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## RESEARCH ARTICLE

# ASMC and ARSMC Cascaded Controller Design for QUAVs With Time-Varying Load and Unknown Disturbances

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**ABSTRACT** In this paper, cascaded controllers, which combine adaptive sliding mode control and recursive techniques, are presented to control quadrotor unmanned aerial vehicles with time-varying load and unknown disturbances. In the outer-loop control subsystem, an adaptive sliding mode controller, which includes adaptive laws estimating the time-varying load and unknown disturbances, is presented to control the position of the quadrotor. In the inner-loop control subsystem, an adaptive recursive sliding mode controller, which includes a self-turning law estimating the unknown inner-loop disturbances, is designed to control the attitude of the quadrotor. Moreover, a nonsingular terminal sliding mode surface is used to converge the tracking error of attitude angles to zero in finite time; and an integral sliding mode surface is designed in the adaptive recursive sliding mode controller to improve the attitude control performance of the quadrotor. Simultaneously, adaptive gain adjustment laws were applied to estimate those unknown upper bounds of the disturbances in the system. In the end, the efficiency and feasibility of the proposed control method are demonstrated by some computer simulations.

**INDEX TERMS** Unmanned aerial vehicle, time-varying load, trajectory tracking control, adaptive control, unknown disturbance.

## I. INTRODUCTION

Over the past several years, quadrotor unmanned aerial vehicles (QUAVs) have attracted extensive attentions and have been widely used in the precision agriculture, aerial photography and some civil entertainment fields owing to their advantages, such as vertical takeoff, landing and hovering flight, etc. [1], [2], [3], [4]. It is well known that QUAVs are under-actuated, strongly nonlinear and coupling systems, so the stability analysis and controller design for QUAVs are still challenging [5], [6]. Fortunately, as to these issues, some efforts have been tried by scholars, and lots of results were achieved [7], [8], [9]. Moreover, there are numerous control strategies, such as, Proportional Integral Differential (PID) [10], Active Disturbance Rejection Control

(ADRC) [11], Sliding Mode Control (SMC) [12], etc., have been proposed for the control of QUAVs.

It is worth noting that PID controllers are widely used in the control of all kinds of devices in industries, agricultures and other fields, due to its advanced advantages, such as simplicity principle, easy to implement, independent control parameters, etc. [13], [14], [15]. However, some performances of the PID-controlled systems were seriously declined in the presence of some parameter uncertainties or disturbances in the systems [16]. In order to mitigate the impact of disturbances on the flying performance of QUAVs, an ADRC was proposed in [17]. The ADRC, which has an Extended State Observer(ESO), can online estimate and compensate the internal and external disturbances [18]. The effectiveness of the ESO can be found in the following references ([19], [20], [21], [22], [23], [24]). For example, a fixed-time ESO was proposed in [19] to observe the

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unmeasurable velocity and unknown external disturbances. Liu et al. suggested a nonlinear adaptive backstepping controller with ESO for trajectory tracking of a QAV subject to multiple disturbances in [20]. In [22], a nonsingular terminal sliding mode controller combined with an ESO was employed for the attitude control of a QAV. Du et al. integrated a two-stage Kalman filter (TSKF) with an ESO to further compensate for the influences of factor estimation errors [23].

In practical systems, the variations of the disturbances are often random and complex, thus, it is almost impossible for researchers to obtain an ESO to accurately estimate those disturbances. For example, the ESO obtained in [25] couldn't accurately estimate the random disturbances, obviously. Thus, the disturbance-compensated performance of the obtained controller was decreased, greatly, when some random disturbances exist in the system. In order to deal with those complex disturbances, many researchers turned to using some nonlinear control strategies, such as sliding mode control (SMC), to improve the control performance of QAVs. SMC is well known for its robustness in overcoming all types of disturbances and uncertainties appearing in the system. Moreover, the reaching time of the system to the sliding surface is finite and known [6], [26]. During the past several decades, some results about SMC for QAVs have been obtained by many researchers. For example, an adaptive fractional-order SMC method for the QAVs was proposed in [27]. As to those traditional SMC schemes, the error dynamics often fail to converge to zero in finite time. Thus, in order to decrease the influence of those system errors on system performance, many efforts have been made by scholars in recent years [28], [29], [30], [31]. In order to achieve a finite-time convergence of the error, a terminal sliding mode control (TSMC) scheme was given in [29]. Unfortunately, the TSMC could lead to a singularity problem in the control law. In order to solve this problem, a nonsingular terminal sliding mode control (NTSMC) was proposed in [30], which ensured that the system state converges quickly in a finite time. Nevertheless, due to the presence of symbolic functions in NTSMC, the control law might exhibit chattering and result in a decrease in the control accuracy [31].

However, for time-varying payloads, the traditional SMC may not satisfy the flying performance requirements of QAVs. In order to solve this problem, an improved control technique, which combines the SMC with an adaptive control technology, was given in [32], and some improved performances were achieved. In [33], a new adaptive sliding mode control with finite-time convergence characteristics was proposed to guarantee quadrotor hovering in spite of parametric uncertainties and external disturbances. At the same time, by considering the complex flying surrounding of the QAVs, an adaptive integral sliding mode control (ISMC) strategy for QAVs was introduced in [34], which ensures fast and finite-time convergence of the system error along with a chattering attenuation. Moreover, some QAVs'

control schemes, which combined SMC with some other control techniques, were given by many scholars in the following years. For example, in [35], a control algorithm, which combined the integral sliding mode strategy with the backstepping technique, was proposed for the control of QAVs with system uncertainties, and some improved results were achieved. In [36], a robust algorithm based on a fixed-time SMC was proposed for a QAV, and some improved performances were achieved. Moreover, in [37], a fixed-time control strategy was presented to improve the transient response and robustness of a cable-suspended load with external disturbances. However, to the best of the authors' knowledge, as to the time-varying load and unknown disturbances for QAVs, the existing achievements are relatively few, and obtaining some results in this field is still necessary and meaningful. This is the main motivation of this paper. In this paper, the ASMC and ARSMC cascaded controllers are designed for QAVs with time-varying load and unknown disturbances. The time-varying load and unknown disturbances are estimated by the adaptive laws designed in the ASMC controller, which is used to control the position subsystem. Moreover, the unknown inner-loop disturbances are estimated by a self-turning law designed in the ARSMC controller, which is used to control the attitude subsystem. Furthermore, in order to reach the fast convergence and less chattering performances of the system, a NTSM combined with the recursive ISM is introduced in the design of the sliding mode surface. The main contributions of this paper are summarized as follows:

- (1) A cascaded controller is designed to control QAVs with time-varying loads and unknown disturbances to track the desired trajectory.
- (2) the adaptive laws are formulated to estimate the upper bounds of time-varying loads and unknown disturbances appearing in the attitude and position subsystems.
- (3) A recursive sliding mode controller is developed to guarantee the attitude tracking errors converge to zero in a finite time. Additionally, this controller incorporates an integral element to mitigate the chattering.

The remainder of this paper is organized as follows: The dynamic model of the QAVs is presented in Section II. The control strategy is analyzed in Section III, where the ASMC and ARSMC are given. In Section IV, three simulations are presented to validate the effectiveness of the developed control scheme. The conclusions of this study and future work are given in Section V.

## II. DYNAMIC MODEL OF QAVS

As shown in Fig. 2, the cross "x" shape QAVs have four propellers.  $\{O_e - x_e y_e z_e\}$  and  $\{O_b - x_b y_b z_b\}$  are the space-fixed coordinate system and the body-fixed coordinate system of the QAVs, respectively. The QAVs achieve attitude control by four distinct drive propellers, and can be operated in six degrees of freedom in space [38]. Obviously, the QAVs are multiple-input and multiple-output nonlinear

systems. Therefore, to establish a general model for the QUAUVs with time-varying loads, the following assumptions are proposed [39], [40], [41], [42], [43], [44], [45], and [46]:

*Assumption 1:* The quadrotor is a rigid body with a uniform mass distribution and center symmetry.

*Assumption 2:* The moment of inertia of a QUAUV remains unchanged.

*Assumption 3:* The pulling force generated by the propeller on each wing of a QUAUV is proportional to the speed of the motor.

According to the research results obtained in [36], [37], [38], [39], [40], [41], [42], and [43], the dynamic models of the QUAUVs can be described as

$$\left\{ \begin{aligned} \ddot{x}_e &= \frac{1}{m} (\cos \varphi \sin \theta \cos \psi + \sin \varphi \sin \psi) U_1 \\ &\quad - \frac{1}{m} k_x \dot{x}_e \\ \ddot{y}_e &= \frac{1}{m} (\cos \varphi \sin \theta \sin \psi - \sin \varphi \cos \psi) U_1 \\ &\quad - \frac{1}{m} k_y \dot{y}_e \\ \ddot{z}_e &= \frac{1}{m} (\cos \varphi \cos \theta) U_1 - \frac{1}{m} k_z \dot{z}_e - g \\ \ddot{\phi} &= \frac{k_\phi d}{J_x} \dot{\phi} + \frac{U_2}{J_x} \\ \ddot{\theta} &= \frac{k_\theta d}{J_y} \dot{\theta} + \frac{U_3}{J_y} \\ \ddot{\psi} &= \frac{k_\psi d}{J_z} \dot{\psi} + \frac{U_4}{J_z} \end{aligned} \right. \quad (1)$$

where  $U_1, U_2, U_3$  and  $U_4$  represent the inputs applied to the altitude, roll, pitch and yaw channels, respectively.  $\ddot{\phi}, \ddot{\theta}$  and  $\ddot{\psi}$  are the accelerations of the roll, pitch, and yaw angles, respectively.  $\ddot{x}, \ddot{y}$  and  $\ddot{z}$  are the accelerations in the  $x, y$  and  $z$ -axis directions, respectively. Other variables are shown in the Table 1. The definitions of  $U_x, U_y$  and  $U_z$  are as followings.

$$\left\{ \begin{aligned} U_z &= (\cos \varphi \cos \theta) U_1 \\ U_y &= (\cos \varphi \sin \theta \sin \psi - \sin \varphi \cos \psi) U_1 \\ U_x &= (\cos \varphi \sin \theta \cos \psi + \sin \varphi \sin \psi) U_1 \end{aligned} \right. \quad (2)$$

By doing a mathematic operation on (2), the descriptions of the desired angles can be expressed as

$$\left\{ \begin{aligned} \theta_d &= \arctan \left( \frac{U_x \cos \psi + U_y \sin \psi}{U_z} \right) \\ \phi_d &= \arctan \left( \cos \theta \frac{U_x \sin \psi - U_y \cos \psi}{U_z} \right) \\ U_1 &= \frac{U_z}{\cos \theta_d \cos \phi_d} \end{aligned} \right. \quad (3)$$

Assume that the three directions ( $x, y$  and  $z$ ) and three altitude angles ( $\phi, \theta$  and  $\psi$ ) are all with unknown disturbances, which are expressed as  $\Delta_j (j = x, y, z, \phi, \theta, \psi)$ . According to the above-mentioned system(1), the QUAUVs with unknown

disturbances can be described as

$$\left\{ \begin{aligned} \ddot{x}_e &= \frac{1}{m} (\cos \varphi \sin \theta \cos \psi + \sin \varphi \sin \psi) U_1 \\ &\quad - \frac{1}{m} k_x \dot{x}_e + \Delta_x \\ \ddot{y}_e &= \frac{1}{m} (\cos \varphi \sin \theta \sin \psi - \sin \varphi \cos \psi) U_1 \\ &\quad - \frac{1}{m} k_y \dot{y}_e + \Delta_y \\ \ddot{z}_e &= \frac{1}{m} (\cos \varphi \cos \theta) U_1 - \frac{1}{m} k_z \dot{z}_e \\ &\quad - g + \Delta_z \\ \ddot{\phi} &= \frac{k_\phi d}{J_x} \dot{\phi} + \frac{U_2}{J_x} + \Delta_\phi \\ \ddot{\theta} &= \frac{k_\theta d}{J_y} \dot{\theta} + \frac{U_3}{J_y} + \Delta_\theta \\ \ddot{\psi} &= \frac{k_\psi d}{J_z} \dot{\psi} + \frac{U_4}{J_z} + \Delta_\psi \end{aligned} \right. \quad (4)$$

### III. CONTROL STRATEGY

For the QUAUVs described in (4), the ASMC and ARSMC cascaded controllers are designed such that the controlled QUAUVs can track the desired trajectories. First, the desired inputs ( $x_d, y_d, z_d$  and  $\psi_d$ ) are obtained by a signal emitter. Then, three ASMC controllers are designed to make the controlled QUAUV track the desired trajectories ( $x_d, y_d$  and  $z_d$ ). Moreover some adaptive laws are given to estimate the time-varying load and unknown disturbances. Second, an ARSMC controller is designed to guarantee the controlled angles tracking those desired angles. Based on the control requirements, the control scheme, shown in Fig. 1, is obtained. Moreover, a tracking differentiator (TD), which is shown in (3), is used to obtain the first and second derivatives of the input signal [47].

$$\left\{ \begin{aligned} \dot{x}_1 &= x_2 \\ \dot{x}_2 &= x_3 \\ \varepsilon^3 \dot{x}_3 &= -2^{3/5} 4(x_1 - v(t) + (\varepsilon x_2)^{9/7})^{1/3} \\ &\quad - 4(\varepsilon^3 x_3)^{3/5} \end{aligned} \right. \quad (5)$$

where  $\varepsilon = 0.04$ ;  $v(t)$  is an input signal;  $x_1$  is the tracking of the input signal;  $x_2$  is the estimation of the first derivative of the input signal, and  $x_3$  is the estimation of the second derivative of the input signal.

#### A. ARSMC CONTROLLER FOR ATTITUDE SUBSYSTEM

Based on (4), the dynamic model of  $\phi, \theta$  and  $\psi$  can be written as

$$\left\{ \begin{aligned} \ddot{\phi} &= \frac{k_\phi d}{J_x} \dot{\phi} + \frac{U_2}{J_x} + \Delta_\phi \\ \ddot{\theta} &= \frac{k_\theta d}{J_y} \dot{\theta} + \frac{U_3}{J_y} + \Delta_\theta \\ \ddot{\psi} &= \frac{k_\psi d}{J_z} \dot{\psi} + \frac{U_4}{J_z} + \Delta_\psi \end{aligned} \right. \quad (6)$$

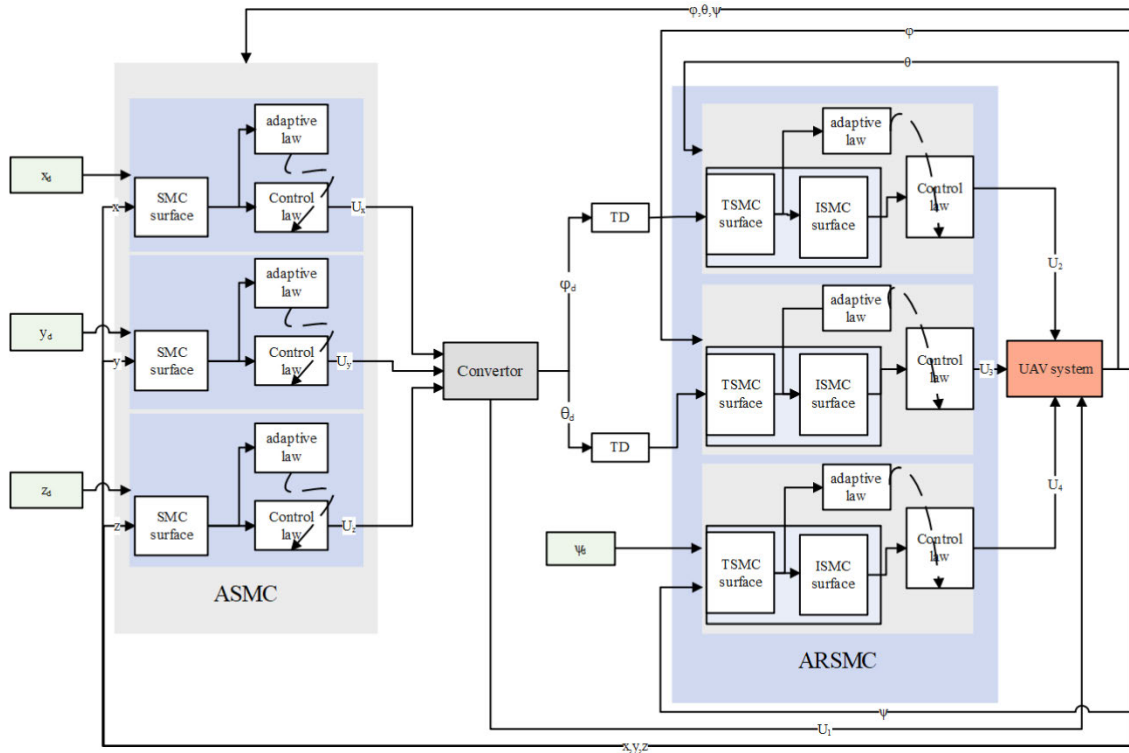


FIGURE 1. Control scheme.

where,  $\Delta_\phi$ ,  $\Delta_\theta$  and  $\Delta_\psi$  represent the unknown disturbances within the three angle channels ( $\phi$ ,  $\theta$  and  $\psi$ ), respectively, and their upper bounds can be expressed as [48]

$$\begin{cases} \Delta_\phi \leq b_{\phi 0} + b_{\phi 1} |e_\phi| + b_{\phi 2} |\dot{e}_\phi| \\ \Delta_\theta \leq b_{\theta 0} + b_{\theta 1} |e_\theta| + b_{\theta 2} |\dot{e}_\theta| \\ \Delta_\psi \leq b_{\psi 0} + b_{\psi 1} |e_\psi| + b_{\psi 2} |\dot{e}_\psi| \end{cases} \quad (7)$$

where  $b_{i0}$ ,  $b_{i1}$  and  $b_{i2}$  ( $i=\phi, \theta, \psi$ ) are all positive numbers. Then, the state errors can be defined as

$$\begin{cases} e_\phi = \phi - \phi_d \\ e_\theta = \theta - \theta_d \\ e_\psi = \psi - \psi_d \\ \dot{e}_\phi = \dot{\phi} - \dot{\phi}_d \\ \dot{e}_\theta = \dot{\theta} - \dot{\theta}_d \\ \dot{e}_\psi = \dot{\psi} - \dot{\psi}_d \end{cases} \quad (8)$$

where  $\phi_d$ ,  $\theta_d$  and  $\psi_d$  are the desired attitude angles.

From Fig.1, it can be seen that ARSMC has two recursive surfaces: a NTSM and an ISM. Compared with some other sliding mode manifolds, the NTSM has finite-time convergence speed when the state are far from the origin [49], and the ISM also can decrease the chattering.

The NTSM is chosen as the following [50]:

$$\begin{cases} \varepsilon_\phi = e_\phi + \lambda_\phi |e_\phi|^{\alpha_\phi} \text{sign}(\dot{e}_\phi) \\ \varepsilon_\theta = e_\theta + \lambda_\theta |e_\theta|^{\alpha_\theta} \text{sign}(\dot{e}_\theta) \\ \varepsilon_\psi = e_\psi + \lambda_\psi |e_\psi|^{\alpha_\psi} \text{sign}(\dot{e}_\psi) \end{cases} \quad (9)$$

where  $\lambda_i$  ( $i=\phi, \theta, \psi$ ) are positive constants;  $\alpha_i > 1$  ( $i=\phi, \theta, \psi$ ) are the division of two positive odd numbers. Under the initial conditions of  $e(0)_i = 0$  and  $\dot{e}(0)_i = 0$ , it can be gotten that the system is converged to zero in the finite time  $t_{ei}$ , which satisfies [51].

$$t_{ei} = \frac{\lambda_i^{-(1/\alpha_i)}}{1 - (1/\alpha_i)} \quad (10)$$

The recursive integral terminal sliding surfaces are proposed as the following [52]

$$\begin{cases} s_\phi = \varepsilon_\phi + \omega_\phi \sigma_\phi \\ s_\theta = \varepsilon_\theta + \omega_\theta \sigma_\theta \\ s_\psi = \varepsilon_\psi + \omega_\psi \sigma_\psi \end{cases} \quad (11)$$

where  $\omega_i > 0$ .  $\dot{\sigma}_i$  ( $i=\phi, \theta$  and  $\psi$ ) are given by

$$\begin{cases} \dot{\sigma}_\phi = |\varepsilon_\phi|^{\beta_\phi} \text{sign}(\varepsilon_\phi) \\ \dot{\sigma}_\theta = |\varepsilon_\theta|^{\beta_\theta} \text{sign}(\varepsilon_\theta) \\ \dot{\sigma}_\psi = |\varepsilon_\psi|^{\beta_\psi} \text{sign}(\varepsilon_\psi) \end{cases} \quad (12)$$

where  $0 < \beta_i < 1$ ,  $i=\phi, \theta$  and  $\psi$ , respectively. The initial values of  $\sigma_i$  are designed as

$$\sigma_i(0) = -\frac{\varepsilon_i(0)}{\omega_i} \quad (13)$$

Then, it can be gotten that  $s_i(0) = 0$ . The attitude angles and angular velocities can be measured by the sensors. Then the

$\varepsilon_i(0)$  ( $i=\phi, \theta$  and  $\psi$ ) are calculated by

$$\begin{cases} \varepsilon_\phi(0) = \omega_\phi^{-1}(e_\phi(0) + \lambda_\phi |\dot{e}_\phi(0)|^{\alpha_\phi} \text{sign}(\dot{e}_\phi(0))) \\ \varepsilon_\theta(0) = \omega_\theta^{-1}(e_\theta(0) + \lambda_\theta |\dot{e}_\theta(0)|^{\alpha_\theta} \text{sign}(\dot{e}_\theta(0))) \\ \varepsilon_\psi(0) = \omega_\psi^{-1}(e_\psi(0) + \lambda_\psi |\dot{e}_\psi(0)|^{\alpha_\psi} \text{sign}(\dot{e}_\psi(0))) \end{cases} \quad (14)$$

then  $s_i(0) = 0$  ( $i=\phi, \theta$  and  $\psi$ ). By simplifying and integrating (12), it yields

$$\int_0^{t_{\varepsilon i}} \frac{|\varepsilon_i|^{-\beta_i}}{\omega_i} d|\varepsilon_i| = \int_0^{t_{\varepsilon i}} d|t| \quad (15)$$

Then, it gets [53]:

$$t_{\varepsilon i} = \frac{|\varepsilon_i(0)|^{1-\beta_i}}{\omega_i(1-\beta_i)} \quad (16)$$

By applying derivative on sliding surface  $s_i$  in (11) and considering (12), we can obtain

$$\begin{cases} \dot{s}_i = \dot{e}_i + \omega_i \dot{\sigma}_i \\ = \dot{e}_i + \lambda_i \alpha_i |\dot{e}_i|^{\alpha_i-1} \ddot{e}_i + \omega_i \dot{\sigma}_i \\ = \dot{e}_i + \lambda_i \alpha_i |\dot{e}_i|^{\alpha_i-1} (\ddot{i} - \ddot{i}_d) + \omega_i \dot{\sigma}_i \end{cases} \quad (17)$$

When  $\dot{s}_i = 0$  and  $\Delta_i = 0$ , by considering the subsystem (6) and (17), we can obtain

$$U_{eqi} = \frac{|\dot{e}_i|^{1-\alpha_i} J_i}{\lambda_i \alpha_i} (-\dot{e}_i - \omega_i \dot{\sigma}_i) + dk_i \dot{i} + \ddot{i}_d J_i \quad (18)$$

where  $J_\phi = J_x$ ,  $J_\theta = J_y$  and  $J_\psi = J_z$ . To enhance the controller's anti-disturbance performance, the switching control laws can be designed as

$$\begin{aligned} U_{swi} = & -\frac{|\dot{e}_i|^{1-\alpha_i} J_i}{\lambda_i \alpha_i} (k_{i1} s_i + k_{i2} |s_i|^v \text{sign}(s_i)) \\ & + (\hat{b}_{i0} + \hat{b}_{i1} |e_i| + \hat{b}_{i2} |\dot{e}_i|) \text{sign}(s_i) \end{aligned} \quad (19)$$

where  $0 < v < 1$ . Both  $k_{i1}$  and  $k_{i2}$  are positive constants. The estimations of  $b_{i0}$ ,  $b_{i1}$  and  $b_{i2}$  are denoted as  $\hat{b}_{i0}$ ,  $\hat{b}_{i1}$  and  $\hat{b}_{i2}$ , respectively, and the adaptive laws of  $b_{i0}$ ,  $b_{i1}$  and  $b_{i2}$  can be described as

$$\begin{cases} \dot{\hat{b}}_{i0} = \eta_{i0} |s_i| \\ \dot{\hat{b}}_{i1} = \eta_{i1} |e_i| |s_i| \\ \dot{\hat{b}}_{i2} = \eta_{i2} |\dot{e}_i| |s_i| \end{cases} \quad (20)$$

where  $\eta_{i0}$ ,  $\eta_{i1}$  and  $\eta_{i2}$  are all positive constants. Then, the control law for quadrotor attitude subsystem can be written as

$$\begin{cases} U_2 = U_{eq\phi} + U_{sw\phi} \\ U_3 = U_{eq\theta} + U_{sw\theta} \\ U_4 = U_{eq\psi} + U_{sw\psi} \end{cases} \quad (21)$$

Moreover, the adaptive estimation errors are defined as

$$\begin{cases} \tilde{b}_{i0} = b_{i0} - \hat{b}_{i0} \\ \tilde{b}_{i1} = b_{i1} - \hat{b}_{i1} \\ \tilde{b}_{i2} = b_{i2} - \hat{b}_{i2} \end{cases} \quad (22)$$

*Lemma 1* [43]: considering the attitude subsystem(6) with the upper bounds of unknown disturbances(7) and the designed adaptive laws(20), there exist  $b_{\phi i}$ ,  $b_{\theta i}$  and  $b_{\psi i}$  in (7) such that  $\tilde{b}_{i0} \leq 0$ ,  $\tilde{b}_{i1} \leq 0$  and  $\tilde{b}_{i2} \leq 0$ , where  $\tilde{b}_{ij}(j = 0, 1, 2)$  are shown in (22).

Then, let's come to proof the finite-time convergence of the ARSMC. Define the Lyapunov function as follows.

$$V = \frac{1}{2} s_z s_z + \frac{1}{2} p_{i0} \tilde{b}_{i0}^2 + \frac{1}{2} p_{i1} \tilde{b}_{i1}^2 + \frac{1}{2} p_{i2} \tilde{b}_{i2}^2 \quad (23)$$

By substituting  $\dot{s}_i$  and (6) into the time derivative of (23), it yields:

$$\begin{aligned} \dot{V} &= s_i \dot{s}_i + p_{i0} \tilde{b}_{i0} \dot{\tilde{b}}_{i0} + p_{i1} \tilde{b}_{i1} \dot{\tilde{b}}_{i1} + p_{i2} \tilde{b}_{i2} \dot{\tilde{b}}_{i2} \\ &= s_i (\dot{e}_i + \lambda_i \alpha_i |\dot{e}_i|^{\alpha_i-1} (\ddot{i} - \ddot{i}_d) + \omega_i \dot{\sigma}_i) \\ &\quad + p_{i0} \tilde{b}_{i0} \dot{\tilde{b}}_{i0} + p_{i1} \tilde{b}_{i1} \dot{\tilde{b}}_{i1} + p_{i2} \tilde{b}_{i2} \dot{\tilde{b}}_{i2} \\ &= s_i (\lambda_i \alpha_i |\dot{e}_i|^{\alpha_i-1} (\frac{k_i d}{J_i} \dot{i} + \frac{U_{eqi} + U_{swi}}{J_i} \\ &\quad + \Delta_i - \ddot{i}_d) + \dot{e}_i + \omega_i \dot{\sigma}_i) + p_{i0} \tilde{b}_{i0} \dot{\tilde{b}}_{i0} \\ &\quad + p_{i1} \tilde{b}_{i1} \dot{\tilde{b}}_{i1} + p_{i2} \tilde{b}_{i2} \dot{\tilde{b}}_{i2} \end{aligned} \quad (24)$$

By substituting the equivalent control laws (18) and the switching control laws (19) into (24), we can obtain.

$$\begin{aligned} \dot{V} &= s_i (-k_{i1} s_i - k_{i2} |s_i|^v \text{sign}(s_i) - \Delta_i \\ &\quad - (\hat{b}_{i0} + \hat{b}_{i1} |e_i| + \hat{b}_{i2} |\dot{e}_i|) \text{sign}(s_i)) \\ &\quad + \dot{e}_i + \omega_i \dot{\sigma}_i + p_{i0} \tilde{b}_{i0} \dot{\tilde{b}}_{i0} + p_{i1} \tilde{b}_{i1} \dot{\tilde{b}}_{i1} + p_{i2} \tilde{b}_{i2} \dot{\tilde{b}}_{i2} \\ &= (-k_{i1} s_i s_i - k_{i2} |s_i|^{v+1} - \Delta_i s_i \\ &\quad - (\hat{b}_{i0} + \hat{b}_{i1} |e_i| + \hat{b}_{i2} |\dot{e}_i|) |s_i|) \\ &\quad + \dot{e}_i + \omega_i \dot{\sigma}_i + p_{i0} \tilde{b}_{i0} \dot{\tilde{b}}_{i0} + p_{i1} \tilde{b}_{i1} \dot{\tilde{b}}_{i1} + p_{i2} \tilde{b}_{i2} \dot{\tilde{b}}_{i2} \\ &\leq (-k_{i1} s_i s_i - k_{i2} |s_i|^{v+1} - |\Delta_i| |s_i| \\ &\quad - (\hat{b}_{i0} + \hat{b}_{i1} |e_i| + \hat{b}_{i2} |\dot{e}_i|) |s_i|) \\ &\quad + \dot{e}_i + \omega_i \dot{\sigma}_i + p_{i0} \tilde{b}_{i0} \dot{\tilde{b}}_{i0} + p_{i1} \tilde{b}_{i1} \dot{\tilde{b}}_{i1} + p_{i2} \tilde{b}_{i2} \dot{\tilde{b}}_{i2} \\ &\leq -(\hat{b}_{i0} + \hat{b}_{i1} |e_i| + \hat{b}_{i2} |\dot{e}_i|) |s_i| + |\Delta_i| |s_i| \\ &\quad + p_{i0} \tilde{b}_{i0} \dot{\tilde{b}}_{i0} + p_{i1} \tilde{b}_{i1} \dot{\tilde{b}}_{i1} + p_{i2} \tilde{b}_{i2} \dot{\tilde{b}}_{i2} \end{aligned} \quad (25)$$

Based on the adaptive laws (20), we can obtain that  $\dot{V}$  satisfies

$$\begin{aligned} \dot{V} &\leq -(\hat{b}_{i0} + \hat{b}_{i1} |e_i| + \hat{b}_{i2} |\dot{e}_i|) |s_i| + |\Delta_i| |s_i| \\ &\quad + (p_{i0} \eta_{i0} - 1) \tilde{b}_{i0} |s_i| + (p_{i1} \eta_{i1} - 1) \tilde{b}_{i1} |e_i| |s_i| \\ &\quad + (p_{i2} \eta_{i2} - 1) \tilde{b}_{i2} |\dot{e}_i| |s_i| \end{aligned} \quad (26)$$

Based on Lemma 1, we can obtain  $\tilde{b}_{i0} \leq 0$ ,  $\tilde{b}_{i1} \leq 0$  and  $\tilde{b}_{i2} \leq 0$ , and then, it yields

$$\begin{aligned} \dot{V} &\leq -(\hat{b}_{i0} + \hat{b}_{i1} |e_i| + \hat{b}_{i2} |\dot{e}_i|) |s_i| + |\Delta_i| |s_i| \\ &\quad - (p_{i0} \eta_{i0} - 1) |\tilde{b}_{i0}| |s_i| - (p_{i1} \eta_{i1} - 1) |\tilde{b}_{i1}| |e_i| |s_i| \\ &\quad - (p_{i2} \eta_{i2} - 1) |\tilde{b}_{i2}| |\dot{e}_i| |s_i| \end{aligned} \quad (27)$$

Define the following variables.

$$\begin{aligned} c_i &= (\hat{b}_{i0} + \hat{b}_{i1} |e_i| + \hat{b}_{i2} |\dot{e}_i| - |\Delta_i|) \\ c_{i0} &= (p_{i0}\eta_{i0} - 1) |s_i| \\ c_{i1} &= (p_{i1}\eta_{i1} - 1) |e_i| |s_i| \\ c_{i2} &= (p_{i2}\eta_{i2} - 1) |\dot{e}_i| |s_i| \end{aligned} \quad (28)$$

Then, we have

$$\begin{aligned} \dot{V} &\leq -c_i |s_i| - c_{i0} |\tilde{b}_{i0}| - c_{i1} |\tilde{b}_{i1}| - c_{i2} |\tilde{b}_{i2}| \\ &\leq -c_i \sqrt{2} \sqrt{\frac{1}{2}} |s_i| - c_{i0} \sqrt{2p_{i0}^{-1}} \sqrt{\frac{p_{i0}}{2}} |\tilde{b}_{i0}| \\ &\quad - c_{i1} \sqrt{2p_{i1}^{-1}} \sqrt{\frac{p_{i1}}{2}} |\tilde{b}_{i1}| - c_{i2} \sqrt{2p_{i2}^{-1}} \sqrt{\frac{p_{i2}}{2}} |\tilde{b}_{i2}| \\ &\leq -k \left( \sqrt{\frac{1}{2}} |s_i| + \sqrt{\frac{p_{i0}}{2}} |\tilde{b}_{i0}| + \sqrt{\frac{p_{i1}}{2}} |\tilde{b}_{i1}| \right. \\ &\quad \left. + \sqrt{\frac{p_{i2}}{2}} |\tilde{b}_{i2}| \right) \end{aligned} \quad (29)$$

where  $k = \min(c_i \sqrt{2}, c_{i0} \sqrt{2p_{i0}^{-1}}, c_{i1} \sqrt{2p_{i1}^{-1}}, c_{i2} \sqrt{2p_{i2}^{-1}}) > 0$ . Then, based on (23), it yields

$$\begin{aligned} V^{\frac{1}{2}} &= \sqrt{\frac{1}{2} s_z s_z + \frac{1}{2} p_{i0} \tilde{b}_{i0}^2 + \frac{1}{2} p_{i1} \tilde{b}_{i1}^2 + \frac{1}{2} p_{i2} \tilde{b}_{i2}^2} \\ &\leq \sqrt{\frac{1}{2} s_z s_z} + \sqrt{\frac{1}{2} p_{i0} \tilde{b}_{i0}^2} + \sqrt{\frac{1}{2} p_{i1} \tilde{b}_{i1}^2} + \sqrt{\frac{1}{2} p_{i2} \tilde{b}_{i2}^2} \\ &\leq \left( \sqrt{\frac{1}{2}} |s_i| + \sqrt{\frac{p_{i0}}{2}} |\tilde{b}_{i0}| + \sqrt{\frac{p_{i1}}{2}} |\tilde{b}_{i1}| + \sqrt{\frac{p_{i2}}{2}} |\tilde{b}_{i2}| \right) \end{aligned} \quad (30)$$

According to (29) and (30), the relationship between  $\dot{V}$  and  $V$  satisfies

$$\dot{V} \leq -kV^{\frac{1}{2}} \quad (31)$$

Then, it is easy to obtain that  $V$  converges to zero in a finite time, and  $t_v$  satisfies

$$t_v \leq \frac{2V(0)^{\frac{1}{2}}}{k} \quad (32)$$

Therefore, the tracking error converge to zero in a finite time ( $t_{ei} + t_{\epsilon_i} + t_v$ ). ■

**Theorem 1:** Considering the attitude subsystem(6), the controller(21) guarantees the attitude tracking error converges to zero in a finite time.

**Remark 1:** In order to achieve the satisfying controlling results, a rule for choosing those parameters in the controller design is summarized as follows:the positive constants  $\lambda_i$  ( $i=\phi, \theta, \psi$ ) and  $\alpha_i$  ( $i=\phi, \theta, \psi$ ) in (9) should not be chosen to be too large numbers, and the values of them can be adjusted for searching a better controlling result. Moreover, The constant variables in (11) and (12) conform to:  $\omega_i > 0$  ( $i=\phi, \theta, \psi$ ) and  $0 < \beta_i < 1$  ( $i=\phi, \theta, \psi$ ). The values of  $\omega_i$  ( $i=\phi, \theta, \psi$ ) should not be too large, otherwise, the convergence time obtained in (16) will be long, and the gain of the obtained controller in (21) will be high. The parameters used to obtain the attitude controller in this paper are given in table 2.

## B. ASMC CONTROLLER FOR POSITION SUBSYSTEM

Based on (4), the position subsystems of the QAVs can be expressed as

$$\begin{cases} \ddot{x}_e = \frac{1}{m} (\cos \varphi \sin \theta \cos \psi + \sin \varphi \sin \psi) U_1 \\ \quad - \frac{1}{m} K_x \dot{x}_e + \Delta_x \\ \ddot{y}_e = \frac{1}{m} (\cos \varphi \sin \theta \sin \psi - \sin \varphi \cos \psi) U_1 \\ \quad - \frac{1}{m} K_y \dot{y}_e + \Delta_y \\ \ddot{z}_e = \frac{1}{m} (\cos \varphi \cos \theta) U_1 - \frac{1}{m} K_z \dot{z}_e - g + \Delta_z \end{cases} \quad (33)$$

where  $\Delta_x$ ,  $\Delta_y$  and  $\Delta_z$ , which are the unknown bounded disturbances, satisfy

$$\begin{cases} |\Delta_x| \leq \alpha_x \\ |\Delta_y| \leq \alpha_y \\ |\Delta_z| \leq \alpha_z \end{cases} \quad (34)$$

where  $\alpha_x$ ,  $\alpha_y$  and  $\alpha_z$  are unknown positive constants. Define the tracking errors as

$$\begin{cases} e_x = x - x_d \\ e_y = y - y_d \\ e_z = z - z_d \\ \dot{e}_x = \dot{x} - \dot{x}_d \\ \dot{e}_y = \dot{y} - \dot{y}_d \\ \dot{e}_z = \dot{z} - \dot{z}_d \end{cases} \quad (35)$$

Then, consider the sliding mode surfaces as

$$\begin{cases} s_x = \dot{e}_x + r_x e_x \\ s_y = \dot{e}_y + r_y e_y \\ s_z = \dot{e}_z + r_z e_z \end{cases} \quad (36)$$

By taking the time derivative on the sliding mode surfaces, we have

$$\begin{cases} \dot{s}_x = \ddot{e}_x + r_x \dot{e}_x \\ \dot{s}_y = \ddot{e}_y + r_y \dot{e}_y \\ \dot{s}_z = \ddot{e}_z + r_z \dot{e}_z \end{cases} \quad (37)$$

The self-turning law can be designed as

$$\begin{cases} \dot{\Delta}_x = \beta_{x1} |s_y| \\ \dot{\Delta}_y = \beta_{y1} |s_x| \\ \dot{\Delta}_z = \beta_{z1} |s_z| \\ \dot{m} = -\beta_{z2} s_z^T (g + \ddot{z}_d - k_z \dot{e}_z - c_1 s_z) \end{cases} \quad (38)$$

Then, in the  $z$ -axis control channel, the control law can be designed as

$$\begin{aligned} \dot{s}_z &= \ddot{z} - \ddot{z}_d + k_z \dot{e}_z \\ &= \frac{1}{m} (\cos \varphi \cos \theta) U_1 - \frac{1}{m} K_{flx} \dot{z}_e \\ &\quad - g - \ddot{z}_d + k_z \dot{e}_z \\ &= \frac{U_a + \Delta_z}{m} - g - \ddot{z}_d + k_z \dot{e}_z \end{aligned} \quad (39)$$

where  $U_a = (\cos \varphi \cos \theta) U_1 - K_{flx} \dot{z}_e$  is a virtual control input.  $U_a$  is designed as

$$\begin{cases} U_a = \hat{m} \bar{U}_a - \hat{\Delta}_z \\ \bar{U}_a = g + \ddot{z}_d - k_z \dot{e} - c_1 s_z \end{cases} \quad (40)$$

where  $c_1 > 0$ ;  $\hat{m}$  and  $\hat{\Delta}_z$  are the estimations of the mass and the total disturbances, respectively. By substituting (38) into (39), it is obtained that

$$\begin{aligned} \dot{s}_z &= \frac{U_a + \Delta_z}{m} - g - \ddot{z}_d + k_z \ddot{e}_z \\ &= \frac{\hat{m} \bar{U}_a - \hat{\Delta}_z + \Delta_z}{m} - g - \ddot{z}_d + k_z \ddot{e}_z \end{aligned} \quad (41)$$

Now, define the estimation errors of mass and unknown disturbances as  $\tilde{m}$ ,  $\tilde{\Delta}_x$ ,  $\tilde{\Delta}_y$  and  $\tilde{\Delta}_z$ , which satisfy

$$\begin{cases} \tilde{\Delta}_x = \Delta_x - \hat{\Delta}_x \\ \tilde{\Delta}_y = \Delta_y - \hat{\Delta}_y \\ \tilde{\Delta}_z = \Delta_z - \hat{\Delta}_z \\ \tilde{m} = m - \hat{m} \end{cases} \quad (42)$$

By taking the time derivative on (42), we can obtain

$$\begin{cases} \dot{\tilde{\Delta}}_x = -\dot{\hat{\Delta}}_x \\ \dot{\tilde{\Delta}}_y = -\dot{\hat{\Delta}}_y \\ \dot{\tilde{\Delta}}_z = -\dot{\hat{\Delta}}_z \\ \dot{\tilde{m}} = -\dot{\hat{m}} \end{cases} \quad (43)$$

Consider the following Lyapunov function candidate:

$$V = \frac{1}{2} m s_z^T s_z + \frac{1}{2\beta_{z1}} \tilde{\Delta}_z^T \tilde{\Delta}_z + \frac{1}{2\beta_{z2}} \tilde{m}^2 \quad (44)$$

By doing a time derivative on  $V$ , we can obtain

$$\dot{V} = m s_z^T \dot{s}_z + \frac{1}{\beta_{z1}} \tilde{\Delta}_z^T \dot{\tilde{\Delta}}_z + \frac{1}{\beta_{z2}} \tilde{m} \dot{\tilde{m}} \quad (45)$$

Then, by substituting (39) and (40) into (45), it yields

$$\begin{aligned} \dot{V} &= m s_z^T \left( \frac{\hat{m} \bar{U}_a - \hat{\Delta}_z + \Delta_z}{m} - \bar{U}_a - c_1 s_z \right) \\ &\quad + \frac{1}{\beta_{z1}} \tilde{\Delta}_z^T \dot{\tilde{\Delta}}_z + \frac{1}{\beta_{z2}} \tilde{m} \dot{\tilde{m}} \\ &= \hat{m} \bar{U}_a s_z^T - \hat{\Delta}_z s_z^T + \Delta_z s_z^T - m s_z^T \bar{U}_a \\ &\quad - m c_1 s_z^T s_z + \frac{1}{\beta_{z1}} \tilde{\Delta}_z^T \dot{\tilde{\Delta}}_z + \frac{1}{\beta_{z2}} \tilde{m} \dot{\tilde{m}} \\ &= -\tilde{m} \bar{U}_a s_z^T + \tilde{\Delta}_z s_z^T - c_1 s_z^T s_z \\ &\quad - \frac{1}{\beta_{z1}} \tilde{\Delta}_z^T \dot{\tilde{\Delta}}_z + \frac{1}{\beta_{z2}} \tilde{m} \dot{\tilde{m}} \end{aligned} \quad (46)$$

By substituting (43) and (38) into (46), one gets

$$\begin{aligned} \dot{V} &= -\tilde{m} \bar{U}_a s_z^T + \tilde{\Delta}_z s_z^T - c_1 s_z^T s_z \\ &\quad - \frac{1}{\beta_{z1}} \tilde{\Delta}_z^T \dot{\tilde{\Delta}}_z + \frac{1}{\beta_{z2}} \tilde{m} (-\dot{\tilde{m}}) \\ &= -c_1 s_z^T s_z - \frac{1}{\beta_{z1}} \tilde{\Delta}_z^T (\dot{\tilde{\Delta}}_z - \beta_{z1} s_z) \\ &\quad - \frac{1}{\beta_{z2}} \tilde{m} (\dot{\tilde{m}} + \beta_{z2} s_z^T \bar{U}_a) \\ &\leq -c_1 s_z^T s_z \leq 0 \end{aligned} \quad (47)$$

Thus, when  $s_z \neq 0$ , we can get  $\dot{V} < 0$ , that is,  $s_z$ ,  $\tilde{\Delta}_z$  and  $\tilde{m}$  are all gradually convergent. ■

The operations for obtaining the control laws in  $x$  and  $y$ -axis channels have the similar processes as those in  $z$ -axis channel. The control laws in  $x$  and  $y$ -axis channels can be expressed as

$$\begin{cases} U_b = \hat{m} \bar{U}_b - \hat{\Delta}_x \\ \bar{U}_b = \ddot{x}_d - k_x \dot{e} - c_1 s_x \\ U_c = \hat{m} \bar{U}_c - \hat{\Delta}_y \\ \bar{U}_c = \ddot{y}_d - k_y \dot{e} - c_1 s_y \end{cases} \quad (48)$$

where  $U_b$  and  $U_c$  are the control laws in  $x$  and  $y$ -axis channels, respectively.

*Remark 2: It is worth mentioning that the trajectory tracking in the space-fixed coordinate system depends on the attitude adjustment in the body-fixed coordinate system. Thus, the controller design of QUAUVs is divided into two parts: the attitude controller design and the position controller design. Accordingly, the part III is divided into two parts: part A and B. Part A concerns the controller design for attitude subsystem; Part B concerns the controller design for position subsystem.*

#### IV. SIMULATION RESULTS AND ANALYSIS

In this section, three different kinds of trajectories are used to verify the performance of the proposed control strategy for the QUAUVs under the time-varying load and unknown disturbances. The parameters of the considered QUAUV are listed in Table1; the parameters of the controller are shown in the Table2; and the unknown disturbances within the six channels are shown in Fig.3. Then, three cases (see the following case 1-3) are used to perform the simulations; they all have the same initial value of  $m = 2$  kg; and the desired yaw angles in the three cases satisfy  $\psi_d = \pi/6$ .

*Case 1:* This case is designed to imitate the agricultural sowing process, which has the time-varying load shown in (49). The initial value  $m = 2$  kg within the first 5 seconds, then the sowing starts and lasts till the 25th second, and then, the QUAUV returns back to the starting point from the 25th second to the 30th second.

$$m = \begin{cases} 2, & 0 \leq t < 5 \\ 2 - 0.05(t - 5), & 5 \leq t < 25 \\ 1, & 25 \leq t \end{cases} \quad (49)$$

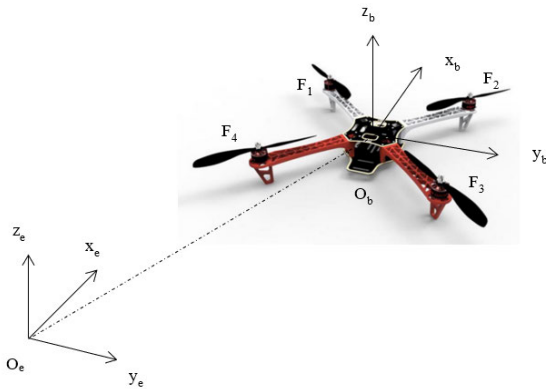


FIGURE 2. Schematic diagram of the quadrotor shape and coordinate system.

TABLE 1. The parameters of the QUAUV.

Symbol	Meaning	Value and Units
$J_x$	Inertial moment along x axis	$4 \times 10^{-3} (N \cdot m / rad / s^2)$
$J_y$	Inertial moment along y axis	$4 \times 10^{-3} (N \cdot m / rad / s^2)$
$J_z$	Inertial moment along z axis	$8 \times 10^{-3} (N \cdot m / rad / s^2)$
$d$	Arm length of quadrotor	$0.25 (m)$
$k_x$	Translation drag coefficient	$5 \times 10^{-4} (N / m / s)$
$k_y$	Translation drag coefficient	$5 \times 10^{-4} (N / m / s)$
$k_z$	Translation drag coefficient	$6 \times 10^{-4} (N / m / s)$
$k_\phi$	Rotation Drag coefficient	$5 \times 10^{-4} (N \cdot m / rad / s)$
$k_\theta$	Rotation Drag coefficient	$5 \times 10^{-4} (N \cdot m / rad / s)$
$k_\psi$	Translation drag coefficient	$6 \times 10^{-4} (N / m / s)$
$m$	Mass of quadrotor UAV	$2 (kg)$
$g$	Acceleration of gravity	$9.8 (m / s^2)$

TABLE 2. Controller parameters of UAV.

ASM	ARSM	ARSMC	ARSMC
$c_1 = 6$	$\lambda_\phi = 0.5$	$\lambda_\theta = 0.5$	$\lambda_\psi = 0.9$
$\beta_{z2} = 0.25$	$\alpha_\phi = 7/5$	$\alpha_\theta = 7/5$	$\alpha_\psi = 7/5$
$\beta_{y2} = 0.25$	$\beta_\phi = 0.5$	$\beta_\theta = 0.5$	$\beta_\psi = 0.1$
$\beta_{x2} = 0.25$	$\omega_\phi = 0.5$	$\omega_\theta = 0.5$	$\omega_\psi = 0.1$
$\beta_{x2} = 0.25$	$k_{\phi1} = 0.5$	$k_{\theta1} = 0.5$	$k_{\psi1} = 0.5$
$\beta_{z1} = 0.5$	$k_{\phi2} = 0.5$	$k_{\theta2} = 0.5$	$k_{\psi2} = 0.5$
$\beta_{y1} = 0.5$	$v_{\phi2} = 0.8$	$v_{\theta2} = 0.8$	$v_{\psi2} = 0.8$
$\beta_{x1} = 0.5$	$\eta_{\phi0} = 0.5$	$\eta_{\theta0} = 0.5$	$\eta_{\psi0} = 0.1$
$r_z = 0.1$	$\eta_{\phi1} = 0.5$	$\eta_{\theta1} = 0.5$	$\eta_{\psi1} = 0.1$
$r_x = r_y = 0.005$	$\eta_{\phi2} = 0.5$	$\eta_{\theta2} = 0.5$	$\eta_{\psi2} = 0.1$

The desired trajectory is as following:

$$\begin{cases} x_d = \frac{1}{5} \cos(\frac{t}{4}) \\ y_d = \frac{1}{5} \sin(\frac{t}{8}) \\ z_d = \frac{1}{2} + 0.4 \sin(t) \end{cases} \quad (50)$$

After finishing the simulations by computers, the flying results are obtained, and shown in the Figs.4-7. Fig.4 gives the 3D results of the trajectory tracking. Fig.5 is the tracking error of  $x$ ,  $y$  and  $z$ . Fig.6 is the tracking error of  $\phi$ ,  $\theta$  and  $\psi$ . The estimation error of  $m$  is given in Fig.7. Fig.4 shows that the controlled QUNV can successfully track the desired trajectory. From Fig.5-6, we can obtain, both the position and

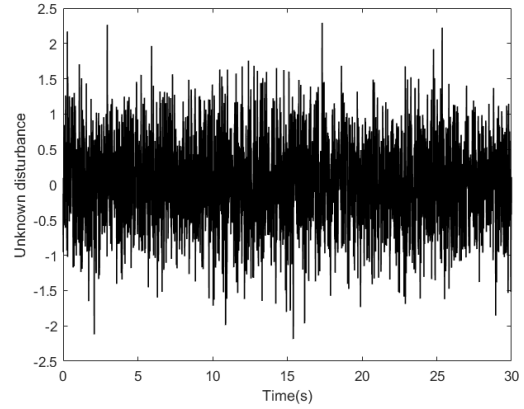


FIGURE 3. The signal of the unknown disturbances.

angle can be tracked quickly. Moreover, when the QUNV succeeds in tracking the desired trajectory, the maximum position tracking error is only 0.02 m. the maximum angle tracking error is only 0.03 deg. Fig.7 shows, although the system is disturbed by some unknown signals, the mass can still be estimated successfully, and the estimating error is less than 0.08 kg, which is 8% of the whole mass.

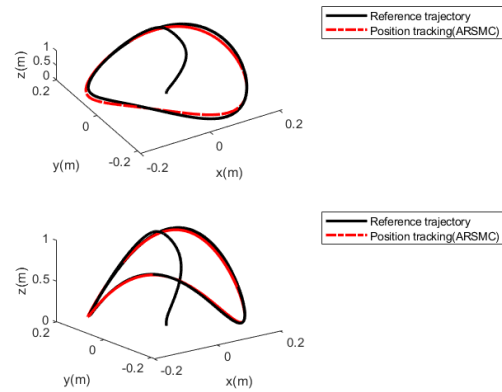


FIGURE 4. The 3D trajectory tracking results in case 1.

Case 2: This case is designed to imitate the act of dropping supplies, which has the time-varying load satisfying (51). The initial value  $m = 2$  kg within the first 10 seconds, then the first time dropping action happens at the 10th second, and the second time dropping action happens at the 20th second.

$$m = \begin{cases} 2, & 0 \leq t < 10 \\ 1.5, & 10 \leq t < 20 \\ 1, & 20 \leq t \end{cases} \quad (51)$$

The desired trajectory is as following:

$$\begin{cases} x_d = \frac{1}{2} \sin(\frac{\pi t}{4}) \\ y_d = \frac{1}{2} \sin(\frac{\pi t}{8}) \\ z_d = \frac{1}{4} \end{cases} \quad (52)$$



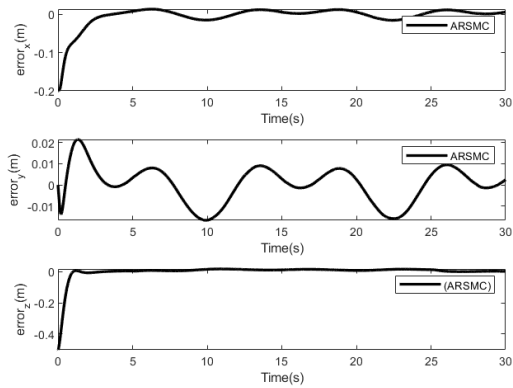


FIGURE 5. Tracking errors of  $x, y, z$  in case 1.

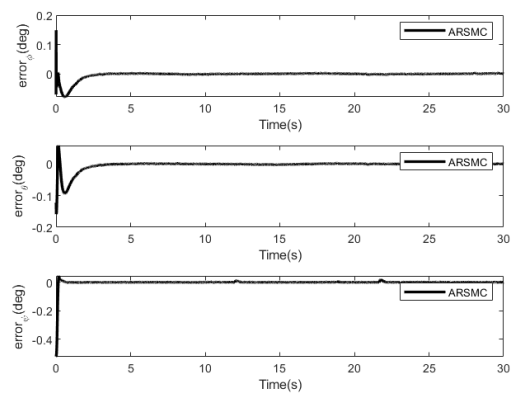


FIGURE 6. Tracking errors of  $\phi, \theta, \psi$  in case 1.

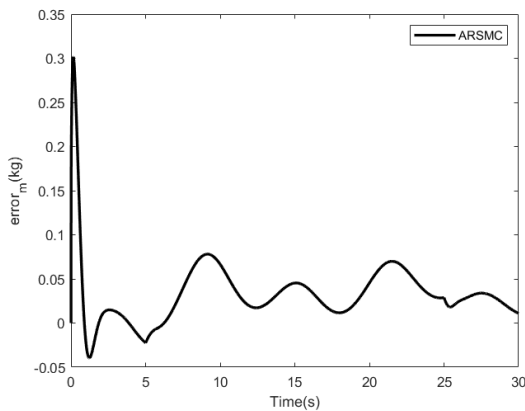


FIGURE 7. Estimating error of  $m$  in case 1.

After doing the simulations by computer, the flying results are obtained, and shown in the Figs.8-11. Fig.8 is the 3D results of the trajectory tracking. Fig.9 shows the tracking errors of  $x, y$  and  $z$ . Fig.10 gives the tracking error of  $\phi, \theta$  and  $\psi$ . The estimation error of  $m$  is shown in Fig.11. Fig.8 shows that the controlled QUNV can tracks the desired trajectory successfully. From Figs.9-10, we can obtain, the desired position and angle both can be tracked quickly. However, the maximum position tracking error in  $z$ -axis direction

reaches 0.14 m, that is mainly for the system receiving a serious disturbance in  $z$ -axis direction while a 0.5 kg object is dropped from the QUNV at the 10th and 20th seconds. Fig.11 shows, there is a serious estimating error happening at the dropping time. However, the system can adjust the estimated value of the mass, and reaches a small estimating error, rapidly.

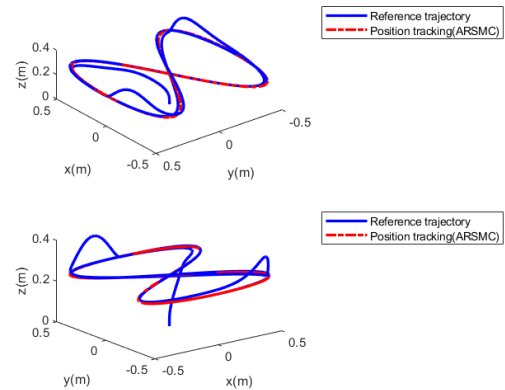


FIGURE 8. the 3D trajectory tracking results in case 2.

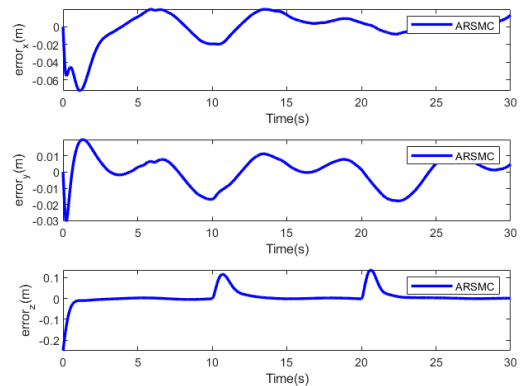


FIGURE 9. Tracking errors of  $x, y, z$  in case 2.

Case 3: Some comparisons of the controller obtained in this paper with some other controllers are given in this case. One compared controller, which combines the ASMC with a SMC, is denoted as controller 1; thereafter, the other compared controller, which combines the ASMC with a BSMC, is denoted as controller 2. The controller obtained in this paper, which combines the ASMC and the ARSMC, is denoted as controller 3. The time-varying mass is defined in (53), in which, the mass is gradually decreased from the 5th second to the 20th second.

$$m = \begin{cases} 2, & 0 \leq t < 10 \\ 1.5 - t/40, & 10 \leq t < 20 \\ 1, & 20 \leq t \end{cases} \quad (53)$$

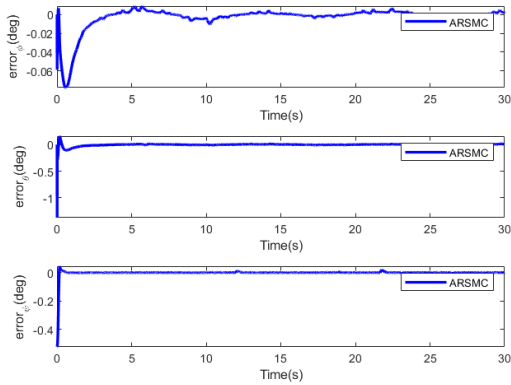


FIGURE 10. Tracking errors of  $\phi$ ,  $\theta$ ,  $\psi$  in case 2.

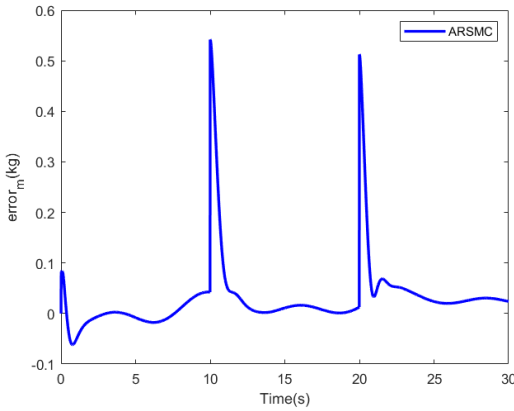


FIGURE 11. Estimating error of the mass  $m$  in case 2.

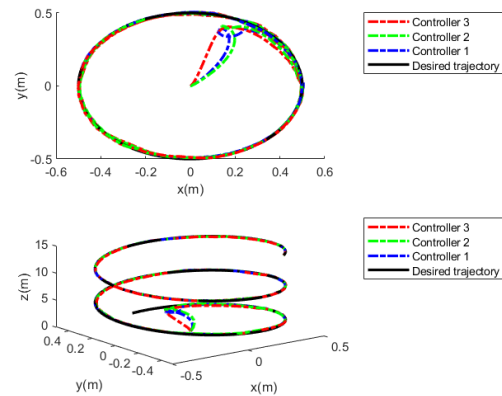


FIGURE 12. The 2D and 3D trajectory tracking results in case 3.

The desired trajectory is as following:

$$\begin{cases} x_d = \frac{1}{2} \sin(\frac{t}{2}) \\ y_d = \frac{1}{2} \cos(\frac{t}{2}) \\ z_d = \frac{1}{2} t \end{cases} \quad (54)$$

After doing the simulations by computer, the obtained results are shown in the Figs.12-14 and Tables 3-4.

Fig.12 and Fig.13 show the three controllers can all control the QAUV, effectively. However, from Fig.14, we can obtain the controller 3 obtained in this paper can achieve

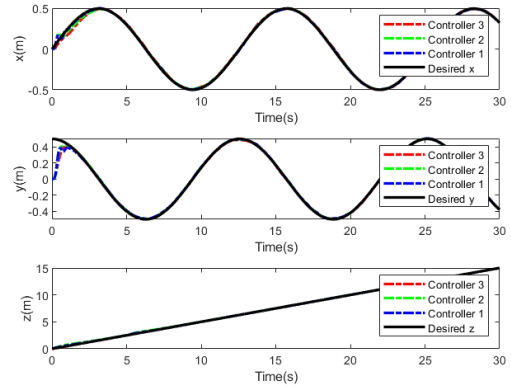


FIGURE 13. Tracking errors of  $x$ ,  $y$ ,  $z$  in case 3.

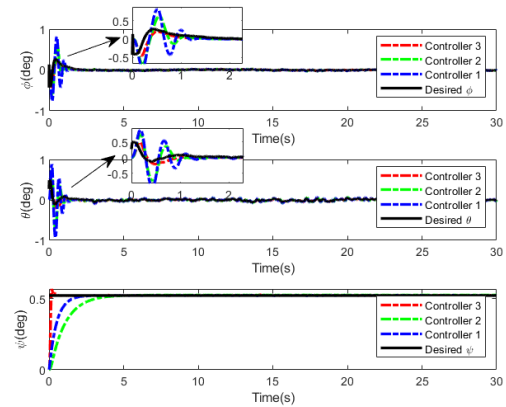


FIGURE 14. Tracking errors of  $\phi$ ,  $\theta$ ,  $\psi$  in case 3.

TABLE 3. Mean absolute errors of  $x$ ,  $y$  and  $z$  in case 3.

Algorithm	$\bar{x}(m)$	$\bar{y}(m)$	$\bar{z}(m)$
Controller 1	0.3247	0.3009	7.512
Controller 2	0.3223	0.3021	7.534
Controller 3	0.3203	0.3000	7.512

$\bar{x}$ ,  $\bar{y}$  and  $\bar{z}$  denote the path tracking's mean absolute errors of  $x$ ,  $y$  and  $z$ , respectively.

TABLE 4. Responses of the parameters  $\phi$ ,  $\theta$ , and  $\psi$  in case 3.

Algorithm	$t_\psi(s)$	$\theta_{max}$	$\theta_{min}$	$\phi_{max}$	$\phi_{min}$
Controller 1	2.99	0.8825	-0.9382	0.8168	-0.6736
Controller 2	5.25	0.7368	-0.7370	0.6218	-0.6793
Controller 3	0.17	0.4946	-0.2097	0.2060	-0.4597

$t_\psi$  is the rise time of the parameter  $\psi$ ,  $h_{max}$  and  $h_{min}$  are the maximum and minimum responses of the parameter  $h$ , respectively, where  $h = \theta$  and  $\phi$ . The units of  $h_{max}$  and  $h_{min}$  are radian.

the fastest response speed, compared with the other two controllers. Additionally, due to the inclusion of an ISMC in the controller 3, the angle tracking errors are also less than those obtained by controller 1 and 2. Table 3 shows the mean absolute errors in the  $x$ ,  $y$ , and  $z$  channels obtained by the controller 3 are all smaller than those obtained by the other two controllers. thus, the improvements of the tracking performance for the controller 3 is obvious. The system responses in the  $\phi$ ,  $\theta$  and  $\psi$  channels are presented in Table 4. Compared with controller 1 and 2, the converge speeds of controller 3 in the three channels are all more fast, obviously.

Additionally, controller 3 can achieve the smaller variations in the  $\theta$  and  $\phi$  angles. All in all, the controller obtained in this paper can control the time-varying load QUAUVs subjected to unknown disturbances, effectively. Moreover, compared with those existing control schemes, such as, SMC and BSMC, etc., the controller with a combination of ASMC and ARSMC can achieve a significant improvement of the flying performance.

## V. CONCLUSION

In this paper, an ASMC and ARSMC cascaded controller is presented to solve the trajectory tracking problem for the time-varying load QUAUVs subjected to unknown disturbances. The time-varying load and unknown disturbances are estimated by the adaptive laws designed in the ASMC controller, which is used to control the position subsystem. Moreover, the unknown inner-loop disturbances are estimated by a self-tuning law designed in the ARSMC controller, which is used to control the attitude subsystem. In order to ensure that the state errors converge to zero, a recursive sliding mode surface is designed, and the desired yaw angle can be tracked, successfully. Moreover, some simulations are performed to demonstrate the robustness and efficiency of the proposed control scheme, and compared with some existing controllers, a better trajectory tracking performance is achieved for the obtained controller in this paper. However, the input delay time and saturation are not considered in this paper, which will be our future works.

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