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# Rank Exponent Method Based Optimal Control of AGC for Two-Area Interconnected Power Systems

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ABSTRACT Automatic generation control (AGC) is employed in power systems to maintain balance between generation and load by adjusting output of generators in real time. Controller continuously monitors system frequency and tie-line power flow by responding to fluctuations in electricity demand and supply and optimizes generator dispatch, reduces power imbalances, and enhances grid stability. This work proposes and solves the issues of the AGC in two-area interconnected power systems by proposing a new approach based on both Jaya algorithm and the rank exponent method. In particular, we design a proportional-integralderivative controller with derivative filtering (PIDm), where the effect of the noise is mitigated by the use of a filter with derivative gain. We propose to build the objective function, to tune the controller's parameters, as the linear combination of three sub-objectives, namely integral of time multiplied absolute error (ITAE) for frequency deviations, tie-line power deviation, and area-control errors (ACEs). The rank method is exploited to evaluate the weights of these sub-objectives, while the final overall objective function is minimized exploiting the Jaya algorithm. The proposed controller's performance is assessed in six different scenarios with load disturbances, and its effectiveness is compared to state-of-art controllers tuned using salp swarm algorithm (SSA), Nelder-Mead simplex (NMS), symbiotic organisms search (SOS), elephant herding optimization (EHO), and Luus-Jaakola (LJ) optimization algorithms. To illustrate the frequency and tie-line power changes, results are also shown, and a statistical study is finally carried out to evaluate the recommended controller's overall effectiveness. Additionally, Friedman rank test as no-parametric statistical analysis is also done in order to evaluate the significance level of optimization algorithms. Our numerical findings evidence that the proposed PIDm controller outperforms other existing optimization-based controllers in terms of performance and utility, thus proving to be very effective for handling AGC issues in two-are interconnected power systems.

**INDEX TERMS** Rank exponent method, AGC, Jaya optimization, interconnected power system.

#### I. INTRODUCTION

In power system, load demand is constantly varying. To meet out the increasing demand for power, single-area power systems are less reliable. Reliability is the main concern in

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operation of a power system. To ensure the reliability of power system, distinct areas' generators are interconnected via tie-lines. This type of interconnection is known as interconnected power system (IPS) [1]. In IPS, it is essential to operate all generators at the same frequency in a synchronized manner. The frequency of IPS will deviate from its nominal value whenever load variations in any area occur.

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This issue is solved by adopting the concept of automatic generation control (AGC) [2]. The term AGC in power system operation is described as the variation of output electrical power from electric generators in a designated area whenever frequency of system, tie-line power, or both varies. AGC is required in power systems because of the following factors:

- Optimal scheduling of generators.
- To achieve minimum frequency deviation in interconnected power systems.
- To minimize the power deviation in tie lines.

A review of the literature reveals that various studies have been conducted on AGC in different power system setups. These studies encompass single-area (SA) power system [3], [4], two-area power system [5], [6], [7], and multi-area (MA) power system [8], [9], [10], [11]. The design and implementation of centralized and decentralized AGC controllers in two-region linked power systems with many power plants in each area with a PID structure are shown in [12]. In [7], an unregulated power setting, incorporating energy storage unit, is analysed by explaining the application, design, and assessment of a novel type of optimal controller (OC) for AGC of interconnected electrical power system, spanning two areas. The authors incorporate wind power generators (WPG) and solar power generators (SPG) as supplementary power sources within the AGC framework. This is delineated as a two-area thermal hybrid power generation system in [13], where thermal generation units are distributed across both regions, featuring WPG and SPG in area 1 and area 2. Additionally, in [14], the authors explore the influence of doubly fed induction generator (DFIG) on a conventional two-area power system comprising gas, hydro, and thermal power plants. In [15], a thermal-hydro power system is used to demonstrate AGC problem of three-area linked power systems including or excepting the presence of high voltage direct current (HVDC) connection. In [16], a four-area concept is taken into consideration for AGC of networked thermal systems using demand side management (DSM) in conjunction with automated voltage control (AVR).

Authors in [17] explore the automated load-frequency regulation of two-area interconnected power system using a novel fuzzy gain scheduling of a PI controller (FGPI). In [18], a genetic algorithm has been implemented to optimize the PID controller parameters for two-area power system with non-linearities in generation. Integral (I), proportional integral (PI), integral derivative (ID), proportional integral derivative (PID), and integral double derivative (IDD) are some of traditional controllers that authors in [19] proposed for dealing with AGC in a multi-area power system. The majority of research articles deal with PID controllers or their alternatives to handle AGC problems because of their simple and intuitive construction [20], [21].

The formulation of objective function plays a prime role in optimal tuning of controller parameters. Based on integral criterion, integral of squared error (ISE) [22], [23], integral of time multiplied absolute error (ITAE) [24], [25], integral of absolute error (IAE) [26], [27], and integral of time multiplied

square error (ITSE) [24], [25], are used to formulate objective functions.

Area control errors (ACEs), tie-line power variations, and frequency deviations of interconnected areas are acknowledged as sub-objectives to frame objective function. Their weights determine the relative importance of sub-objectives in the overall objective function. To design an effective objective function, a systematic approach is essential to establish appropriate weights for the sub-objectives [28].

Due to load demand variability, traditional PID controllers often require specific tuning for efficient operation, making it challenging to maintain system stability across varying operating conditions in the nonlinear AGC environment. The adaptive backstepping scheme by incorporating entirely coupled recurrent neural network is implemented by Kumar et al. [29] to estimate the control terms. In literature, nonlinear control approach for thyristor-controlled series capacitors is also proposed for active power transfer by improving transient stability and voltage regulation of the power system in [30] by Fathollahi et al. Some of the researchers [31] presented the work on fractional control design are also available for improving transient stability and voltage regulation of the power system by adapting non-linear control design. In addition to this, some of the work on intelligent control design for power system applications are also presented by researchers in the literature [32], [33], [34].

In [35], various techniques are employed for tuning parameters of controller, including rule-based [36], metaheuristic [37], and model-based tuning [38]. Recently, metaheuristic-based tuning [39] is employed for optimizing the parameters of controllers because of its better performance characteristics than rule-based and model-based tuning methods. Genetic algorithm [26], [40], bacteria foraging optimization [41], gravitational search algorithm [42], [43], grey wolf optimizer (GWO) [44], [45], [46], whale optimization [23], bat algorithm [47], [48], firefly algorithm [49], particle swarm optimization (PSO) [27], [50], artificial bee colony [51], [52], Jaya algorithm [53], [54], and teaching-learning based optimization (TLBO) [55], [56] are some of the algorithms implemented to tune PID controllers for AGC.

In this paper, rank exponent (RE) method-based proportional-integral-derivative controller with derivative filtering (PIDm) is designed for AGC problem of two-area power systems. ITAE of tie-line power deviations, ITAE of ACEs, and frequency deviations in area 1 and area 2 are considered as sub-objectives while designing the overall objective for tuning the PIDm controller. This overall objective function is the weighted sum of the three sub-objectives considered. In this article, RE method is used to determine the weights corresponding to sub-objectives. The RE method takes care of the relative significance of sub-objectives while obtaining the weights. This helps in designing more appropriate objective function for tuning of controller parameters. The overall objective function is minimized using Jaya algorithm. Comparative analysis is



performed for Jaya-based controller along with salp swarm algorithm (SSA), symbiotic organisms search (SOS), Nelder-Mead simplex (NMS), elephant herding optimization (EHO), and Luus-Jaakola (LJ) algorithms-based controllers to prove efficacy and effectiveness of the proposed controller. Six test cases with various load fluctuations are examined in this study to confirm the findings of the work. Moreover, non-parametric test and statistical analysis are also performed to prove the applicability of RE method in designing the Jaya-based controller. The Friedman rank test as no-parametric statistical analysis is provided in the determination of significance level of presented optimization algorithms whereas statistical measures are evaluated with the help of statistical analysis. The prime contributions of the presented work are highlighted as follows:

- PIDm controller is designed to deal with optimal control of AGC for two-area interconnected power systems.
- For better control strategy, ITAE of tie-line power deviations, ITAE of ACEs, and frequency deviation in area 1 and area 2 are considered to frame objective function.
- The weighted objective function is formulated by incorporating the RE method to assign the weights associated with each sub-objectives.
- The minimization of resultant objective function is done with the help of Jaya algorithm.
- Further, the efficacy and applicability of the presented Jaya assisted control design approach is proved by Friedman rank test and statistical analysis in comparison to other algorithms such as SSA, SOS, NMS, EHO, and LJ optimization algorithms.

The structure of this paper is as follows: Section II briefly introduces both the power system and PIDm controller. Constraints of controller and problem formulation are discussed in Section III. Section IV demonstrates RE method and its implementation in AGC. Jaya algorithm is given in Section V. Simulated results are briefly discussed in Section VI. Conclusion is demonstrated in Section VII.

#### **II. POWER SYSTEM**

An electric power system consists of electrical components that are utilized for generation, transmission, and distribution of power. Electric power is produced by a large number of linked generators to meet the load requirement. These generators are interlinked with transmission lines to transfer the power from generating end to consumer end, at rated frequency and voltage. Generally, different types of resources are utilized for generation of electric power. These resources are mainly categorized into conventional and non-conventional resources. Coal, gas, nuclear and hydro-generations are the main conventional resources for the generation of electrical energy. However, biomass, solar, wind, tidal, wave, etc. are various sources of non-conventional resources for the generation of electricity. These sources initially transform the produced energy into mechanical energy. Then, with the help of generators,

electrical energy is obtained from this mechanical energy. Generated electrical energy is transmitted via overhead transmission lines to distribution substations. The distribution of power to commercial, residential, and industrial consumers is managed by distribution substations.

The demand for power increases along with an increase in load. To meet the increasing demand, additional power is to be extracted from the generator. In a single-area power system, increased load demand is fed by increasing generation or borrowing kinetic energy from interconnected machines. While in a multi-area system, power supply from interconnected generators through tie-lines is used which helps in supplying power to load from interconnected generating units. Due to borrowing power from interconnected area, the stress on generating units of area, in which load demand is increased, decreases.

#### A. POWER SYSTEM MODEL UNDER INVESTIGATION

Model of a two-area interconnected power system investigated in this work is shown in Fig. 1. The two-area interconnected power system is considered and its specifications are taken from Ali and Abd-Elazim [57]. Two thermal power plants with 2000 MW combined capacity and 1000 MW load of each plant, make up the realistically linked system. Fig. 1 shows a network of two-area power system, where  $\Delta f_{r1}$  and  $\Delta f_{r2}$  are variations in system frequencies. Area control errors are  $ACE_{r1}$  and  $ACE_{r2}$ ; frequency bias factors are  $\beta_{r1}$  and  $\beta_{r2}$ ; and control inputs are  $\mu_{r1}$  and  $\mu_{r2}$ , respectively. Governors' speed regulation constants are  $R_{r1}$  and  $R_{r2}$ , time constants of governors are  $T_{g1}$  and  $T_{g2}$  and time constants of turbines are  $T_{t1}$  and  $T_{t2}$ , respectively.  $G_{r1}$  and  $G_{r2}$  are gains of both areas. Power system time constants are  $T_{r1}$  and  $T_{r2}$ . Governor power deviations are  $\Delta P_{g1}$  and  $\Delta P_{g2}$ . Non-reheat steam turbine power deviations are  $\Delta P_{t1}$  and  $\Delta P_{t2}$ , and variations in load requirements of power system in areas 1 and 2 are  $\Delta P_{lr1}$  and  $\Delta P_{lr2}$ , respectively. Interconnected power system's tie-line power variation is indicated by  $\Delta P_{tl}$ .

#### B. PIDM CONTROLLER'S CONFIGURATION

PID controller is broadly preferred in industrial control applications [58]. The reasons are; (i) easier understanding, (ii) easier tuning, and (iii) easier implementation. In this contribution, the adopted structure of PID controller [59] is shown in Fig. 2. PID control scheme consists of three controlling terms, which form the manipulated variable (MV). These three controlling terms are proportional, integral, and derivative gains. These are represented by  $\phi_p$ ,  $\phi_i$ , and  $\phi_d$ , respectively. The output of the controller is given as follows

$$u(t) = \phi_p \cdot e(t) + \phi_i \int_0^t e(t) \cdot dt + \phi_d \frac{\mathrm{d}}{\mathrm{d}t} e(t)$$
 (1)

where, u(t) describes PID controller's output and e(t) denotes the error signal.

To obtain optimum response, the controlling parameters need to be tuned. The detrimental effect of noise in signal's input is mitigated by using a filter and a derivative gain.



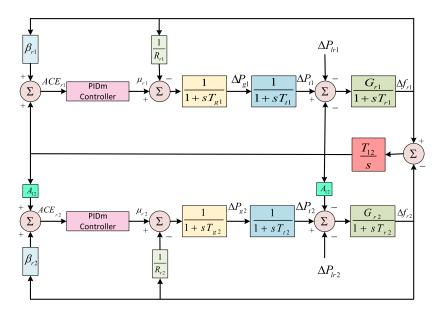


FIGURE 1. Interconnected power system of two-area.

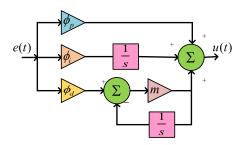


FIGURE 2. Configuration of controller.

The frequency domain response of the PID controller with derivative filter (commonly known as PIDm controller) is written as follows:

$$TF_{PIDm} = \phi_p + \phi_i \left(\frac{1}{s}\right) + \phi_d \left(\frac{1}{\frac{1}{m} + \frac{1}{s}}\right)$$
 (2)

where, m is the filter coefficient. Area control errors,  $ACE_{r1}$  and  $ACE_{r2}$  of areas 1 and 2 respectively, act as inputs to controllers. The expressions for  $ACE_{r1}$  and  $ACE_{r2}$  are provided in (3) and (4).

$$ACE_{r1}(s) = \Delta P_{tl12}(s) + \beta_{r1} \cdot \Delta f_{r1}(s)$$
 (3)

$$ACE_{r2}(s) = A_{12} \cdot \Delta P_{tl12}(s) + \beta_{r2} \cdot \Delta f_{r2}(s) \tag{4}$$

The parameter  $A_{12}$  is taken as (-1).

#### **III. PROBLEM FORMULATION**

The major factors that are affected by sudden changes in load are voltage and frequency of generator. A consistent increment in load demand and merging of renewable power sources with existing power systems, increase the complexity of system. As the complexity of system increases, maintaining reliability and continuity of power supply, and achieving stable operation becomes challenging. To overcome these issues, AGC is used. AGC aims to reduce frequency fluctuations while meeting system limits to maximize consistent and dependable power flow in MA linked power system. For stable operation, the parameters of PIDm controller demonstrated in Fig. 2 needs to be tuned within a specific range of parameter variations. The formulation of an objective function is necessary to figure out the best possible solution to this issue.

#### A. FORMULATION OF OBJECTIVE FUNCTION

The deviations in tie line power, voltage, and frequency are controlled by controller, based on error function. For formulation of objective function, integral time absolute error (ITAE), integration of squared error (ISE) [22], integral of time multiplied square error (ITSE), or integration of absolute error (IAE) may be considered. ITAE is taken into consideration for minimization of area control errors, deviations in tie-line power, and frequency deviations in generators, in this work. The objectives that are minimized, are given as:.

$$\varphi_1 = \int_{0}^{\tau_s} \|\Delta f_{r1}\| t \ dt + \int_{0}^{\tau_s} \|\Delta f_{r2}\| t \ dt$$
 (5)

$$\varphi_2 = \int\limits_0^{\tau_s} \|\Delta P_{tl}\| t \ dt \tag{6}$$

$$\varphi_3 = \int_0^{\tau_s} ||ACE_{r1}||t| dt + \int_0^{\tau_s} ||ACE_{r2}||t| dt$$
 (7)

In (5), (6) and (7), total simulation time is denoted by  $\tau_s$ . While,  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$  are objective functions. The combined effect of ITAEs with variations in frequency of areas 1 and 2 are represented by  $\varphi_1$ . While,  $\varphi_2$  denotes the ITAEs of



fluctuations in tie-line power. ITAEs of area control errors in both areas are signified by  $\varphi_3$ . Overall objective function is formulated by considering (5), (6) and (7), as:

$$\varphi(\varphi_1, \varphi_2, \varphi_3) = \delta_1 \varphi_1 + \delta_2 \varphi_2 + \delta_3 \varphi_3 \tag{8}$$

where, weights of objectives  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$  are  $\delta_1$ ,  $\delta_2$ , and  $\delta_3$ , respectively. The significance of  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$  in  $\varphi$  is given by these weights. By obtaining the values of  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$  from (5), (6), and (7) and utilizing them for (8), (9) is obtained.

$$\varphi = \delta_{1} \left( \int_{0}^{\tau_{s}} \|\Delta f_{r1}\| t \ dt + \int_{0}^{\tau_{s}} \|\Delta f_{r2}\| t \ dt \right) + \delta_{2} \int_{0}^{\tau_{s}} \|\Delta P_{tl}\| t \ dt + \delta_{3} \left( \int_{0}^{\tau_{s}} \|ACE_{r1}\| t \ dt + \int_{0}^{\tau_{s}} \|ACE_{r2}\| t \ dt \right)$$

$$(9)$$

In this article, weights  $\delta_1$ ,  $\delta_2$ , and  $\delta_3$  related with (9) are determined by using the rank exponent (RE) method [60] which is further discussed in section IV.

#### **B. CONSTRAINTS OF PIDM CONTROLLER**

Constraints play a significant role in decision-making whenever load disturbances occur in any area. Parameters of controller, i.e.  $\phi_p$ ,  $\phi_i$ ,  $\phi_d$ , and m, are decision variables as represented in Fig. 2. Controllers have limitations in varying the range of controller parameters. The following boundary constraints are taken into consideration for controller parameters:

$$\phi_p^{min} < \phi_p < \phi_p^{max} \tag{10}$$

$$\phi_i^{min} < \phi_i < \phi_i^{max} \tag{11}$$

$$\phi_d^{min} < \phi_d < \phi_d^{max} \tag{12}$$

$$m^{min} < m < m^{max} \tag{13}$$

#### **IV. METHODOLOGY**

#### A. RANK EXPONENT METHOD

In AGC, stability and reliability of an electrical power system depends on maintaining a balance between generation and load demand. To achieve this, different control objectives, such as frequency regulation, tie-line power control, and economic dispatch, need to be prioritized. In the context of multi-criteria evaluation, it is important to recognize that the sub-objectives contributing to overall objective are not of equal significance. Therefore, to enhance decision-making accuracy, it is essential to assign weights to these objectives. In literature [28], [60], [61], [62], a variety of weighting methods are suggested to assign weights. These techniques include equal weighting, rank exponent (RE), rank reciprocal, rank of centroid, and rank-sum method. RE method stands out among these weight estimation techniques due to incorporation of weight distribution factor. By appropriately selecting distribution factor value, it becomes possible to modulate the distribution of weights among the available

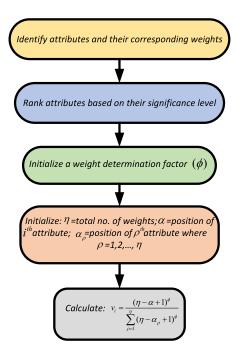


FIGURE 3. Stepwise implementation of RE method.

options, making it a preferred choice. Rank exponent weight method is an extension of rank-sum weight method [28]. The formula for determining the weights is represented as:

$$v_i = \frac{(\eta - \alpha + 1)^{\varnothing}}{\sum_{\rho=1}^{\eta} (\eta - \alpha_{\rho} + 1)^{\varnothing}}$$
(14)

In (14), total number of attributes are denoted by  $\eta$  while  $\alpha$  indicates attribute's position. Normalized weights of  $\alpha^{th}$  attribute are given by  $\nu_i$ . Weight distribution parameter  $\emptyset$ , distributes the weights in a stepper way as its value inclines.

#### B. IMPLEMENTATION OF RE IN AGC

Implementation of RE method for AGC problem is shown in Fig. 3. For implementation, sub-objectives  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$  are considered as attributes ( $\eta=3$ ). The value of weight determination factor  $\varnothing$  is considered to be 3 in order to illustrate the distribution of weights in an exponential way. Ranking of attributes is given in Table 1.

**TABLE 1.** Attributes' ranking.

ine Attribute	Rank position $(\alpha)$
ine $\varphi_1$	1
$\varphi_2$	2
$\varphi_3$	3
ine	

Ranking order of attributes is considered as  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$  in sequence. Normalized weights of each objectives



are obtained using (14) as follows:

$$\begin{cases}
\delta_1 = 0.75 \\
\delta_2 = 0.2222 \\
\delta_3 = 0.0277
\end{cases}$$
(15)

Eq. (15) shows significance of every objective in overall objective function. Thus, complete objective function (8) with  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$  and their weights  $\delta_1$ ,  $\delta_2$ , and  $\delta_3$ , respectively, turns out to be

$$\varphi(\varphi_1, \varphi_2, \varphi_3) = 0.75 \ \varphi_1 + 0.2222 \ \varphi_2 + 0.0277 \ \varphi_3$$
 (16)

However, overall objective function (9) changes to

$$\varphi = 0.75 \left( \int_{0}^{\tau_{s}} \|\Delta f_{r1}\| t \ dt + \int_{0}^{\tau_{s}} \|\Delta f_{r2}\| t \ dt \right) + 0.2222 \int_{0}^{\tau_{s}} \|\Delta P_{tl}\| t \ dt + 0.0277 \left( \int_{0}^{\tau_{s}} \|ACE_{r1}\| t \ dt + \int_{0}^{\tau_{s}} \|ACE_{r2}\| t \ dt \right)$$

$$(17)$$

In (17), three sub-objectives are presented with their associated weights. Since, the higher priority is assigned to improvement on frequency deviations in area 1 and area 2, the higher weight i.e. 0.75 is considered for the first subobjective. Further, the second priority is considered for improvement on ITAE of tie-line power deviations. Thus, the weight of 0.2222 is assigned to it. Furthermore, the last priority is improvement on ITAE of ACEs in area 1 and area 2. So, the least weight i.e. 0.0277 is assigned for the sub-objective of ITAE of ACEs in area 1 and area 2. This systematic allotment of weights for each sub-objective helps to improve the weighted objective function in attaining the suitable AGC for an interconnected power system. The obtained weighted objective function given in (17) is minimized using Jaya optimization algorithm, subject to constraints specified in (10), (11), (12), and (13).

#### **V. JAYA OPTIMIZATION ALGORITHM**

In Sanskrit, term "Jaya" is synonymous with victory. This semantic correspondence serves as primary motivational driving force for Jaya algorithm to approach success and evade failure. Jaya algorithm is characterized as a parameter-free, population-based metaheuristic optimization technique, initially proposed by Rao [63]. This algorithm exclusively depends on conventional control parameters (specifically population size and number of iterations for optimization [64]). Its scope extends to address both, unconstrained and constrained optimization problems. The algorithm draws inspiration from natural concept of survival of fittest. Candidate solutions in this approach gravitate towards global best solution while avoiding worst solution. This algorithm identifies worst and best solutions among the candidate solutions and subsequently updates each solution

Jaya algo	Jaya algorithm: Pseudocode					
<b>Set</b> parameters N, L and N	Л.					
i=1						
<i>while</i> ( <i>i</i> < <i>N</i> ) do						
<b>Determine</b> $f(\xi_{\mu,l})$						
<i>Find</i> $f(\xi_{best,l})$ and	$f(\xi_{worst,l})$					
for $\mu=1$ to M	, do					
for $l=1$ to	L, <b>do</b>					
Obta	<b>Obtain</b> $Z_1 \in [0,1]$ and $Z_2 \in [0,1]$					
Calc	<b>ulate</b> $X_1 = Z_1[\xi_{best,l} - \xi_{\mu,l}];$					
	$X_0 = -Z_2[\xi_{worst,l} - \xi_{\mu,l}]$					
Com	<b>pute</b> $\xi'_{\mu,l} = \xi_{\mu,l} + X_1 + X_0$					
End for						
$  if f(\xi_i) <$	$f(oldsymbol{\xi}_i)$ then					
$\xi_i \leftarrow \xi_i^{'}$						
End if						
End for						
$i \leftarrow i + 1$						
End while						

FIGURE 4. Pseudocode of Jaya algorithm.

by converging towards best solution and diverging from worst solution.

Let M be the number of candidate solutions (i.e. population size,  $\mu=1,2,\cdots,M$ ), updated variables of each solution at  $i^{th}$  iteration for  $l^{th}$  design variable (i.e.  $l=1,2,\cdots,L$ ) is given by,

$$\xi'_{\mu,l} = \xi_{\mu,l} + X_1 + X_0 \tag{18}$$

where,

$$X_1 = Z_1 \times (\xi_{best,l} - \xi_{\mu,l}) \tag{19}$$

$$X_0 = -Z_2 \times \left(\xi_{worst,l} - \xi_{\mu,l}\right) \tag{20}$$

In (18),  $\xi_{\mu,l}$  represents current candidate solution and  $\xi'_{\mu,l}$  indicates updated candidate solution. In (19) and (20),  $\xi_{best,l}$  denotes best candidate solution,  $\xi_{worst,l}$  signifies worst candidate solution, while  $Z_1$  and  $Z_2$  are random parameters ranging from zero to unity. The terms  $X_1$  and  $X_0$  indicate a solution's tendency to get closer to optimal solution and avoid worst solution, respectively. Updated candidate solution is acceptable only if it is better than existing candidate solution. After completion of every iteration, selected candidate solutions act as input for successive iteration procedure. Fig. 4 shows Jaya algorithm's pseudocode where N denotes total iterations. This algorithm constantly aims to minimize failure (i.e., moving away from worst candidate solution) and to increase its probability to succeed (i.e., locate the best candidate solution).

#### VI. RESULTS AND DISCUSSION

In this paper, interconnected two-area power system is investigated, as described in Ali and Abd-Elazim [57]. Constraints of controller parameters are defined in (10), (11),



TABLE 2. Case studies.

ine	Step load variations					
Test cases	Area 1	Area 2				
ine ine 1	0.04	0				
ine 2	0	0.04				
ine 3	0.04	0.04				
ine 4	0.04	-0.04				
ine 5	0.04	0.08				
ine 6	0.08	0.04				
ine						

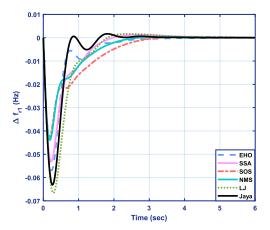


FIGURE 5.  $\Delta f_{r1}$  for Case 1.

(12), and (13). However, overall objective function to be minimized in shown in (17). The boundary conditions for controller parameters, defined in (10), (11), (12), and (13), are provided in Appendix A. While, the system parameters taken from [57] are tabulated in Appendix B. To effectively evaluate the performance of RE method-assisted PIDm controller, six test cases are considered that are tabulated in Table 2. This table provides the step load disturbance given to each area in all six cases. The test results of different cases (Cases 1-6) are tabulated in Tables 3-8. The performance of PIDm controller with different algorithms (Nelder-Mead simplex (NMS), elephant herding optimization (EHO) [65], symbiotic organisms search (SOS), salp swarm algorithm (SSA), and Luus-Jaakola (LJ) [66] algorithm) are compared with Jaya-based PID controller in these tables. Also, values of controller parameters,  $\phi_p$ ,  $\phi_i$ ,  $\phi_d$ , and m corresponding to minimum value of objective function, are provided in these tables. Moreover, minimum value of fitness function, corresponding value of settling time and overshoot are tabulated in Tables 3-8.

Performance analysis for controllers, designed with Jaya, SOS, EHO, SSA, LJ, and NMS algorithms, is also performed. Each optimization algorithm is run 50 times, with 50 iterations, for each of six test cases stated above. Total of hundred solutions are investigated for each algorithm. Jaya, SSA, EHO, SOS, NMS, and LJ algorithms' parameters are utilized for this work are listed in Appendix C. Table 9 represents the results of this comparison analysis. Test results of Case 1 are tabulated in Table 3. Fig(s). 5, 6, and 7 illustrate the variations in frequencies ( $\Delta f_{r1}$  and  $\Delta f_{r2}$ ) of areas 1 and 2,

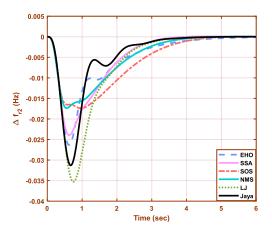


FIGURE 6.  $\Delta f_{r2}$  for Case 1.

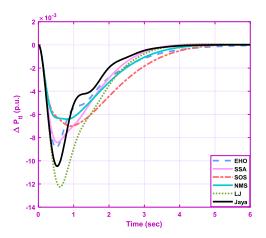


FIGURE 7.  $\Delta P_{tl}$  for Case 1.

and variation in tie-line power ( $\Delta P_{tl}$ ), respectively, under Case 1. The values of sub-objectives,  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$  should be low for optimal solution of overall objective function shown in (9). Jaya-based PIDm controller gives best optimal values of sub-objectives as compared to others. Table 3 exemplifies that Jaya-based PIDm controller achieves quickest settling times for tie-line power, as well as frequency deviations in both areas.

Table 4 provides the outcomes of Case 2. The recommended Jaya-based PIDm controller accomplishes least value of  $\varphi$  under loading conditions described in Case 2. The frequency fluctuations of area-1, area-2, and tie-line power deviation are shown in Fig(s). 8, 9, and 10, respectively. The simulation results show that Jaya-based PIDm controller offers least settling time for power flow variations along the tie-line power deviation which shows Jaya-based controller outperforms the other five. For Case 3, frequency fluctuations in area-1, area-2, and tie-line power variation are presented in Fig(s). 11, 12, and 13. In Case 3, there is an equal load deviation in both areas. Consequently, tie-line power deviation is reduced to zero, as illustrated in Fig. 13. The outcomes of Case 3 are comprehensively tabulated in Table 5. Frequency fluctuations in both areas have achieved shortest



TABLE 3. Test results of case 1.

ine		ЕНО	SSA	SOS	NMS	LJ	Jaya
ine	$\varphi$	0.0528	0.0478	0.0752	0.0514	0.0595	0.0360
Fitness	$arphi_1$	0.0638	0.0582	0.0915	0.0624	0.0724	0.0435
Titiless	$\varphi_2$	0.0187	0.0157	0.0246	0.0173	0.0196	0.0127
	$\varphi_3$	0.0290	0.0236	0.0387	0.0261	0.0302	0.0192
ine	$\phi_{p}$	2.6885	2.1105	2.4265	2.7621	1.4022	2.1822
Controller parameters	$\phi_i$	2.6337	2.7594	2.2941	2.8268	2.1428	2.9884
Controller parameters	$\phi_d$	0.7650	0.9683	1.4862	1.3483	0.6165	0.6241
	m	420.93	180.87	204.02	421.63	385.57	305.17
ine	$\Delta f_{r1}$	2.3712	3.1068	2.8498	2.3004	2.9826	1.9583
Settling time (s)	$\Delta f_{r2}$	4.6258	3.3413	4.5532	4.1181	3.3378	3.3204
	$\Delta P_{tl}$	4.8315	3.5240	4.6616	4.2402	3.5214	3.5147
ine	$\Delta f_{r1}$	0.0569	0.0531	0.0426	0.0439	0.0666	0.0631
Maximum overshoots (p.u.)	$\Delta f_{r2}$	0.0263	0.0240	0.0174	0.0174	0.0353	0.0313
	$\Delta P_{tl}$	0.0089	0.0084	0.0070	0.0064	0.0123	0.0105
ine							

TABLE 4. Test results of case 2.

ine		ЕНО	SSA	SOS	NMS	LJ	Jaya
ine	$\varphi$	0.0567	0.0540	0.0512	0.0516	0.0503	0.0359
Fitness	$\varphi_1$	0.0699	0.0665	0.0622	0.0625	0.0612	0.0438
Fitness	$arphi_2$	0.0157	0.0154	0.0172	0.0178	0.0168	0.0116
	$\varphi_3$	0.0277	0.0262	0.0263	0.0280	0.0259	0.0183
ine	$\phi_p$	1.4920	1.7913	1.5621	1.5136	1.5267	1.8637
Controller parameters	$\phi_i$	2.7745	2.9361	2.3342	2.2527	2.3451	2.9993
Controller parameters	$\phi_d$	0.8456	1.0252	0.5897	0.5047	0.5625	0.5519
	m	305.00	423.19	436.65	402.54	438.07	433.24
ine	$\Delta f_{r1}$	3.9316	4.2446	3.3750	3.5153	3.3387	3.1572
Settling time (s)	$\Delta f_{r2}$	3.4775	3.6343	2.3046	2.2658	2.3771	2.2451
	$\Delta P_{tl}$	4.4595	4.7093	3.4971	3.5842	3.4298	3.0981
ine	$\Delta f_{r1}$	0.0283	0.0237	0.0352	0.0386	0.0363	0.0347
Maximum overshoots (p.u.)	$\Delta f_{r2}$	0.0577	0.0518	0.0669	0.0712	0.0683	0.0671
	$\Delta P_{tl}$	0.0101	0.0085	0.0121	0.0131	0.0124	0.0116
ine							

TABLE 5. Test results of case 3.

ine		ЕНО	SSA	SOS	NMS	LJ	Jaya
ine	$\varphi$	0.0975	0.0959	0.0707	0.0745	0.0814	0.0445
Fitness	$\varphi_1$	0.1280	0.1259	0.0929	0.0978	0.1068	0.0585
Fittless	$\varphi_2$	0	0	0	0	0	0
	$\varphi_3$	0.0544	0.0535	0.0395	0.0415	0.0454	0.0248
ine	$\phi_p$	2.0917	1.3972	1.7582	1.8066	1.8639	1.7031
Controller neremeters	$\phi_i$	2.3741	2.7126	2.7016	2.5997	2.5488	2.9905
Controller parameters	$\phi_d$	0.6839	0.7993	0.7664	0.7807	0.8541	0.5389
	m	335.26	226.47	299.01	311.96	291.81	240.14
ine	$\Delta f_{r1}$	3.5070	3.6976	2.1884	2.3966	2.5621	1.6024
Settling time (s)	$\Delta f_{r2}$	3.5070	3.6976	2.1884	2.3966	2.5621	1.6024
	$\Delta P_{tl}$	0	0	0	0	0	0
ine	$\Delta f_{r1}$	0.0685	0.0673	0.0667	0.0659	0.0629	0.0776
Maximum overshoots (p.u.)	$\Delta f_{r2}$	0.0685	0.0673	0.0667	0.0659	0.0629	0.0776
*	$\Delta P_{tl}$	0	0	0	0	0	0
ine							

settling time using Jaya-based PIDm controller. The overall objective function,  $\varphi$ , and sub-objectives,  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$ , are obtained minimum in case of recommended Jaya-based PIDm controller.

Similarly, Table 6 presents results of Case 4. The data presented in Table 6 highlights that Jaya-based controller attains the minimum value for  $\varphi$ , along with its objectives  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$ . The frequency variations in area-1, area-2, and tie-line power variation are depicted in Fig(s). 14, 15, and 16, respectively. These figures demonstrate that

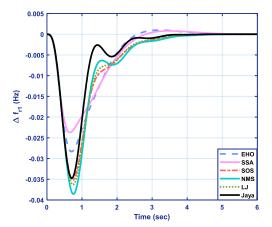
PIDm controller based on Jaya performs better than others.

Test results of Case 5 are presented in Table 7. The values of  $\varphi$ ,  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$  are observed as least after implementing recommended Jaya-based PIDm controller. Fig. 17, Fig. 18, and Fig. 19 depict frequency fluctuations observed in areas 1 and 2, as well as tie-line power variation, respectively. The recommended Jaya-based PIDm controller has smallest settling time for frequency fluctuations in areas 1 and 2, and tie-line power deviation.

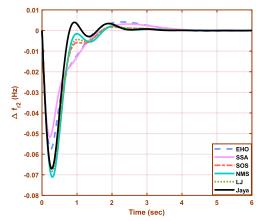


TABLE 6. Test results of case 4.

ine		ЕНО	SSA	SOS	NMS	LJ	Jaya
ine	$\varphi$	0.0669	0.0669	0.0607	0.0592	0.0594	0.0586
Fitness	$arphi_1$	0.0706	0.0726	0.0673	0.0687	0.0681	0.0655
Titiless	$\varphi_2$	0.0526	0.0473	0.0392	0.0297	0.0392	0.0290
	$\varphi_3$	0.0818	0.0711	0.0571	0.0412	0.0566	0.0401
ine	$\phi_p$	2.7806	2.2702	2.6629	1.7886	2.4937	1.7964
Controller parameters	$\phi_i$	2.2974	2.2744	2.5671	2.7919	2.5077	2.8286
Controller parameters	$\phi_d$	1.7427	1.3041	0.6756	0.8600	0.8860	0.8549
	m	243.07	431.27	406.99	162.39	472.92	159.82
ine	$\Delta f_{r1}$	4.8159	4.3453	3.7214	3.2865	3.8597	3.2609
Settling time (s)	$\Delta f_{r2}$	4.8159	4.3453	3.7214	3.2865	3.8597	3.2609
	$\Delta P_{tl}$	5.2087	4.5151	4.9439	3.0721	4.7178	3.0411
ine	$\Delta f_{r1}$	0.0371	0.0426	0.0554	0.0528	0.0502	0.0529
Maximum overshoots (p.u.)	$\Delta f_{r2}$	0.0371	0.0426	0.0554	0.0528	0.0502	0.0529
	$\Delta P_{tl}$	0.0128	0.0147	0.0190	0.0189	0.0169	0.0189
ine							



**FIGURE 8.**  $\Delta f_{r1}$  for case 2.



**FIGURE 9.**  $\Delta f_{r2}$  for case 2.

Similarly, test results of Case 6 are tabulated in Table 8. Frequency fluctuations of area-1, area-2, and tie-line power deviation produced by the suggested Jaya-based PIDm controller for the loading condition stated in Case 6 are shown in Fig. 20, Fig. 21 and Fig. 22, respectively. According to this data, recommended Jaya-based PIDm controller provides the least settling time for variations in frequency

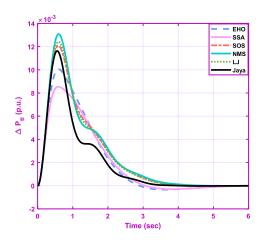
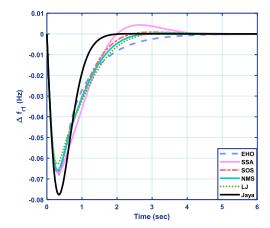


FIGURE 10.  $\Delta P_{tl}$  for case 2.



**FIGURE 11.**  $\Delta f_{r1}$  for case 3.

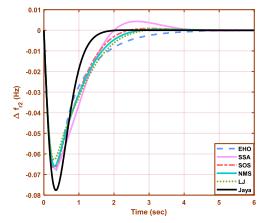
of both regions and tie-line power deviation. Because of this, the recommended Jaya-based PIDm controller precisely outperforms other controllers for Case 6 also.

A statistical evaluation is conducted to analyze the efficacy of recommended Jaya-based PIDm controller. In Table 9, the lowest, average, highest, and standard deviation values of each case are tabulated. The recommended Jaya-based

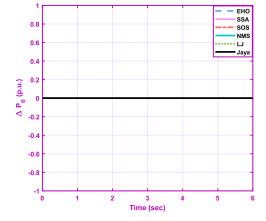


TABLE 7. Test results of case 5.

ine		ЕНО	SSA	SOS	NMS	LJ	Jaya
ine	$\varphi$	0.1562	0.1083	0.2063	0.1782	0.2264	0.0763
Fitness	$\varphi_1$	0.1997	0.1377	0.2633	0.2275	0.2892	0.0968
Tittless	$\varphi_2$	0.0187	0.0150	0.0261	0.0218	0.0273	0.0112
	$\varphi_3$	0.0841	0.0627	0.1108	0.0960	0.1224	0.0420
ine	$\phi_{p}$	2.6975	1.6974	1.2583	2.2825	2.0957	1.6745
Controller parameters	$\phi_i$	2.8269	2.5587	1.8311	2.4080	2.0554	2.9679
Controller parameters	$\phi_d$	1.6113	0.3824	0.7105	1.3164	1.2755	0.4826
	m	375.93	482.04	438.73	437.26	413.27	414.86
ine	$\Delta f_{r1}$	3.5213	3.4694	3.1653	3.7133	4.2221	2.3926
Settling time (s)	$\Delta f_{r2}$	2.9397	2.8027	2.5675	3.0758	3.5427	2.1385
	$\Delta P_{tl}$	4.0098	3.3440	3.8163	4.2355	4.7317	3.0782
ine	$\Delta f_{r1}$	0.0498	0.1064	0.0953	0.0587	0.0623	0.0986
Maximum overshoots (p.u.)	$\Delta f_{r2}$	0.0828	0.1622	0.1345	0.0933	0.0955	0.1508
	$\Delta P_{tl}$	0.0063	0.0139	0.0119	0.0073	0.0078	0.0127
ine							



**FIGURE 12.**  $\Delta f_{r2}$  for case 3.



**FIGURE 13.**  $\Delta P_{tl}$  for case 3.

PIDm controller provides the best value in every scenario, as this table demonstrates. The next best choice is an SOS-based controller, which is followed by an NMS-based controller. The recommended Jaya-based PIDm controller provides the least values for lowest, average, highest, and standard deviation for objective function. This statistical study demonstrates that recommended Jaya-based PIDm

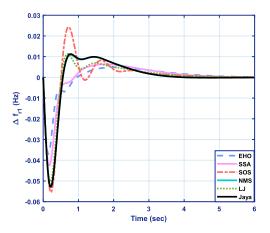


FIGURE 14.  $\Delta f_{r1}$  for case 4.

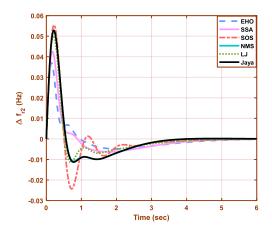


FIGURE 15.  $\Delta f_{r2}$  for case 4.

controller performs superior to other controllers tuned using NMS, SSA, EHO, SOS, and LJ algorithms.

Friedman rank test [67], a non-parametric statistical test, is also used to evaluate the significance of outcomes obtained with suggested controller. The null hypothesis assumes that the outcomes of other algorithms are not significantly



**TABLE 8.** Test results of case 6.

ine		ЕНО	SSA	SOS	NMS	LJ	Jaya
ine	$\varphi$	0.0789	0.0891	0.0854	0.2292	0.1581	0.0776
Fitness	$\varphi_1$	0.1002	0.1133	0.1085	0.2931	0.2017	0.0985
ritiless	$\varphi_2$	0.0117	0.0130	0.0123	0.0267	0.0200	0.0115
	$\varphi_3$	0.0417	0.0461	0.0462	0.1245	0.0856	0.0412
ine	$\phi_p$	1.8091	1.7268	2.1149	2.9995	2.4728	1.7876
Controller parameters	$\phi_i$	2.9558	2.7829	2.9997	2.2853	2.4975	2.9768
Controller parameters	$\phi_d$	0.5324	0.5653	0.5247	0.4459	1.1471	0.5249
	m	288.75	373.52	446.97	487.34	329.87	403.92
ine	$\Delta f_{r1}$	1.6081	1.6614	2.4000	4.4673	3.1881	1.5836
Settling time (s)	$\Delta f_{r2}$	2.4149	2.4639	3.1490	5.8026	4.0251	2.3932
	$\Delta P_{tl}$	3.1003	3.1427	3.2923	5.8179	4.5271	3.0813
ine	$\Delta f_{r1}$	0.1444	0.1421	0.1417	0.1409	0.0999	0.1451
Maximum overshoots (p.u.)	$\Delta f_{r2}$	0.0934	0.0929	0.0899	0.0861	0.0607	0.0942
	$\Delta P_{tl}$	0.0119	0.0118	0.0114	0.0108	0.0073	0.0120
ine							

**TABLE 9. Statistical analysis.** 

ine Cases	Statistical measures	ЕНО	SSA	SOS	NMS	LJ	Jaya
ine	Lowest	0.0528	0.0478	0.0752	0.0514	0.0595	0.0360
I	Average	0.0760	0.0678	0.0787	0.0717	0.0721	0.0365
1	Highest	0.1147	0.0874	0.0826	0.0856	0.0810	0.0373
	Standard deviation	0.0258	0.0191	0.0033	0.0125	0.0096	0.0006
ine	Lowest	0.0567	0.0540	0.0512	0.0516	0.0503	0.0359
II	Average	0.0873	0.1417	0.0536	0.0560	0.0574	0.0389
11	Highest	0.1312	0.3611	0.0569	0.0592	0.0624	0.0455
	Standard deviation	0.0274	0.1258	0.0040	0.0032	0.0047	0.0028
ine	Lowest	0.0975	0.0959	0.0707	0.0745	0.0814	0.0445
III	Average	0.1489	0.1316	0.0842	0.0895	0.0867	0.0470
111	Highest	0.2623	0.1902	0.1071	0.1062	0.0923	0.0525
	Standard deviation	0.0662	0.0353	0.0136	0.0148	0.0051	0.0032
ine	Lowest	0.0669	0.0669	0.0607	0.0592	0.0594	0.0586
IV	Average	0.0884	0.0698	0.0742	0.0623	0.0998	0.0611
1 4	Highest	0.1142	0.0734	0.1008	0.0652	0.1460	0.0646
	Standard deviation	0.0199	0.0028	0.0161	0.0024	0.0369	0.0022
ine	Lowest	0.1562	0.1083	0.2063	0.1782	0.2264	0.0763
v	Average	0.2334	0.1964	0.2232	0.2135	0.2307	0.0800
*	Highest	0.3560	0.2712	0.2289	0.2437	0.2347	0.0859
	Standard deviation	0.0865	0.0616	0.0096	0.0288	0.0038	0.0037
ine	Lowest	0.0789	0.0891	0.0854	0.2292	0.1581	0.0776
VI	Average	0.0896	0.2381	0.1601	0.2867	0.1633	0.0864
*1	Highest	0.1080	0.4389	0.2684	0.3887	0.1748	0.1008
	Standard deviation	0.0094	0.1744	0.0746	0.0659	0.0133	0.0068
ine							

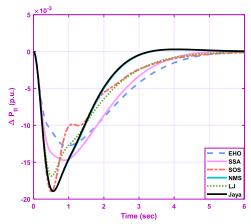


FIGURE 16.  $\Delta P_{tl}$  for case 4.

different. This null hypothesis is put to test at a 0.05 level of significance. Table 10 shows p-value, Q-value, and mean ranks of suggested algorithms determined using the

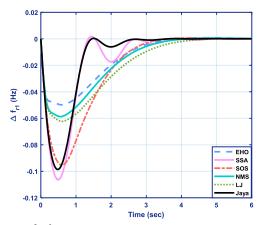


FIGURE 17.  $\Delta f_{r1}$  for case 5.

Friedman rank test. According to Table 10, Jaya has a mean rank of 1, whereas EHO, SSA, SOS, NMS, and LJ have mean ranks of 4.8333, 3.8333, 3.5, 3.5, 4.3333, and 1,



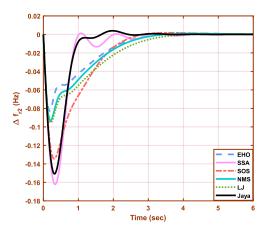


FIGURE 18.  $\Delta f_{r2}$  for case 5.

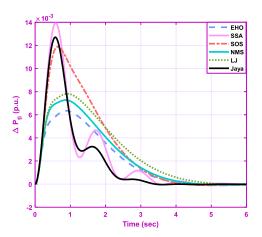


FIGURE 19.  $\Delta P_{tl}$  for case 5.

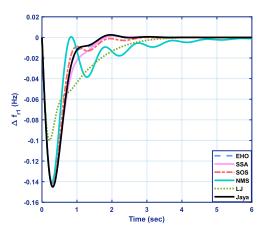


FIGURE 20.  $\Delta f_{r1}$  for case 6.

respectively. In order of performance decrement, the various algorithms are ranked as Jaya, NMS, SOS, SSA, LJ, and EHO using their corresponding mean values. The p value and Q value obtained from this test are 0.0097 and 15.1428, respectively. The obtained p value is substantially lower than 0.05. As a result, the null hypothesis can no longer be

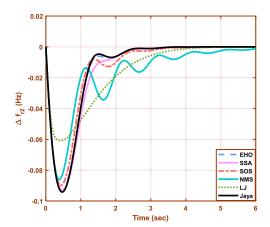


FIGURE 21.  $\Delta f_{r2}$  for case 6.

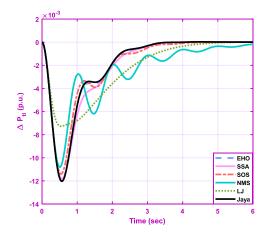


FIGURE 22.  $\Delta P_{tl}$  for case 6.

**TABLE 10.** Non-parametric statistical analysis.

ine	Friedman	ı rank test					
in	e	ЕНО	SSA	SOS	NMS	LJ	Jaya
ine	Mean rank	4.8333	3.8333	3.5	3.5	4.3333	1
ine	Q value			Q = 15	.1428		
ine	p value		p = 0.0097				
	ine		•				

accepted. As a consequence of *p* value, it is clear that results produced using various approaches differ significantly. This demonstrates that Jaya-based PIDm controller outperforms other controllers substantially.

#### VII. CONCLUSION

This work has proposed a Jaya-based rank exponent methodassisted controller (PIDm) to overcome AGC problems in two-area interconnected power systems. In our approach, three objectives are taken into account, namely integral of time multiplied absolute error (ITAE) for frequency deviations, tie-line power deviation, and area-control errors (ACEs). We have statistically compared the performances of our approach versus state-of-art optimization algorithms, by evaluating standard deviation, lowest, average, and highest



values, to determine the controllers' efficacy and applicability. Controllers tuned with salp swarm algorithm (SSA), Luus-Jaakola (LJ), Nelder-Mead simplex (NMS), symbiotic organisms search (SOS), and elephant herding optimization (EHO) algorithms are compared with our Jaya-based PIDm controller. This analysis has demonstrated the superiority of our method in fitting the given values more closely than the other five state-of-art approaches. Numerical analyses have been carried out under different loading circumstances, including a variety of load fluctuations in interconnected areas.

Future research will be devoted to investigate AGC issues with non-linear models and to understand if other methods other than the rank exponent approach can be fruitfully exploited to solve AGC problems in two-area interconnected power systems.

## APPENDIX A BOUNDARY CONDITIONS

ine		
ine Parameter	Min	Max
ine $\phi_p$	0	3
$\phi_i$	0	3
$\phi_d$	0	3
m	100	500
ine		'

# APPENDIX B PARAMETERS OF TWO-AREA INTERCONNECTED POWER SYSTEM

 $\begin{array}{lll} \text{ine } \mid & & & \\ \text{ine Frequency} & & & f = 60 \text{ Hz;} \\ \text{Frequency bias factors} & & & \beta_{r1}, \beta_{r2} = 0.05 \text{ p.u. Mw/Hz;} \\ \text{Speed regulating constants for governors} & & R_{r1}, R_{r2} = 2.4 \text{ Hz/p.u.;} \\ \text{Time constants for turbine} & & T_{t1}, T_{t2} = 0.3 \text{ s;} \\ \text{System gains} & & G_{r1}, G_{r2} = 120 \text{ Hz/p.u. Mw;} \\ \text{Torque co-efficient for synchronization} & & T_{12} = 0.545 \text{ p.u.;} \\ \text{Area-1 to area-2 tie-line ratio} & & A_{12} = -1. \end{array}$ 

## APPENDIX C PARAMETERS OF ALGORITHMS

ine	
ine EHO	<del>-</del>
JAYA	=
LJ	$k = 2, \gamma = 0.95$
NMS	$\alpha = 1, \beta = 2, \gamma = 0.5, \delta = 0.5$
SOS	=
SSA	<del>-</del>
ine	

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