

## RESEARCH ARTICLE

# Design and Implementation of the Luenberger Observer for Estimating the Voltage Response of a PEM Electrolyzer During Supply Current Variations

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**ABSTRACT** Equivalent electrical circuits (ECM) have proven to be effective in modeling the dynamic behavior of proton exchange membrane (PEM) electrolyzer voltage response. They are a valuable tool for studying the interactions between power electronics and PEM electrolyzers during dynamic operating conditions. Generally, the ECM takes into consideration the activation over-voltage that is present at both the anode and the cathode for the dynamic part of the model. Therefore, the monitoring of the ECM activation over-voltage is an important issue for the correct modeling of the PEM electrolyzer voltage. However, voltage sensors for this over-voltage are expensive and the reported observers of the PEM electrolyzer activation over-voltage are scarce and have not been validated over a sufficiently long time. This work aims at overcoming these drawbacks by proposing the use of a Luenberger observer to accurately estimate the activation over-voltage using an ECM. Based on this proposal, it is possible to build a device capable of emulating the electrolyzer voltage efficiently. Furthermore, a stability analysis of the observable system is provided to ensure its performance throughout the experiment period. Statistical results, based on experimental voltage data from a PEM electrolyzer QL-300, demonstrate the high accuracy and performance of the Luenberger observer under continuous changes in input currents, which demonstrates its robustness.

**INDEX TERMS** Electronic circuit model, Luenberger observer, PEM electrolyzer, stability analysis, voltage behavior.

## I. INTRODUCTION

Electrolyzers have demonstrated their importance in the production of green hydrogen from environmentally friendly power sources, which is considered one of the main fuels to meet the energy demand of the coming years [1], [2]. The basic operation of an electrolyzer is the production of

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highly pure hydrogen through the process of water electrolysis [3]. Despite the different technologies developed and reported in the literature for electrolyzers (i.e., solid oxide, anion exchange membrane, alkaline and proton exchange membrane (PEM)), only alkaline and PEM electrolyzers have reached the commercial stage. Between these two technologies, PEM electrolyzers have evidenced to have a better response when coupled with renewable energies due to their operational flexibility [4].

Mathematical modeling of the PEM electrolyzer that efficiently describes the behavior of its internal and external processes, has largely contributed to the development of the PEM electrolyzer technology. Furthermore, with these mathematical models, it is possible to design controllers, failure analysis, energy management, and optimization of the PEM electrolyzer system [5], [6], [7], [8], [9]. Mathematical models of PEM electrolyzers are classified as analytical, empirical, and mechanistic. Commonly, analytical models consider the performance of the electrolyzer to determine the behavior of the main variables that influence it. Empirical models use experimental data to determine system parameters. However, a disadvantage of these models is that they are limited to a specific PEM electrolyzer. Mechanistic models are more complex compared to the other two types of modeling since they use differential or algebraic equations to perform highly reliable simulations of the phenomena that occur in the electrolyzer. It is worth mentioning that the time to perform the simulations of the mechanistic models is considerable due to the extensive calculations [10].

Dynamic models, which belong to the class of mechanistic models, are useful to describe systems in real-time, besides, from these models, control theory can be applied [11]. The equivalent electronic circuit model (ECM), which belongs to the dynamic models, allows modeling the PEM electrolyzer voltage response during dynamic operating conditions [12]. Usually, the ECM takes into account the over-voltages that occur within the PEM electrolyzer [13], [14]. Furthermore, these over-voltages can be classified as ohmic, activation, and concentration [15]. In particular, the voltage responses of the PEM electrolyzer take place in the activation over-voltage [16]. Therefore, to efficiently reproduce the voltage of a PEM electrolyzer (to build a voltage emulator based on an ECM), it is important to be able to observe the dynamic behavior of the activation over-voltage [17]. To carry out this task, voltage sensors can be used, which are usually expensive depending on the measurement accuracy. Also, it is possible to replace the measurements of the voltage sensors with the estimations of an observer, which only depend on the input and output signals [18]. Different types of observers have been applied to different research fields, such as PEM fuel cells [19], [20], batteries [21], and underactuated quadrotors [22], [23]. Table 1 shows examples of observers recently applied to different research fields and their details. However, observers for the PEM electrolyzer activation over-voltage are scarce and have not been implemented during a long enough time window [24]. For this reason, the development of observers for PEM electrolyzers is important for the study of responses to dynamic oscillations in the voltage.

Due to the importance of observers for the PEM electrolyzer voltage, this work aims at implementing the Luenberger observer in an ECM since this observer has proven to be practical and robust for linear observable systems [32]. As mentioned in [33], for less complex linear systems, the Luenberger observer is the best choice among

TABLE 1. Examples of observers applied to research fields.

Reference	Observer	Field	Application
[21]	Nonlinear	Batteries	Active species concentrations
[22]	Extended state	Underactuated quadrotor	Helical trajectory tracking
[23]	Luenberger	Underactuated quadrotor	Helical trajectory tracking
[24]	Luenberger	PEM electrolyzer	Activation over-voltage
[25]	Nonlinear Distributed Parameters	PEM fuel cell	Internal states
[26]	Extended state	PEM fuel cell	Oxygen excess ratio
[27]	Kalman filter	Batteries	state of charge
[28]	Multi-objective nonlinear	Batteries	Fault detection
[29]	Bayesian	Natural daylight	Hue perception
[30]	Impulsive	Wind energy	Flux detection
[31]	Event-triggered impulsive	Disturbed states and disturbed outputs	State of DC microgrids
The present paper	Luenberger	PEM electrolyzer	Activation over-voltage

other techniques such as Bayesian estimators or AI-based observers, as it provides a valid estimate of the system state without requiring complex computational methods that are usually time-consuming or difficult to implement.

The main characteristics and contributions of the proposed observer in this work are presented below.

- The observability and stability of the system were demonstrated to ensure the effectiveness of the observer throughout the experimental test (4000 s).
- A comparison of the observer with experimental data under constant disturbances in the input current (square wave function at different periods using dSPACE controller board) from a PEM electrolyzer QL-300 of Shandong Saikesaisi Hydrogen Energy Co was made. This comparison validated the performance and robustness of the observer of the activation voltage (i.e., statistical tests were applied).
- It is possible to build a device that efficiently emulates the PEM electrolyzer voltage by implementing the proposed observer with the ECM design of this work.

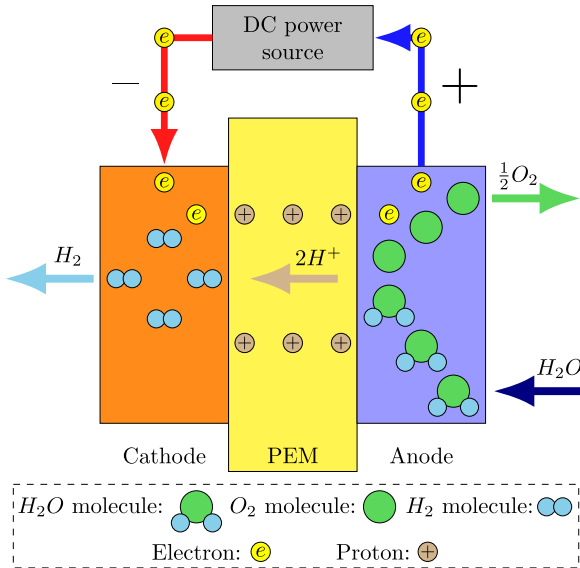


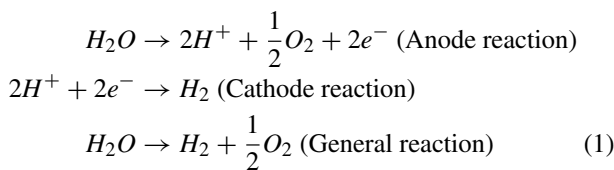
FIGURE 1. PEM electrolyzer basic operation.

The rest of the work is structured as follows: after discussing the state-of-the-art and motivations in the introduction, Section II presents a detailed description of the experimental platform. In Section III, the description of an ECM for estimating the PEM electrolyzer voltage is shown. Besides, in this section, the design of the Luenberger observer and the stability analysis of the observable system are provided. Subsequently, in Section IV, the simulations of the estimations from the observer and the discussion of this work are shown. Finally, in Section V, the conclusion is presented.

## II. EXPERIMENTAL TEST SET-UP

### A. PEM ELECTROLYZER BASIC OPERATION

To carry out electrolysis, the PEM electrolyzer generally operates with an anode, a cathode, a PEM (usually Nafion), and a DC power source. Each part of the PEM electrolyzer system serves a fundamental purpose: at the anode, oxygen, electrons, and protons are produced; the produced protons pass to the cathode through the PEM; the external circuit connected to the DC power source flows the electrons from the anode to the cathode; hydrogen is produced at the cathode by combining protons with electrons [34], [35], see Figure 1. The reactions in the PEM electrolyzer are presented in (1).



The PEM electrolyzer is a promising technology despite being less efficient than the alkaline electrolyzer due to its wide operating range, high current densities ( $2 A \cdot cm^{-2}$ ), fast response time, and good performance when combined with renewable energy sources. Therefore, the PEM electrolyzer

TABLE 2. PEM electrolyzer QL-300 specifications.

Parameter	Value	Unit
Hydrogen purity	$\geq 99.999$	%
Hydrogen output flow range	0–310.3	$mL \cdot min^{-1}$
Output pressure range	0.2–4	bar
Pressure stability	$< 0.01$	bar
Electrical power range	0–150	W
Operating voltage range	1.4–2.5	V
Current range	0–60	A
Cell number	1	–
Active area section	150	$cm^2$
Solid Polymer Electrolyte (SPE)	183	$\mu m$

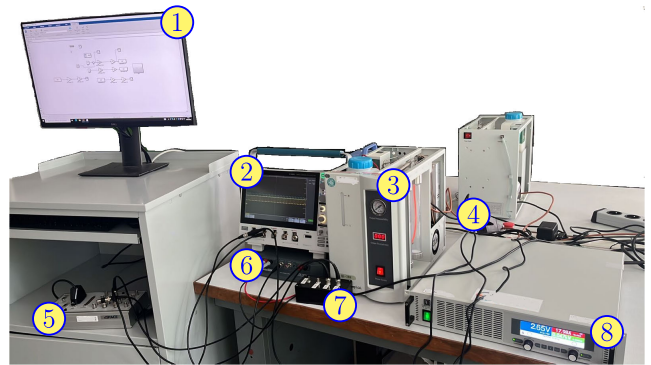


FIGURE 2. Equipment and experimental test set-up.

can cope with fluctuations from intermittent energy sources due to its partial load [36], [37].

### B. EXPERIMENTAL DATABASE COLLECTION

The different databases were obtained from the PEM electrolyzer QL-300 of Shandong Saikesaisi Hydrogen Energy Co., Ltd. (Jinan, China). The characteristics of this electrolyzer are shown in Table 2.

To obtain reliable databases, the equipment and experimental test described below were proposed, see Figure 2.

- (1) A computer with Matlab-Simulink <sup>®</sup>.
- (2) An oscilloscope MDO34-1000 of Tektronix Company.
- (3) A PEM electrolyzer QL-300.
- (4) An electrical current sensor.
- (5) A DS1104 controller board from dSPACE Company.
- (6) A voltage sensor.
- (7) A signal converter from the dSPACE control to the DC power supply.
- (8) A DC power supply EL 9160-100 of Elektro Automatik (EA) Company.

Seven databases were taken, each data collection had a duration of 4000 seconds and the following mechanics were carried out: A square wave current signal was programmed in Matlab-Simulink <sup>®</sup> for the dSPACE controller board (i.e., minimum and maximum current values, see Table 4); the dSPACE controller sent this signal to the DC power source using a signal converter; the PEM electrolyzer was supplied by the DC power source using a square wave current signal;

TABLE 3. ECM and Luenberger observer parameters.

Parameter	Description	Unit
$C_a$	Equivalent capacitor (anode)	$F$
$C_c$	Equivalent capacitor (cathode)	$F$
$e$	Estimation error	$V$
$i_{el}$	PEM electrolyzer current	$A$
$L$	Luenberger gains vector	$-$
$R_a$	Equivalent resistor (anode)	$\Omega$
$R_c$	Equivalent resistor (cathode)	$\Omega$
$R_{mem}$	Membrane resistor	$\Omega$
$u$	System input	$A$
$v_{act}$	Activation over-voltage	$V$
$v_{act,a}$	Activation over-voltage (anode)	$V$
$v_{act,c}$	Activation over-voltage (cathode)	$V$
$v_{con}$	Concentration over-voltage	$V$
$v_e$	PEM electrolyzer voltage	$V$
$v_{ini}$	DC voltage source	$V$
$v_{rev}$	Reversible over-voltage	$V$
$v_{\Omega}$	Ohmic over-voltage	$V$
$x$	System states	$V$
$x_0$	Initial condition of states	$V$
$\hat{x}$	System estimated states	$V$
$y$	System output	$V$
$\tau_a$	Electrical time constant (anode)	$s$
$\tau_c$	Electrical time constant (cathode)	$s$

the database of the voltage and current sensors was projected and saved by the oscilloscope.

Figure 3 shows the experimental results obtained for the PEM electrolyzer voltage databases and their respective square waveform input currents. The different square waveform current inputs were generated through Matlab–Simulink<sup>®</sup> and dSPACE in a minimum step range of 0 to 10 A and a maximum step range of 7 to 20 A with switching periods of 25 seconds (Figure 3.a), 50 seconds (Figure 3.b), and 100 seconds (Figure 3.c). The different voltage responses varied between 1.6 and 2.2 V for the minimum current input steps and varied between 1.9 and 2.4 V for the maximum current input steps. It is worth mentioning that the PEM electrolyzer voltage is usually variable due to different factors that occur in the electrolyzer such as pressure, temperature, and the power source (renewable energy source). After obtaining the experimental databases, the Luenberger observer was developed and implemented for an ECM in Section III.

### III. DESIGN OF THE LUENBERGER OBSERVER FOR THE PEM ELECTROLYZER VOLTAGE

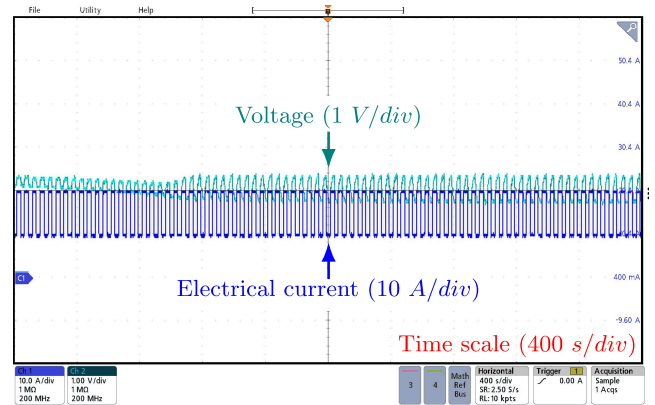
To start this section, the description of the parameters used in the ECM and observer equations are shown in Table 3.

#### A. PEM ELECTROLYZER MATHEMATICAL MODEL

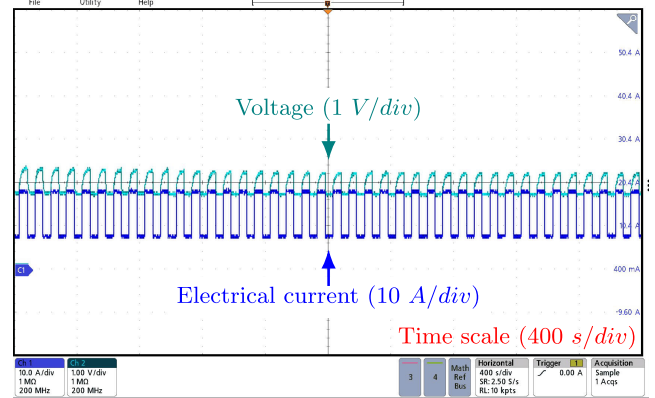
In this work, the ECM developed in [38] and [39] is used. The PEM electrolyzer voltage  $v_e$  is expressed in terms of the reversible  $v_{rev}$ , ohmic  $v_{\Omega}$ , activation  $v_{act}$ , and concentration  $v_{con}$  over-voltages as follows:

$$v_e = v_{rev} + v_{\Omega} + v_{act} + v_{con}. \quad (2)$$

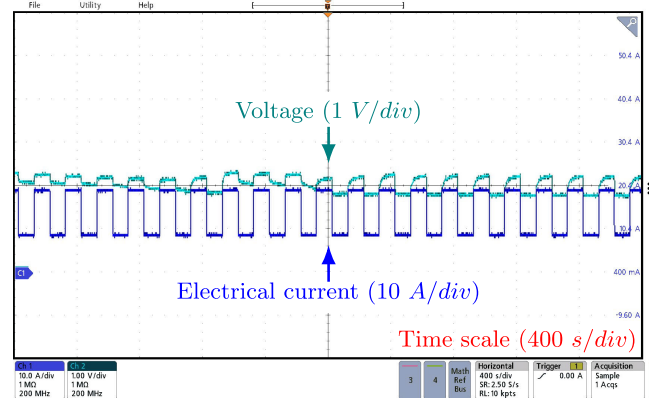
The ECM for (2) is defined as follows:



(a) Period of 25 seconds.



(b) Period of 50 seconds.



(c) Period of 100 seconds.

FIGURE 3. PEM electrolyzer voltage behavior with different input square waveform currents.

- A constant DC voltage source  $v_{ini}$  is used for modeling  $v_{rev}$ ,

$$v_{rev} = v_{ini}. \quad (3)$$

- A constant resistance is used for modeling the electrolyzer membrane  $R_{mem}$ , thus  $v_{\Omega}$  is expressed as:

$$v_{\Omega} = R_{mem}i_{el}, \quad (4)$$

where  $i_{el}$  is the PEM electrolyzer current (A).

- Two resistor-capacitor branches are used for modeling  $v_{act}$ , one for the cathode  $v_{act,c}$  and the other for the

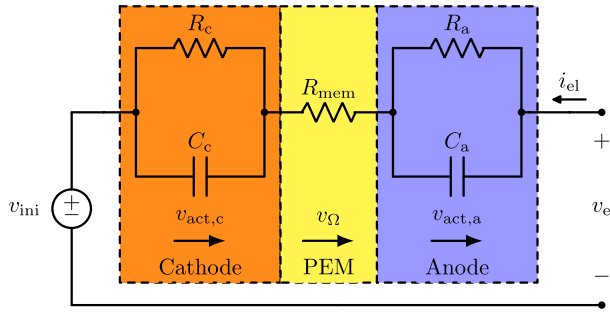


FIGURE 4. Equivalent electronic circuit diagram for PEM electrolyzer voltage.

anode  $v_{act,a}$ . Besides, it has been reported that the PEM electrolyzer voltage dynamic occurs at this over-voltage.

$$v_{act} = v_{act,c} + v_{act,a}, \quad (5)$$

where the dynamic equations are defined as:

$$\frac{dv_{act,c}}{dt} = \frac{1}{C_c} i_{el} - \frac{1}{\tau_c} v_{act,c}, \quad (6)$$

$$\frac{dv_{act,a}}{dt} = \frac{1}{C_a} i_{el} - \frac{1}{\tau_a} v_{act,a}, \quad (7)$$

where  $C_c$  and  $C_a$  are the equivalent capacitors for the cathode and the anode in (F), respectively.  $\tau_c$  and  $\tau_a$  are the electrical time constants that depend strongly on the operating conditions at the cathode and the anode in (s), respectively.  $R_c$  and  $R_a$  are the resistors for the cathode and the anode in ( $\Omega$ ), respectively. Besides,  $R_c$  and  $R_a$  are calculated using  $\tau_c$  and  $\tau_a$  as follows:

$$\tau_c = C_c R_c, \quad (8)$$

$$\tau_a = C_a R_a. \quad (9)$$

- Finally,  $v_{con}$  is estimated as zero because this over-voltage has been reported to be considerably smaller than  $v_{act}$  and  $v_{\Omega}$  [40], [41].

So, the ECM for the PEM electrolyzer voltage is expressed as:

$$v_e(t) = v_{ini} + R_{mem} i_{el}(t) + v_{act}(t). \quad (10)$$

Figure 4 shows the equivalent electronic circuit diagram for PEM electrolyzer voltage using the electronic components that make up the ECM (i.e., one DC voltage source, two capacitors, and three resistors). Therefore, this diagram is useful for constructing a real equivalent electronic circuit to emulate the PEM electrolyzer voltage.

### B. DEVELOPMENT OF THE LUENBERGER OBSERVER

In this subsection, the equations describing the PEM electrolyzer voltage are defined as a control system, so that it is easier to structure the Luenberger observer. So, let  $y$  be defined as follows:

$$y := v_e - v_{ini}, \quad (11)$$

and

$$\begin{aligned} x_1 &:= v_{act,c}, \\ x_2 &:= v_{act,a}, \\ u &:= i_{el}. \end{aligned} \quad (12)$$

Substituting (11) and (12) in (6), (7), and (10), it is obtained:

$$\dot{x}_1 = \frac{1}{C_c} u - \frac{1}{\tau_c} x_1, \quad (13)$$

$$\dot{x}_2 = \frac{1}{C_a} u - \frac{1}{\tau_a} x_2, \quad (14)$$

$$y = R_{mem} u + x_1 + x_2. \quad (15)$$

where  $x = [x_1, x_2]^T$  with initial condition  $x_0 = [x_{1,0}, x_{2,0}]^T$ . Then, the system can be represented as:

$$\dot{x} = Ax + Bu, \quad (16)$$

where

$$A = \begin{pmatrix} A_{11} & 0 \\ 0 & A_{22} \end{pmatrix} = \begin{pmatrix} -\frac{1}{\tau_c} & 0 \\ 0 & -\frac{1}{\tau_a} \end{pmatrix} \quad (17)$$

and

$$B = \begin{pmatrix} B_1 \\ B_2 \end{pmatrix} = \begin{pmatrix} \frac{1}{C_c} \\ \frac{1}{C_a} \end{pmatrix} \quad (18)$$

And let

$$y = Cx + Du \quad (19)$$

where  $C = [1, 1]$  and  $D = R_{mem}$ .

To implement the Luenberger observer to the system defined in (16)–(19), it is necessary to prove that this system is observable. Therefore, the rank of the observability matrix defined below was calculated for  $A_{11} \neq A_{22}$  and  $\forall s \in \mathbb{C}$ ,

$$\text{rank} \begin{bmatrix} sI - A \\ C \end{bmatrix} = \text{rank} \begin{bmatrix} s - A_{11} & 0 \\ 0 & s - A_{22} \\ 1 & 1 \end{bmatrix} = 2. \quad (20)$$

By applying the observability matrix criterion [42], one can conclude that the system is observable.

Once the observability property of the system is demonstrated, the Luenberger observer is constructed. This observer is built with the original system including the estimation error to compensate for the inaccuracies in  $A$  and  $B$  [43]. In this way, the observer model is defined as:

$$\begin{aligned} \dot{\hat{x}} &= A\hat{x} + Bu + L(y - C\hat{x} - Du) \\ &= (A - LC)\hat{x} + (B - LD)u + Ly, \end{aligned} \quad (21)$$

where  $\hat{x} = [\hat{x}_1, \hat{x}_2]^T$  is the estimated state and, therefore,  $C\hat{x} + Du$  is the estimated output.  $L = [l_1, l_2]^T$  is the Luenberger vector, which is a weighting vector that continuously corrects the model output and improves the observer's behavior. The error vector  $e$  is defined as the difference between  $x$  and  $\hat{x}$ :

$$e := x - \hat{x}. \quad (22)$$

Therefore, the dynamic of vector  $e$  is given by:

$$\begin{aligned} \dot{e} &= \dot{x} - \hat{\dot{x}} \\ &= (A - LC)(x - \hat{x}) \\ &= (A - LC)e. \end{aligned} \quad (23)$$

Therefore, the eigenvalues of the matrix  $(A - LC)$  must be negative to ensure that  $e$  converges to zero and that  $x$  converges to  $\hat{x}$  exponentially. Thus, this work proposes conditions on  $L$  for which the matrix  $(A - LC)$  has negative eigenvalues. Consider the following expression to calculate the eigenvalues  $\lambda$ :

$$\det(\lambda I - A + LC) = 0. \quad (24)$$

The following expression is obtained from (24):

$$\lambda^2 + b\lambda + c = 0. \quad (25)$$

where  $b = l_1 + l_2 - A_{11} - A_{22}$  and  $c = A_{11}A_{22} - A_{11}l_2 - A_{22}l_1$ . Therefore, the eigenvalues of the matrix are given by:

$$\lambda = \frac{-b \pm \sqrt{b^2 - 4c}}{2}, \quad (26)$$

To find conditions for which the eigenvalues are negative, note that the discriminant satisfies:

$$\begin{aligned} b^2 - 4c &= (A_{22} - A_{11})^2 + 2(A_{22} - A_{11})(l_1 - l_2) \\ &\quad + (l_1 + l_2)^2 \\ &= [(A_{22} - A_{11}) + (l_1 - l_2)]^2 + (l_1 + l_2)^2 \\ &\quad - (l_1 - l_2)^2 \\ &= [(A_{22} - A_{11}) + (l_1 - l_2)]^2 + 4l_1l_2. \end{aligned}$$

Given the above developments,  $(b^2 - 4c)$  is positive as long as  $l_1$  and  $l_2$  have the same sign or if  $l_1 + l_2 > |l_1 - l_2|$ . Now, suppose both values of  $L$  are greater or equal to zero  $l_1, l_2 \geq 0$  (the case when  $l_1 = l_2 = 0$  is the original system (16), which is nominally stable). Then, as the values of  $-A_{11} = \frac{1}{\tau_c}$  and  $-A_{22} = \frac{1}{\tau_a}$  are greater than zero by hypothesis, it is obtained that  $b > 0$  and  $c > 0$ . Therefore,

$$\lambda_1 = \frac{-b - \sqrt{b^2 - 4c}}{2} < 0.$$

Besides, it holds that  $\sqrt{b^2 - 4c} < \sqrt{b^2} = |b| = b$ . Thus,  $-b + \sqrt{b^2 - 4c} < 0$  and hence

$$\lambda_2 = \frac{-b + \sqrt{b^2 - 4c}}{2} < 0.$$

Therefore, the system (23) is stable when  $l_1, l_2 \geq 0$ . For the cases when  $l_1, l_2 \leq 0$  and  $l_1 + l_2 > |l_1 - l_2|$  the restrictions that guarantee negative eigenvalues for (23) are derived below.

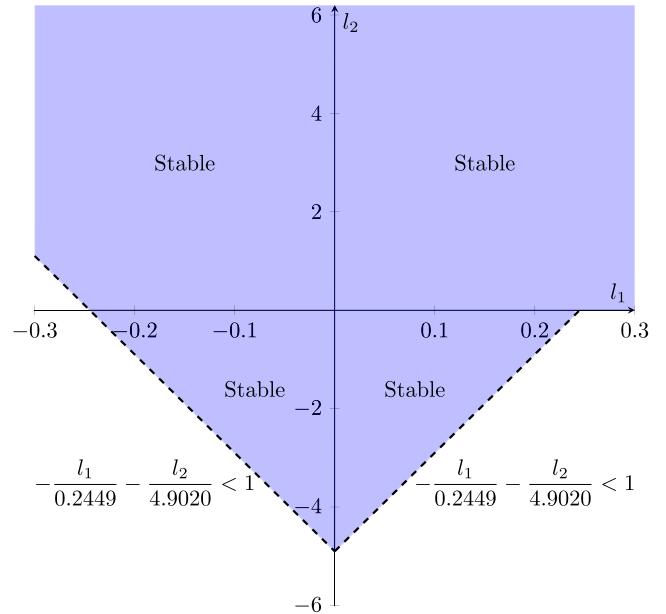


FIGURE 5. Demonstrated stable region for the system (23) using  $\tau_c = 4.0835$  and  $\tau_a = 0.2040$ .

It is worth mentioning that case  $l_1 + l_2 > |l_1 - l_2|$  involves the following two cases:

Case 1	Case 2
$l_1 + l_2 > l_1 - l_2$	$l_1 - l_2 > -l_1 - l_2$
$l_2 > -l_2$	$l_1 > -l_1$
$2 \cdot l_2 > 0$	$2 \cdot l_1 > 0$
$l_2 > 0$	$l_1 > 0$ .

Now, to ensure that  $b > 0$ , let  $l_1 + l_2 > A_{11} + A_{22}$ , so that, for the cases when  $l_1, l_2 \leq 0$  and  $l_1 + l_2 > |l_1 - l_2|$ ,  $b > 0$ . Therefore,  $\lambda_1 < 0$ . Furthermore, to guarantee that  $c > 0$ , it is assumed that  $l_1$  and  $l_2$  satisfy  $\frac{l_1}{A_{11}} + \frac{l_2}{A_{22}} < 1$ . Thus, it is obtained that  $A_{11}l_2 + A_{22}l_1 < A_{11}A_{22}$ . Consequently,  $c > 0$ , and taking into consideration that  $b > 0$ , it holds that  $\sqrt{b^2 - 4c} < \sqrt{b^2} = |b| = b$ . Thus,  $\lambda_2 < 0$ .

Therefore, for the cases when  $l_1, l_2 \leq 0$  and  $l_1 + l_2 > |l_1 - l_2|$ , the system (23) is stable if  $l_1 + l_2 > A_{11} + A_{22}$ , and  $\frac{l_1}{A_{11}} + \frac{l_2}{A_{22}} < 1$ . Figure 5 illustrates the demonstrated stable region of the system (23) for  $\tau_c = 4.0835$  and  $\tau_a = 0.2040$  (these values were considered according to [38]).

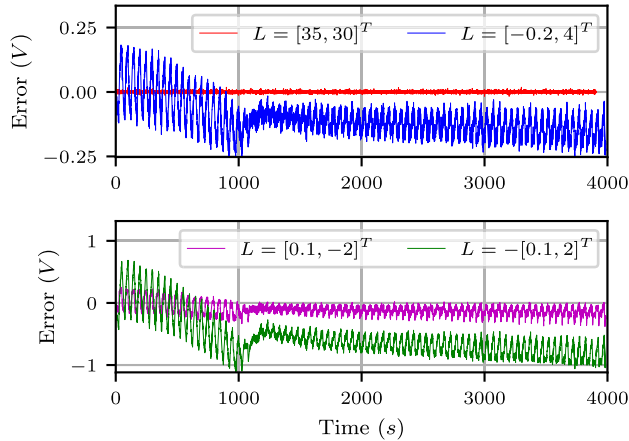
Once the observer was determined and its stability region demonstrated, it was simulated. The simulation results of the proposed observer are shown in the next section.

#### IV. RESULTS AND DISCUSSION

In this section, the simulation results of the observer response are presented in detail. Besides, a discussion of the outcomes is presented.

**TABLE 4.** Maximum and minimum input current  $i_{el}$  and values  $\tau_c$  and  $\tau_a$  for each database.

Database	$i_{el}$	$\tau_c$	$\tau_a$
Database 1	min = 10 A, max = 20 A	4.0835 s	0.2040 s
Database 2	min = 1 A, max = 20 A	3.4917 s	0.4819 s
Database 3	min = 5 A, max = 15 A	4.0336 s	0.6644 s
Database 4	min = 6 A, max = 16 A	3.9747 s	0.6556 s
Database 5	min = 8 A, max = 18 A	3.9822 s	0.4040 s
Database 6	min = 9 A, max = 19 A	3.7851 s	0.4321 s
Database 7	min = 2 A, max = 7 A	4.6681 s	1.5860 s

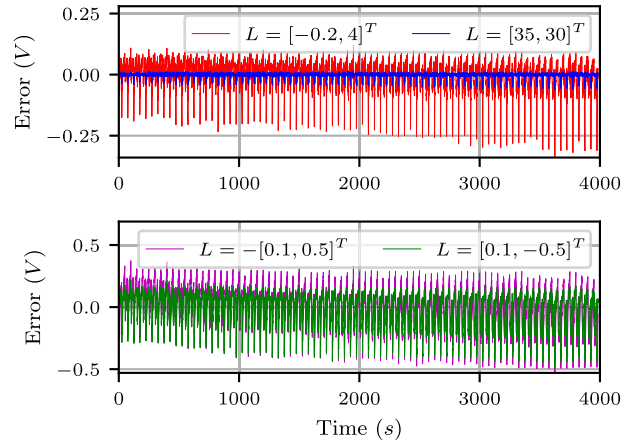


**FIGURE 6.** Observer error behavior with different values of  $L$  (stable region) for database 1.

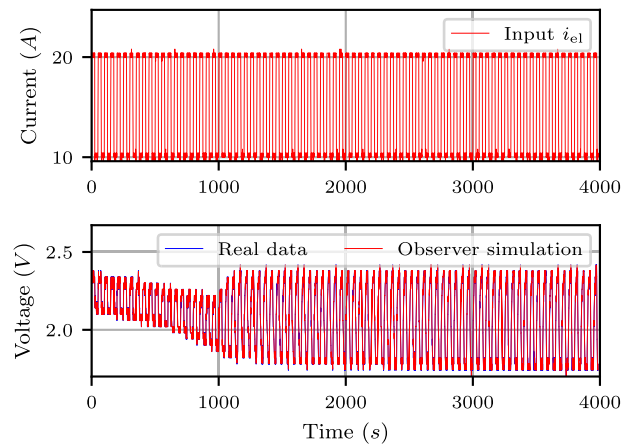
**A. SIMULATION AND VALIDATION**

To carry out the simulations, the Python programming language was used (Python version: 3.8.10 for 64 bits, processor: Intel CORE i7-7700 HQ CPU, 2.80 GHz, operating system: Windows 10). The proposed observer was simulated using the parameter values  $v_{ini} = 1.43 V$ ,  $R_{mem} = 0.0155 \Omega$ , and  $C_c = C_a = 125 F$ . Furthermore, as mentioned in [39], the parameters  $\tau_c$  and  $\tau_a$  are constants that depend on the input current and other relevant parameters (gas pressure and temperature that are not considered in this current work), for this reason, different values for these parameters were used depending on the database, see Table 4.

The behavior of the observer error under different values of  $L$  ( $l_1$  and  $l_2$  at different points of the stability region) was analyzed using Databases 1 and 2. For Database 1, the Luenberger observer obtained better performance when using  $l_1, l_2 > 0$  with a relative error of 0.075%, while in the other cases  $l_1 < 0$  and  $l_2 > 0$ ,  $l_1 > 0$  and  $l_2 < 0$ , and  $l_1, l_2 < 0$  obtained a relative errors of 3.3717%, 3.6469%, and 17.5394%, respectively. Figure 6 shows the evolution of the observer error concerning time for Database 1 and different values of  $L$ . Similarly, for Database 2, the Luenberger observer obtained a relative error of 0.0911% when using  $l_1, l_2 > 0$ , which demonstrates the effectiveness of convergence with positive values  $l_1$  and  $l_2$ . For the other different values of  $l_1 < 0$  and  $l_2 > 0$ ,  $l_1 > 0$  and  $l_2 < 0$ , and  $l_1, l_2 < 0$  obtained a relative errors of 1.1219%, 3.0287%, and 4.3968%, respectively. These relative errors obtained



**FIGURE 7.** Observer error behavior with different values of  $L$  (stable region) for database 2.



**FIGURE 8.** Comparison of the observer and Database 1 with its respective input current  $i_{el}$ .

from Database 2 are lower compared to those obtained from Database 1 due to the different behavior of the databases. Figure 7 shows the evolution of the observer error concerning time for Database 2 and different values of  $L$ . Due to the fast convergence of the error to zero when  $l_1, l_2 > 0$ , the simulations were developed by considering  $l_1 = 35$  and  $l_2 = 30$ . It is worth mentioning that the higher the values of  $l_1$  and  $l_2$ , the faster the convergence. However, computational work is more demanding due to the small step size to achieve solution iterations. For these values of  $L$ , the computational operation time for all databases varied between 3.41 and 3.95 seconds. Figure 8 shows the result of the comparison of the observer and Database 1 with its respective input current  $i_{el}$  (the dSPACE signal was programmed for an input current of min = 10 A and max = 20 A).

Figure 9 shows the observed states  $v_{act,c}$  and  $v_{act,a}$  with initial values  $v_{act,c,0} = 0.55 V$  and  $v_{act,a,0} = 0.03 V$ . In this case, a different behavior can be seen during the first 1000 seconds, which agrees with the voltage shown in Figure 8.

Figure 10 shows the behavior of the observer for Database 2 with its respective input current  $i_{el}$  (the dSPACE signal

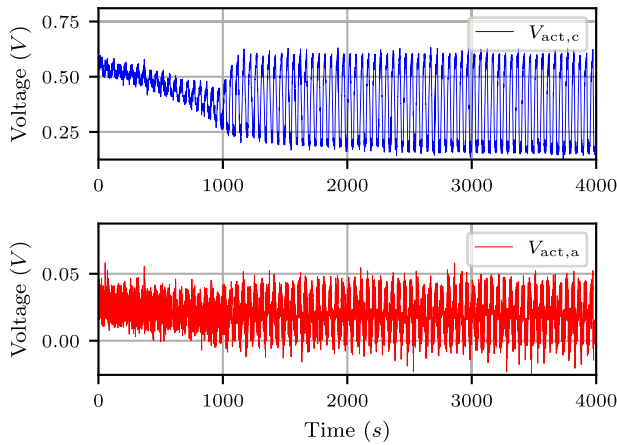


FIGURE 9. Observed states  $v_{act,c}$  and  $v_{act,a}$  for database 1.

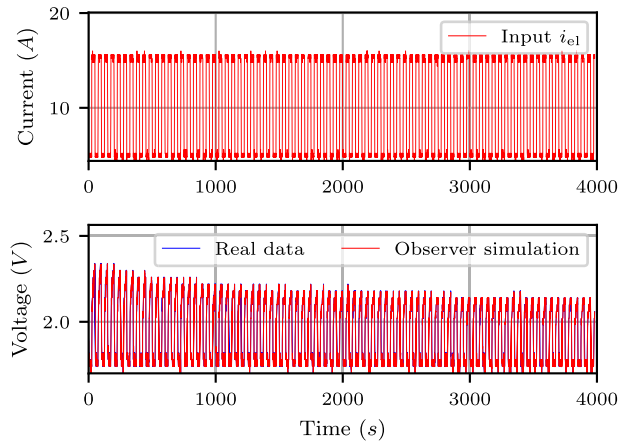


FIGURE 12. Comparison of the observer and database 3 with its respective input current  $i_{el}$ .

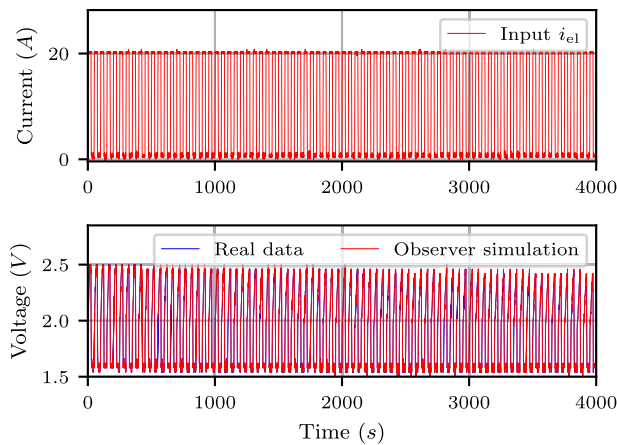


FIGURE 10. Comparison of the observer and Database 2 with its respective input current  $i_{el}$ .

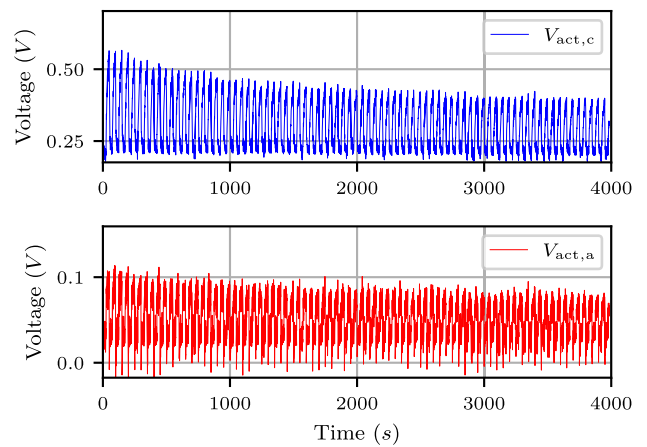


FIGURE 13. Observed states  $v_{act,c}$  and  $v_{act,a}$  for database 3.

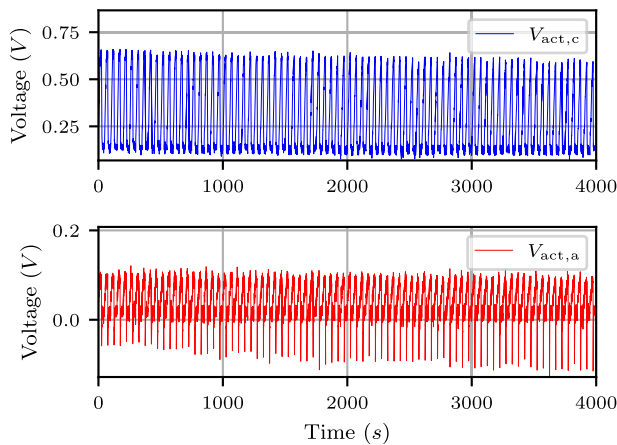


FIGURE 11. Observed states  $v_{act,c}$  and  $v_{act,a}$  for database 2.

was programmed for an input current of  $\min = 1 A$  and  $\max = 20 A$ .

Figure 11 shows the observed states  $v_{act,c}$  and  $v_{act,a}$  with initial values  $v_{act,c,0} = 0.25 V$  and  $v_{act,a,0} = 0.06 V$ . In this case, a regular voltage behavior can be seen during the experiment, which agrees with the voltage shown in Figure 10.

Figure 12 shows the input current  $i_{el}$  (the dSPACE signal was programmed for an input current of  $\min = 5 A$  and  $\max = 15 A$ ) and the experimental voltage from Database 3 with its respective estimation using the Luenberger observer. Figure 13 shows the observed states  $v_{act,c}$  and  $v_{act,a}$  with initial values  $v_{act,c,0} = 0.29 V$  and  $v_{act,a,0} = 0.04 V$ . In addition to the high precision observed in Figure 12 between the estimated voltage and the real PEM electrolyzer voltage, the behavior of the observed states agrees with the system output, which proves the effectiveness of the proposed observer.

Figure 14 shows the result of the comparison of the observer and Database 4 with its respective input current  $i_{el}$  (the dSPACE signal was programmed for an input current of  $\min = 6 A$  and  $\max = 16 A$ ).

Figure 15 shows the observed states  $v_{act,c}$  and  $v_{act,a}$  with initial values  $v_{act,c,0} = 0.52 V$  and  $v_{act,a,0} = 0.08 V$ . In this case, a different behavior is observed around the first 1400 seconds, which agrees with the voltage shown in Figure 14.

Figure 16 shows the behavior of the observer for Database 5 with its respective input current  $i_{el}$  (the dSPACE signal was



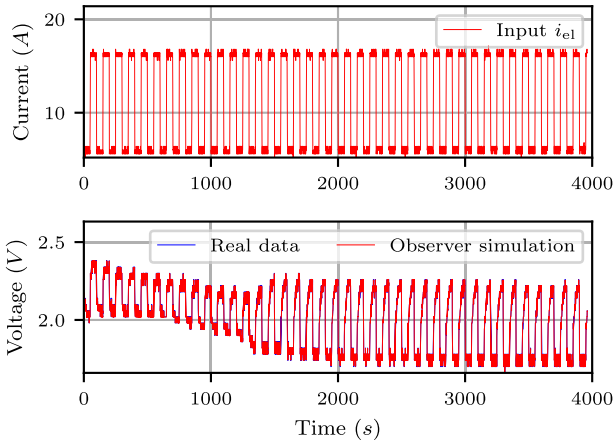


FIGURE 14. Comparison of the observer and Database 4 with its respective input current  $i_{el}$ .

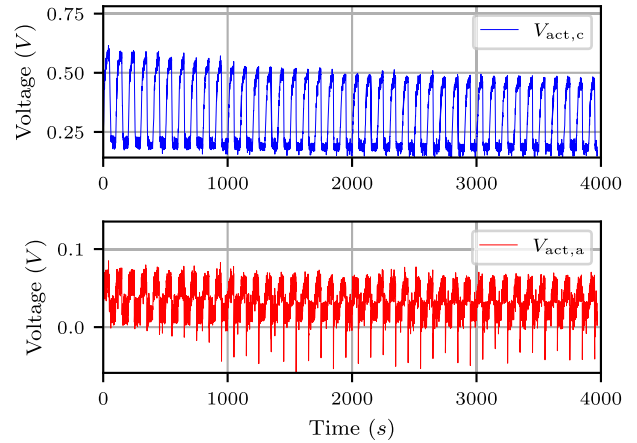


FIGURE 17. Observed states  $v_{act,c}$  and  $v_{act,a}$  for database 5.

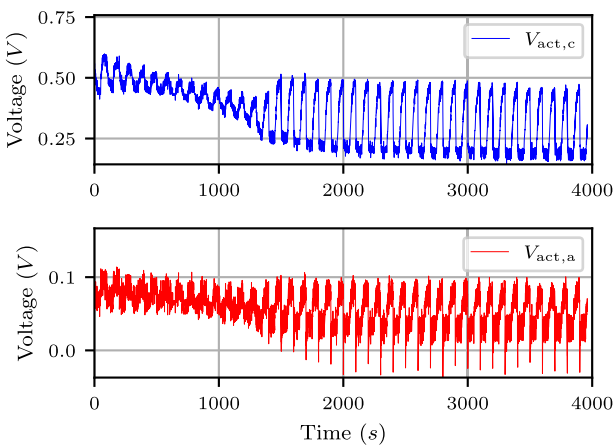


FIGURE 15. Observed states  $v_{act,c}$  and  $v_{act,a}$  for database 4.

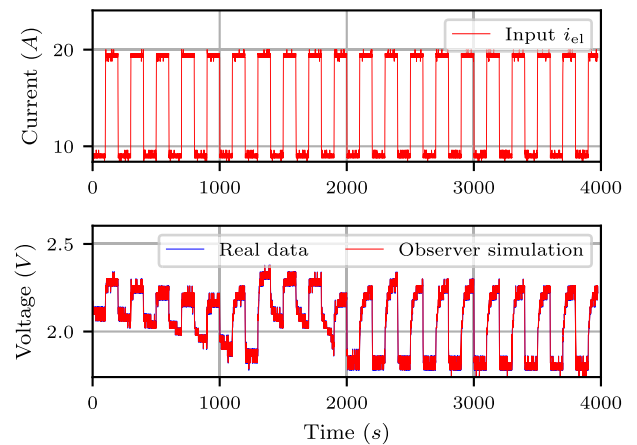


FIGURE 18. Comparison of the observer and Database 6 with its respective input current  $i_{el}$ .

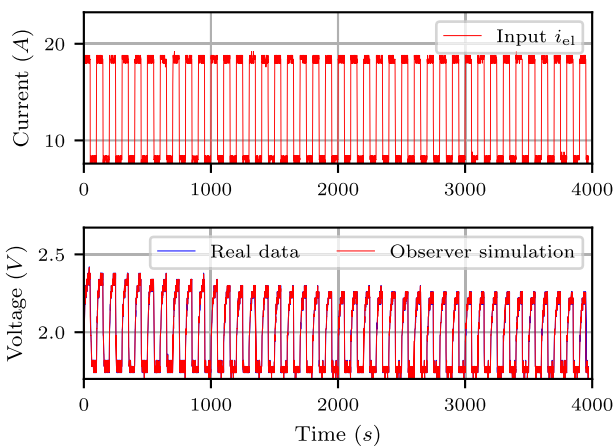


FIGURE 16. Comparison of the observer and database 5 with its respective input current  $i_{el}$ .

programmed for an input current of min = 8 A and max = 18 A).

Figure 17 shows the observed states  $v_{act,c}$  and  $v_{act,a}$  with initial values  $v_{act,c,0} = 0.27 V$  and  $v_{act,a,0} = 0.05 V$ . The effectiveness of the observer is demonstrated by the high precision between the estimated voltage and the real voltage

of the PEM electrolyzer shown in Figure 16 and the behavior of the observed states, which agrees with the output of the system.

Figure 18 shows the input current  $i_{el}$  (the dSPACE signal was programmed for an input current of min = 9 A and max = 19 A) and the experimental voltage from Database 6 with its respective estimation using the Luenberger observer.

Figure 19 shows the observed states  $v_{act,c}$  and  $v_{act,a}$  with initial values  $v_{act,c,0} = 0.52 V$  and  $v_{act,a,0} = 0.05 V$ . This Database showed the most irregular behavior of all the databases. However, as can be seen in Figures 18 and 19, the high accuracy of the simulated voltage in estimating the real voltage and the behavior of the observed states demonstrate that the proposed observer is efficient and robust. Figure 20 shows the result of the comparison of the observer and Database 7 with its respective input current  $i_{el}$  (the dSPACE signal was programmed for an input current of min = 2 A and max = 7 A). Figure 21 shows the observed states  $v_{act,c}$  and  $v_{act,a}$  with initial values  $v_{act,c,0} = 0.22 V$  and  $v_{act,a,0} = 0.03 V$ . In this case, a regular behavior can be seen during the experiment, which agrees with the voltage shown in Figure 21.

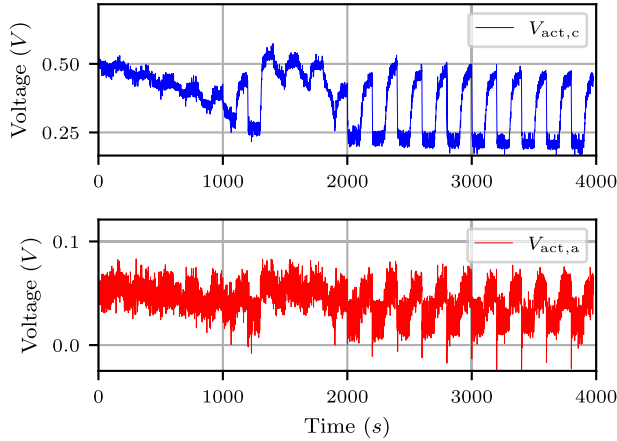


FIGURE 19. Observed states  $v_{act,c}$  and  $v_{act,a}$  for Database 6.

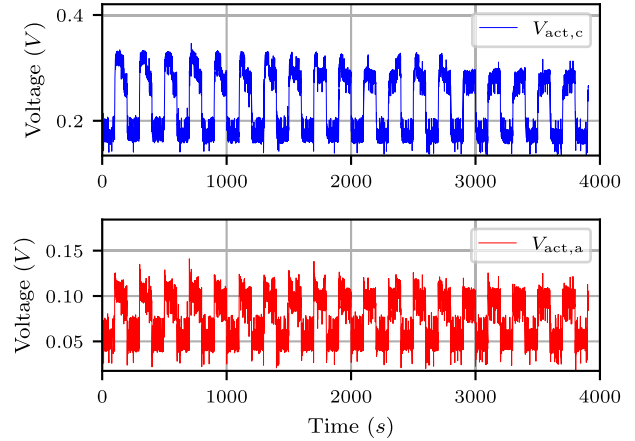


FIGURE 21. Observed states  $v_{act,c}$  and  $v_{act,a}$  for database 7.

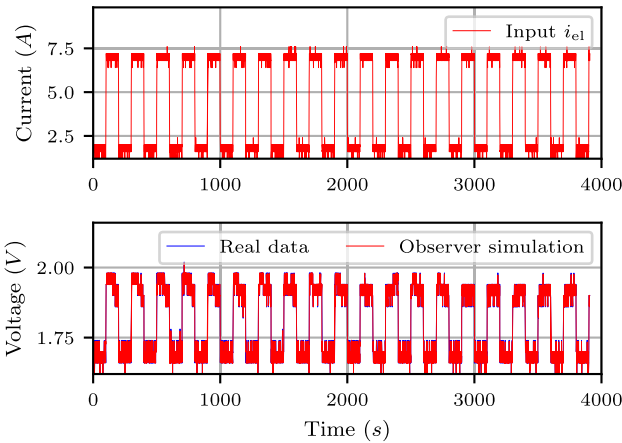


FIGURE 20. Comparison of the observer and database 7 with its respective input current  $i_{e1}$ .

Statistical tests were applied after observing the comparisons of the different databases with the Luenberger observer. The results of these tests are shown in the next subsection.

### B. DISCUSSION

Relative error  $E_r$ , mean error  $E_m$ , mean squared error  $MSE$ , and root mean squared error  $RMSE$  were applied to validate the effectiveness of the observer. These statistical tests are given by:

$$E_r = \left( \frac{100}{N_d} \right) \sum_{k=1}^{N_d} \left| \frac{v_{exp,k} - v_{sim,k}}{v_{exp,k}} \right|, \quad (27)$$

$$E_m = \left( \frac{1}{N_d} \right) \sum_{k=1}^{N_d} |v_{exp,k} - v_{sim,k}|, \quad (28)$$

$$MSE = \left( \frac{1}{N_d} \right) \sum_{k=1}^{N_d} (v_{exp,k} - v_{sim,k})^2, \quad (29)$$

$$RMSE = \sqrt{MSE}, \quad (30)$$

where  $N_d$  is the number of voltage data (i.e.,  $N_d$  varied between 9904 and 9970).  $v_{exp,k}$  is the  $k$ -th voltage data

TABLE 5. Statistical test results.

Database	$E_r$	$E_m$	$MSE$	$RMSE$
Database 1	0.0750%	0.0027 V	$1.25e^{-5} V^2$	0.0035 V
Database 2	0.0911%	0.0030 V	$2.78e^{-5} V^2$	0.0053 V
Database 3	0.0752%	0.0025 V	$1.20e^{-5} V^2$	0.0035 V
Database 4	0.0746%	0.0025 V	$1.16e^{-5} V^2$	0.0034 V
Database 5	0.0773%	0.0026 V	$1.29e^{-5} V^2$	0.0036 V
Database 6	0.0768%	0.0027 V	$1.20e^{-5} V^2$	0.0035 V
Database 7	0.0771%	0.0025 V	$1.13e^{-5} V^2$	0.0034 V

measurement and  $v_{sim,k}$  is the  $k$ -th voltage data simulation. The statistical test results are shown in Table 5.

As can be seen in Table 5, the statistical results demonstrate the high accuracy of the Luenberger observer proposed for different databases. Besides, the observer showed high performance in estimating the electrolyzer voltage under continuous changes in input currents, which demonstrates its robustness. This high precision was achieved using values of  $L$  in the calculated stability region,  $l_1, l_2 > 0$ . Therefore, by using this observer it is possible to appreciate the dynamics of the voltage states  $v_{act,c}$  and  $v_{act,a}$  that occur at the cathode and the anode through an ECM. However, the assumption for  $v_{ini}$ ,  $R_{mem}$ , and  $C_c = C_a$  (i.e., these parameters are considered constant to facilitate the development of the Luenberger observer) affects the accuracy of measurements for the dynamic voltage  $v_{act}$  presented in a real PEM electrolyzer.

### V. CONCLUSION

In this work, the effectiveness and robustness of the Luenberger observer were demonstrated for the dynamics present in the PEM electrolyzer voltage when subjected to continuous changes in input currents.

The effectiveness of the implementation of the Luenberger observer to an ECM for PEM electrolyzer voltage opens new research opportunities for different implementations of observers and control. Furthermore, by using the ECM parameters and implementing the Luenberger observer it is possible to build an electronic circuit that emulates the real

voltage response of a PEM electrolyzer in a reliable way and that also allows for estimating dynamic behaviors.

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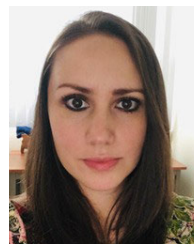


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