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RESEARCH ARTICLE

Hybrid Machine Learning Model for Efficient Botnet Attack Detection in IoT Environment

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ABSTRACT Cyber attacks are growing with the rapid development and wide use of internet technology. Botnet attack emerged as one of the most harmful attacks. Botnet identification is becoming challenging due to the numerous attack vectors and the ongoing evolution of viruses. As the Internet of Things (IoT) technology is developing rapidly, many network devices have been subject to botnet attacks leading to substantial losses in different sectors. Botnets pose serious risks to network security and deep learning models have shown potential for efficiently identifying botnet activity from network traffic data. In this research, a botnet identification system is proposed based on the stacking of artificial neural network (ANN), convolutional neural network (CNN), long short-term memory (LSTM), and recurrent neural network (RNN) (ACLR). The experiments are conducted by employing both the individual models, as well as, the proposed ACLR model for performance comparison. The UNSW-NB15 dataset is used for botnet attacks and contains nine different attack types including 'Normal', 'Generic', 'Exploits', 'Fuzzers', 'DoS', 'Reconnaissance', 'Analysis', 'Backdoor', 'Shell code' and 'Worms'. Experimental results indicate the proposed ACLR model gains 0.9698 testing accuracy showing that it is successful in capturing the intricate patterns and characteristics of botnet attacks. The proposed ACLR model's k values (3, 5, 7, and 10) for a K-fold cross-validation accuracy score is 0.9749 indicating that the model's robustness and generalizability are demonstrated by $k = 5$. In addition, the proposed model detects botnets with a high receiver operating characteristic area under the curve (ROC-AUC) of 0.9934 and a precision-recall area under the curve (PR-AUC) of 0.9950. Performance comparison with existing state-of-the-art models further corroborates the superior performance of the proposed approach. The results of this research can be helpful against evolving threats and enhance cyber security procedures.

INDEX TERMS Botnet attack detection, stacking, cyber-attacks, stacked ensemble, deep learning, IoT.

I. INTRODUCTION

The rise of Internet technology led to its rapid and wide adoption by the masses for daily, social, cultural, and institutional activities. Similar to other technologies, the internet also has negative uses where people are targeted to steal their money or personal information. Botnet attack

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detection is a crucial component of cyber security, largely because it aids in preventing and reducing a variety of online security risks. Security experts can protect networks and data from harmful activities, such as distributed denial of service (DDoS) assaults, data breaches, and malware distribution, by identifying and destroying botnets. Early identification not only minimizes possible damage but also preserves network effectiveness and user confidence in digital services. Additionally, it promotes cyber resilience,

guarantees adherence to legal and regulatory standards, and supports innovation in the continuous struggle against globally advancing cyber threats. Deep learning-based botnet detection uses powerful machine learning models to quickly find and categorize harmful botnet activity in the network data. Quickly identifying and counteracting the cyber dangers posed by botnets, aids organizations in protecting their systems and data. Such attacks have happened on both individuals and groups in a variety of ways to obtain financial advantage. One of the most well-known forms of assault is ransomware, which targets a person and locks their data until they pay the ransom demanded by the attacker. The attackers utilize botnets to assault huge organizations. Due to its success in fending off the growing menace of botnets, botnet detection employing deep learning algorithms has attracted a lot of interest recently.

Network traffic analyzers based on deep learning have become an effective tool for spotting and reducing botnet activity. These analyzers use deep learning models to automatically extract pertinent information from unprocessed packet data. The first few packets in a flow's headers are specifically extracted and examined to look for patterns and traits typical of botnet traffic. Using convolutional neural network (CNN) and autoencoder, it is possible to identify malicious botnet traffic independent of the architecture of the underlying botnet [\[1\]. A](#page-15-0)utoencoders are used to teach the network how to rebuild its input to learn the fundamental form of network traffic data. This method aids in spotting peculiar patterns that point to botnet activity. By identifying spatial connections and hierarchical representations, CNNs on the other hand excel in the analysis of structured data, such as network traffic. Researchers and practitioners in the field of botnet detection have made tremendous progress in identifying and reducing botnet risks because of the strength of deep learning algorithms. These techniques have produced encouraging results in precisely classifying and identifying botnet traffic, allowing for proactive defenses against botnet attacks [\[2\].](#page-15-1)

Botnet identification using deep learning algorithms has proven to be a promising method for lessening the threat of botnets. It has been suggested that deep learning-based network traffic analyzers can successfully detect and counteract botnet activity. Bidirectional long-short-term memory recurrent neural networks (BLSTM-RNN) are a famous example of how deep learning is being used in botnet identification. BLSTM-RNN models are well suited for analyzing network traffic and spotting trends connected to botnet activity because they are excellent at collecting both the past and future context of sequential data $[3]$. There are various benefits to using deep learning algorithms for botnet identification. First of all, these algorithms are capable of learning and adapting automatically to the changing characteristics of botnets, allowing them to recognize novel and previously unknown botnet behaviors. Second, these algorithms can find hidden patterns and anomalies that would not be noticeable using conventional detection techniques by extracting characteristics from raw data packets. Deep learning models can also handle enormous amounts of network traffic data quickly, making it possible to detect botnet activity in real-time or almost real-time. The security and integrity of networks and devices depend on the ability to detect botnet activity. Because they can be used for spam distribution, distributed denial of services (DDoS) attacks, and theft of data, botnets offer a serious threat. By utilizing deep learning algorithms in botnet detection, researchers and practitioners expect to increase the accuracy and efficacy of detection approaches and enable proactive protection strategies against botnet attacks [\[4\].](#page-15-3)

The topic of botnet identification is facing additional difficulties as a result of the proliferation of Internet of Things (IoT) devices. The possible presence of a few devices becoming infected by botnet viruses can have disastrous effects because there are billions of connected devices worldwide. The size and diversity of IoT networks present challenges for traditional botnet detection methods, underscoring the necessity for cutting-edge solutions. Deep learning techniques for botnet identification have become more popular in this field. The challenge of botnet detection in IoT networks is to efficiently detect and reduce the presence of botnets. This problem is solved by deep learning algorithms, which automatically extract relevant features from unprocessed packets [\[5\]. To](#page-15-4) properly detect new botnet behaviors, however, the detection models must be capable to adapt and update in the present as botnets continue to develop and adopt complex evasion strategies.

Real-time or nearly real-time detection of botnet activity is made possible by deep learning algorithms' capacity to handle massive volumes of network traffic data effectively. Scalable deep learning architectures that can manage the high-dimensional and dynamic nature of IoT traffic data are difficult to develop and put into practice. The generalization of detection models across various botnet topologies and variants is the focus of the problem statement. To ensure robustness and adaptability in the face of changing botnet threats, deep learning algorithms must be able to learn and detect botnet activities regardless of the underlying network structure [\[6\].](#page-15-5)

Deep learning techniques for botnet identification have several benefits. They offer automatic and perceptive systems to deal with the diversifying and increasingly sophisticated dangers posed by botnets. These methods have the potential to accurately detect both well-known and newlydiscovered botnet activity by utilizing deep neural networks. Additionally, the ability to extract features from packet headers enables effective analysis of network data, making it possible to detect botnet behaviors in real-time [\[7\]. Ho](#page-15-6)wever, there are still issues with the creation and application of these suggested alternatives. Deep learning techniques are currently being modified to handle encrypted traffic and changing botnet structures. Further research is needed in the areas of generalizability of detection models across various network settings and handling the dynamic nature of botnet

behaviors [\[8\]. In](#page-15-7) this regard, this research proposes a stacked model and makes the following primary contributions:

- • This research proposes a stacking model ACLR for botnet attack identification to improve security measures for IoT systems. The proposed model utilizes the strengths of artificial neural network (ANN), convolutional neural network (CNN), long short-term memory (LSTM), and recurrent neural network (RNN).
- Experiments involve the classification of several attack types, including common ones like normal and generic as well as worms, backdoors, shell code, fuzzers, DoS, reconnaissance, and analysis. For experiments, the dataset is preprocessed involving the removal of null values and label encoding for categorical values necessary for training machine learning models.
- The efficacy of the proposed approach is meticulously assessed through a comprehensive set of widely recognized performance evaluation metrics, encompassing accuracy, precision, recall, and the F1 score. In order to add further resilience to the results, the performance is thoroughly verified using k-fold cross-validation, with k values of 3,5, 7, and 10. Moreover, to evaluate the discriminative power of the model, the receiver operating characteristic area under the curve (ROC-AUC) metric is also utilized. In addition, performance comparison with state-of-the-art models is also carried out.

The preceding research is distributed into the following section: Section [II](#page-2-0) presents the literature review of the current research works and technologies. Section [III](#page-4-0) introduces the overall research approach, describing the data collection methods and discussing the data analysis techniques. Section [IV](#page-10-0) shows the results and discussion of the proposed approach. Section [V](#page-14-0) presents the conclusion and establishes a connection to the broader context.

II. RELATED WORK

Attacks on computer networks can read, damage, and steal data, which has a devastating impact on how well the system performs as a whole. Pre-intrusion actions like port scanning and IP spoofing come before attacks. Attacks are discovered by keeping track of data from source and destination IP addresses, ports, protocol specifics, header specifics, etc. [\[9\]. A](#page-15-8)ttacks can be divided into two categories: passive and active, depending on their nature. The passive attack may be network- or system-based, with the attacker covertly monitoring the network to obtain private data. Monitoring passive attacks might be tricky. Active attackers bypass all security precautions and gain access to networks by taking advantage of security flaws, posing as a reliable system, or stealing credentials.

A. CYBER SECURITY

To recognize and reduce one of the biggest hazards to systems connected to the internet, cyber security is a crucial duty. Botnets are collections of hacked computers that are coordinated by a master host and used for malicious

purposes like spam distribution, DDoS, and data theft. Traditional botnet detection techniques, like anomaly-based identification and signature-based approaches, have trouble identifying unknown botnets, encrypted traffic, and complex evasion strategies used by attackers. Deep learning methods, however, present a more promising strategy for overcoming these difficulties.

The authors [\[10\]](#page-15-9) were the first to employ machine learning for botnet traffic detection. They utilized a CNN model in that regard. Experimental results show that the accuracy of the training set is 98.62%, the loss is 4.74% and training takes an average of 32 seconds for each epoch. Accuracy is 99.57%, loss is 1.74% and test duration is 10 seconds per epoch for the test set.

The largest and most destructive internet cybercrimes have involved DDoS attacks. The Mirai botnet was one of the most well-known instances of a DDoS assault using the IoT. A DDoS attack is a kind of cyber-attack in which a hacker temporarily subjugates several compromised systems to attack a particular target and sends concurrent requests to a server for a specific service, overwhelming the server and convincing it to disregard real requests from end users. To create and disseminate a network of robots (botnets) made up of the afflicted IoT devices (bots), Mirai is a piece of malware that infects IoT devices. The attacker (the ''botmaster'') then instructs the bots to take part in DDoS attacks on Internet targets using a command and control (C&C) server. The research [\[11\]](#page-15-10) presented a bidirectional LSTM (BLSTM-RNN) approach for botnet attack detection. To determine whether the BLSTM-RNN's incorporation of contextual data received from the past and future may lead to higher accuracy, the model was compared against a unidirectional LSTM-RNN. With 99%, 98%, and 98% validation accuracy and 0.000809, 0.125630, and 0.116453 validation loss metrics, respectively the findings for Mirai, UDP, and DNS were highly encouraging.

The research [\[12\]](#page-15-11) used classifiers such as k-nearest neighbors (KNN), decision tree (DT), AdaBoost (AB), random forest (RF), linear SVM (LSVM), and radial basis function SVM (RSVM), all of which were applied to the three different sets of DS1 data. The performances obtained by the logistic regression (LR) and Naive Bayes (NB) classifiers were significantly worse.

The authors combined the CNN-LSTM model in [\[13\]](#page-15-12) to identify DDoS attacks using the CICIDS 2017 dataset. Results show a 97.16% accuracy, 97.41% precision, and 99.1% reliability. A domain generation algorithm attack is studied in $[14]$ and an accuracy of 94.9% is reported. Implementation of countermeasures for cyber attacks incurs large costs; so, cost reduction is an important factor in cyber security. Using only 25% of the implementation budget, the proposed model in [\[15\]](#page-15-14) outperformed cutting-edge IoT botnet detection techniques in terms of accuracy. As a result, it cuts the implementation budget by almost 75%. The research [\[16\]](#page-15-15) employs CNN and LSTM for botnet attack detection. In comparison to the average validation accuracy,

the average training accuracy for DNNBoT1 and DNNBoT2 was 90.71% and 91.44% respectively, for each was 90.54% and 91.24%.

A growing trend in recent years is deep learning potential methods for botnet detection. Cyber security is seriously threatened by botnets, which are networks of compromised hosts used by a master host to conduct malicious operations. In order to effectively address the variety of cyber security issues, popular deep learning methods can be used, including their ensembles and hybrid methods [\[17\].](#page-15-16)

B. MACHINE LEARNING APPROACHES FOR BOTNET ATTACK DETECTION

An all-encompassing, useful technique for learning realvalued, discrete-valued, and vector-valued functions is the ANN. The research [\[18\]](#page-15-17) proposed an ANN model and tested it using the CTU-13 dataset. In comparison to support vector machine (SVM), and NB, the model yields better accuracy of approximately 99%. Similarly, an ANN is deployed for automatically identifying DDoS attacks in [\[19\].](#page-15-18) Results showed that ANN in particular showed a very good accuracy of 99% and proved to be more effective against DDoS attacks.

Various CNN models are also utilized for botnet attack detection. A CNN-LSTM model is utilized in [\[20\]](#page-15-19) for attack detection IoT settings, categorizing and halting network activity by severing wifi connections. CNN layers are utilized to extract features from the input data while LSTM is used for detection. The authors report good results with a specificity of 93% and an F1 score of 100%. The results demonstrate the innovative outcomes of utilizing the CNN-LSTM model in the analysis of regular packets, fuzzing assaults, and flood attacks. The weighted average findings of the author's suggested approach for identifying the botnet on the Provision PT-737E camera were as follows: 88% for camera precision, 87% for recall, and 83% for F1 score. On the Provision PT-838 camera, the system's classification results for botnet assaults and regular packets were 89% for recall, 85% for F1 score, and 94% for accuracy [\[21\].](#page-15-20)

A botnet detection system that successfully locates P2P botnets using machine learning is employed in [\[22\]. U](#page-15-21)sing well-known P2P botnet datasets, the proposed approach demonstrates to be effective in identifying botnets with low false positive rates and great accuracy. The recommended technique creates a CNN-based model and gathers flow-based data from packet headers, a technique that is frequently employed for speech and image recognition. The test shows that this step increases detection precision to 98.6% while reducing false positive rates to 0.5% [\[23\].](#page-15-22)

The research [\[24\]](#page-15-23) utilized a long short-term memory autoencoder (LAE) encoding phase to minimize the feature dimensionality of large-scale IoT network traffic data. Using the low-dimensional feature set's long-term interrelated changes to research the deep bidirectional long short-term memory (BLSTM) created by LAE to accurately categorize network traffic samples. The BoT-IoT data collection is used

in numerous tests to verify the viability of the suggested hybrid deep learning approach. The LAE model showed the highest percentage of data size reduction (91.89%), according to the simulation's results. As the data size of key network traffic aspects lowers, the application of the deep learning technique in memory-restricted IoT devices appears to be more realistic for efficient botnet identification.

The authors used LSTM-based domain generation algorithms (DGAs) for botnet attack detection [\[25\]. D](#page-15-24)ifferent DGAs with benign and DGA domain names were employed for multiclass classification. On two different test data sets, the accuracy of the LSTM model for binary classification was 98.7%, whereas 68.3% and 67.0% respectively were the accuracy scores for multi-class classification.

The capability of recurrent neural networks (RNN) to properly identify samples of network traffic from extremely imbalanced classes has been investigated [\[26\]. S](#page-15-25)tacked RNN (SRNN) surpassed RNN when the functionality of SRNN was assessed using the Bot-IoT dataset. RNN is used to train the feature representations of highly skewed network traffic data for discriminative categorization. Precision, recall, F1, AUC, GM, and MCC were all improved by the SRNN model.

Spam emails are becoming a serious issue for networks and user productivity. The research [\[27\]](#page-15-26) analyzed and assessed how well deep RRN performs in detecting spam emails. The F score, average validation accuracy for the selected datasets, and estimates of the true positive rate (TPR), true negative rate (TNR), false positive rate (FPR), and true negative rate (TNR) were made. According to the findings, the deep RNN approach has a detection rate and accuracy of up to 98.65%. Additionally, the proposed method has the greatest F score, AUC, and TPR which are approximately and respectively 97.93%, 98.61%, and 98.65%.

Studies on DDoS attacks have identified various defense strategies, including traffic separation, attack identification and mitigation, and source tracking [\[28\]. D](#page-15-27)DoS detection systems distinguish normal and anomalous activity streams, while traffic separation hinders significant movement. SDNs are vulnerable due to centralized control and security vulnerabilities. The performance of the proposed structure is assessed by the author using various traffic simulation situations, and the outcomes produced by the machine learning DDoS detection module are compared. For NB, SVM, and DT, the accuracy rates attained by the proposed framework were 97.4%, 96.1%, and 98.1%, respectively.

The Network Virtualization Feature replaces specialized hardware with virtual machines for network functions [\[29\].](#page-15-28) The System Data Network (SDN) manages all virtual computers and networks, reducing power consumption, efficiency, and security risks. The proposed design for smart cities uses black SDN-IoT with NFV integration, with a tiered approach comprising application, CP, DP, and perception layers. The distributed SDN controller routes data towards itself. The author emphasizes fault tolerance, load balancing, energy and security management, and scalability in SDN management solutions. AI-based methods are insufficient

FIGURE 1. Architecture of the proposed approach.

for intelligent decision-making in unpredictable situations. Blockchain, IoT, and AI can improve privacy, security, and transparency.

The Smart Data Network (SDN) approach offers a solution to the security challenges posed by the rapidly expanding Internet of Things (IoT) networks [\[30\]. I](#page-15-29)t decouples control and data planes, simplifies network management, and prepares systems for IoT data attacks. The author presents a scalable method for automatic, verifiable, adaptive, and immutable access control policies for IoT devices.

The research [\[31\]](#page-16-0) investigates cyber attacks on electrical power grids that occurred in Ukraine in 2015 and 2016. The approach is focused on early-stage attack detection by analyzing anomalies in the communication network. The focus is to locate the active attack in real time using a hybrid graph convolutional LSTM model. For implementation, SDN and anomaly detection are combined showing a detection accuracy of 96% thereby outperforming existing models. Similarly, the authors propose a novel intrusion detection model in [\[32\]](#page-16-1) to detect attacks on smart grids. The authors combine a deep learning model with a feature selection approach for better detection accuracy. LSTM and extreme gradient boosting (XGBoost) models are combined for intrusion detection. For better parameter selection of XGBoost, a Bayesian method is utilized. Experimental

results show superior performance of the proposed approach.

III. METHODOLOGY

The botnet detection is carried out using a hybrid deep learning model proposed in this research. Figure [1](#page-4-1) shows the methodology of the proposed approach. The proposed approach is based on model stacking where the output of ANN, CNN, LSTM, and RNN is used for the final prediction. Additionally, it is estimated how well deep learning classification models perform when employed to analyze botnet attack detection using the UNSW-NB15 dataset. The preprocessing is carried out to remove null values and handle categorical data using label encoding. To expedite the process, a variety of deep learning algorithms including ANN, CNN, LSTM, and RNN are applied.

A. DATASET DESCRIPTION

The dataset for botnet detection is collected from the Kaggle dataset repository. The dataset was originally collected from the University of New South Wales (UNSW) for analyzing network behavior [\[33\]. D](#page-16-2)espite not being created in an IoT environment, the dataset has been utilized in multiple studies on network security and IoT security. The UNSW-NB15 dataset has been used by researchers and cyber security

professionals to test intrusion detection systems and create algorithms to find different kinds of network assaults, including those that may harm IoT devices and networks[\[34\],](#page-16-3) [\[35\],](#page-16-4) [\[36\].](#page-16-5)

The dataset is configured as a training set and testing set, namely UNSW_NB15_training-set.csv and UNSW_NB15_testing-set.csv respectively. Both a training set and a testing set are available for the UNSW-NB15 dataset. To assess the model's performance, the training file set is utilized as a main dataset which is further divided into training and testing datasets for further processing in the ratio of 0.7 to 0.3. The total number of records in the dataset is 82332 that contains nine attack types including 'Normal', 'Generic', 'Exploits', 'Fuzzers', 'DoS', 'Reconnaissance', 'Analysis', 'Backdoor', 'Shell code' and 'Worms'. The number of samples for each class and other details are given in Table [1](#page-5-0) and Figure [2.](#page-5-1)

FIGURE 2. Target attack category.

A variety of cyber-attacks can be carried out via botnets. Some of the most frequent attack types linked to botnets include

1) GENERIC

Block ciphers are vulnerable to generic attacks that do not take their internal structure into account. All block ciphers are

vulnerable to general attacks because the length of the key and blocks is constrained. By selecting the proper external parameters, generic assaults can be found. Exhaustive key searches, dictionary attacks, rainbow table assaults, and other generic attacks on block ciphers are a few examples [\[37\].](#page-16-6)

2) EXPLOITS

An attacker seizes the ability to govern computer resources or network data, exploits a weakness in the programmer or operating system, and causes system failures or crashes. Zeroday exploits make use of software flaws that suppliers are unaware of [\[38\].](#page-16-7)

3) FUZZERS

Fuzzers assault systems by flooding them with a lot of random data to break them and identify faults. It can locate security gaps in networks and operating systems as well as vulnerabilities in software and systems [\[39\]. I](#page-16-8)t has been demonstrated that deep neural networks (DNNs) are extremely sensitive to even small changes in their input data. This problem illustrates how Sensei may improve the robust accuracy of the DNN by up to 11.9% and 5.5% on average when compared to the state-of-the-art for each of the 15 models. This problem is comparable to the overfitting issue in test-based program synthesis and autonomous program repair. Additionally, Sensei-SA can improve strong accuracy while reducing the average DNN training time by 25% [\[40\].](#page-16-9)

4) DENIAL OF SERVICE

Attacks with DoS suspend service, making network resources inaccessible to users. DoS assaults utilizing machine learning and deep learning models have dramatically increased in frequency and complexity, according to VeriSign [\[33\]. T](#page-16-2)he accuracy of the CNN-based intrusion detection system is higher for DoS attack detection [\[41\].](#page-16-10)

5) RECONNAISSANCE

Before starting the actual attack, reconnaissance assaults gather all available information regarding the intended system and act as a planning tool. Social, public, and software reconnaissance are the three basic categories of reconnaissance attacks. Information is obtained during this assault using packet sniffing, port monitoring, ping sweeps, and inquiries about internet data [\[42\].](#page-16-11)

6) ANALYSIS

It uses web scripts, spam emails, and port scanning to access the web application. By thwarting IP spoofing, modifying the frequency of port scans, and switching up the order in which ports are searched; machine learning models can detect port scanning. Because they propagate malicious code, carry out phishing scams, and generate revenue, spam emails are risky. The use of content-based email filtering using machine learning models discovers specific keywords that can result

in a high variation between spam and valid emails. One of the many effects of malicious HTML code penetrations is the exposure of cookies, which changes the content of the victim's page [\[43\].](#page-16-12)

7) BACKDOOR

Attacks using backdoors to undermine security measures and gain access to computers and their data. This assault targets user's access to computing resources as well as their privacy [\[44\].](#page-16-13)

8) SHELLCODE

A brief piece of code called shell code is utilized as the payload when software vulnerability is exploited. It launches a command interpreter that enables interactive command entry and reports back the results of commands executed on vulnerable systems. Run-time heuristics that depict machine-level operations can be used to identify shell code assaults [\[45\].](#page-16-14)

9) WORMS

By taking advantage of the security flaws, worms reproduce and propagate to other computational resources. Two expected characteristics of the worm detection system are early warning and a quicker response time for countermeasures. It takes into account the payload's structure and content, network traffic, packet headers, and host behavior monitoring for worm detection [\[46\].](#page-16-15)

B. DATA PREPROCESSING

Preprocessing must be completed before any analysis to make the data ready for the model training and testing. The dataset needs to be loaded, cleaned, modified, and transformed into a form that is appropriate for machine learning models. Figure [2](#page-5-1) shows the distribution of the samples for each class. It shows that there is an imbalance in the statistics since at least one of the category labels has lower samples compared to other category labels. To make the number of instances of each attack type equal, resulting in a total of 82332 cases. Then, category features are transformed into numerical values using label encoding. The number of columns are features. This dataset has 45 features. Encoding categorical values into numerical representations that deep learning algorithms can use is a common task when working with categorical data. Using a label encoder, which gives each category in the data a distinct number label, is a well-liked method for this purpose. There are several methods you may use when using a label encoder to handle missing values in categorical data. Before using the label encoder, one choice is to substitute the missing values with a particular placeholder, such as ''NaN''. This can be accomplished by using the label encoder after transforming the category data into strings. Numerous libraries, like sci-kit learn, use the Label Encoder class from the sklearn. The preprocessing module offers label encoding as a feature. This can be accomplished by utilizing the

label encoder class from sci-kit learn, which concentrates on encoding target labels rather than input information.

C. DEEP LEARNING MODELS

ANNs are used in deep learning to conduct complex calculations on vast volumes of data. Healthcare, e-commerce, entertainment, and advertising are just a few applications that often use deep learning. For obtaining better performance from machine learning models, hyperparameter optimization is critical. The hyperparameters selection process involves careful consideration and experimentation to achieve optimal model performance. Cross-validation techniques assess generalization performance, mitigating overfitting risk. Prioritizing hyperparameters with significant influence allows for fine-tuning critical parameters for optimal results, ensuring robust evaluation of model performance. For this purpose, hyperparameter ranges are selected from the existing literature that carried out similar tasks. Once the range of various hyperparameters is defined, the gridsearchCV method is utilized to obtain the best parameters. This method executes the model with all the combinations of the parameters for the given range and provides the best-fit parameters for optimal performance.

1) ARTIFICIAL NEURAL NETWORKS

ANNs are crucial components of deep learning which are motivated by the design and operation of the human brain. ANNs are reported for their capacity to recognize intricate relationships and patterns in data, which makes them useful for a number of applications such as time series analysis, picture identification, and natural language processing. They have been successfully used in several industries including finance, healthcare, and autonomous cars. Despite their strength, ANNs can be computationally demanding and need a lot of labeled training data. Despite this, substantial strides in hardware and algorithms have made ANNs a pillar of contemporary machine learning. This research uses an ANN with the architecture shown in Figure [3.](#page-7-0) The discussion of hyperparameters of ANNs is shown in table [2.](#page-6-0)

2) CONVOLUTIONAL NEURAL NETWORKS

An advanced form of an ANN is CNN, which was created to be particularly effective at processing and analyzing

FIGURE 4. Architecture of convolutional neural network.

TABLE 3. Hyperparameter of CNN model.

visual data, such as pictures and movies. CNNs are very good at extracting significant patterns and characteristics from images. The input data is subjected to filters in the convolutional layers, which enables the network to learn regional patterns and spatial hierarchies. The feature maps are downscaled by the pooling layers, which reduces computational complexity while preserving crucial data. The completely connected layers at the network's end are where the high-level features are processed and the final output is produced. CNNs have demonstrated astonishing performance in a wide range of computer vision applications including object detection, image classification, and semantic segmentation.

The effectiveness of CNNs can be due to their capacity to take advantage of shared weights and local spatial correlations. Compared to fully linked networks, this dramatically decreases the number of parameters, making CNNs more

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effective and simpler to train. This research uses a CNN for botnet detection using the architecture shown in Figure [4.](#page-7-1) The hyperparameters of the CNN model are shown in Table [3.](#page-7-2)

3) LONG SHORT-TERM MEMORY NETWORK

The LSTM algorithm is an example of recurrent neural network (RNN) architecture created expressly to represent and analyze sequence data. LSTMs can capture long-term dependencies and maintain information over long time periods, unlike conventional RNNs. The pertinent information is stored and updated by the memory cells and the gates decide which facts are important to remember and which are not. The three gates that control the information flow via the LSTM units are gates for input, forgetting, and output. Because of their special construction LSTMs can handle sequences of various lengths and detect temporal relationships in the data.

LSTMs are widely used in speech recognition, natural language processing, and time series analysis. Significant improvements have been made across a variety of fields, including a result of the high efficiency with which LSTMs have been shown to capture intricate patterns and correlations within sequential data. Figure [5](#page-8-0) shows the architecture of LSTM used in this research. The hyperparameters of LSTM are shown in Table [4.](#page-8-1)

4) RECURRENT NEURAL NETWORK

An artificial neural network that works well for processing sequential data is RNN. RNNs, as opposed to conventional feed-forward networks, feature connections between nodes

FIGURE 5. Architecture of long short-term memory network.

TABLE 4. Hyperparameter of LSTM model.

FIGURE 6. Recurrent neural network used in this research.

that create a directed cycle, which enables them to remember information and gain knowledge from prior inputs. RNNs can represent sequences of any length and capture temporal dependencies thanks to their cyclic structure. Information travels through time because each node in an RNN receives input from both the input current and the prior hidden state.

RNNs are effective for tasks like processing natural language, speech recognition, and handwriting recognition due to their recursive nature. The vanishing gradient problem, in which gradients get smaller and smaller with time, can limit the ability of conventional RNNs to detect long-range dependencies. Variations like the LSTM and gated recurrent unit (GRU) are created to remedy this. The architecture of the sued RNN is shown in Figure [6.](#page-8-2) The hyperparameters of RNN are shown in Table [5.](#page-8-3)

Although LSTM is a type of RNN, they both bear several differences in their architecture and working functionality. A comprehensive overview of their differences is presented in Table [6.](#page-8-4)

TABLE 5. Hyperparameter of RNN model.

Hyperparameter	Values
Number of Layers	2
SimpleRNN	64
Dense	
Activation function	sigmoid
Optimizer	adam
loss	binary_crossentropy
metrics	accuracy
batch size	32
Number of Epochs	30

TABLE 6. Major differences between LSTM and RNN.

D. PROPOSED STACKING ACLR MODEL

A deep learning technique called stacking is used to integrate the results of various classification models to get a more potent and precise final prediction. To improve the overall predictive performance, stacking combines several models. By stacking models, we can benefit from the strengths and diversity of different algorithms. Each algorithm may have its unique way of capturing the data's patterns and linkages and by combining their predictions, we can make a prediction that is more reliable and precise. Stacking helps in reducing bias and variance, improving generalization, to the training data.

Figure [7](#page-9-0) shows the architecture of the proposed ACLR model. Stacking is a powerful ensemble learning technique that leverages the strengths of multiple deep learning algorithms including ANN, CNN, LSTM, and RNN. While deep learning stacking methods solve complicated problems but demand more data and computer resources and give less interpretability, ensemble techniques (ANN+CNN+LSTM+RNN) mix multiple models for

FIGURE 7. Architecture of proposed Hybrid ACLR model.

TABLE 7. Hyperparameters of models.

enhanced generalization and interpretation ability. By combining their predictions in a layered fashion stacking can enhance the overall predictive performance leading to improved accuracy and robustness in deep learning models. Stacking discovers the most effective way to combine the predictions from various successful machine learning models. By utilizing each of their own capabilities, minimizing overfitting, and enhancing feature extraction, stacking RNNs, ANNs, CNNs, and LSTMs improves performance in deep learning. By using an ensemble technique, models become more resilient and flexible when dealing with different data distributions. It also increases computing complexity and presents difficulties in understanding the model, necessitating careful evaluation of the combination and training approach. Applying a single model will not produce excellent results but, when many models are combined through stacking,

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good accurate results will be produced. This is a novel approach in terms of these strategies. A complete list of the hyperparameters is given in Table [7.](#page-9-1)

E. EVALUATION PARAMETERS

Accuracy, recall, and precision are common measures for assessing the efficacy of machine learning models, particularly for classification tasks. The model's ability to forecast the various classes or categories of the input data is indicated by these measures.

Despite being a frequently used statistic, accuracy is not always sufficient, especially when dealing with unbalanced datasets. The proportion of accurately anticipated positive instances recall, often referred to as sensitivity or the true positive rate, refers to responses to actual positive events. It demonstrates that the model can accurately identify all

relevant positive cases. Higher recall values suggest that the model is good at minimizing false negatives. Precision, commonly referred to as positive predictive value, is the ratio of accurately predicted positive cases to all expected positive cases. It demonstrates the model's accuracy in identifying positive samples and minimizing false positives. High accuracy values imply that the model generates minimal false positives. The following equations are used to calculate the evaluation parameters

$$
Accuracy = \frac{(TP + TN)}{(TP + TN + FP + FN)}
$$
 (1)

where TP refers to true positive which is the number of correctly predicted attacks. FP refers to a false positive which indicates a normal packet predicted as an attack. Similarly, TN indicates true negative which shows a normal packet predicted as a normal packet. Conversely, FN indicates an attack predicted as a normal packet.

$$
Precision = \frac{TP}{TP + FP}
$$
 (2)

$$
Recall = \frac{TP}{TP + FN}
$$
 (3)

An often-used statistic in research articles to assess the efficacy of classification systems is the F1 score. By including precision and recall into a single score, it provides an accurate evaluation of the model's performance. The following formula used to determine the F1 score is

$$
F1 score = 2 * \frac{(Precision * Recall)}{(Precision + Recall)}
$$
(4)

The ROC-AUC measures the area under the Receiver Operating Characteristic curve, which is a graphical representation of a binary classification model's performance. It plots the True Positive Rate (TPR) against the False Positive Rate (FPR) at various thresholds. The ROC-AUC represents the area under the ROC curve and ranges from 0 to 1, where a higher value indicates better model performance. A value of 0.5 suggests random classification, while a value of 1 indicates perfect classification.

$$
ROC-AUC = \int_0^1 TPR(FPR) \, dFPR \tag{5}
$$

The PR-AUC measures the area under the Precision-Recall curve, which assesses a model's performance in binary classification, with a focus on positive class prediction accuracy. The PR-AUC represents the area under the Precision-Recall curve and ranges from 0 to 1, with higher values indicating better model performance. A value of 1 means perfect precision and recall, while a value of 0 suggests poor performance.

$$
PR-AUC = \int_0^1 \text{Precision}(Recall) \, dRecall \tag{6}
$$

In this formula, the integral represents the area under the curve, Precision and Recall are functions of the threshold applied to the model's predicted probabilities.

IV. RESULTS AND DISCUSSION

The experiments have been conducted with multiple deep learning models but due to their complexity and requires large number of datasets, show low prediction accuracy. That's why the selection criteria for the deep learning algorithms (ANN, CNN, LSTM, and RNN) have been adopted based on less complexity and works better with small datasets instead of large datasets. By using 70% training data and 30% testing data from the dataset, the performance of the deep learning model is assessed. The predictability of the model is investigated using a random look at set sizes from the dataset. Experiments are conducted to evaluate the multi-class deep learning models' precision, recall, accuracy, and F1 score.

A. PERFORMANCE OF ANN

The ANN is trained on a labeled dataset to calculate the evaluation metrics, and it is then assessed on a test dataset. The ANN's performance is illustrated in Table [8.](#page-10-1) It successfully predicted different types of attacks with an accuracy of 0.7568Similarly, other performance evaluation metrics are good including a precision of 0.7817, a recall of 0.7568, an F1 score of 0.7559, and a training duration of 25.13 seconds.

TABLE 8. Performance of ANN model.

B. RESULTS OF CONVOLUTIONAL NEURAL NETWORKS

Many text classification tasks are performed using CNNs, which may also be used to determine accuracy, precision, and F1 score. To calculate these metrics using a test dataset a CNN's performance can be evaluated after having been trained on a labeled dataset. An indicator of how well CNN made general predictions is the percentage of cases in the test set that were correctly categorized as a whole.

TABLE 9. Performance of CNN.

Results given in Table [9](#page-10-2) show the performance of the CNN model for different epochs ranging from 5 to 30 epochs. Results indicate that the CNN model achieved an accuracy score of 0.9440, precision of 0.9444, recall of 0.9440, F1 score of 0.9439, and a 639.15-second training period. These

results are significantly better compared to results obtained by the ANN model.

C. RESULTS OF LONG SHORT TERM MEMORY NETWORKS

The ability of LSTMs to recognize long-term dependencies in sequential data makes them particularly useful for tasks like natural language processing voice recognition and time series analysis. LSTMs can achieve high accuracy, precision, and F1 scores by utilizing their capacity to model context and sequential patterns resulting in trustworthy and accurate classification results for applications requiring sequencebased data. Experimental results of the LSTM model are given in Table [10.](#page-11-0)

TABLE 10. Performance of LSTM model.

The results of the LSTM model indicate its superior performance compared to ANN and CNN models. Compared to the highest accuracy score of 0.9440 which CNN obtained with 30 epochs. the LSTM model obtained an accuracy score of 0.9651 for the same number of epochs. Similarly, other performance parameters are also better than ANN and CNN. LSTM obtains a precision score of 0.9651, a recall score of 0.9651, an F1 score of 0.9651, and 3957.32 seconds to complete the training session.

D. RESULTS OF RECURRENT NEURAL NETWORKS

RNNs are excellent at modeling sequential data and can detect temporal dependencies. They are useful for applications like time series analysis natural language processing and speech recognition because of their recurrent connections which let them retain knowledge from earlier time steps. RNNs can achieve high accuracy, precision, and F1 scores by utilizing their capacity to record temporal patterns and contextual data, enabling precise and reliable sequence classification.

Experimental results of the RNN model are given in Table [11.](#page-11-1) Results indicate that the RNN model can achieve the highest accuracy score of 0.9522 with 15 epochs. Similarly, the highest precision score is 0.9523, the recall score is 0.9522, the F1 score is 0.9521, and 925.24 seconds ended the training phase. Although these results are not better than what we achieved using the LSTM model, the performance of RNN is substantially better than the ANN model. Similarly, LSTM has a slightly better performance than the CNN model.

E. RESULTS USING STACKING ACLR MODEL

Comparing deep learning models is a vital part of this research. In this research, we contrast the most widely used deep learning classification techniques. Similarly, the results of the proposed ANN+CNN+LSTM+RNN(ACLR) model are analyzed; Table [12](#page-11-2) shows experimental results using the proposed model. Experimental results demonstrate that the proposed model shows better performance than other deep learning models used in this research with the highest accuracy score of 0.9698. This accuracy is better than the LSTM model which has the best accuracy score of 0.9651. The precision, recall, and F1 scores of the proposed model are also the highest with 0.9691, 0.9693, and 0.9692 for the period of the training session, respectively.

TABLE 12. Performance of the proposed ACLR model.

F. COMPARATIVE ANALYSIS OF ALL MODELS

Table [13](#page-12-0) shows the results of all models employed in this research. Results are given regarding the accuracy, precision, recall, and F1 scores. It can be observed that the proposed model performs better than all other models including ANN, CNN, LSTM, and RNN which are fine-tuned to obtain optimal performance using the current dataset. Of the employed models, ANN shows the poorest performance with a 0.7568 accuracy score. The CNN model shows slightly better performance than the ANN model with an accuracy score of 0.9440. The performance of both LSTM and RNN is substantially better than ANN with 0.9651 and 0.9522 accuracy scores, respectively. However, the performance of the proposed model is superior among all employed models. Figure [8](#page-12-1) illustrates a visual presentation of the performance of all the deep learning models used in this research. Evaluation of the stacking strategy for comparability.To Compare the models and their performance. The proposed strategy stacking provides good results.

G. RESULTS OF K-FOLD CROSS-VALIDATION FOR ACLR **MODEL**

For performance validation, k-fold cross-validation is carried out for the proposed approach. Table [14,](#page-12-2) [15,](#page-12-3) [16](#page-12-4) and [17](#page-13-0) shows the results with the training time and for $k=3,5,7$,

FIGURE 8. Performance analysis of deep learning models.

TABLE 13. Analysis of all employed models.

and 10, respectively. Results indicate that the performance of the proposed models varies slightly across different folds, however, its average performance is better than other models.

TABLE 14. Model performance with k =3.

TABLE 15. Model performance with k =5.

H. ROC-AUC AND PR-AUC OF THE MODELS **PERFORMANCE**

To extract insights and make wise judgments, proposed data-driven solutions use a variety of neural network architectures, including ANN, CNN, LSTM, and RNN. ROC-AUC and PR-AUC metrics are used to measure model efficacy,

FIGURE 9. Performance regarding ROC-AUC curve.

TABLE 16. Model performance with k =7.

guaranteeing strong classification performance and a thorough comprehension of model behavior. The performance of ROC-AUC and PR-AUC is shown in Figures [9,](#page-12-5) and [10.](#page-13-1) The accuracy shows the correctly predicted values over the total number of predictions which shows how many numbers of attacks have been detected in real-life systems as shown in the tables of the result section.

As an example in Table [18](#page-14-1) the proposed ACLR model shows an accuracy of 97.49% which means approximately 97.50% predictions are accurately performed by the proposed

FIGURE 10. Performance regarding PR-AUC curve.

TABLE 17. Model performance with k =10.

Fold	Accuracy	Precision	Recall	F1-Score	Training
					Time
	0.9387	0.9363	0.9539	0.945	10.38s
$\overline{2}$	0.9518	0.9533	0.959	0.9562	10.38s
3	0.92	0.9458	0.9039	0.9244	10.36s
4	0.9503	0.9577	0.9502	0.9539	11.38s
5	0.9444	0.9448	0.9561	0.9504	11.39s
6	0.9589	0.9647	0.9604	0.9625	11.39s
7	0.9463	0.9689	0.9328	0.9505	11.39s
8	0.9512	0.9585	0.9536	0.956	9.33s
9	0.9455	0.9655	0.9337	0.9494	9.32s
10	0.9533	0.9548	0.9469	0.9507	8.29s

model and with the training period. Where precision 97.70% and recall 97.17% present the class-wise results and prediction accuracy per class. And f1 score shows the harmonic mean of the precision and recall which is 97.23% shows the balance between prediction accuracies.

I. PERFORMANCE COMPARISON WITH EXISTING **APPROACHES**

Performance comparison with existing state-of-the-art models is necessary to determine the efficacy of the proposed model. For this purpose, several existing studies have been selected that utilized deep learning models for botnet detection. For example, studies [\[48\],](#page-16-16) [\[49\],](#page-16-17) [\[51\],](#page-16-18) [\[52\],](#page-16-19) [\[53\],](#page-16-20) and [\[56\]](#page-16-21) deployed either CNN or an ensemble of CNN with a deep learning model and obtained very good results. Similarly, [\[47\]](#page-16-22) proposed an ANN model and utilized the same dataset that is used in this research. Both [\[50\]](#page-16-23) and [\[54\]](#page-16-24) made use of LSTM models for the same task while [\[55\]](#page-16-25) leveraged an RNN model. Results comparison given in Table [19](#page-14-2) reveals that the proposed model outperforms these studies and obtains a better accuracy score using the same dataset.

J. PERFORMANCE COMPARISON OF MACC, FLOPS AND INFERENCE TIME

Within the domain of computational efficiency, assessing the Performance Comparison of Multiply-Accumulate Operations (MACC), Floating Point Operations Per Second

(FLOPS), and Inference Time is crucial for evaluating the effectiveness of machine learning models. The study obtained the computational capabilities of a single model in comparison to the combination of two models, specifically considering MACC, FLOPS, and inference time metrics. The analysis uncovered that employing a singular model substantially decreased both MACC and FLOPS requirements, indicating a more efficient computational process. Additionally, the inference time for the individual model was significantly reduced compared to the merged model, highlighting the efficiency of a consolidated approach. The proposed model not only demonstrated superior time efficiency but also yielded improved results compared to the cumulative processing time of the two models. This underscores the importance of optimizing model architecture for enhanced computational efficiency, contributing to more effective and swift machine learning applications. Table [20](#page-14-3) presents a comparison of the MACC, FLOPS, and inference time models' respective performances.

K. COMPUTATIONAL COMPLEXITY VERSUS ACCURACY

Computational complexity is very important for botnet attack detection approaches as they have to work in real time. Often a trade-off is made between the computational complexity of the model and its accuracy. A better accuracy can be obtained for the deep learning model by increasing its number of layers and neurons, however, it would increase the training time of the model. Table [21](#page-14-4) shows the relationship between the training time and attack detection accuracy for various models used in this study. Results suggest increased training time when the number of epochs is increased for better training of the models. With increased training time, a better accuracy is observed for CNN, LSTM, RNN, and ACLR time, except for a few cases for LSTM and RNN where a marginal decrease is observed in the accuracy.

In addition, increasing the number of layers of the employed models also increases the training time of models, as shown in Table [22.](#page-14-5) Traditionally, increasing the number of layers and neurons is aimed at learning intricate relationships between the input and output of the model, thereby improving the prediction performance of the model. However, this increase in the model's accuracy comes at the cost of higher computational complexity.

L. DISCUSSION

The research introduces ACLR, a hybrid deep learning model designed for the effective detection of botnet attacks in the IoT network. The innovative approach integrates four distinct models ANN, CNN, LSTM, and RNN through a stacking technique. These models synergize to form the powerful hybrid model, ACLR. Validation of ACLR's performance is conducted using k-fold cross-validation, resulting in a remarkable accuracy of 0.9749. Through a systematic evaluation using epochs ranging from 5 to 30, to proposed ACLR model demonstrated remarkable performance, achieving an impressive accuracy of 0.9698 without K-fold

TABLE 18. Comparative analysis of the stacking algorithm.

TABLE 19. Comparative analysis with existing literature.

TABLE 20. Performance comparison of MACC, FLOPS, and inference time.

cross-validation. Evaluation metrics, including ROC-AUC and PR-AUC, have been used to evaluate the performance of the proposed research based on ACLR. The highest

TABLE 22. Comparison of model complexity vs accuracy.

ROC-AUC is 0.9934 and PR-AUC is 0.9950 has been achieved. Comprehensive assessment parameters, encompassing accuracy, precision, recall, and F1 score, collectively emphasize the robustness of ACLR in the detection and mitigation of botnet threats within IoT environments. This research signifies a notable advancement in IoT security, offering an innovative and efficient hybrid machine-learning solution.

V. CONCLUSION

The frequency and intensity of cyber attacks have witnessed a growth lately and botnet attacks have emerged with the potential to cause serious damage. Deep learning-based models have shown potential for automated botnet detection; ensemble models come out as better predictors than individual models. This research proposes a hybrid stacking model, ANN+CNN+LSTM+RNN (ACLR) for botnet detection. The experimental setup involves ACLR implementation in the Google COLAB environment using the UNSW-NB15 dataset. In addition, this research employed ANN, CNN, LSTM, and RNN models for performance comparison with the proposed ACLR model. Experimental results suggest

a superior performance of ACLR with a 0.9698 accuracy score while the k-fold cross-validation accuracy score is 0.9749 where the value of k is 3,5,7 and 10, respectively. In addition, increasing the number of layers for the deployed models is observed to produce better performance, however, it comes at the cost of increased computational complexity and higher training time. Among the employed models, the ANN model shows poor performance while LSTM, RNN, and CNN show better results. The comparative findings demonstrate that the proposed approach outperforms ANN, CNN, LSTM, and RNN models in terms of performance and accuracy for the detection of botnets. In comparison to previous models, the suggested ACLR model has the greatest ROC AUC (0.9934) and PR AUC (0.9950) values. Performance analysis with existing models indicates that ACLR can perform better than state-of-the-art models. It is important to note that deep learning algorithms for botnet identification still have limitations such as a lack of labeled statistics on training and the possibility of hostile attacks. To increase the precision, scalability, and robustness of deep learning-based botnet detection systems more research and development in this area are required. The stacking model in the proposed research has been based on four deep learning models which consume more time than the single model in predictions but show more efficient results than the single model. It also necessitates data interchange and synchronization. This demonstrates the significance of carefully balancing model complexity and effectiveness across a range of applications. As it will be totally automated in future research, there should be more training using reinforcement learning, which can be more effective.

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