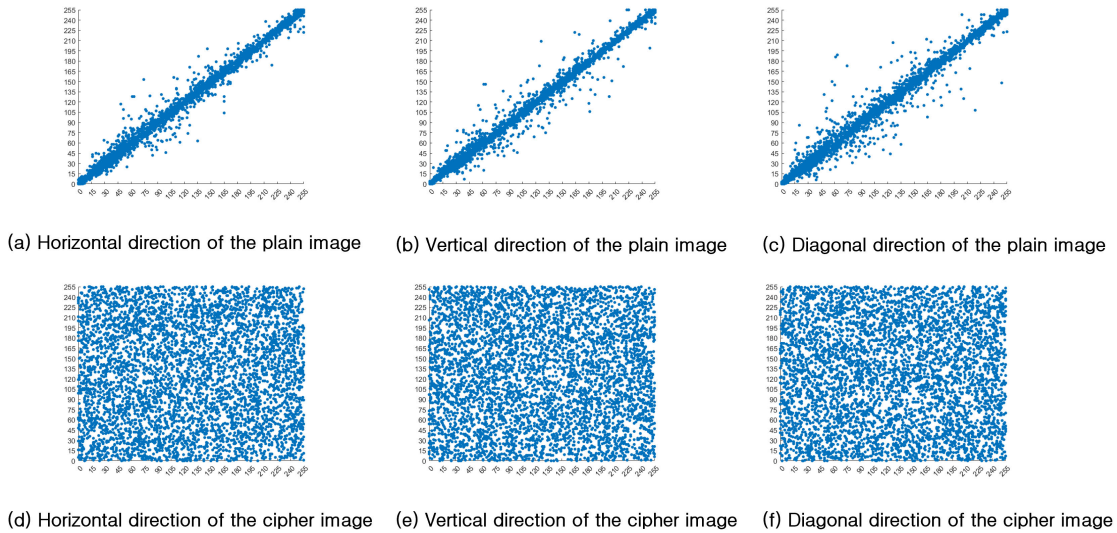


FIGURE 9. Distribution of adjacent pixels of the plain image Peppers and its cipher images.

from Fig. 7(a) and (d), which are decrypted by the correct keys.

In addition, the number of pixel change rate (*NPCR*) is the percentage of different pixel numbers between two images and is to measure the difference between two images. The *NPCR* is defined by Eq. (30).

$$NPCR = \frac{1}{mn} \sum_{i=1}^m \sum_{j=1}^n D(i, j) \times 100\%$$

$$D(i, j) = \begin{cases} 0 & C_1(i, j) = C_2(i, j) \\ 1 & C_1(i, j) \neq C_2(i, j) \end{cases} \quad (30)$$

where  $C_1(i, j)$  and  $C_2(i, j)$  denote pixels at the same position in two different images, and  $m, n$  are the width and height

of the image respectively. The closer the *NPCR* value is to 1 means that the two images are not identical. The *NPCR* values of Fig. 6(b)-(d) and Fig. 6(a), Fig. 6(f)-(h) and Fig. 6(e), Fig. 7(b)-(c) and Fig. 7(a), Fig. 7(e)-(f) and Fig. 7(d) are calculated in Table. 10 and Table. 11. Their *NPCR* values are almost close to 1, indicating the high-level key sensitivity of the encryption and decryption processes.

### C. HISTOGRAM ANALYSIS

The histogram is an essential statistical feature for evaluating an image encryption scheme. The histogram of an encrypted image should be uniformly distributed for a secure encryption scheme. This section analyzes the histogram of the color

**TABLE 12.** Correlation coefficients of plain images and cipher images.

Image	Direction	Correlation coefficients					
		Plain image			Cipher image		
		Red	Green	Blue	Red	Green	Blue
Peppers	Horizontal	0.9967	0.9943	0.9661	0.0197	-0.0087	0.0142
	Vertical	0.9964	0.9940	0.9724	0.0027	0.0069	0.0145
	Diagonal	0.9944	0.9900	0.9429	-0.0066	0.0029	0.0158
Peppers2	Horizontal	0.9698	0.9847	0.9669	-0.0079	0.0132	-0.0361
	Vertical	0.9655	0.9840	0.9692	0.0117	0.0106	0.0058
	Diagonal	0.9623	0.89751	0.9482	0.0039	-0.0054	-0.0134
Airplane	Horizontal	0.9572	0.9654	0.9370	-0.0197	0.0080	0.0108
	Vertical	0.9737	0.9651	0.9622	0.0099	-0.0041	-0.0092
	Diagonal	0.9356	0.9369	0.9151	0.0053	-0.0052	0.0239
Tiffany	Horizontal	0.9325	0.9339	0.9302	-0.0102	-0.0064	-0.0179
	Vertical	0.9449	0.8781	0.8948	0.0113	0.0061	0.0080
	Diagonal	0.8929	0.8423	0.8405	0.0027	-0.0220	-0.0114

**TABLE 13.** Comparison with other studies on correlation coefficient.

Algorithms	Ref [37]	Ref [51]	Ref [52]	Ref [57]	Ours
Horizontal	0.0082	0.0004	0.0017	0.0082	-0.0017
Vertical	0.0006	0.0001	0.0008	0.0034	0.0004
Diagonal	0.0035	0.0019	0.0014	0.0041	0.0064

Baboon image, Fig. 8(a)-(c) are the histograms of the three channels of the plain image and Fig. 8(d)-(f) are the histograms of the three channels of the cipher image. The histograms of the three components of the plain image are non-uniform and different from each other. On the contrary, the histograms of the cipher image are smooth and uniform. Therefore, our algorithm turns out to be robust to histogram attacks.

#### D. CORRELATION COEFFICIENT ANALYSIS

An image typically has a high correlation between adjacent pixels, which an attacker may exploit to analyze the entire image to crack the encryption algorithm. Consequently, an encrypted image with low adjacent pixel correlation is also a requirement for a secure image encryption algorithm. Mathematically defined, the correlation coefficient  $r$  can be calculated as

$$r_{xy} = \frac{cov(x, y)}{\sqrt{D(x)}\sqrt{D(y)}} \quad (31)$$

where  $cov(x, y) = \frac{1}{N} \sum_{i=1}^N (x_i - E(x))(y_i - E(y))$ ,  $D(x) = \frac{1}{N} \sum_{i=1}^N (x_i - E(x))^2$ ,  $E(x) = \frac{1}{N} \sum_{i=1}^N x_i$ .  $cov(\cdot)$  denotes covariance,  $D(\cdot)$  is variance,  $E(\cdot)$  is the mean value, and  $N$  is the total number of pixels.

In this section, 5000 pairs of pixel values are randomly selected in each of the horizontal, vertical, and diagonal directions of the plain and cipher images for experimentation. Fig. 9 shows the distribution of adjacent pixels of the plain image peppers and its cipher image. The adjacent pixels of the plain image shows a linear distribution with a high correlation. However, the adjacent pixels of the cipher image are randomly and uniformly distributed, indicating almost no correlation. Table. 12 lists the adjacent correlation coefficients of the four images Peppers ( $512 \times 512$ ), Peppers2 ( $512 \times 512$ ), Tiffany ( $512 \times 512$ ), Airplane

( $512 \times 512$ ), and their encrypted images. The correlation coefficients of the red, green, and blue components of these plain images are all close to 1. After image encryption, the correlation coefficients are almost close to 0, meaning that the correlation between adjacent pixels is essentially eliminated. Meanwhile, Table. 13 gives the comparison results of the adjacent correlation coefficients of the encrypted peppers image. It shows that our scheme is slightly better than those references [37], [51], [52], [57], which means that our encryption algorithm has excellent security.

#### E. INFORMATION ENTROPY ANALYSIS

Information entropy is used to measure the randomness of information, and it can be calculated by Eq. (8). For a cipher image with uniformly distributed pixel values, the information entropy is close to ideal value 8, implying resistance to statistic attacks. As shown in Table. 14, the information entropy values of the encrypted images are close to 8. In Table. 15, for the cipher image Peppers512, the information entropy of our algorithm is slightly higher than those of the references [5], [58], [59], [60]. This indicates that these encrypted images have high randomness, and our proposed algorithm has better robustness against entropy attacks.

#### F. NOISE AND OCCLUSION ATTACK ANALYSIS

When an encrypted image is transmitted over a channel, it will inevitably be subject to noise interference and occlusion attacks. Therefore, an effective encryption algorithm should be robust against them. The color image Peppers in this section is used as a test image. The decrypted images after adding Salt & Pepper noise (SPN), Speckle noise (SN), and Gaussian noise (GN) to the cipher images of Peppers are shown in Fig. 10. Fig. 11 shows the cipher images with different sizes of occlusion and their corresponding decrypted images. As seen in Fig. 10 and Fig. 11, We can still recognize the information of the plain image from these decrypted images. Therefore, our proposed algorithm is highly resistant to noise or occlusion attacks. The receiver can still effectively decrypt the image to obtain the information of the plain

TABLE 14. Entropy of plain image and cipher image.

Image	Entropy					
	Red	Green	Blue	Red	Green	Blue
	Plain image			Cipher image		
Peppers	7.3409	6.9593	5.6373	7.9969	7.9973	7.9970
Airplane	6.7113	6.7853	6.2128	7.9971	7.9971	7.9973
Tiffany	4.3372	6.6643	6.4288	7.9973	7.9971	7.9969
Sailboat	7.2995	7.5464	7.1074	7.9976	7.9973	7.9969
Peppers2	7.3255	7.3912	6.9169	7.9972	7.9971	7.9970
Baboon	7.6836	7.4466	7.6874	7.9972	7.9973	7.9970

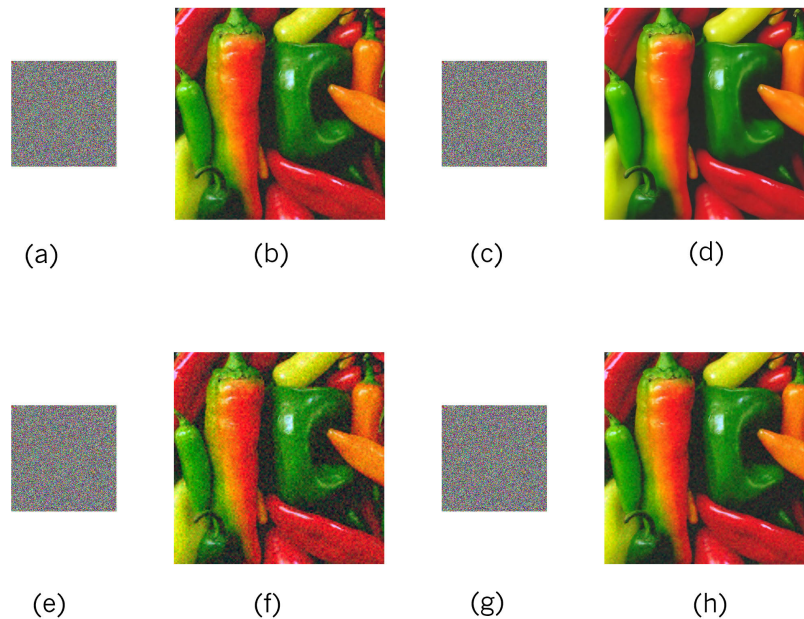


FIGURE 10. Decrypted image for noise attack (a) Cipher image by SPN with density = 0.001. (b) Decrypted image of (a). (c) Cipher image by SN with density = 0.000001. (d) Decrypted image of (c). (e) Cipher image by SN with density = 0.000005. (f) Decrypted image of (e). (g) Cipher image by GN with density = 0.000001. (h) Decrypted image of (g).

TABLE 15. Comparison with other studies on entropy.

Algorithms	Ref [5]	Ref [52]	Ref [58]	Ref [59]	Ours
R Component	7.9975	7.9985	7.9974	7.9972	7.9974
G Component	7.9975	7.9987	7.9972	7.9973	7.9971
B Component	7.9969	7.9986	7.9971	7.9975	7.9970

image when it receives a cipher image that suffers from noise contamination or occlusion attack.

G. DIFFERENTIAL ATTACK ANALYSIS

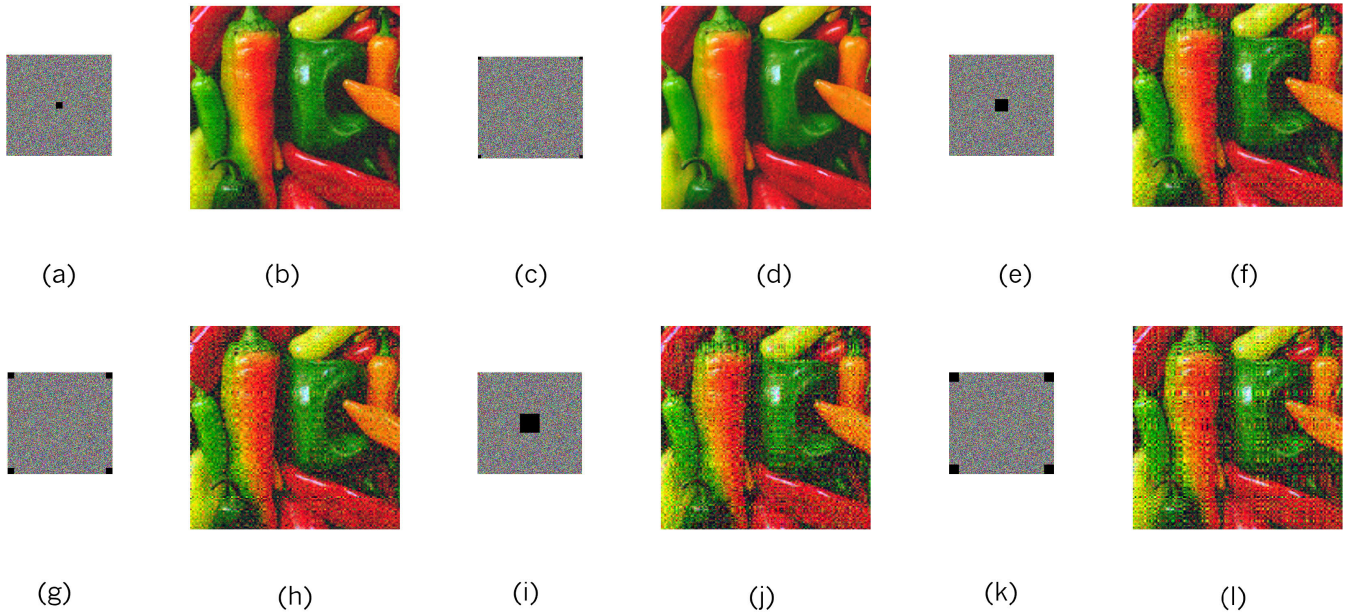
Differential attack testing is an important method for evaluating the sensitivity of an image encryption algorithm to small input changes to the plain image. Commonly, two almost identical images are selected, one of which is slightly modified, and then encrypted separately. By comparing the differences in the encrypted images, it is possible to determine whether the algorithm is resistant to differential attacks. The core of the difference attack is to verify whether the encryption algorithm can maintain the randomness of the ciphertext when the input is slightly changed, and to

prevent the attacker from obtaining useful tips from the differences. To this end, two key metrics are often used: the NPCR and the uniform average change rate (UACI). The NPCR measures the proportion of different pixels between cipher images, which ideally should be close to 100%, while the UACI evaluates the average strength of the pixel change values, which ideally is close to 33% for image encryption algorithms. By accurately calculating and analyzing these metrics, we are able to comprehensively evaluate the performance of encryption algorithms under differential attacks, providing a strong guarantee for their security and reliability. The formula for calculating UACI is as follows:

$$UACI = \frac{1}{M \times N} \sum_{i=1}^M \sum_{j=1}^N \frac{C_1(i,j) - C_2(i,j)}{255} \times 100\% \quad (32)$$

where M and N refer to the length and width of the image, and C1 and C2 denote two different images.

In this section, by subtracting the first pixel of the plain test images by 1 and encrypting them using the proposed



**FIGURE 11.** Decrypted image for occlusion attack (a) Cipher image by  $16 \times 16$  occlusion at the center. (b) Decrypted image of (a). (c) Cipher image by  $16 \times 16$  occlusion at the four corners. (d) Decrypted image of (c). (e) Cipher image by  $32 \times 32$  occlusion at the center. (f) Decrypted image of (e). (g) Cipher image by  $32 \times 32$  occlusion at the four corners. (h) Decrypted image of (g). (i) Cipher image by  $48 \times 48$  occlusion at the center. (j) Decrypted image of (i). (k) Cipher image by  $48 \times 48$  occlusion at the four corners. (l) Decrypted image of (k).

**TABLE 16.** UACI and NPCR of encryption results for plain images and micro-altered plain images.

Image	NPCR(%)	UACI(%)
Peppers	99.62	33.51
Sailboat	99.63	33.36
Tiffany	99.62	33.60
Peppers2	99.62	33.48
Baboon	99.61	33.52

encryption algorithm, the encryption results are compared with the encrypted image obtained by encrypting the original plain image. The results of the NPCR and UACI tests are presented in Table. 16. The NPCR and UACI obtained from the test results almost converge to the ideal values, indicating that the proposed algorithm is extremely sensitive to the plain image and thus can adequately resist differential attacks.

**H. ANALYSIS OF KNOWN-PLAINTEXT ATTACK AND CHOSEN-PLAIN-TEXT ATTACK**

The known-plaintext attacks and chosen-plaintext attacks are a means of analyzing cryptosystems to extract information from the original image. Several image encryption schemes have been successfully breached using known-plaintext attacks and chosen-plaintext attacks [60], [61], [62]. In order to resist known-plaintext attacks and chosen-plaintext attacks, our scheme employs some effective measures. The SHA-384 hash and information entropy of the plain image are computed and used to calculate the initial values of the composite chaotic systems and Josephus problem. Chaotic sequences generated by LTS are used for constructing the measurement matrices. Chaotic sequences produced by LSS

are utilized to encode and decode the compressed DNA-level image. A chaotic sequence derived from TSS is employed to diffuse the encoded DNA-level image and the Josephus problem is exploited to confuse the measurements of the three components R, G, and B with each other. Therefore, different plain images correspond to their respective key streams and will be encrypted into completely distinct cipher images. It is nearly impossible for an attacker to break the image encryption system by analyzing a part of the encrypted image, so our encryption algorithm is highly robust to known-plaintext attacks and chosen-plaintext attacks.

**VI. CONCLUSION**

In this paper, we propose a new color image encryption algorithm based on compressive sensing and block DNA encoding. First, this paper adopts three composite chaotic systems in the encryption process, which have low time complexity and good dynamic characteristics. And the information entropy and SHA-384 hash value of the plain image are applied to calculate the initial values of these chaotic systems. Next, the plain image is measured and quantified. Notably, the scheme uses two-dimensional compressed sensing, which samples the image information more efficiently. The measurement matrices are optimized with SVD, significantly improving the quality of the reconstructed image. Then, the three compressed and quantified component matrices are scrambled and diffused through the permutation method based on the Josephus problem and the block-based DNA operation, and the three confused and diffused matrices are combined to obtain the encrypted image.



Since the encryption process depends on the plain image, this research scheme can effectively resist known-plaintext attacks and chosen-plaintext attacks. Color images from the USC-SIPI image database or commonly used images such as Peppers are used as test images in this scheme, the simulation experiments and performance analysis show that our proposed scheme has great advantages in terms of security performance and robustness. In the case of encrypting color images or grayscale images with equal length and width, this scheme is well worth considering, but the reconstruction speed and resistance to occlusion attacks of this scheme are still not ideal. At present, the transmission of digital images is increasingly required to guarantee timeliness and robustness, the design of an encryption algorithm that can fulfill the different sizes of color or grayscale images with high encryption and decryption efficiency and security needs to be continuously expanded and researched.

In the future, we will develop other encryption algorithms incorporating compressive sensing and study more efficient measurement matrices and 2D reconstruction methods. Moreover, new technologies such as deep learning or mathematical models will be used in image encryption algorithms to improve the security of image encryption and meet high efficiency.

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