

## RESEARCH ARTICLE

# Neural-Network Based MPC for Enhanced Lateral Stability in Electric Vehicles

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**ABSTRACT** Distributed electric drive vehicles offer maneuver-ability but face stability challenges under different driving conditions. Model Predictive Control (MPC) algorithms can improve lateral stability, but their high computational demands hinder real-time implementation. To address this, the proposed strategy combines Nonlinear Autoregressive Exogenous (NARX) neural networks with MPC in two ways, namely, Nonlinear Prediction-Nonlinear Optimization (NMPC-NO) and Nonlinear Prediction-Linearization (MPC-NPL). While NMPC-NO involves online nonlinear optimization, MPC-NPL uses local linearization, reducing both the computational load significantly to about 40% of the computation time of MPC and 0.05% of that of nonlinear model predictive control (NMPC). The neural networks are trained and validated on 20 different datasets, with alternative training methods investigated. MATLAB/Simulink simulations under various standardized tests demonstrate the effectiveness of the proposed techniques, highlighting improved handling performance, reduced computation time, and real-time deployment capabilities.

**INDEX TERMS** Artificial intelligence (AI), nonlinear model predictive control (NMPC), model predictive control (MPC), machine learning (ML), nonlinear prediction-nonlinear optimization (NMPC-NO), nonlinear prediction-linearization (MPC-NPL).

## I. INTRODUCTION

Recent research has focused on the four-wheel independent-motor-drive electric vehicle (4WIMDEV) due to its environmental benefits and precise control of vehicle movements through independent torque distribution on each wheel [1], [2] (see Fig. 1). The research has particularly emphasized vehicle stability control during emergency situations, aiming to enhance handling and safety in dynamic driving conditions including various strategies. Electronic Stability Control (ESC) is highlighted for maintaining the intended path through selective brake application, but it may have limitations on low-friction surfaces [3]. The Traction Control System (TCS) aims to prevent wheel spin during acceleration, enhancing traction and stability, though it may affect engine power [4]. The Anti-lock Braking

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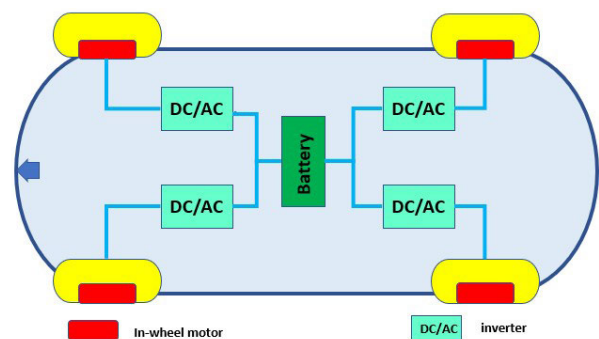


FIGURE 1. The 4 in-wheel motor.

System (ABS) prevents wheel lock during hard braking, maintaining steering control but potentially increasing stopping distances on rough surfaces [5]. Furthermore, negotiating corner conditions may result in oversteering

and understeering challenges, prompting researchers to investigate and tackle these phenomena.

Recent research underscores Direct Yaw Moment Control (DYC) as effective for improving handling stability [6], [7], [8]. DYC comprises two control levels, with the upper controller determining the desired yaw moment, and the lower controller allocating it to the four wheels using Torque Vector Control (TVC) [9], [10]. Despite DYC's effectiveness, its nonlinear and uncertain characteristics pose challenges in designing the upper controller. Traditional PID controllers, commonly used for yaw moment generation, face issues in tuning parameters and handling nonlinearity [11]. Alternative methods like Linear Quadratic Regulator (LQR) as in [12] and fuzzy control as in [13] have been explored, but may have complex control rules. Model Predictive Control (MPC) is gaining attention for its potential in predicting states and handling diverse control objectives [14], [15], [16], [17], while Nonlinear Model Predictive Control (NMPC) has shown effectiveness in managing complex systems, though its implementation demands careful consideration of computing resources [18], [19].

For example, solving NMPC takes about 15 s for the problem considered, raising concerns about meeting the recommended 30 milliseconds sample time for vehicle stability [20]. Moreover, in other related works, a 10 milliseconds sample time has been used [21], [22], stressing computational limitations for real-time implementation.

The primary objective of this article is to reduce the computational burden of predictive controllers considering the limits imposed by real-time applications. Additionally, we deal with the challenges posed by vehicle nonlinearity and aim to improve handling performance by using neural networks for nonlinear prediction, effectively capturing system dynamics while reducing computation time.

Various strategies have been employed to enhance MPC's computational efficiency. Specialized fast MPC algorithms like explicit methods offer real-time capabilities with custom implementations [23]. However, these methods may demand customized implementations and offer less flexibility than general-purpose solvers. Suboptimal (MPC) achieves a compromise between computational efficiency and control performance, rendering it appropriate for dynamic systems and applications characterized by the model uncertainties [24]. Nevertheless, its effectiveness relies on appropriate initialization and may result in suboptimal control performance, thereby diminishing its suitability for high-precision control demands. Mixed-integer Linear Programming (MILP), as exemplified by [25], provides a robust framework for handling constraints and optimizing MPC but may become computationally expensive for large-scale systems. Horizon reduction, as introduced by [26], shortens prediction horizons for faster computations, albeit at the expense of a trade-off between computation time and long-term performance. Sparse optimization techniques aim to minimize active constraints and variables, significantly

reducing computation time as demonstrated by [27], though they require careful selection and tuning of sparsity-inducing penalties. Warm start and online methods, as utilized by [28], reduce computation by reusing previous solutions, but are more suitable for slowly varying dynamics. The authors in [29] discuss the utilization of Graphics Processing Units (GPUs) for MPC computations, offering a significant speedup but demanding access to GPU hardware and complex implementation. Active-set methods, as explored by [30], focus on efficiently solving Quadratic Programming (QP) problems through active constraint selection and updating, thereby reducing computation time, though they may require careful tuning and implementation. In [31] the benefits of parallelism in solving large-scale MPC problems, although complex synchronization and load balancing may be needed for distributed computing. Event-triggered (MPC) offers advantages such as reduced computational load, enhanced energy efficiency, lower communication overhead, and improved robustness to disturbances [32], [33], [34]. However, it involves complex design requirements and potential trade-offs between computation time reduction and control performance as in [35], [36], and [37].

A significant contributor to the high computational power requirements of MPC is the inherent complexity of the model, often characterized by nonlinearity and a high order. Various approaches have been devised to address this challenge, including the development of linearized building models [38], online-linearization techniques [39], and developing reduced-order models of system dynamics, as seen in [40] and [41], which enable faster optimization and control computations. Leveraging artificial intelligence to emulate MPC and reduce the computational burden is another effective approach, as demonstrated by [42], which utilized artificial neural networks to dramatically shorten the computation time of MPC in solar parabolic-trough plants, offering an efficient solution for computational optimization. Reduced online computational requirements are one benefit of integrating Machine Learning (ML) techniques, particularly artificial neural networks (ANNs) with MPC. In particular, machine learning (ML) techniques improve MPC computational costs by enabling data-driven modeling [43], [44]. The integration of ML and MPC has experienced consistent growth in recent years, resulting in various categories of applications [45], [46], [47], e.g., offline modeling utilizes measurement data to create ML models for MPC [2], [48], [49]; online learning adjusts MPC model coefficients in real-time [26], [50], [51]; ML in imitation of MPC replicates MPC behavior in real-time, with successful applications in various industries [52], [53], [54] and improvements of computational efficiency [52], [55], [56]; ML in control structure of MPC involves ML as an add-on or embedded controller [57], [58], [59]; finally, MPC can also work as a safe learning controller in learning algorithms to address control constraints [60], [61]. Complex nonlinear interactions that may be difficult



**FIGURE 2.** The fox vehicle, an experimental test car at university of seville.

for conventional mathematical and statistical models to capture, particularly in complex systems, can be captured by ML-based models such as nonlinear autoregressive models with exogenous inputs (NARX) [62], [63], feed-forward neural networks (FNNs) [64], deep neural networks (DNNs) [65], and recurrent neural networks (RNNs) [66], can be used as process models, have the potential to effectively represent complicated physical systems, have demonstrated the ability to successfully simulate dynamic processes inside the MPC framework, giving precise approximations and quicker convergence in MPC. In this regard, it would be beneficial to place a stronger emphasis on MPC controllers utilizing NARX models, as this approach aligns with the methodology outlined in our paper.

Specifically, this study proposes the utilization of Non-linear Autoregressive Exogenous (NARX) Artificial Neural Networks (ANNs). The NARX model is particularly suitable for handling nonlinearities and uncertainties, making it a popular choice in time-series forecasting, control systems, and nonlinear system identification [67]. Two approaches are employed here combining NARX neural networks with MPC [68], [69]:

- 1) The first approach, Nonlinear Prediction and Linearization (MPC-NPL), involves online linearization of the neural network model and solving an online quadratic optimization problem.
- 2) The second approach, Nonlinear Prediction and Non-linear Optimization (NMPC-NO), utilizes neural network models directly for nonlinear prediction without simplifications. It derives the optimal control policy by solving a nonlinear optimization problem.

By learning the system dynamics, it is possible to accelerate the computation of the control action while preserving the capacity to adjust the behavior of the controller by modifying its tuning parameters such as the prediction horizon and the cost's weighting matrices. Moreover, the approach followed also allows considering explicitly the satisfaction of the problem constraints along the prediction horizon. The proposed combination of MPC and NN controllers is assessed through the utilization of a simulated vehicle featuring four electric wheel motors, this model is based on the physical prototype shown in Fig. 2. It functions as a testing platform for control experimentation.

Our paper makes two main contributions:

We propose a novel approach that integrates Nonlinear Autoregressive with Exogenous Inputs (NARX) neural networks and Model Predictive Control (MPC) algorithms to enhance the lateral stability of electric vehicles. This integration offers several notable advantages. Firstly, by combining NARX neural networks and MPC algorithms, our approach allows for more efficient control computations, reducing the computational burden significantly. This, in turn, leads to improved real-time performance, ensuring that the controller can effectively respond to dynamic driving conditions. Additionally, the integration of NARX networks facilitates better tracking accuracy, as they excel at capturing complex, non-linear relationships in the vehicle dynamics, resulting in enhanced control performance. As a result, our approach not only optimizes computational efficiency but also bolsters the overall stability and handling performance of electric vehicles, making it a promising solution for real-world deployment.

The rest of this article is structured as follows. Section II illustrates both the vehicle's linear and non-linear models. Section III explains the effective control methods that have been applied and introduces the utilization of the torque vector control (TVC) method as a low-level control strategy. Section IV introduces ANNs, the training process, and their integration with control systems. Section V provides a numerical assessment of the proposed strategies. Finally, conclusions are summarized in Section VI.

## II. PROBLEM FORMULATION AND SYSTEM MODELING

In this study, we utilize both linear and nonlinear models. The nonlinear model is a 14-degree-of-freedom representation built upon vehicle dynamics, encompassing components such as the vehicle itself, tires, and in-wheel motors. The linear model, on the other hand, is employed specifically for developing control strategies for electric vehicles equipped with in-wheel motors. All the symbols in the modeling process and their related physical meanings are listed in Table 1.

### A. LINEAR VEHICLE MODEL

We initially introduce a two-degree-of-freedom vehicle model focusing on yaw and lateral motion. To facilitate control analysis, we employ the kinematic bicycle model [70], [71] to represent the lateral dynamics. This model operates on the assumption that a moment  $M_z$  can be produced through the difference in longitudinal tire forces between the wheels (as depicted in Fig. 3). The equations governing the vehicle's lateral dynamics are

$$mv_x(\dot{\beta} + r) = F_{yr} + F_{yf}, \quad (1)$$

$$I_z \dot{r} = l_f F_{yf} - l_r F_{yr} + M_z. \quad (2)$$

Under turning conditions, the dynamic equations of the bicycle have been linearized to focus exclusively on small values of lateral drift and sideslip angles, simplifying

TABLE 1. Vehicle parameters and model variables.

Symbol	Description	Value	[Units]
$m$	Vehicle mass	400.238	[kg]
$I_z$	Yaw moment of inertia	1047.52	[kg m <sup>2</sup> ]
$l_f$	Distance from CG to front axle	1.3004	[m]
$l_r$	Distance from CG to rear axle	1.2204	[m]
$L$	Wheelbase	2.5208	[m]
$C_f$	Cornering stiffness of front tire	47000	[N/rad]
$C_r$	Cornering stiffness of rear tire	53000	[N/rad]
$\mu$	Tire-road friction coefficient	[-]	[-]
$\beta$	Sideslip angle	[-]	[rad]
$\delta_f$	Front steer angle	[-]	[rad]
$\alpha_f$	Front side slip angle	[-]	[rad]
$\alpha_r$	Rear side slip angle	[-]	[rad]
$F_{yr}$	Rear axle lateral tire force	[-]	[N]
$F_{yf}$	Front axle lateral tire force	[-]	[N]
$F_g$	The force of gravity	[-]	[N]
$F_z$	The vertical load	[-]	[N]
$F_{roll}$	The rolling resistance	[-]	[N]
$F_w$	The horizontal road	[-]	[N]
$F_{air}$	The air resistance	[-]	[N]
$v_x$	Vehicle longitudinal speed	[-]	[km/h]
$r$	Vehicle yaw rate	[-]	[rad/s]
$\tau_{lag}$	Relaxation time	[-]	[s]
$a_x$	Horizontal acceleration	[-]	[r/s <sup>2</sup> ]
$a_y$	Vertical acceleration	[-]	[r/s <sup>2</sup> ]
$V$	Vehicle velocity	[-]	[km/h]
$l_f$	Width of the front axle	[-]	[m]
$l_r$	Width of the rear axle	[-]	[m]
$c_1, c_2, c_3$	Wheel model constants	[-]	[-]

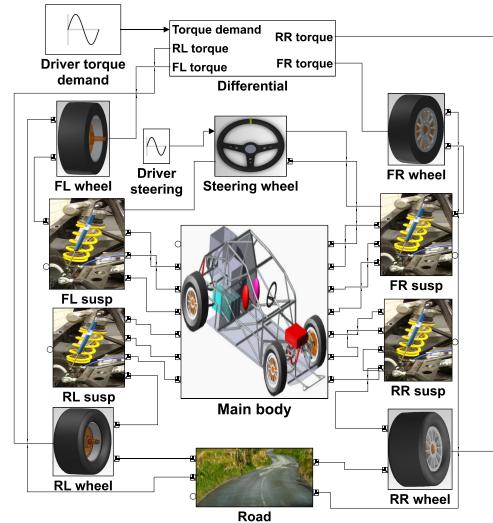


FIGURE 4. The vehicle's simmechanics model.

diagram incorporates 35 bodies and 38 joints from Solidworks CAD and uses 14-degree-of-freedom (longitudinal, lateral, vertical, roll, pitch, bounce, rotations, and vertical oscillations of 4 wheels). We also assume rigid vehicle parts, tire-road force at the wheel's lowest point, flat surface, and account for longitudinal air friction. This non-linear model has been employed both as an internal model within the NMPC controller and as a digital replica of the actual vehicle. Similarly, Fig. 5a shows the external forces applied to the vehicle, whereas Fig. 5b illustrates the tire-road interaction, determining forces generated at the contact surface. The wheel movement is determined using longitudinal  $S_L$  and lateral  $S_S$  coefficients

$$S_L = \frac{v_r \sin \alpha}{v_w}, \quad S_S = \frac{v_r \cos \alpha - v_w}{v_r \cos \alpha}$$

where  $v_w$  is the speed of the wheel,  $v_r$  is a virtual speed whose direction is coincidental to the  $x_i$  axis, and  $\alpha$  is the slip angle between  $v_r$  and  $v_w$ . The longitudinal  $F_L$  and lateral  $F_S$  forces are calculated as

$$F_L = \mu_L F_Z, \quad F_S = \mu_S F_Z \tag{7}$$

with  $\mu_L$  and  $\mu_S$  being the lateral and side friction coefficients, where

$$\mu_L = \mu_{Res} \frac{S_L}{S_{Res}}, \quad \mu_S = \mu_{Res} \frac{S_S}{S_{Res}}, \quad S_{Res} = \sqrt{(S_L)^2 + (S_S)^2},$$

$$\mu_{Res} = c_1 * (1 - e^{c_2 S_{Res}}) - c_3 S_{Res}$$

This Simmechanics model is very versatile since it allows us to choose several types of roads according to their adhesion (dry asphalt, rain, snow, etc.). Finally, a discretization time of 0.01 seconds is employed following [21] and [22].

C. CONSTRAINTS

The brushless motors in the system have 7 KW of power and are supplied with alternating current through a converter,

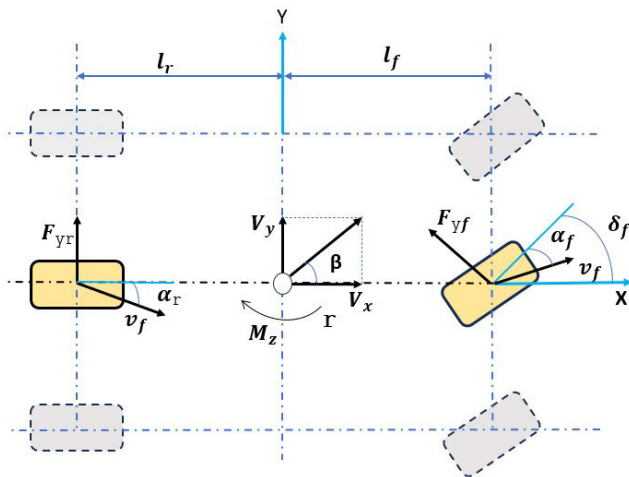


FIGURE 3. Vehicle bicycle model.

expressions to approximate  $\sin(\alpha) \approx \alpha$ ,  $\cos(\alpha) \approx 1$ . Following this linearization of equations (1) and (2), along with the incorporation of a dynamic tire model, we derive the subsequent state-space model [72]:

$$\dot{x}_l = Ax_l + B_\delta \delta_f + B_M M_z, \tag{3}$$

where (4)–(6), as shown at the bottom of the next page.

B. NONLINEAR VEHICLE MODEL

A nonlinear model has also been developed in Sim-Mechanics to faithfully replicate the real vehicle's path [21] (see Fig. 4). The FOX vehicle's SimMechanics block

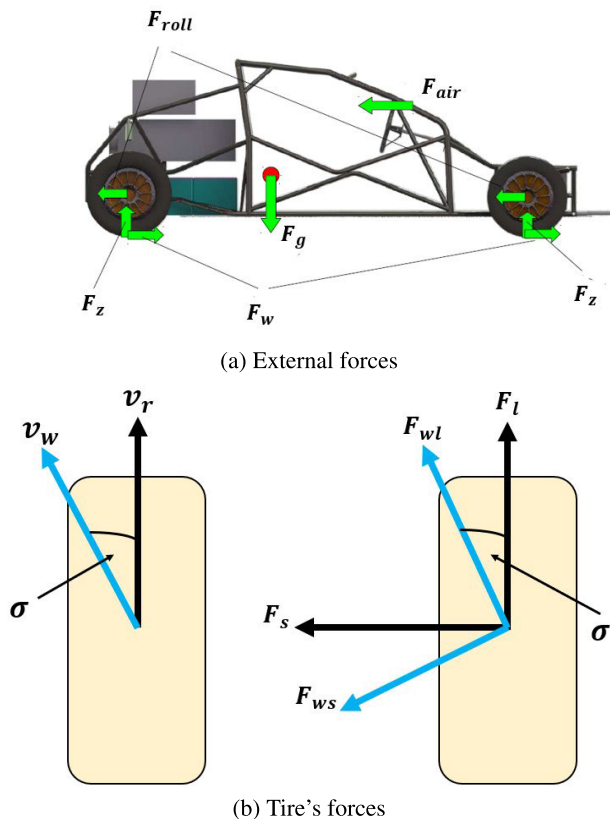


FIGURE 5. External and tire forces on the vehicle.

which is more efficient for prolonged use. These motors are chosen for their performance benefits, including reduced friction heat loss, and noise. They are directly connected to the wheels for maximum torque delivery efficiency. However, the manufacturer has set a maximum torque limit of  $T_{max} = 80Nm$ , which serves as the ultimate constraint on torque application. The actuator's primary function is to ensure control and safety by imposing constraints on the permissible additional yaw moment. These constraints may include limiting torque output, ensuring a specified response speed, or respecting the actuator's physical limitations.

In essence, the actuator's role is to guarantee that the system operates within these defined limits while making necessary adjustments to the yaw moment. The following constraints need to be satisfied regardless of the model employed:

$$\begin{aligned}
 |\Delta M_z| &\leq 100 \\
 |M_z| &\leq 1000 \\
 |T_{max}| &\leq 80
 \end{aligned} \tag{8}$$

### III. YAW STABILITY CONTROL

Actual road vehicle dynamics exhibit substantial non-linearity and are susceptible to model uncertainties and disturbances, particularly when encountering demanding driving scenarios like sharp cornering maneuvers. These dynamics can be influenced by parameter fluctuations (such as changes in road surface adhesion coefficients, tire cornering stiffness, vehicle mass, vehicle speed, and moment of inertia [73]) as well as external disturbances (for instance, lateral crosswinds that can affect the ability to maintain the desired yaw rate and sideslip response [74]). Consequently, it is imperative to employ suitable control strategies and algorithms to effectively manage these challenges.

In particular, when aiming to achieve yaw stability control in an electric vehicle equipped with in-wheel motors, several objectives must be taken into consideration. These include ensuring excellent handling and stability, preventing wheel slip on surfaces with low friction coefficients, and delivering a smooth driving experience for the driver's comfort. The proposed controller for yaw stability adopts a hierarchical structure, as illustrated in Fig. 6. In this configuration, the linear/nonlinear MPC serves as the upper-level controller. It takes desired sideslip and yaw rate as inputs and calculates the necessary moment  $M_z$ . Meanwhile, the TVC functions as the lower-level controller, responsible for distributing torque among the four motors to generate the desired angular momentum.

#### A. SET POINT

The generation of references for both sideslip angle and yaw rate is determined as follows. In order to enhance stability and

$$x_l = [\beta \quad \dot{\beta} \quad r \quad \dot{r}]^T, \tag{4}$$

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ \frac{C_f + C_r}{\tau_{lag} m v_x} & -\frac{1}{\tau_{lag}} & \left( \frac{C_r l_r - C_f l_f}{\tau_{lag} m v_x^2} - \frac{1}{\tau_{lag}} \right) & -1 \\ 0 & 0 & 0 & 1 \\ \frac{C_r l_r - C_f l_f}{\tau_{lag} I_z} & 0 & -\frac{C_f l_f^2 + C_r l_r^2}{\tau_{lag} I_z v_x} & -\frac{1}{\tau_{lag}} \end{bmatrix}, \tag{5}$$

$$B_\delta = \begin{bmatrix} 0 \\ \frac{C_f}{\tau_{lag} m v_x} \\ 0 \\ \frac{C_f l_f}{\tau_{lag} I_z} \end{bmatrix}, \quad B_M = \begin{bmatrix} 0 \\ 0 \\ 0 \\ \frac{1}{\tau_{lag} I_z} \end{bmatrix}. \tag{6}$$

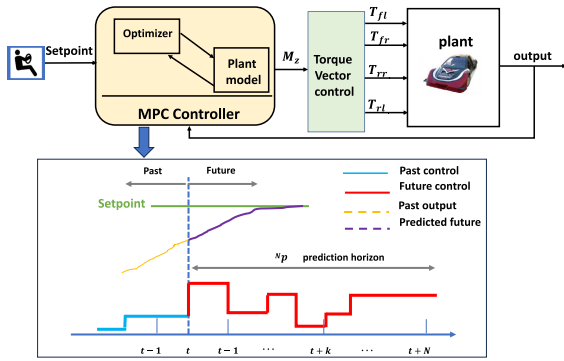


FIGURE 6. MPC and yaw stability control structure.

enhance tracking performance, it is recommended to establish the desired sideslip angle as zero [75]:

$$\beta_{des} = 0, \quad (9)$$

Furthermore, supposing that the vehicle is following a cur-vilinear path with a consistent turning radius  $R$ , speed  $V$ , a constant angular velocity  $\dot{r} = 0$ , and a sideslip angle rate of change  $\dot{\beta} = 0$  [76], the necessary yaw rate can be expressed as:

$$r_{des} = \frac{V}{L + \frac{m}{L}(\frac{l_r}{C_{\alpha f}} - \frac{l_f}{C_{\alpha r}})V^2} \delta_f, \quad (10)$$

This equation establishes the connection between the yaw rate and the front wheel angle of rotation when the vehicle is at a standstill.

### B. MPC CONTROLLER

As depicted in Fig. 6, the objective of the MPC controller is to determine the required moment  $M_z$  so that the sideslip and yaw rate follow the desired output reference. By utilizing a model of the car, MPC makes predictions about how these variables will behave in the future for a given horizon  $N_p$ . It also incorporates an optimizer that steers the predicted outputs towards the desired reference. To optimize the increments of the control signal  $\Delta M_z$  the optimization problem formulated is

$$\min_{\Delta M_z} (Y_{ref} - Y)^T Q (Y_{ref} - Y) + \Delta M_z^T R \Delta M_z \quad (11)$$

subject to the constrains in (8). Here  $Q, R$  are weighting matrices,

$$\Delta M_z = [\Delta M_z(k), \Delta M_z(k + 1), \Delta M_z(k + 2), \dots, \Delta M_z(k + N_c)]^T$$

is the input sequence, the system output sequence is

$$Y = [\beta(k + 1), r(k + 1), \beta(k + 2), r(k + 2), \dots, \beta(k + N_p), r(k + N_p)]^T$$

and the reference signal for both outputs is given by

$$Y_{ref} = [\beta_{ref}(k + 1), r_{ref}(k + 1), \beta_{ref}(k + 2), r_{ref}(k + 2), \dots, \beta_{ref}(k + N_p), r_{ref}(k + N_p)]^T$$

In linear MPC, the predicted output sequence  $Y$  is obtained using model (3), which was discretized in MATLAB using a zero-order hold, and the problem is solved using the Matlab function *quadprog*. In NMPC, the Simmechanics model predicts how the system behaves, providing outputs  $\beta[k]$  and  $r[k]$  during the prediction horizon  $N_p$ . Then, we utilize the interior-point algorithm through *fmincon* to minimize the cost function. As customary, at each time step, we apply solely the initial input from the sequence of optimal inputs, and this process is reiterated in every time step.

In our work, to tune controller parameters for MPC and NMPC, a systematic approach is followed. This process begins with defining an all-encompassing cost function that incorporates elements associated with tracking error, control effort, and state constraints. The primary objective is to discover parameter values that minimize a cost function while adhering to control objectives and constraints. Then, an initial estimate of  $Q$  and  $R$  based on engineering expertise and prior knowledge is taken. Subsequently, the adjustment of  $Q$  and  $R$  values has been accomplished through systematic trial-and-error tuning. The system is then subjected to diverse scenarios and disturbances to assess the controllers' performance. Evaluation is based on Root Mean Square Error (RMSE) calculations between the desired and measured yaw rate and side slip angle, serving as a performance indicator. Fine-tuning is achieved through iterative refinement. Moreover, trade-offs between conflicting control goals are carefully considered, ensuring an equilibrium between various objectives, such as improving tracking accuracy while minimizing control effort. Ultimately, a comparative evaluation determines which controller aligns better with the desired criteria.

### C. TORQUE VECTOR CONTROLLER

The torque allocation for each individual motor is calculated using a low-level TVC system as outlined in [77]. The TVC is responsible for apportioning the torque among the four motors to produce the desired angular momentum, achieving this by distributing the generated angular momentum as follows:

$$M_{z,front} = 0.5M_z, \quad M_{z,rear} = 0.5M_z, \quad (12)$$

$$M_{z,front} = F_{x,fr} \frac{t_f}{2} + F_{x,fl} \frac{t_f}{2}. \quad (13)$$

The longitudinal forces on the right and left sides are allocated, following the principles outlined in [78], based on the vertical forces and road friction, and are determined as:

$$F_{x,f} = \sqrt{(\mu F_z)^2 - F_y^2}, \quad (14)$$

$$F_{x,f} = \frac{M_{z,front}}{t_f}. \quad (15)$$

here,  $F_{x,fr}, F_{x,fl}, F_{x,rr}, F_{x,rl}$  represent the longitudinal forces acting on the front-right, front-left, rear-right, and rear-left wheels, respectively. Additionally,  $t_f$  and  $t_r$  denote the width of the front and rear axles, while  $\mu$  represents the tire-road friction coefficient. Employing a similar approach for the rear

wheels, we obtain

$$F_{x,r} = \frac{M_{z,rear}}{I_r}. \quad (16)$$

The upper-level controller supplies the necessary angular momentum needed to adjust the vehicle's trajectory, and the electric motor's requisite torque is determined as

$$T_m - F_x r_{dyn} = I_{yy} \dot{\omega}, \quad (17)$$

$$T_{m,i} = \frac{r_{dyn}}{t_f} M_{z,front} + I_{yy} \dot{\omega}, \quad (18)$$

here,  $T_m$  represents the torque produced by the electric motor,  $r_{dyn}$  stands for the dynamic tire radius,  $I_{yy}$  denotes the moment of inertia of the wheel about its axis of rotation, and  $\omega$  represents the wheel's angular velocity.

#### IV. ARTIFICIAL NEURAL NETWORKS AND NARX MODEL

ANNs are versatile tools utilized for a wide range of applications, including clustering, recognition, pattern classification, optimization, function approximation, and prediction [79], [80], [81], [82]. Inspired by the biological neural system, ANNs possess remarkable abilities to learn, store, and recall information [83], [84]. As black-box modeling tools, they excel at performing nonlinear mappings from an  $m$ -dimensional input space to an  $n$ -dimensional output space, even when the relationships between the input and output spaces are unknown [47], [85], [86]. In our vehicle simulation model, continuous measurements of yaw rate and side slip angle, used for comprehending, controlling, and simulating dynamic behavior, create a time series dataset, which can be used to train NARX neural networks yielding a powerful predictor for the corresponding time series data due to their feedback connections to improve its performance in nonlinear time series prediction [83], [84], [87].

Fig. 7 shows two NARX neural network architectures: series-parallel (open-loop) and parallel (closed-loop), respectively represented in (19) and (20),

$$\hat{x}(k+1) = F(x(k), \dots, x(k-d), u(k), \dots, u(k-d)) \quad (19)$$

$$\hat{x}(k+1) = F(\hat{x}(k), \dots, \hat{x}(k-d), u(k), \dots, u(k-d)) \quad (20)$$

where  $F(\cdot)$  is the mapping function of the neural network,  $\hat{x}(k+1)$  is the predicted output of the NARX at time  $k+1$ ,  $\hat{x}(k)$  is the past predicted output of the NARX,  $x(k)$  is the corresponding true value,  $u(k)$  is the input of the NARX, and

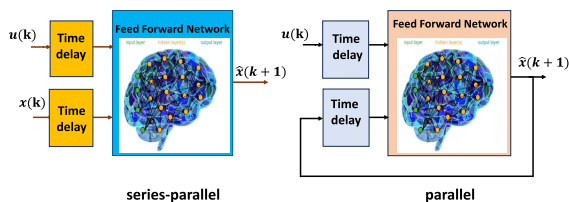


FIGURE 7. Architectures of the NARX neural network.

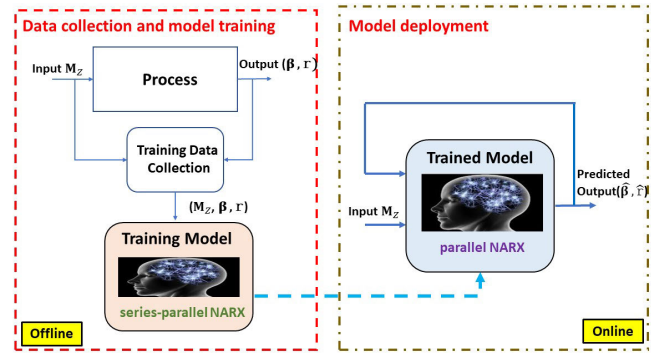


FIGURE 8. Training and deployment phases.

$d$  is the number of delays. In the series-parallel architecture, predictions for each time step  $k$  are made by providing both present and past exogenous inputs  $u(k), \dots, u(k-d)$  and the past true values of the time series  $x(k), \dots, x(k-d)$ . These inputs are utilized to forecast the next time step,  $k+1$ . Conversely, in the parallel architecture, the prediction is made using the present and past values of exogenous inputs  $u(k), \dots, u(k-d)$  and the past predicted values of the time series  $\hat{x}(k), \dots, \hat{x}(k-d)$ . The series-parallel architecture is chosen for training due to the availability of true past values of side slip  $\beta$ , yaw rate  $r$ , and control input  $M_z$  (see Fig.8). It offers two advantages: more precise predictions using true values as inputs and a pure feedforward structure allowing the use of standard training algorithms for Multi-Layer Perceptron (MLP). After training, the NARX model is converted to parallel architecture via the feedback connection of the predicted outputs, benefiting multi-step ahead prediction for  $(\hat{\beta}, \hat{r})$ . The NARX neural network model uses the structure of MLP to approximate the mapping function, a powerful structure capable of learning continuous nonlinear mappings [88]. It consists of three layers: input, hidden, and output, where each neuron's output is given by

$$a_i^{(l)} = g^{(l)} \left( \sum_{j=1}^{n^{(l-1)}} w_{ji}^{(l-1)} a_j^{(l-1)} + b_i^{(l-1)} \right) \quad (21)$$

where  $a_i^l$  is the output of node  $i$  and layer  $l$ ,  $w_{ji}^l$  is the weight vector between neurons  $j$  and  $i$ ,  $g^{(l)}$  is the activation function, and  $b_i^l$  is the bias unit.

#### A. NARX TRAINING

The neural network parameters are determined iteratively through initialization, error calculation, and weight adjustment until a specified criterion is met. Back-propagation is used to compute errors for networks with hidden layers. The appropriate number of nodes in an MLP is found through a trial-and-error process [89], [90].

The data gathering process involved conducting 20 separate simulations under different conditions on various roadways with profiles as those depicted in Fig. 9. A total of 20020 samples were generated from these simulations,

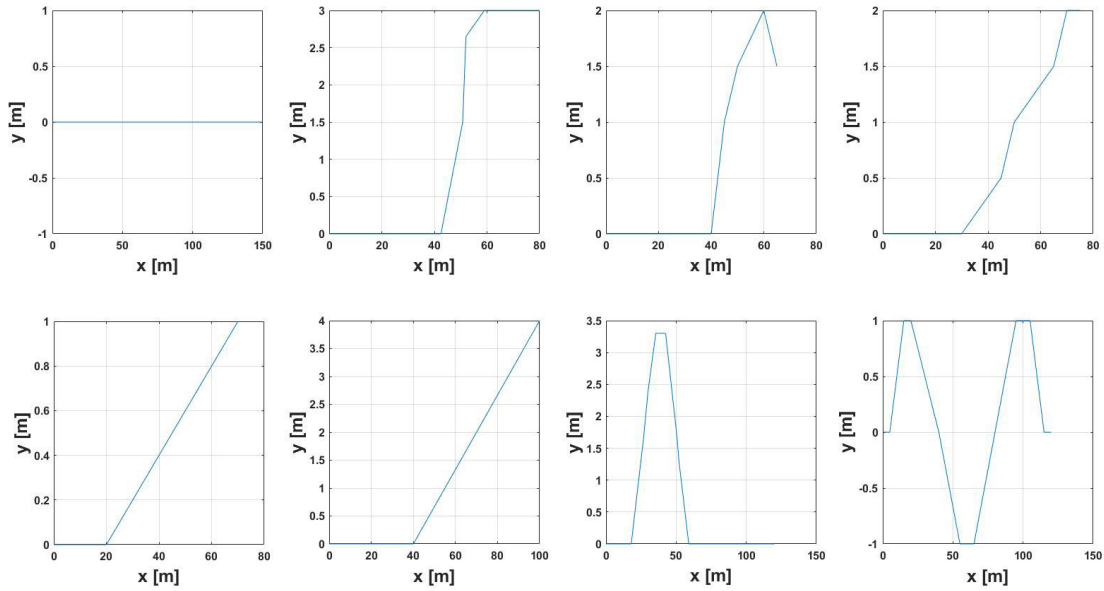


FIGURE 9. Roads schemes for training and validation.

comprising input values of  $M_z$  and the corresponding output data for slip angle  $\beta$  and yaw rate  $r$ . The dataset has been split into a training set (70%), a validation set (15%) and a test set (15%), randomly chosen. The neural dynamics prediction model can be described as

$$\hat{x}(k + 1) = F(x[k], M_z[k]), \quad (22)$$

where  $x[k] = [\beta[k], r[k]]^T$ . In this way, the equations of the neural network are planned as follows [68], [69], and [91]:

$$\begin{aligned} Z_1 &= W_1(x[k], M_z[k]) + B_1 \\ H_1 &= g(Z_1) \\ \hat{x}(k + 1) &= W_2H_1 + B_2 \end{aligned} \quad (23)$$

where  $W_1, W_2, B_1$  and  $B_2$  are the weight matrices of the neural network,  $Z_1$  is the weighted sum of inputs,  $H_1$  is the activation value, and  $g$  is the activation function, which in this case is the hyperbolic tangent function. Eq. (23) can be extended for multiple layers. To learn the matrices, the mean square error function is defined, and the gradient descent algorithm is utilized to optimize the parameters.

The training process adjusts neural network weights iteratively to match the targets closely using the given inputs and desired outputs. *Tanh* activation function is used in all layers, except the output layer, which employs a linear function. For more details, see Table 2.

In this work, several neural networks underwent training with various hidden layer configurations. After utilizing the neural network toolbox, we obtained the Pearson correlation coefficient (R) results, which measure the correlation between outputs and targets of an open-loop NARX model. Subsequently, a feedback NARX model is employed in the simulation. The resulting values are shown in Table 3,

where a regression value close to  $R = 1$  indicates a very strong positive linear relationship between the variables, suggesting an excellent fit for the data. In Section V, the chosen trained neural networks are thoroughly evaluated and compared to each other. This evaluation encompasses an assessment of their overall performance, accuracy, and efficiency, considering the complete model. The objective is to identify the most effective and suitable model by making comparisons among these neural networks.

### B. MACHINE LEARNING WITH MPC

MPC-NPL stands for a MPC algorithm with nonlinear prediction and linearization. The approach involves finding a local linear approximation of the nonlinear neural model online at each sampling instant, allowing the output predictions to depend on the calculated control policy in a linear manner. This feature enables the MPC-NPL algorithm to solve a quadratic programming problem online efficiently [68], [69]. That is, MPC-NPL improves conventional linear MPC by handling nonlinear behavior, adapting to complexity, and maintaining accuracy.

In this approach, the NN model is linearized yielding the incremental space state representation:

$$\begin{aligned} \hat{x}_e(k + 1) &= A_e x_e[k] + B_e \Delta M_z \\ y[k] &= C_e x[k] \end{aligned} \quad (24)$$

where  $x_e[k], A_e, B_e$  and  $C_e$  are:

$$\begin{aligned} x_e[k] &= \begin{bmatrix} \Delta x[k] \\ y[k] \end{bmatrix} & A_e &= \begin{bmatrix} A_m & 0_m \\ C_m A_m & I \end{bmatrix} \\ B_e &= \begin{bmatrix} B_m \\ C_m B_m \end{bmatrix} & C_e &= [0_m \ I] \end{aligned}$$



**TABLE 2.** Training parameters of the neural networks.

Range	Transfer function	$\mu$	$\mu$ increase ratio	$\mu$ decrease ratio	Max $\mu$	Max epochs	Min gradient	Max validation checks
[1, -1]	tansig pureling	$10^{-3}$	10	$10^{-1}$	$10^{10}$	$10^3$	$10^{-7}$	6

**TABLE 3.** The regression R values of different NNS.

	R ( training )	R ( validation )	R ( test )
5	0.9974	0.9985	0.9979
10-5	0.9978	0.9983	0.9981
15-10	0.9983	0.9981	0.9987
20-15-10	0.9987	0.9977	0.9973

To obtain the system matrices, the partial derivative of the function  $F(x[k], u[k])$  with respect to the current state  $x[k]$  and the input  $M_z[k]$  is found [68], [69] as follows

$$A_m = \frac{\partial \hat{x}[k+1]}{\partial x[k]}, \quad B_m = \frac{\partial \hat{x}[k+1]}{\partial M_z[k]}, \quad C_m = [1 \ 0 \ 1 \ 0]$$

Therefore, we can predict these future states using the augmented model as

$$\hat{Y} = Fx[k] + \Phi \Delta M_z \quad (25)$$

here

$$F = [C_e A_e \quad C_e A_e^2 \quad C_e A_e^3 \quad \dots \quad C_e A_e^{N_p}]^T, \quad (26)$$

$$\Phi = \begin{bmatrix} C_e B_e & 0 & \dots & 0 \\ C_e A_e B_e & C_e B_e & \dots & 0 \\ C_e A_e^2 B_e & C_e A_e B_e & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ C_e A_e^{N_p-1} B_e & C_e A_e^{N_p-2} B_e & \dots & C_e A_e^{N_p-N_c} B_e \end{bmatrix}$$

To optimize the increments of the control signal  $\Delta M_z$ , the optimization problem is formulated as (11) and solved using the Matlab function *quadprog*.

### C. MACHINE LEARNING WITH NMPC

NMPC-NO denotes the optimal control action obtained providing NMPC with the nonlinear ML model, with *fmincon* performing the nonlinear optimization. The proposed approach generates control signals that exhibit almost the same characteristics as NMPC, but with significantly lower execution time [69]. Here, the future states are iteratively

predicted as

$$\begin{aligned} \hat{x}[k+1] &= W_2 \cdot \tanh(W_1 \cdot (x[k], (M_z[k] \\ &\quad + \Delta M_z[1]) + B_1) + B_2 \\ \hat{x}[k+2] &= W_2 \cdot \tanh(W_1 \cdot (\hat{x}[k+1], (M_z[k] \\ &\quad + \Delta M_z[2]) + B_1) + B_2 \\ &\quad \vdots \\ \hat{x}[k+N_p] &= W_2 \cdot \tanh(W_1 \cdot (\hat{x}[k+N_p-1], (M_z[k] \\ &\quad + \Delta M_z[k+N_c]) + B_1) + B_2 \end{aligned} \quad (27)$$

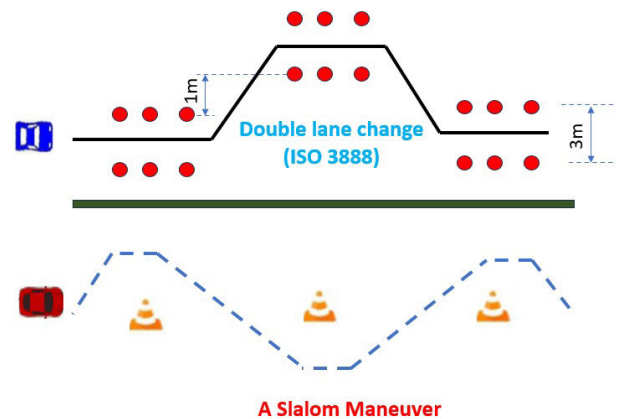
The predicted output of the system at each time step is

$$y[k+1] = [1 \ 0 \ 1 \ 0] \cdot \hat{x}[k+1] \quad (28)$$

Again, the increments of the control signal  $\Delta M_z$  are optimized following Eq. (11).

## V. SIMULATION RESULTS

The control strategies are tested through a double-lane change and a slalom maneuver through both wet and dry roads as in Fig. 10. The simulation is conducted within SimMechanics, demonstrating the vehicle's ability to navigate a curve effectively [92]. The tire model parameters in SimMechanics were configured as follows:  $c_1 = 1.1973$ ,  $c_2 = 25.168$ ,  $c_3 = 0.5373$  in case of dry road and  $c_1 = 0.857$ ,  $c_2 = 33.822$ ,  $c_3 = 0.347$  in case of wet road [21].


**FIGURE 10.** A double lane change and a slalom maneuvers.

### A. DOUBLE-LANE CHANGE ROAD TEST

As depicted in Fig. 11, the MPC-NPL controller outperforms conventional linear MPC, demonstrating superior performance with decreased tracking error and oscillations, resulting in smoother driving behavior. Meanwhile, the performance of NMPC-NO approaches that of NMPC in terms of stability when tracking the desired values.

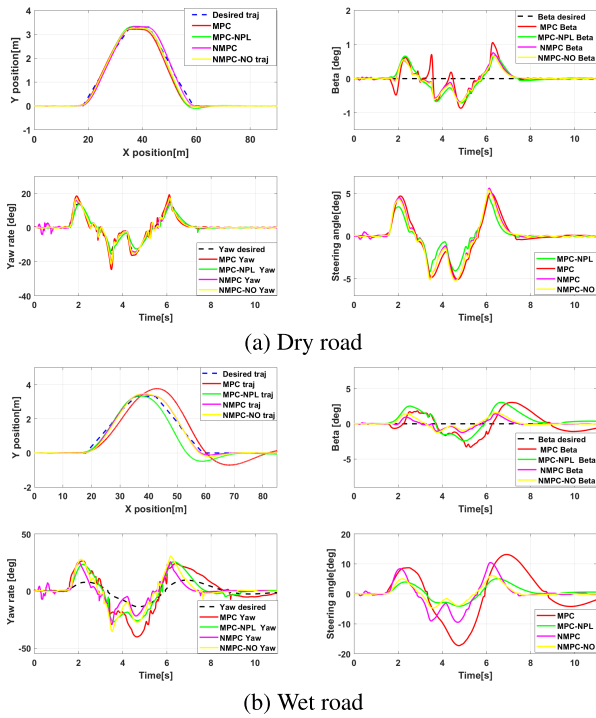


FIGURE 11. Double-lane change response.

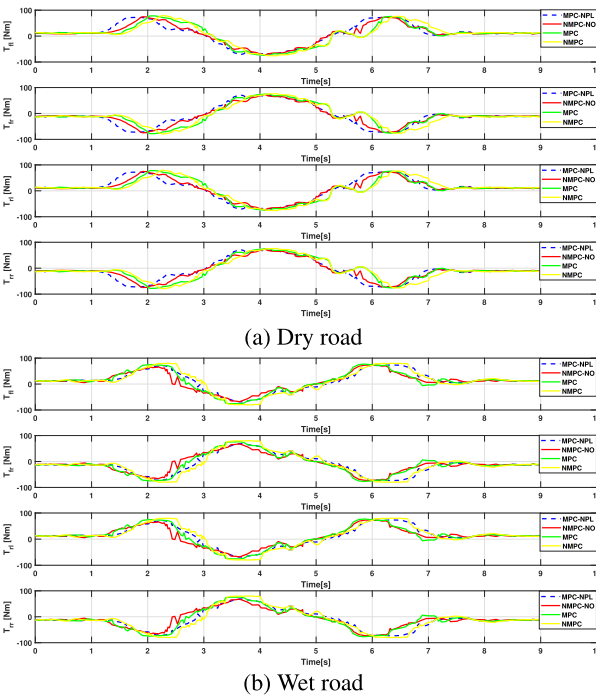


FIGURE 12. Double-lane change response for motors' torques.

**B. SLALOM ROAD TEST**

As Fig. 13 shows, the stability of NMPC-NO is close to that of NMPC. On the other hand, the MPC-NPL controller surpasses the performance of conventional MPC, exhibiting reduced tracking error, oscillations, and smoother driving behavior. In terms of RMSE performance as shown

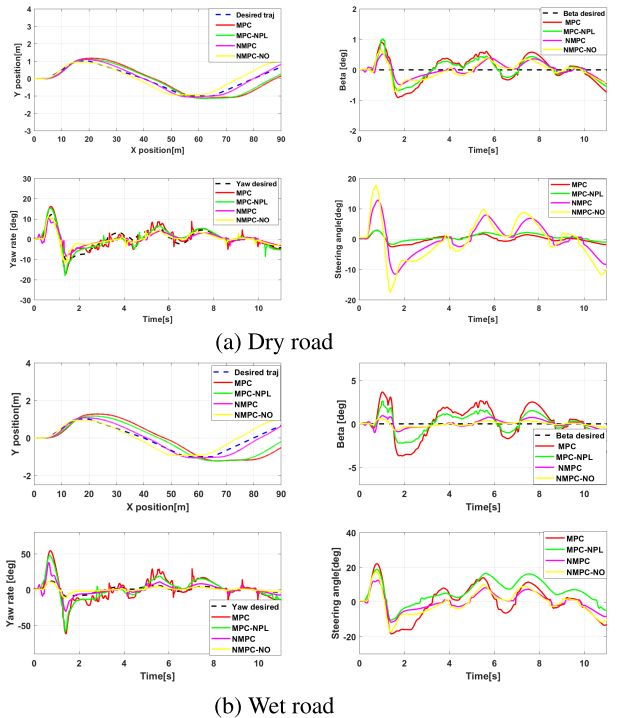


FIGURE 13. Slalom response.

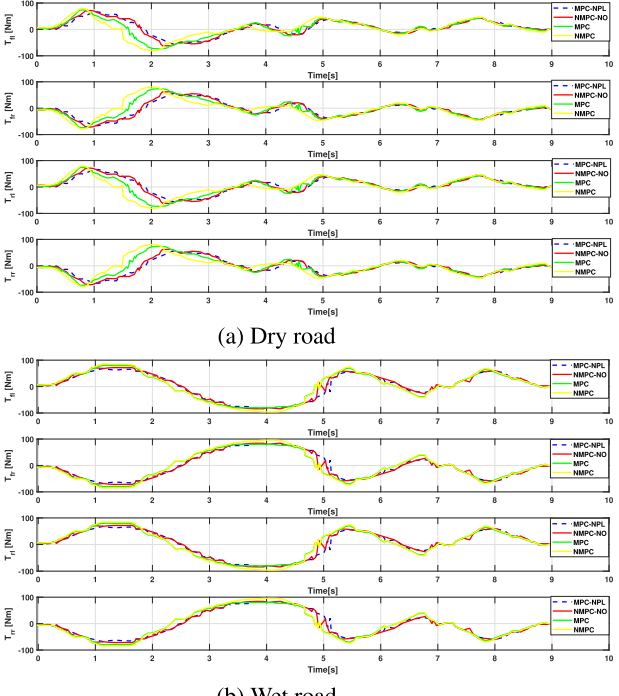


FIGURE 14. Slalom response for motors' torques.

hidden layers highlighted the superior RMSE performance of the MPC-NPL controller over the linear MPC controller. As illustrated in Figs. 12, 14 these findings provide additional perspective on the controller's efficacy in maintaining vehicle stability across diverse scenarios, all while ensuring that each motor remains below the maximum torque threshold of  $80 N.m$ .

### C. COMPUTATION TIME

In control systems, computation time typically refers to the time required for a controller to calculate and execute its control actions and is a relevant indicator in determining the performance in real-time applications where the system must respond quickly to changes in the environment. The computation time depends on several factors, including the processing speed of the control hardware, the complexity of the control algorithm and the system being controlled. In the context of vehicle lateral stability, the sample time is also related to the collection of lateral dynamics measurements, influencing the frequency of adjustments that determine the real-time response and its stability.

Considering a 10 milliseconds sample time, only MPC-NPL and NMPC-NO fit the requirement, with MPC-NPL being much faster. However, note that our simulations were performed in Matlab, which is good for mathematical prototyping but slow, therefore admitting much faster execution times if implemented in programming languages such as C. In particular, the computation times shown in Table 4 and Fig. 15 were evaluated using Matlab on a computer equipped with 16 GB RAM, a 2.6 GHz Intel Core i7 processor, and a 512 GB SSD. Also, note that the computation resources available at the car play a fundamental role in the computation time. Bearing all these issues in mind, there are grounds for thinking that both MPC-NPC and

TABLE 4. Computation time of the obtained controllers.

	Mean computation time [s]	Min [s]	Max [s]
MPC	0.0052	0.0019	0.0115
MPC-NPL	0.0021	0.0018	0.0065
NMPC	14.15	13.195	15.13
NMPC-NO	0.007	0.0006	0.015

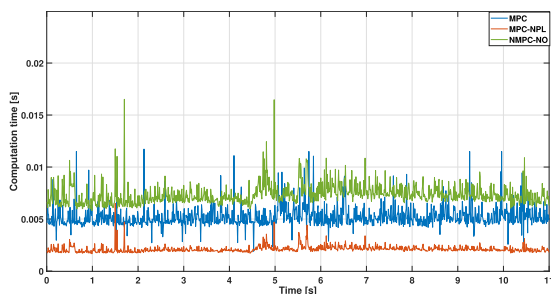


FIGURE 15. Computation time calculation.

NMPC-NO can be suitable for real-time implementation in a dedicated control system with sufficient computation power.

### VI. CONCLUSION AND FUTURE WORK

The application of MPC for lateral stability control can optimize handling performance while satisfying different specifications. However, nonlinear MPC entails significant computational costs. To address this limitation, this study proposes utilizing artificial neural networks to approximate the internal model of the model predictive controller. To evaluate this approach, a simulated vehicle with four electric wheel motors based on a real-life prototype was utilized. A dataset was created comprising more than 20 thousand data, which were employed to train and validate various neural network architectures, and several tests were conducted.

Our tests show that the NMPC controller delivers superior results regarding the yaw rate and side slip angle, but it is computationally demanding, making it unfeasible for real-time implementation. Combining artificial neural networks with both linear and nonlinear MPC reduces the computation time, facilitating its utilization in real-time scenarios. In particular, the NMPC controller calculation time is reduced from roughly 15 s to 0.007 s.

In future works, training an ANN that establishes a direct connection between the MPC controller's input and the control action. The suggested methodologies will be assessed using the real vehicle depicted in Fig. 2. The case of failures will also be analyzed to enhance the vehicle's handling performance and prevent severe accidents.

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