

Received 26 February 2024, accepted 11 March 2024, date of publication 19 March 2024, date of current version 25 March 2024.

*Digital Object Identifier 10.1109/ACCESS.2024.3377907*

## **WE RESEARCH ARTICLE**

# An Adaptive Robust Hybrid Force/Position Control for Robot Manipulators System Subject to Mismatched and Matched Disturbances

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This work was supported in part by the Natural Science Foundation of Shandong Province of China under Grant ZR2023MF032, Grant ZR2021MF005, and Grant ZR2023MF017; in part by the Focus on Research and Development Plan of Shandong Province of China (Major Scientific and Technological Innovation Project) under Grant 2022CXGC010608; in part by the Key Technological and Demonstration Project of Qingdao of China under Grant 23-1-2-qljh-6-gx; in part by Qingdao Natural Science Foundation under Grant 23-2-1-154-zyyd-jch; and in part by the National Natural Science Foundation of China under Grant 62273189.

**ABSTRACT** A novel adaptive robust hybrid force/position control (ARHFPC) strategy is proposed for robot manipulator systems subject to dynamic uncertainties and unknown matched and mismatched disturbances under input saturation. First, the position controller is designed based on the backstepping approach. The first-order low-pass filter and the auxiliary dynamic system are synthesized into the controller to overcome the complex derivative operation of virtual control and handle the effect of input saturation, respectively. Radial basis function neural networks (RBFNNs) are utilized to approximate the dynamic uncertainties and matched disturbances. Then, a disturbance observer is designed for the mismatched disturbances. To enhance control accuracy of the interaction force between the end-effector and the external environment, a fuzzy proportional-integral (FPI) control scheme is presented. Theoretical analysis proves that all signals in the closed-loop control system of robot manipulators are locally uniformly ultimately bounded (UUB). Simulation results demonstrate the effectiveness and robustness of the proposed control scheme.

**INDEX TERMS** Mismatched disturbances observer, input saturation, auxiliary dynamic system, robot manipulators.

#### **I. INTRODUCTION**

<span id="page-0-0"></span>Over the past decades, robot manipulators have been widely employed in industrial fields [\[1\],](#page-12-0) [\[2\], su](#page-12-1)ch as handling, welding, assembling, etc. However, robotic manipulator systems are affected by the presence of matched and mismatched disturbances, dynamic uncertainties, and input saturation. Enhancing the trajectory tracking performance accuracy and the accuracy of interaction force control for the end-effector of robot manipulators remains a challenge, especially when these systems are subjected to external disturbances such

The associate editor coordinating the review of this manuscript and approving it for publication was Yang Tang

as position sensor faults, mechanical vibrations, and other external disturbances.

<span id="page-0-8"></span><span id="page-0-7"></span><span id="page-0-6"></span><span id="page-0-5"></span><span id="page-0-4"></span><span id="page-0-3"></span><span id="page-0-2"></span><span id="page-0-1"></span>Various nonlinear control methods have been developed to enhance the position and force tracking performance for the trajectory tracking problem of robot manipulator systems, such as sliding mode control (SMC), backstepping control, and computed torque control (CTC) [\[3\],](#page-12-2) [\[4\],](#page-13-0) [\[5\],](#page-13-1) [\[6\].](#page-13-2) An adaptive neuron-fuzzy controller for an industrial robot manipulator is proposed to achieve the hybrid force/position control [\[7\]. In](#page-13-3) paper [\[8\], a](#page-13-4) new generalized proportional integral observer is designed to estimate velocity and force to achieve the robot manipulator's adaptive force/ position control. Lutscher et al. [\[9\]](#page-13-5) proposed an indirect force controller to deal with unknown environments, providing

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<span id="page-1-4"></span>intuitive control over hybrid force and positioning tasks in joint space and workspace. Wang et al. [\[10\]](#page-13-6) proposed an adaptive fuzzy computed torque controller based on the workspace of a robot manipulator to enhance the accuracy of force control in unknown environments. In paper [\[11\],](#page-13-7) an extended adaptive fuzzy SMC is presented for position/force control for Stewart manipulator. For reconfigurable manipulators subject to time-varying constraints, Cao et al. [\[12\]](#page-13-8) developed an RBFNNs-based terminal SMC to achieve the hybrid force/position control. The paper [\[13\]](#page-13-9) presents a force/position control scheme with environmental compliance for a continuum robot that allows the end position to be modified by changes in the continuum robot and environment. In paper [\[14\],](#page-13-10) an adaptive fuzzy SMC is developed which requires the minimum dynamic information and does not need uncertainties bounds for the robot manipulators operating in uncertain environments. Peng et al. [\[15\]](#page-13-11) proposed a neural network-based joint velocity observer to accomplish velocity-free measurement tracking control of a robot manipulator. In paper [\[16\], a](#page-13-12)n adaptive SMC is presented for a crawler-type mobile manipulator to realize the force/position control. Yang et al. [\[17\]](#page-13-13) developed a RBFNNs-based adaptive impedance controller for tracking the expected interaction force, and the joint velocity is estimated by a nonlinear velocity observer. To the best of our knowledge, there are few controller strategies have been discussed to enhance the control accuracy of the robot manipulators with mismatched disturbances.

<span id="page-1-13"></span>The tracking performance of robot manipulators is always influenced by actuator faults, uncertainties and external disturbances. The disturbances are generally composed of the matched and mismatched disturbances [\[18\],](#page-13-14) [\[19\],](#page-13-15) [\[20\],](#page-13-16) [\[21\]. M](#page-13-17)atched disturbances directly hold up the system states of robot manipulators through the control input. It usually contains the friction of joints. In contrast, mismatched disturbances indirectly affect the system states of the robot manipulators in the absence of control inputs. Mismatched disturbances widely present in some real engineering system. While the mismatched disturbances usually contain the nonlinear terms in rotational kinematic, actuator failure, and external environmental disturbances. As a result, the robot may fail to perform tasks precisely or may exhibit undesired behavior, such as overshooting or oscillations. How to design the control scheme to eliminate the effect of mismatched disturbances is still a challenge.

<span id="page-1-21"></span><span id="page-1-15"></span><span id="page-1-14"></span>Disturbance observer is commonly employed to achieve high-performance tracking control in robot manipulators [\[22\]. S](#page-13-18)everal studies [\[23\],](#page-13-19) [\[24\],](#page-13-20) [\[25\]](#page-13-21) have focused on constructing disturbance observers to estimate mismatched disturbances presumed to originate from an exogenous system. To reduce the influence of mismatched uncertainties, a self-learning disturbance observer-based feedback lin-earization controller is proposed [\[26\]. I](#page-13-22)n paper [\[27\]](#page-13-23) and [\[28\],](#page-13-24) a finite-time disturbance observer is proposed for mismatched disturbances. In paper [\[29\]](#page-13-25) and [\[30\], a](#page-13-26)n adaptive disturbance

<span id="page-1-23"></span><span id="page-1-3"></span><span id="page-1-2"></span>observer is presented. Zhou et al. [\[31\]](#page-13-27) investigated the tracking control for strict-feedback nonlinear system under mismatched disturbances. A backstepping controller is designed, and the disturbance estimates are inserted in the virtual control for mismatched disturbances rejection. However, other significant factors such as dynamic uncertainties and input saturation have not been fully considered in these studies.

<span id="page-1-6"></span><span id="page-1-5"></span>In some industrial applications, the force/position tracking performance has to be as accurate as feasible. This requires the ability to accurately approximate external disturbances and dynamic uncertainties. Consequently, this work proposes a robust hybrid force/position control strategy for robot manipulators with matched and mismatched disturbances, dynamic uncertainties under input saturation. The proposed controller can track trajectories precisely and reinforce the precision of the end-effector's interaction force control. The contributions of this work are composed mainly of the following:

- <span id="page-1-9"></span><span id="page-1-8"></span><span id="page-1-7"></span>• A novel adaptive robust hybrid force/position control (ARHFPC) strategy is proposed for robot manipulator systems subject to unknown matched and mismatched disturbances, dynamic uncertainties and input saturation. A disturbance observer is designed for the tracking control of robot manipulators subject to mismatched disturbances. LuGre friction model is described the friction dynamics. The observer ensures that the estimation error remains bounded and converges to a neighborhood near the origin.
- <span id="page-1-12"></span><span id="page-1-11"></span><span id="page-1-10"></span>• RBFNNs with a new adaptive law are used to approximate the dynamic uncertainties. The proposed controller scheme can simultaneously handle dynamic uncertainties and input saturation for the trajectory tracking of robot manipulators under unknown timevarying disturbances.
- The fuzzy inference system is applied to improve the traditional proportional-integral force controller and enhance the precision of the interaction force control.

This paper is organized as follows. Section  $\Pi$  addresses the dynamic model of robot manipulators under mismatched and matched disturbances, uncertainties and input saturation. In Section [III,](#page-3-0) the design process of the position/force controller based on backstepping and fuzzy techniques is introduced. Stability analysis is given in Section [IV.](#page-5-0) The simulation results are provided in Section  $V$ . Conclusions are given in Section [VI.](#page-12-3)

## <span id="page-1-17"></span><span id="page-1-16"></span><span id="page-1-0"></span>**II. PROBLEM STATEMENT**

## A. DYNAMIC MODEL OF ROBOT MANIPULATOR SYSTEM

<span id="page-1-22"></span><span id="page-1-19"></span><span id="page-1-18"></span>The dynamic model of the n-rigid link serial robot manipulator is described by:

<span id="page-1-20"></span><span id="page-1-1"></span>
$$
M(q)\ddot{q} + C(q,\dot{q})\dot{q} + G(q) + \tau_d + F_f(\dot{q}) = \tau + J^{\mathrm{T}}\lambda.
$$
\n(1)

where  $M(q) \in \mathbb{R}^{n \times n}$  denotes the inertia matrix,  $C(q, \dot{q}) \in$  $\mathbb{R}^{n \times n}$  denotes the Coriolis and Centrifugal force matrix, and  $G(q) \in \mathbb{R}^n$  represents the gravitation torque.  $q =$  $[q_1, \dots, q_n]^\text{T} \in \mathbb{R}^n, \, \dot{q} \in \mathbb{R}^n, \, \ddot{q} \in \mathbb{R}^n$  represent the positions, velocities, and accelerations vectors.  $\tau = [\tau_1, \cdots, \tau_n]^T \in$  $\mathbb{R}^n$  is the actual input vector.  $\tau_d = [\tau_{d1}, \dots, \tau_{dn}]^T \in$  $\mathbb{R}^n$  represents the matched disturbance vector.  $F_f(q)$  =  $[F_{f1}, \cdots, F_{fn}]^T \in \mathbb{R}^n$  represents the joint friction vector.  $\lambda \in \mathbb{R}^m$  represents the interaction force.  $J \in \mathbb{R}^{m \times n}$  denotes the Jacobian matrix. LuGre friction model [\[32\]](#page-13-28) is defined to described the friction dynamics

$$
F_f = \varphi_1 \left(\tanh\left(\gamma_1 \dot{q}\right) - \tanh\left(\gamma_2 \dot{q}\right)\right) + \varphi_2 \tanh\left(\gamma_3 \dot{q}\right) + \varphi_3 \dot{q},\tag{2}
$$

where  $\gamma_1$ ,  $\gamma_2$ ,  $\gamma_3$ ,  $\varphi_1$ ,  $\varphi_2$ ,  $\varphi_3$  are positive parameters.

*Assumption 1: The friction vector and unknown timevarying disturbance are bounded*:

$$
\|F_f(\dot{q})\| \le a_1 + a_2 \, \|\left(\dot{q}\right)\| \, , \, \|\tau_d\| \le a_3. \tag{3}
$$

#### *where a*1, *a*2, *a*<sup>3</sup> *are positive constants.*

The disturbances are generally composed of the matched and mismatched disturbances. Mismatched disturbances are those that not in the same channel as the control input. The matched disturbances are those that in the same channel as the control input. Then, we can rewritten the dynamics model [\(1\)](#page-1-1) with matched and mismatched disturbances as the following joint-space form

$$
\begin{cases} \n\dot{x}_1 = x_2 + d(t) \\ \n\dot{x}_2 = \Xi(x_1, x_2) + \Psi(x_1, x_2) \tau + \Theta(x_2, t) \\ \ny = x_1 \n\end{cases} \tag{4}
$$

where the state vectors  $x_1 \in \mathbb{R}^n$  and  $x_2 \in \mathbb{R}$ *n* represent the joint positions and velocities, respectively, and  $E(x_1, x_2) = -M^{-1}(q) (C(q, \dot{q}) \dot{q} + G(q)), \Psi(x_1, x_2) =$  $M^{-1}(q)$ ,  $\Theta(x_2, t) = -M^{-1}(q)(\tau_d + F_f)$ .  $d(t) =$  $[d_1, \dots, d_n]^T \in \mathbb{R}^n$  represents the unknown time-varying mismatched disturbance.

*Assumption 2: The disturbances d* (*t*) *are bounded, and the condition*  $\lim_{t\to\infty} d(t) = 0$  *holds.* 

For the subsequent work, the dynamic model of the robot manipulator should have the following properties.

*Property 1: M* (*q*) *is a symmetric and positive definite invertible matrix. There exists with the positive constants c*1*, c*<sup>2</sup> *satisfying the following conditions*:

<span id="page-2-4"></span>
$$
c_1 \|e\|^2 \le e^T M (q) e \le c_2 \|e\|^2, \quad \forall e \in \mathbb{R}^n.
$$
 (5)  
Property 2:  $\dot{M} (q) - 2C (\dot{q}, q)$  is a skew-symmetric matrix,

$$
e^T \left[ \dot{M} \left( q \right) - 2C \left( \dot{q}, q \right) \right] e = 0, \quad \forall e \in \mathbb{R}^n. \tag{6}
$$

The precise dynamic parameters such as the inertia and the Coriolis and Centrifugal force is difficult to obtain in practice due to measurement errors and environmental factors. Therefore, the terms  $M(q)$ ,  $C(q, \dot{q})$ ,  $G(q)$  are divided into the nominal terms  $M_0(q)$ ,  $C_0(q, \dot{q})$ ,  $G_0(q)$  and the

uncertain terms  $\Delta M$  (*q*),  $\Delta C$  (*q*, *q*),  $\Delta G$  (*q*), respectively. It can be described as

$$
\begin{cases}\nM(q) = M_0(q) + \Delta M(q) \\
C(q, \dot{q}) = C_0(q, \dot{q}) + \Delta C(q, \dot{q}) \\
G(q) = G_0(q) + \Delta G(q)\n\end{cases} (7)
$$

<span id="page-2-2"></span>*Assumption 3: The uncertain terms are bounded*

<span id="page-2-7"></span>
$$
\|\Delta M(q)\| \le \ell_M, \quad \|\Delta C(q, \dot{q})\| \le \ell_C, \quad \|\Delta G(q)\| \le \ell_G,
$$
\n(8)

*where*  $\ell_M$ ,  $\ell_C$ ,  $\ell_G$  *are positive constants.* 

#### <span id="page-2-6"></span>B. INPUT SATURATION

<span id="page-2-1"></span>Taking into account the physical limitations of the robot manipulator's joint motors, the control input is subject to the input saturation

$$
\tau = \begin{cases} \tau_{\text{max}}, & \text{if } \tau_c \ge \tau_{\text{max}} \\ \tau_c, & \text{if } \tau_{\text{min}} < \tau_c < \tau_{\text{max}} \\ \tau_{\text{min}}, & \text{if } \tau_c \le \tau_{\text{min}}, \end{cases} \tag{9}
$$

where  $\tau_{\text{max}} = [\tau_{1,\text{max}}, \cdots, \tau_{n,\text{max}}]^T$  is the maximum control force or moment and  $\tau_{\min} = [\tau_{1,\min}, \cdots, \tau_{n,\min}]^T$  is the minimum control force or moment provided by motors, respectively.

#### C. NEURAL NETWORK FUNCTION APPROXIMATION

<span id="page-2-5"></span>As neural networks are excellent at approximating nonlinear functions, the nonlinear terms of the robot manipulator are approximated by the RBFNNs. The form of RBFNNs is given as:

<span id="page-2-0"></span>
$$
F(Z) = W^{T} S(Z) , \qquad (10)
$$

where  $F(Z)$  :  $\mathbb{R}^q \rightarrow \mathbb{R}$  represents a nonlinear function,  $Z = [Z_1, \cdots, Z_q]^T \in \mathbb{R}^q \in \Omega_Z$  denotes the input of the neural network,  $\hat{W} = [w_1, \dots, w_l]^T \in \mathbb{R}^l$  rpesents the weight vector,  $l > 1$  describes the network node number, and  $S(Z) = [s_1, \dots, s_l]^T \in \mathbb{R}^l$  represents the Gaussian basis function

$$
s_i(Z) = \exp\left(\frac{-(Z - \beta_i)^T (Z - \beta_i)}{\eta_i^2}\right), \quad i = 1, 2, \cdots, l,
$$
\n(11)

where  $\eta_i$  and  $\beta_i = [\beta_{i1}, \cdots, \beta_{iq}]^T$  are the width and centers of the Gaussian function, respectively.

The equation  $(10)$  can be described as follows

<span id="page-2-3"></span> $\epsilon$ 

$$
F(Z) = W^{*T} S(Z) + \Delta, \qquad (12)
$$

where  $\Delta$  expressed as the error of approximation, which satisfies  $|\Delta| \leq \bar{\Delta}$  with  $\bar{\Delta} > 0$  being a constant. *W*<sup>\*</sup> represents an ideal constant weight which can described as

$$
W^* = \underset{W \in \mathbb{R}^q}{\arg \min} \left\{ \underset{Z \in \Omega_Z}{\sup} \left| F\left(Z\right) - W^{\mathrm{T}} S\left(Z\right) \right| \right\}. \tag{13}
$$

*Control Objective:* This paper aims to propose an adaptive robust hybrid force/position controller for the robot manipulator system described by equation [\(1\)](#page-1-1) with matched and mismatched disturbances, uncertainties and input saturation such that the closed-loop system is bounded under Assumptions [1](#page-2-1)[-3.](#page-2-2) The hybrid controller is expected to exhibit excellent tracking performance, as evidenced by the convergence of the tracking error  $\lim_{t \to \infty} \tilde{x}_1$ ,  $\tilde{\lambda} \to 0$ .

## <span id="page-3-0"></span>**III. DESIGN OF ADAPTIVE ROBUST HYBRID FORCE/POSITION CONTROLLER**

In this section, we develop a novel Adaptive Robust Hybrid Force/Position Control (ARHFPC) scheme for the robot manipulator, aiming to enhance both trajectory tracking performance and the accuracy of the end-effector's interaction force control. The proposed controller comprises several key components: a low-pass filter, a backstepping controller, Radial Basis Function Neural Networks (RBFNNs), a Mismatched Disturbance Observer (MDO), an Auxiliary Dynamic System (ADS), and a Fuzzy Inference System (FIS). The low-pass filter is employed to address the complex derivation problem of the virtual control input. RBFNNs are utilized to approximate dynamic uncertainties, while the MDO is constructed to reject mismatched disturbances. Additionally, an ADS is employed to mitigate the effects of input saturation. Furthermore, a FIS system is applied to enhance the traditional proportional-integral force controller and improve the accuracy of interaction force control. Figure [1](#page-4-0) illustrates the structure of the proposed control scheme.

#### A. POSITION CONTROLLER DESIGN

The position controller is developed step by step using the backstepping technique. Firstly, some auxiliary variables is introduced. Then, the candidate Lyapunov function is presented directly..

*Step 1: The state error is defined as*:

$$
\tilde{x}_1 = x_1 - x_d \,,\tag{14}
$$

*where x<sup>d</sup> is the desired trajectory. The derivative of the state error [\(13\)](#page-2-3) is defined as*

$$
\dot{\tilde{x}}_1 = \alpha - \dot{x}_d \,,\tag{15}
$$

*and the virtual control input is defined as*

$$
\alpha = -K_1 \tilde{x}_1 + \dot{x}_d - \hat{d}(t) , \qquad (16)
$$

*where d*ˆ (*t*) *represents the estimation of d* (*t*)*. The Lyapunov function candidate is defined as*

$$
V_1 = \frac{1}{2}\tilde{x}_1^T \tilde{x}_1.
$$
 (17)

*The following low-pass filter with time constant*  $T_0$  *is applied:* 

$$
\begin{cases}\nT_0 \dot{v}_d + v_d = \alpha \\
v_d(0) = \alpha(0),\n\end{cases}
$$
\n(18)

*where*  $v_d$  *denotes the state of the filter, and*  $\dot{v}_d$  *which is used to replace the*  $\dot{\alpha}$  *can be obtained directly from the filter.* 

*Step 2: Define the state error as*:

$$
\tilde{x}_2 = x_2 - \upsilon_d , \qquad (19)
$$

*Therefore, differentiating the Lyapunov function V*1*, we can obtain*

$$
\dot{V}_1 = -\tilde{x}_1^T \dot{\tilde{x}}_1 \n= -\tilde{x}_1^T K_1 \tilde{x}_1 + \tilde{x}_1^T \tilde{x}_2,
$$
\n(20)

*The Lyapunov candidate function is defined as follows*:

<span id="page-3-3"></span>
$$
V_2 = V_1 + \frac{1}{2}\tilde{x}_2^T M \tilde{x}_2.
$$
 (21)

*According to Property [2,](#page-2-4) the time derivative of*  $V_2$  *can be described as*:

$$
\dot{V}_2 = -\tilde{x}_1^T K_1 \tilde{x}_1 + \tilde{x}_1^T \tilde{x}_2 + \frac{1}{2} \tilde{x}_2^T (\dot{M} - 2C) \tilde{x}_2 \n+ \tilde{x}_2^T (\tau - \tau_d - F_f - C \nu_d - G - M \dot{\nu}_d) \n= -\tilde{x}_1^T K_1 \tilde{x}_1 + \tilde{x}_1^T \tilde{x}_2 + \tilde{x}_2^T (\tau - \tau_d - F_f - C \nu_d - G - M \dot{\nu}_d).
$$
\n(22)

*To handle the dynamic uncertainties, matched disturbances, and joint friction, the RBFNNs are used to approximate*

$$
W^{*T}S(Z) = \Delta M \dot{v}_d + \Delta C v_d + \Delta G + \tau_d + F_f + \varepsilon(Z),
$$
\n(23)

*where*  $Z = [q^T, \dot{q}^T, \nu_d^T, \dot{\nu}_d^T]^T \in \Omega_Z$ ,  $\varepsilon(Z)$  *denotes the approximate error vector and satisfies*  $\|\varepsilon(Z)\| \leq \bar{\varepsilon}$ *. Define*  $\hat{W}$  $\hat{a}$  *as an estimation of*  $W^*$ , the estimation error is  $\tilde{W} = W^* - \hat{W}$ . *Therefore, the following controller is designed*

$$
\tau_s = C_0 \nu_d + G_0 + M_0 \dot{\nu}_d - \tilde{x}_1 - K_2 \tilde{x}_2 + \hat{W}^T S(Z), \quad (24)
$$

*with the adaptive law*:

<span id="page-3-9"></span><span id="page-3-1"></span>
$$
*20c\dot{\hat{W}}_i = -P_i(S_i(Z)\tilde{x}_{2,i} + \sigma_i \hat{W}_i), i = 1, \cdots, n, \qquad (25)
$$

*where*  $P_i > 0$  *and*  $\sigma_i > 0$  *are design parameters.* 

<span id="page-3-4"></span>*The following ADS is formed to settle the influence of input saturation [\[33\]:](#page-13-29)*

*where*  $\Delta \tau = \tau - \tau_c$ ,  $\vartheta = [\vartheta_1, \cdots, \vartheta_n]^T \in \mathbb{R}^n$  represents *the state vector,*  $K_0 = K_0^T \in \mathbb{R}^{n \times n}$  *represents a positive parameter matrix and* ω > 0 *denotes a small positive scalar.*

*Therefore, combining equation [\(24\)](#page-3-1) and [\(26\),](#page-4-1) as shown at the bottom of the next page, the position controller is proposed as follows*

<span id="page-3-6"></span><span id="page-3-5"></span><span id="page-3-2"></span>
$$
\tau_c = \tau_s + \tau_a \,, \tag{27}
$$

<span id="page-3-7"></span>*where*  $\tau_a = K_a \vartheta$ ,  $K_a \in \mathbb{R}^{n \times n}$  is described as a positive gain *matrix.*

*According equation [\(27\),](#page-3-2) we can rewritten the equation [\(22\)](#page-3-3) as follow*

$$
\dot{V}_2 = -\tilde{x}_1^T K_1 \tilde{x}_1 - \tilde{x}_2^T K_2 \tilde{x}_2 + \tilde{x}_2^T \tilde{W}^T S(Z) + \tilde{x}_2^T \varepsilon(Z) + \tilde{x}_2^T K_a \vartheta , \quad (28)
$$

<span id="page-3-8"></span>*where*  $K_1 = diag[k_{11}, \dots, k_{1n}]$  *and*  $K_2 = diag[k_{21}, \dots, k_{2n}]$ *are design positive parameter matrices.*

<span id="page-4-0"></span>

**FIGURE 1.** Scheme of the adaptive robust hybrid force/position controller for robot manipulator.

## B. MISMATCHED DISTURBANCE OBSERVER DESIGN

The time derivative of the state error  $(14)$  is:

$$
\dot{\tilde{x}}_1 = \dot{x}_1 - \dot{x}_d = x_2 + d - \dot{x}_d. \tag{29}
$$

Substituting equation  $(4)$  into equation  $(29)$ , we obtain

$$
\ddot{\tilde{x}}_1 = \dot{x}_2 + \dot{d} - \ddot{x}_d \n= \Xi (x_1, x_2) + \Psi (x_1, x_2) \tau + \dot{d} - \ddot{x}_d \n= \Gamma (x_1, x_2, x_d) + \Psi (x_1, x_2) \tau + \dot{d} ,
$$
\n(30)

where  $\Gamma(x_1, x_2, x_d) = \Xi(x_1, x_2) - \ddot{x}_d$ .

The following observer is proposed for rejecting the influence of mismatched disturbance

$$
\begin{cases} \n\hat{d} = \delta + N \\
\dot{\delta} = -L (\Gamma(x_1, x_2, x_d) + \Psi(x_1, x_2) \tau) - L \hat{d} \n\end{cases}
$$
\n(31)

where  $N = L\dot{\tilde{x}}_1, \delta \in \mathbb{R}^n$  represents the state vector of the observer, and  $L = \text{diag}[l_1, \dots, l_n]$  is a positive gain matrix. Define the disturbance observer error as  $\tilde{d} = d - \hat{d}$ , and its derivative can be obtained

$$
\dot{\tilde{d}} = \dot{d} - \dot{\tilde{d}} \n= \dot{d} - \dot{\delta} - \dot{N} \n= \dot{d} + L (\Gamma (x_1, x_2, x_d) + \Psi (x_1, x_2) \tau) \n+ L\hat{d} - L\ddot{x}_1 \n= \dot{d} - L (\dot{d} - \hat{d}).
$$
\n(32)

<span id="page-4-2"></span>The Lyapunov function candidate is chosen to be

<span id="page-4-3"></span>
$$
V_d = \frac{1}{2}\tilde{d}^{\mathrm{T}}\tilde{d}.\tag{33}
$$

Taking its first time derivative, we can obtain

$$
\dot{V}_d = \tilde{d}^{\mathrm{T}} \left( \dot{d} - L \left( \dot{d} - \hat{d} \right) \right) \n= \tilde{d}^{\mathrm{T}} \dot{d} - \tilde{d}^{\mathrm{T}} L \dot{d} + \tilde{d}^{\mathrm{T}} L \hat{d} .
$$
\n(34)

From the following square inequality

<span id="page-4-5"></span>
$$
\tilde{d}^{\mathrm{T}}\dot{d} \le \varepsilon_1 \tilde{d}^{\mathrm{T}}\tilde{d} + \frac{1}{4\varepsilon_1} \dot{d}^{\mathrm{T}}\dot{d},\tag{35}
$$

$$
-\tilde{d}^{\mathrm{T}}L\dot{d} \le -\varepsilon_2 \tilde{d}^{\mathrm{T}}\tilde{d} - \frac{1}{4\varepsilon_2} \dot{d}^{\mathrm{T}}L^{\mathrm{T}}L\dot{d},\tag{36}
$$

$$
\tilde{d}^{\mathrm{T}} L \hat{d} \le \varepsilon_3 \tilde{d}^{\mathrm{T}} \tilde{d} + \frac{1}{4\varepsilon_3} \hat{d}^{\mathrm{T}} L^{\mathrm{T}} L \hat{d} \,, \tag{37}
$$

<span id="page-4-7"></span>where  $\varepsilon_1$ ,  $\varepsilon_2$ ,  $\varepsilon_3$  are small positive constants. Subsequently, equation [\(34\)](#page-4-3) can be reformed as:

<span id="page-4-6"></span><span id="page-4-4"></span><span id="page-4-1"></span>
$$
\dot{V}_d \le -bV_d + c \,,\tag{38}
$$

where

$$
b = 2(\varepsilon_2 - \varepsilon_1 - \varepsilon_3),\tag{39}
$$

$$
c = \left(\frac{1}{4\varepsilon_1} - \frac{\lambda_{\min}(L^{\mathrm{T}}L)}{4\varepsilon_2}\right)C_d^2 + \frac{\lambda_{\min}(L^{\mathrm{T}}L)}{4\varepsilon_3}\hat{d}^T\hat{d}.\tag{40}
$$

$$
\dot{\vartheta} = \begin{cases}\n-K_0 \vartheta - \frac{\sum_{i=1}^n |\tilde{x}_{2,i} \Delta \tau_i| + 0.5 \Delta \tau^{\mathrm{T}} \Delta \tau}{\|\vartheta\|^2} \vartheta + \Delta \tau, & \|\vartheta\| \ge \omega \\
0_{n \times 1}, & \|\vartheta\| < \omega\n\end{cases}
$$
\n(26)

Then, we have  $\dot{V}_d \leq 0$  by choosing the appropriate parameters. The disturbance estimation error is bounded and converges to a neighborhood near the origin.

## C. FORCE CONTROLLER DESIGN

In some working scenario, the robot manipulator is tasked with trajectory tracking while simultaneously adhering to constraints on the force exerted by the end-effector due to human interaction and environmental factors. To achieve this, selection matrices  $S_1$  and  $S_2$  are commonly employed to designate the control mode for each joint. During the design of the force controller, we aim to keep the Z-axis interaction force λ constrained.

The force controller takes the following form:

$$
\lambda = K_p^* \tilde{\lambda} + K_i^* \int \tilde{\lambda} dt , \qquad (41)
$$

where  $\lambda = \lambda - \lambda_d$  is defined as the interaction force error,  $\lambda_d$  is the desired force.  $K_p^*$  and  $K_i^*$  are proportional gain and integral gain, respectively.

In order to better improve the force tracking effect of the end-effector, we introduce the dynamic adjustable parameter. Then, FIS technique is utilized to adjust the parameters of the force controller. Define  $\lambda$  and  $d\lambda$  as the inputs of the inference system, and  $\Delta K_p$  and  $\Delta K_i$  represent the system outputs. The IF ∼ THEN rule of the FIS is designed as follows:

Rule 
$$
R_{bc}
$$
: IF  $\tilde{\lambda}_r$  is  $B_b$ ,  $d\tilde{\lambda}_r$  is  $C_c$ ,  
THEN  $\Delta K_{ir}$  is  $r_{bc}$ ,  $\Delta K_{pr}$  is  $v_{bc}$ ,

where  $r = 1, 2, \cdots, m$ ,  $B_b(b = 1, 2, \cdots, b_N)$  and  $C_c(c =$ 1, 2,  $\cdots c_N$ ) are the fuzzy sets for  $\tilde{\lambda}_r$  and  $d\tilde{\lambda}_r$ .  $r_{bc}$  and  $v_{bc}$ are described as the central of fuzzy sets for  $\Delta K_{ir}$  and  $\Delta K_{pr}$ . Applying singleton fuzzification, product inference, and center average defuzzification, the final FIS can be obtain

$$
\begin{cases}\n\Delta K_{ir} = \frac{\sum_{b=1}^{b_N} \sum_{c=1}^{c_N} \mu_{B_b}(\tilde{\lambda}_r) \mu_{C_c}(d\tilde{\lambda}_r) r_{bc}}{\sum_{b=1}^{b_N} \sum_{c=1}^{c_N} \mu_{B_b}(\tilde{\lambda}_r) \mu_{C_c}(d\tilde{\lambda}_r)} \\
\Delta K_{pr} = \frac{\sum_{b=1}^{b_N} \sum_{c=1}^{c_N} \mu_{B_b}(\tilde{\lambda}_r) \mu_{C_c}(d\tilde{\lambda}_r) v_{bc}}{\sum_{b=1}^{b_N} \sum_{c=1}^{c_N} \mu_{B_b}(\tilde{\lambda}_r) \mu_{C_c}(d\tilde{\lambda}_r)},\n\end{cases} (42)
$$

where  $\mu_{B_b}(\tilde{\lambda}_r)$  and  $\mu_{C_c}(d\tilde{\lambda}_r)$  are the membership functions in the fuzzy sets  $B_b$  and  $C_c$ , respectively. Subsequently, the follow equation can be obtained:

$$
\begin{cases}\n\hat{K}_{ir} = K_{ir0}^* + \Delta K_{ir} \\
\hat{K}_{pr} = K_{pr0}^* + \Delta K_{pr}\n\end{cases}
$$
\n(43)

where  $K_{ir0}^*$  and  $K_{pr0}^*$  are the initial values of  $K_{ir}^*$ ,  $K_{pr}^*$ .  $K_{i0}^* =$ diag $[K_{i10}^*, \cdots, K_{im0}^*]$ ,  $K_{p0}^* =$  diag  $\left[K_{p10}^*, \cdots, K_{pm0}^*\right]$ ,  $\Delta K_i =$ diag  $[\Delta K_{i1}, \cdots, \Delta K_{im}], \Delta K_p = \text{diag} [\Delta K_{p1}, \cdots, \Delta K_{pm}].$ 

From equation [\(39\),](#page-4-4) and [\(41\),](#page-5-1) the force controller based on the FIS in Cartesian space can be described as:

$$
\lambda = \hat{K}_i \int \tilde{\lambda} dt + \hat{K}_p \tilde{\lambda} , \qquad (44)
$$

$$
\tau_{\lambda} = J^{\mathrm{T}} S_2 \lambda. \tag{45}
$$

Finally, the hybrid force/position controller is proposed as follow:

<span id="page-5-8"></span><span id="page-5-3"></span>
$$
\tau = \tau_c + \tau_\lambda \,,\tag{46}
$$

with the adaptive law  $(25)$  and fuzzy law  $(43)$ . FIS Dynamic Adjustable Parameter Technology introduces force errors into parameter changes, allowing real-time adjustment of the controller's output action.

## <span id="page-5-0"></span>**IV. STABILITY ANALYSIS**

<span id="page-5-1"></span>The following Lyapunov candidate function is selected for the closed-loop system

$$
V = \frac{1}{2}\tilde{x}_1^{\mathrm{T}}\tilde{x}_1 + \frac{1}{2}\tilde{x}_2^{\mathrm{T}}M\tilde{x}_2 + \sum_{i=1}^{n} \tilde{W}_i^{\mathrm{T}}P_i^{-1}\tilde{W}_i + \frac{1}{2}\tilde{d}^{\mathrm{T}}\tilde{d} + \frac{1}{2}\vartheta^{\mathrm{T}}\vartheta.
$$
 (47)

From equation  $(28)$ , and  $(34)$ , the time derivative of  $(47)$  can be obtained

$$
\dot{V} = -\tilde{x}_1^T K_1 \tilde{x}_1 - \tilde{x}_2^T K_2 \tilde{x}_2 + \tilde{x}_2^T \tilde{W}^T S(Z) + \tilde{x}_2^T \varepsilon(Z) \n+ \tilde{x}_2^T K_a \vartheta - \sum_{i=1}^n \tilde{W}_i^T (S_i(Z) \tilde{x}_{2,i} + \sigma_i \tilde{W}_i) \n+ \tilde{d}^T \dot{d} - \tilde{d}^T L \dot{d} + \tilde{d}^T L \hat{d} + \vartheta^T \dot{\vartheta}.
$$
\n(48)

By using Young's inequality, we can achieve:

<span id="page-5-7"></span><span id="page-5-4"></span>
$$
\tilde{x}_2^T \varepsilon(Z) \le \frac{1}{2} \tilde{x}_2^T \tilde{x}_2 + \frac{1}{2} \bar{\varepsilon}^2,\tag{49}
$$

<span id="page-5-5"></span>
$$
\tilde{x}_2^T K_a \vartheta \le \frac{1}{2} \tilde{x}_2^T \tilde{x}_2 + \frac{1}{2} \vartheta^T K_a^T K_a \vartheta, \tag{50}
$$

<span id="page-5-6"></span>
$$
-\sigma_i \tilde{W}_i^{\mathrm{T}} \hat{W}_i = -\sigma_i \tilde{W}_i^{\mathrm{T}} \tilde{W}_i - \sigma_i \tilde{W}_i^{\mathrm{T}} W_i^*
$$
  
\n
$$
\leq -\frac{\sigma_i}{2} \tilde{W}_i^{\mathrm{T}} \tilde{W}_i + \frac{\sigma_i}{2} W_i^{*\mathrm{T}} W_i^*.
$$
 (51)

(1) If the condition  $||\vartheta|| \geq \omega$  set, considering equation [\(26\)](#page-4-1) and the above inequality, we have:

$$
\vartheta^T \dot{\vartheta} = -\vartheta^T K_0 \vartheta - \sum_{i=1}^n |\tilde{x}_{2,i} \Delta \tau_i| - 0.5 \Delta \tau^T \Delta \tau + \vartheta^T \Delta \tau
$$
  

$$
\leq -\vartheta^T (K_0 \vartheta - 0.5 I_{n \times n}) \vartheta - \sum_{i=1}^n |\tilde{x}_{2,i} \Delta \tau_i|. \tag{52}
$$

<span id="page-5-2"></span>Then, according to equations  $(35)-(37)$  $(35)-(37)$ , equations  $(49)-(51)$  $(49)-(51)$ , and equation  $(52)$ , we can rewrite the equation  $(48)$  as follows:

$$
\dot{V}
$$

$$
\leq -\tilde{x}_1^T K_1 \tilde{x}_1 - \tilde{x}_2^T K_2 \tilde{x}_2 + \tilde{x}_2^T \tilde{x}_2 + \frac{1}{2} \vartheta^T K_a^T K_a \vartheta
$$
  
\n
$$
- \frac{\sigma_i}{2} \tilde{W}_i^T \tilde{W}_i - (\varepsilon_2 - \varepsilon_1 - \varepsilon_3) \tilde{d}^T \tilde{d} - \vartheta^T (K_0 \vartheta
$$
  
\n
$$
- 0.5 I_{n \times n}) \vartheta + \frac{\sigma_i}{2} W_i^{*T} W_i^* - \sum_{i=1}^n |\tilde{x}_{2,i} \Delta \tau_i|
$$
  
\n
$$
+ \tilde{d}^T (\frac{1}{4\varepsilon_1} - \frac{L^T L}{4\varepsilon_2}) \dot{d} + \tilde{d}^T \frac{L^T L}{4\varepsilon_3} \hat{d} + \frac{1}{2} \bar{\varepsilon}^2
$$

$$
\leq -\lambda_{\min}(K_1)\tilde{x}_1^T\tilde{x}_1 - \frac{\lambda_{\min}(K_2) - 1}{\lambda_{\max}(M)}\tilde{x}_2^T\tilde{x}_2 - \frac{\sigma_i}{2}\tilde{W}_i^T\tilde{W}_i
$$

$$
- (\varepsilon_2 - \varepsilon_1 - \varepsilon_3)\tilde{d}^T\tilde{d} - \left[\lambda_{\min}(K_0 - \frac{1}{2}K_a^TK_a) - \frac{1}{2}\right]\vartheta^T\vartheta
$$

$$
+ \frac{\sigma_i}{2}W_i^{*T}W_i^* + (\frac{1}{4\varepsilon_1} - \frac{\lambda_{\min}(L^TL)}{4\varepsilon_2})C_d^2
$$

$$
+ \frac{\lambda_{\min}(L^TL)}{4\varepsilon_3}\tilde{d}^T\hat{d} + \frac{1}{2}\bar{\varepsilon}^2
$$

$$
\leq -\zeta_1V + \nabla_1
$$
(53)

where  $\lambda_{\min}(\cdot)$  and  $\lambda_{\max}(\cdot)$  are the matrix's minimum and maximum eigenvalues, and

$$
\zeta_{1} = \min \left[ 2\lambda_{\min}(K_{1}), \frac{2\lambda_{\min}(K_{2}) - 2}{\lambda_{\max}(M)}, -\frac{2\sigma_{i}}{\lambda_{\max}(P_{i})}, \frac{2(z_{2} - \varepsilon_{1} - \varepsilon_{3}), \lambda_{\min}(2K_{0} - K_{d}^{T}K_{d}) - 1}{\lambda_{\min}^{T}K_{d}^{*}} \right],
$$
  

$$
\nabla_{1} = \frac{\sigma_{i}}{2} W_{i}^{*T} W_{i}^{*} + (\frac{1}{4\varepsilon_{1}} - \frac{\lambda_{\min}(L^{T}L)}{4\varepsilon_{2}}) C_{d}^{2}
$$

$$
+ \frac{\lambda_{\min}(L^{T}L)}{4\varepsilon_{3}} \hat{d}^{T} \hat{d} + \frac{1}{2} \bar{\varepsilon}^{2}.
$$
 (54)

Solving the inequality  $(53)$ , we obtain:

$$
0 \le V(t) \le \frac{\nabla_1}{\zeta_1} + \left[V(0) - \frac{\nabla_1}{\zeta_1}\right] e^{-\zeta_1 t}.\tag{55}
$$

(2) If the condition  $\|\theta\| < \omega$  set, based on [\(26\)](#page-4-1) and Young's inequality, we have

$$
\vartheta^T \dot{\vartheta} = 0. \tag{56}
$$

According to equations  $(35)-(37)$  $(35)-(37)$ , equations  $(49)-(51)$  $(49)-(51)$ , and equation  $(56)$ , we can rewrite the equation  $(48)$  as follows:

$$
\dot{V} \le -\lambda_{\min}(K_1)\tilde{x}_1^T\tilde{x}_1 - \frac{\lambda_{\min}(K_2) - 1}{\lambda_{\max}(M)}\tilde{x}_2^T\tilde{x}_2 - \frac{\sigma_i}{2}\tilde{W}_i^T\tilde{W}_i
$$

$$
- (\varepsilon_2 - \varepsilon_1 - \varepsilon_3)\tilde{d}^T\tilde{d} + \left[\lambda_{\min}(\frac{1}{2}K_d^T K_a)\right]\vartheta^T\vartheta
$$

$$
+ \frac{\sigma_i}{2}W_i^*{}^T W_i^* + (\frac{1}{4\varepsilon_1} - \frac{\lambda_{\min}(L^T L)}{4\varepsilon_2})C_d^2
$$

$$
+ \frac{\lambda_{\min}(L^T L)}{4\varepsilon_3}\hat{d}^T\hat{d} + \frac{1}{2}\bar{\varepsilon}^2
$$

$$
\le -\zeta_2 V + \nabla_2, \tag{57}
$$

where

$$
\zeta_2 = \min\left[2\lambda_{\min}(K_1), \frac{2\lambda_{\min}(K_2) - 2}{\lambda_{\max}(M)}, -\frac{2\sigma_i}{\lambda_{\max}(P_i)},\right]
$$
  

$$
2(\varepsilon_2 - \varepsilon_1 - \varepsilon_3), \lambda_{\min}(K_d^{\mathrm{T}}K_a)\right],
$$
  

$$
\nabla_2 = \frac{\sigma_i}{2}W_i^{\ast \mathrm{T}}W_i^{\ast} + (\frac{1}{4\varepsilon_1} - \frac{\lambda_{\min}(L^{\mathrm{T}}L)}{4\varepsilon_2})C_d^2
$$
  

$$
+ \frac{\lambda_{\min}(L^{\mathrm{T}}L)}{4\varepsilon_3}\hat{d}^{\mathrm{T}}\hat{d} + \frac{1}{2}\bar{\varepsilon}^2.
$$
 (58)

Solving the inequality  $(57)$ , we obtain:

$$
0 \le V(t) \le \frac{\nabla_2}{\zeta_2} + \left[ V(0) - \frac{\nabla_2}{\zeta_2} \right] e^{-\zeta_2 t}.
$$
 (59)

<span id="page-6-6"></span>**TABLE 1.** Design parameters of the controllers.

Controller	Parameter	Value
<b>ARHFPC</b>	$K_0, K_1, K_2$	$diag[2, 2, 2]$ , $diag[300, 300, 200]$ , $diag[300, 300, 200]$
	$L, T_0, K_a, l$	$diag[1, 1, 1], 0.01, diag[1, 1, 1], 60$
	$n_i, P_i, \sigma_i$	3, 300, 0.02
	$k_e, K^*_{pr0}, K^*_{ir0}$	100, 10, 5
PD.	$k_p, k_d$	200, 150
<b>SMC</b>	$k_{s1}, k_{s2}$	$diag[10, 10, 10]$ , $diag[15, 15, 15]$
Back-stepping	$k_{h1}$ , $k_{h2}$	$diag[50, 50, 50]$ , $diag[45, 45, 45]$

<span id="page-6-7"></span><span id="page-6-0"></span>**TABLE 2.** The fuzzy rules of  $\Delta K_p$ .



<span id="page-6-8"></span><span id="page-6-3"></span>TABLE 3. The fuzzy rules of  $\Delta K_{\bm{j}}.$ 



<span id="page-6-1"></span>Subsequently, the following theorem summarizes the main results.

*Theorem 1: Consider the robot manipulator model [\(1\)](#page-1-1) with Assumptions [1-](#page-2-1)[3,](#page-2-2) the hybrid force/position controller [\(46\)](#page-5-8) with the virtual control signal in [\(16\),](#page-3-7) the first order filter [\(18\),](#page-3-8) the adaptive law [\(25\),](#page-3-5) the ADS [\(26\),](#page-4-1) and the mismatched disturbances observer [\(31\).](#page-4-7) If the condition*  $V(0) \leq C_0$  *with*  $C_0$  *being any positive constant hold, all the signals of the closed-loop control system remain bounded, the force and position tracking errors and observer errors remain in a neighborhood around origin.*

<span id="page-6-2"></span>*Proof:* Synthesizing equation [\(54\)](#page-6-3) and equation [\(59\)](#page-6-4) yield

<span id="page-6-5"></span>
$$
0 \le V(t) \le \frac{\nabla}{\zeta} + \left[V(0) - \frac{\nabla}{\zeta}\right] e^{-\zeta t}, \tag{60}
$$

<span id="page-6-4"></span>where  $\zeta = \min[\zeta_1, \zeta_2]$  and  $\nabla = \min[\nabla_1, \nabla_2]$ . According to equation [\(60\),](#page-6-5)  $V(t)$  is bounded if  $V(0) \leq C_0$  hold. The Lyapunov function  $V(t)$  is locally UUB. Therefore,  $\tilde{x}_1$ ,  $\tilde{x}_2$ , *W*, *d*, and  $\vartheta$  are locally UUB according to equation [\(47\).](#page-5-3) Furthermore, the conclusion  $\lim_{t\to\infty}\tilde{\lambda} = 0$  is obtained by the boundedness of  $q$  and  $\dot{q}$ . Therefore, the force tracking error  $\lambda$  is also bounded and converges near a neighborhood around origin. As a result, in this closed-loop control system all signals are locally UUB.  $\Box$ 

<span id="page-7-4"></span>

FIGURE 2. Mismatched disturbances  $\boldsymbol{d}$  and their estimate values  $\hat{\boldsymbol{d}}$ .

*Remark 1: Controller gains K*1*, K*<sup>2</sup> *typically lead to faster error convergence, as they increase the control effort in response to the error signals*  $\tilde{x}_1$ *,*  $\tilde{x}_2$ *. The parameter*  $K_0$ *, K<sup>a</sup> represents an additional control gain term. Adjusting its value can influence the control performance, particularly in situations where there are uncertainties. L terms affect the accuracy of disturbance observation and the ability of the controller to reject disturbances.*

#### <span id="page-7-0"></span>**V. SIMULATION RESULTS AND DISCUSSION**

The PUMA560 robot is employed to evaluate the performance of the proposed controller in this section. The first three joints is only considered for simplicity in analyzing. The detail robot parameters are given in the work [\[34\]. T](#page-13-30)he parameters of friction model [\(2\)](#page-2-6) are chosen as  $\varphi_1 = 0.2$ ,  $\gamma_1 = 1, \varphi_2, \varphi_3 = 0.5, \gamma_2, \gamma_3 = 0.5.$ 

The RBFNNs' function centers  $\beta_i$  are evenly spaced in the range  $[-2, 2] \times [-2, 2] \times$  $[-2, 2] \times [-2, 2] \times$  $[-2, 2]$ . The desired interaction force is selected as  $\lambda_d = 20$ N. The distance between the origin of the inertial reference frame and the Z-axis surface is considered as:  $x_e = 1$ m. Then,

<span id="page-7-5"></span>

**FIGURE 3.** The tracking performance comparison under scenario 1.

the end-effector's interaction force is described as  $\lambda = k_e$  $(x<sub>z</sub> - x<sub>e</sub>)$  and the selection matrix is chosen as  $S<sub>2</sub> =$ diag<sup>[0, 0, 1]</sup>, respectively.

We make a comparison to illustrate the ARHFPC controller performance with the PD controller [\(61\),](#page-7-1) SMC controller [\(62\),](#page-7-2) and backstepping controller [\(63\)](#page-7-3) to illustrate the performance. All design parameters of the proposed controller are outlined in the Table [1.](#page-6-6) The joint saturation limits are set to  $[\pm 50N.m, \pm 50N.m, \pm 30N.m]$ . In the force controller term, we define the N (negative), Z (zero), P (positive) as the fuzzy subsets, respectively. The fuzzy rules for  $\Delta K_p$  and  $\Delta K_i$  are presented in Table [2](#page-6-7) and Table [3,](#page-6-8) respectively.

<span id="page-7-6"></span><span id="page-7-1"></span>
$$
\tau_{pd} = -k_p \tilde{x}_1 - k_d \dot{\tilde{x}}_1.
$$
\n(61)  
\n
$$
\begin{cases}\n\tau_{sm} = M(\ddot{x}_d - k_{s2} \dot{\tilde{x}}_1) + C(x_2 - s) + G - k_{s2} sgn(s) \\
s = \dot{\tilde{x}}_1 + k_{s1} \tilde{x}_1. \n\end{cases}
$$
\n(62)

<span id="page-7-3"></span><span id="page-7-2"></span>
$$
\tau_{bs} = M\ddot{x}_d + (C - k_{b2} - Mk_{b1})x_2 + G - (k_{b1}k_{b2} + I)\tilde{x}_1 + (Mk_{b1} + k_{b2})\dot{x}_d.
$$
 (63)

<span id="page-8-0"></span>

**FIGURE 4.** Joint position tracking errors under scenario 1.

We choose desired trajectories, unknown time-varying mismatched disturbances as

$$
x_d = [x_{d1}, x_{d2}, x_{d3}]^T = \begin{bmatrix} 1.5\sin(0.2t) \\ 1.5\sin(0.1t) \\ 1.5\sin(0.5t) \end{bmatrix},
$$
(64)

$$
d(t) = [d_1, d_2, d_3]^{\mathrm{T}} = \begin{bmatrix} \cos(2.5t) - 2\cos(3.5t) \\ 2\cos(3.5t) - \sin(2.5t) \\ \sin(2.5t) + 2\cos(3.5t) \end{bmatrix}.
$$
 (65)

Figure [2](#page-7-4) show the estimation of the mismatched disturbances. It is obviously shown that the MDO can quickly track unknown mismatched disturbances. We design three scenarios to illustrate performance of the proposed controller.

## A. TRACKING WITH SMALL EXTERNAL DISTURBANCES

In the first simulation, the following small time-varying disturbances

$$
\tau_d = [\tau_{d1}, \tau_{d2}, \tau_{d3}]^{T} = \begin{bmatrix} 1.5 \cos(4.5t) \\ 1.5 \sin(3.5t) \\ 1.5 \sin(4.5t) \end{bmatrix}
$$
 (66)

was applied. The position tracking performance of joints 1-3 under the controllers are shown in Figure [3.](#page-7-5) Figure [4](#page-8-0) shows

<span id="page-8-1"></span>

**FIGURE 5.** Control effort of the different controllers under scenario 1.

<span id="page-8-2"></span>

**FIGURE 6.** Interaction force tracking under scenario 1.

the position tracking errors. Observing Figures [3](#page-7-5) and [4,](#page-8-0) we notice that all four controllers are capable of swiftly tracking the desired signal. However, the PD controller exhibits the poorest tracking performance when small disturbances occur. In contrast, the SMC controller outperforms the PD

<span id="page-9-0"></span>

**FIGURE 7.** Force tracking errors under scenario 1.

<span id="page-9-1"></span>

**FIGURE 8.** The tracking performance comparison under scenario 2.

controller in terms of tracking performance. Notably, both the ARHFPC and Back-stepping controllers demonstrate superior performance. The control efforts exerted by each joint for the controllers are depicted in Figure [5,](#page-8-1) indicating that the proposed ARHFPC controller provides continuous

<span id="page-9-2"></span>

**FIGURE 9.** Joint position tracking errors under scenario 2.

control input. Figure [6](#page-8-2) and Figure [7](#page-9-0) show the interaction force tracking and tracking errors. Combining Figure [3](#page-7-5) to Figure [7,](#page-9-0) based on the proposed controller, we can obtain that it has minor tracking errors and better control performance when the system is affected by small disturbances.

## B. TRACKING WITH LARGE EXTERNAL DISTURBANCES A large time-varying disturbances

$$
\tau_d = [\tau_{d1}, \tau_{d2}, \tau_{d3}]^{T} = \begin{bmatrix} 5\cos(4.5t) \\ 5\sin(3.5t) \\ 5\sin(4.5t) \end{bmatrix}
$$
(67)

are applied to the system. It is clear that the large time-varying disturbances has an enormous influence on the performance of four controllers. Figure [8-](#page-9-1)Figure [9](#page-9-2) show position tracking performance and tracking errors. Figure [11-](#page-10-0)Figure [12](#page-10-1) show force tracking performance and tracking errors, respectively. According to the above figures, the PD and SMC offer worse force and position tracking performance. The benefits of the NN term compensation are significant in conditions where the large time-varying disturbances exist. Low steady-state errors and fast transient response are provided by the ARHFPC. In addition, it also provides a continuous control

<span id="page-10-2"></span>

**FIGURE 10.** Control effort of the different controllers under scenario 2.

<span id="page-10-0"></span>

**FIGURE 11.** Interaction force tracking under scenario 2.

input from Figure [10.](#page-10-2) The proposed controller still maintains good control performance, and the tracking errors can meet the actual expectation.

## C. TRACKING WITH DYNAMIC UNCERTAINTIES

This scenario is designed to illustrate the robustness of the ARHFPC controller by considering the dynamic

<span id="page-10-1"></span>

**FIGURE 12.** Force tracking errors under scenario 2.

<span id="page-10-3"></span>

**FIGURE 13.** The tracking performance comparison under scenario 3.

uncertainties. The uncertainties terms are selected as:  $\Delta M =$ 0.4*M*,  $\Delta C = 0.5C$ ,  $\Delta G = 0.2G$ . Figure [13](#page-10-3) to Figure [14](#page-11-0) clearly demonstrate that the proposed ARHFPC controller is still has good tracking accuracy for the desired position. The proposed ARHFPC controller also provides a continuous

<span id="page-11-0"></span>

**FIGURE 14.** Joint position tracking errors under scenario 3.

<span id="page-11-3"></span>



control input from Figure [15.](#page-11-1) Figure [16](#page-11-2) to Figure [17](#page-12-4) show that the force tracking performance is also well guaranteed. The updating process of the adaptive of RBFNN weights is given in Figure [18.](#page-12-5)

For the purpose of comparing the results of the above controllers, the tracking errors is quantified by considering the following performance indices are proposed as following

$$
I_{RSE}
$$

$$
= \frac{1}{N} \sum_{i=1}^{N} \sqrt{\|e_1(k)\|^2 + \|e_2(k)\|^2 + \|e_3(k)\|^2 + \left\|\tilde{\lambda}(k)\right\|^2},\tag{68}
$$

where  $e_i$ ,  $i = 1, 2, 3$  is the tracking error of three joints. And the comparison results are drawn in Table [4.](#page-11-3)

<span id="page-11-1"></span>

**FIGURE 15.** Control effort of the different controllers under scenario 3.

<span id="page-11-2"></span>

**FIGURE 16.** Interaction force tracking under scenario 3.

From Table [4,](#page-11-3) it is evident that the proposed controller achieves smaller indices in all scenarios, indicating superior control performance. This improvement can be attributed to the introduced MDO and NN terms compensations,

<span id="page-12-4"></span>

**FIGURE 17.** Force tracking errors under scenario 3.

<span id="page-12-5"></span>

**FIGURE 18.** The updating process of the adaptive of RBFNN weights.

facilitating the rapid convergence of estimated mismatched disturbances and uncertainties towards their actual values.

Overall, the proposed ARHFPC controller demonstrates the best performance in terms of steady-state error, transient response, force, and position tracking accuracy, as evident from the comparison results provided above.

## <span id="page-12-3"></span>**VI. CONCLUSION**

The main objective is to address the hybrid force/position control problem for robot manipulators subject to dynamic uncertainties, matched and mismatcheded disturbances, and input saturation. Firstly, the position controller is developed using backstepping technique. Then, the first-order lowpass filter and the ADS are synthesized into the controller to overcome the virtual control's complex derivative operation and handle the input saturation effect, respectively. Additionally, the force controller for the end-effector's interaction with the environment is enhanced using Fuzzy Proportional-Integral (FPI) control. Due to the approximation capacity of the RBFNNs, it is utilized to compensate for the effects of matched disturbances, joint friction and dynamic uncertainties. External disturbances consist of matched and unmatched disturbances. Since the robot manipulators are impacted by numerous disturbances during operation that reduce the system control performance, an observer is designed specifically for the mismatched disturbances, aiming to improve control accuracy by accurately estimating these disturbances and mitigating their effects on the system states. It can provide that all the control signals of the whole system are asymptotically stable by Lyapunov stable theroy. The proposed approach achieves better tracking performance in terms of matched and mismatched disturbance rejection, uncertainties and input saturation. It indicates the best performance in terms of steady state error, transient response, force and positions tracking accuracy from the above comparison results. The limitations of the proposed method are that the matched and mismatcheded disturbances are assumed to be continuously conductible. For future work, we will investigate the influences of sensor faults on the system and make a compensation for it in the course of operation.

## **APPENDIX**

## **LIST OF ABBREVIATIONS**



#### **LIST OF SYMBOLS**



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