

## RESEARCH ARTICLE

# Frequency Regulation in Interconnected Power System Through Enhanced Beluga Whale Optimized Flatness-Based Active Disturbance Rejection Control

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**ABSTRACT** Ever-increasing dynamic surges in renewable-based electric power systems, notably wind and photovoltaic farms bring adverse impacts and challenges in terms of reliability and stability. The intermittency of renewable sources imposes significant deviations in frequency due to variations in demand. Wind power induces instability in the grid due to its vulnerable nature, and reduction in system inertia. To mitigate these dynamics issues, an optimal control technique based on flatness-based Active disturbance rejection control (FADRC) and utilizing an enhanced Beluga Whale optimization algorithm (EBWO) for a multi-area interconnected power system with photovoltaic generation. The proposed LFC model addresses the load perturbation and the deviation of tie-line power, with system uncertainties considered as lumped disturbances that are approximated by extended state observers. To achieve optimal performance, the Enhanced Beluga Whale optimization algorithm is adopted and integrated with the suggested controller to fine-tune the controller. To validate the formidable performance of the suggested scheme, different cases have been studied with the existing approaches. The simulation results reveal the supremacy and robustness of the dynamic response of the Flatness-based active disturbance rejection control as compared to other approaches under load variations and parameter uncertainty.

**INDEX TERMS** Flatness-based Active disturbance rejection control, beluga whale optimization algorithm, Photovoltaic generation, multi-area interconnected power systems, renewable sources.

## NOMENCLATURE

### Abbreviations

AGC	Automatic generation control.	ESO	Extended state observer.
BWO	Beluga Whale optimization algorithm.	FADRC	Flatness based active disturbance rejection control.
BWO	Beluga Whale optimization.	HHO	Haris hawk optimizer.
BWs	Beluga Whales.	IMC	Internal mode control.
EBWO	Enhanced Beluga Whale optimization algorithm.	ITAE	Integral time absolute error.
		LADRC	Linear active disturbance rejection control.
		LFC	Load frequency control.
		MPPT	Maximum power point tracking.
		PID	Proportional integral derivative.
		PSs	Power system.

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PV	Photovoltaic system.
RESs	Renewable energy sources.
SMC	Sliding mode control.
WT	Wind turbine.

#### System dynamics

$\alpha_1, \alpha_2$	Negative zero, Pv system gain,.
$\alpha_3, \alpha_4$	Negative poles.
$\Delta ACE$	Area control error.
$\Delta f_i$	Frequency deviation.
$\Delta K_r$	Reheater gain.
$\Delta P_{PV}$	Solar power deviation.
$\Delta P_W$	Wind Power Deviation.
$\Delta P_{Di}$	Load Perturbation.
$\Delta P_{th}$	Thermal Power Deviation.
$\Delta P_{tie}$	Tie-line power deviation.
$B, R$	Frequency bias, droop gain.
$H, D$	Inertia constant, Droop coefficient.
$K_{fc}, K_{pc}$	Fluid coupling gain, Blade characteristics.
$K_{P1}$	Wind pitch control.
$K_{P2}, K_{P3}$	Gain of pitch actuator, data pitch.
$T_W$	Wind generation constant.
$T_g, T_t, T_r$	Time constant of governor, turbine, reheater.
$T_{P1}, T_{P2}$	Time constant of wind Pitch control, Pitch actuator.
$T_{P3}$	Data pitch time constant.

#### Optimization parameters

$\chi_i^T$	Position of $i_{th}$ beluga Whale.
$\chi_r^T$	Represents a random selected beluga Whale.
$\chi_{step}$	Step size of whale fall.
$\omega_c$	Controller bandwidth.
$\omega_o$	Observer bandwidth.
$B_f$	Equilibrium factor.
$l_b, u_b$	Lower and upper bounds.
$L_f$	Leavy flight.
$r_i$	Random number.
$W_f$	Represents the whale fall phase.

## I. INTRODUCTION

The ever-increasing demand for electric power and the rapid increase of renewable energy sources (RESs) as a means of reducing the impact of climate change have captured significant attention in recent years [1]. Renewable energy sources (RESs) are environmentally friendly and cost-effective alternatives to fossil fuels. However, the stability and reliability of power systems can be compromised by the variability of RESs, such as solar and wind energy [2]. The utilization of RESs presents significant potential; yet, it introduces a degree of uncertainty in power supply due to factors such as weather conditions and daylight availability. Thus, variations in the active power demand and the intermittent characteristic of RESs may result in deviation in frequency and tie-line power. These variations may significantly affect the power system's overall functionality

and stability [3]. However, severe frequency changes not only pose a significant risk to the power system equipment but also have the potential to trigger severe system failures [4]. Therefore, maintaining the balance between load demands and generation requires an efficient and reliable control mechanism. This control method, also known as Automatic Generation Control (AGC) or Load Frequency Control (LFC), is essential to the functioning of contemporary power systems.

In the last few decades, a considerable amount of research has been done on LFC schemes for frequency deviation and disturbance mitigation in single and multi-area power systems (PSs). Most widely traditional PID, PI controllers have been used in power systems for handling external disturbances. However, these control techniques are easy to implement but not robust against parameter uncertainties, resulting in maximum settling time and frequency deviation [5]. A PI controller is designed to deal with oscillations and stability issues due to communication delays, but the approach is straightforward [6]. One of the challenges of PI-LFC is to find the optimal values of the PI parameters that can enhance the system performance. Various optimization methods have been proposed to solve this problem and adjust the PI parameters accordingly. A firefly algorithm-based PI [7], Harris Hawks optimizer (HHO) [8] has been explored. Besides, robust control techniques have been utilized for the LFC problem, a PSO-based sliding mode controller (SMC) [9], an ESO based Second-order SMC [10], a PSO tuned Linear active disturbance rejection control (LADRC) for multi-area power systems with high wind penetration [11], dual loop internal mode control (IMC) [12]. A type-2 fuzzy fractional order PID based on a multi-objective optimization algorithm for the LFC issue in an interconnected PSs has been introduced without considering the RESs [13].

Additionally, to overcome the ever-increasing energy demand and mitigate environmental emissions, RESs have been integrated into the power system [14]. Among RESs, the wind power system is the most advanced and widely employed in power generation [15]. With the perpetual penetration of renewable sources and their intermittent nature, LFC has become a challenging problem to deal with increasing unpredictability and inconsistency in generation [16], [17]. PI-TID [3] and PID [18] controllers based on chaotic butterfly optimization and black widow optimization algorithms have been introduced to improve the LFC capabilities of the interconnected PSs including RESs. Multi-objective optimization algorithm based fractional order PID without considering the RESs [19] and fractional order brain emotion learning based intelligent controller is employed to actively reduces the deviation of frequency and tie-line power [20]. Quasi-oppositional harmony search optimization based fractional order fuzzy PID has been utilized in a power system including a wind turbine [21]. Considering the impact of wind and solar-thermal, a fuzzy

PD-PI cascade controller [22], based on the grasshopper optimization algorithm. a PD with filter cascade PI LFC technique has been utilized to gain a robust performance in the presence of uncertainties and load perturbations [23].

In the preceding literature, the LFC methods are established based on a thorough knowledge of the system, where the system reliability is a prime priority. However, uncertainties in the dynamics of real-time power systems, errors in modeling and unmodeled dynamics, parameter perturbation, and instantaneous load changes. Hence, the LFC scheme for multi-area PSs should have an inherent property of robustness and adaptiveness against load perturbation and parameter variations which can adversely affect the system stability and reliability. Therefore, a well-equipped LFC scheme should be designed to estimate all of the uncertainties at once and compensate for them to maintain the system's performance. Therefore, to handle the external disturbance along with the un-modeled dynamics in a system, the ADRC approach has been proposed by Han [24]. The characteristic feature of the ADRC is to use the extended state observer, defines the extended states as lumped disturbances in addition to approximating the system states. Thus, the internal disturbances and parameter uncertainties caused by the un-modeled dynamics are lumped together as an extended state, which a PD control law can be used to compensate and estimate utilizing ESO [25]. The ESO operates independently of the mathematical model of disturbances, demanding only a modest detail of the controlled process for its implementation [26]. Although many parameters must be tuned, a linear ADRC [27] has been proposed to optimize only one parameter and facilitate its practical applications [28]. For a multi-area PS that includes non-linearities, a linear ADRC has been applied without taking RESs into account [29]. LADRC, based on Reinforcement learning, has been introduced to deal with extensive external disturbances [30] considering the non-linearities in the multi-power system. An effort has been made to integrate a DFIG-based wind turbine into the power system using a Linear-ADRC based on the modified bat to deal with the intermittent nature of wind and external disturbances with uncertainties in system parameters [31]. Furthermore, an extended form of the ADRC has been introduced based on the concept of differential flatness [32] and ADRC known as Flatness based ADRC(FADRC). The FADRC method uses the flatness property [33] to design an observer that estimates the system's state and disturbances and then uses the ADRC algorithm to compensate for the disturbances [34]. The flatness-based discrete ADRC has been applied to overcome the issue of non-minimum phase (NMP) zero in flexible transmission system [35]. Without taking RESs into account, an approximated flatness-based ADRC has been presented for the LFC of interconnected PSs [36].

Motivated by the growing complexity in power systems due to the integration of RESs because of their intermittent nature as analyzed from the above discussion, this research

article proposes an application of flatness-based ADRC. Although numerous research works have investigated different variations of ADRC in power system control, a notable void remains in addressing the complex issues raised by the incorporation of RESs into LFC methodologies. The preceding literature has not fully addressed the complications presented by the intermittent and unpredictable nature of RESs, such solar and wind power systems. This work aims to make a substantial contribution by presenting a Flatness-based ADRC approach specifically to address the uncertainties resulting from the intermittent RESs, accommodating load disturbances, un-modeling dynamics, and parameter uncertainties. A modified version of the beluga whale optimization technique, called enhanced beluga whale optimization (EBWO), is used to adjust the controller parameters [37]. A summary of the paper contribution is provided as;

- The integration of the EBWO with FADRC has been presented for multi-area PSs, including thermal power plant and RESs like PV and Wind turbine.
- Beluga whale optimization incorporated with particle swarm optimization is studied to broaden the search range and enhance the likelihood of attaining the optimal solution between the exploration and exploitation phases.
- The suggested FADRC robustness and sensitivity analysis are assessed by taking into account the uncertainties in the model parameters, load demand, wind speed, and solar irradiation.

The structure of the paper is: in section II presents the system components and their mathematical models using transfer functions. Section III discusses the mathematical modeling of the proposed FADRC for the system. Sections IV explains the tuning algorithm for the controller parameters, followed by analyzing the simulation results in section V. Lastly, the conclusion is given in Section VI.

## II. MODELING OF POWER SYSTEM

Figure 1 depicts a two-area PS comprising of a thermal generation plant combined with a wind farm in the first area, while the second area is a photovoltaic generation [23]. The overall power deviations in the system under study can be described as:

$$\begin{cases} \Delta P_{total1} = \Delta P_{th} + \Delta P_W - \Delta P_{D1} + \Delta P_{tie} \\ \Delta P_{total2} = \Delta P_{PV} - \Delta P_{D2} - \Delta P_{tie} \end{cases} \quad (1)$$

In (1),  $\Delta P_{PV}$ ,  $\Delta P_W$ ,  $\Delta P_{th}$ , and  $\Delta P_{tie}$  represent the deviations in power output for the solar, wind, thermal plants, and tie-line power.  $\Delta P_{D1}$  and  $\Delta P_{D2}$  correspond to the perturbation in the required load for area 1 and 2, respectively. The APPENDIX contains the system design parameters.

### A. MODELING OF THERMAL SYSTEM CONFIGURATION

Within this section, a single generator featuring a reheated turbine is investigated. As shown in Fig. 1, this system

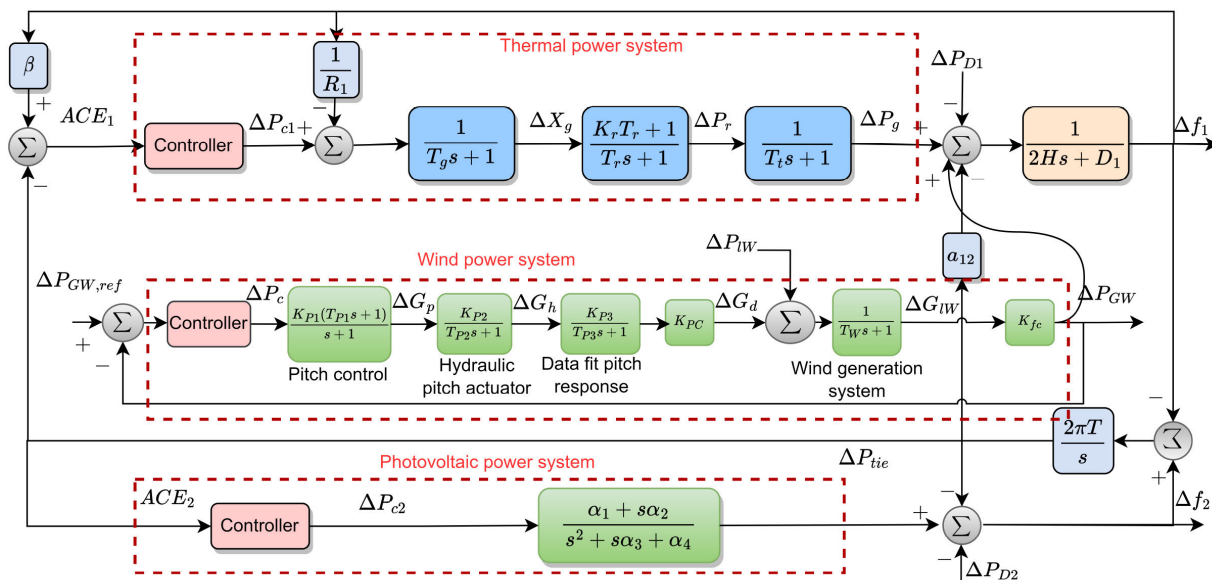


FIGURE 1. Block diagram based on transfer function for a two-area power system.

is used to demonstrate the effectiveness of the suggested strategy. Typically, PSs with a reheated turbine encompasses the subsequent components, represented in the s domain as follow [18], [23].

$$G_g(s) = \frac{1}{T_g(s) + 1}$$

$$G_r(s) = \frac{1}{T_r(s) + 1}$$

$$G_r(s) = \frac{1 + K_r T_r(s)}{T_r(s) + 1}$$

$$G_p(s) = \frac{1}{2H(s) + D}$$

where  $G_g(s)$ ,  $G_r(s)$ ,  $G_r(s)$ ,  $G_p(s)$  represents the governor, turbine, re-heater and machine, respectively. Area control error(ACE) for area-1 can be expressed as

$$\begin{cases} \Delta P_{tie}(s) = \frac{2\pi T_{12}}{s}(\Delta f_2(s) - \Delta f_1(s)) \\ ACE_1(s) = B\Delta f_2(s) - \Delta P_{tie} \end{cases} \quad (2)$$

**B. MODELING OF WIND SYSTEM CONFIGURATION**

Wind Modeling shown in Fig. 1 comprised of different parts [23] which is presented in s domain as follows:

$$\Delta P_c(s) = \frac{K_{p1}(1 + sT_{p1})}{1 + s} \quad (3)$$

where  $\Delta P_c$  is th pitch control,  $K_{p1}$ , and  $T_{p1}$  is the gain and time constant respectively. The  $\Delta G_p$  is known as the hydraulic pitch actuator defined as

$$\Delta G_p(s) = \frac{K_{p2}}{1 + sT_{p2}} \quad (4)$$

where  $K_{p2}$ ,  $T_{p2}$  is the gain and time constant for hydraulic pitch actuator. Where  $\Delta G_H$  represents the data pitch response

presented as

$$\Delta G_H(s) = \frac{K_{p3}}{1 + sT_{p3}} \quad (5)$$

where  $K_{p3}$  and  $T_{p3}$  is the gain and time constant correspondingly. The wind generation part can be expressed as

$$\Delta G_D(s) = \frac{1}{1 + sT_w} \quad (6)$$

The output wind power deviation can be expressed as

$$\Delta P_{GW} = K_{fc}G_{1w}(s), \quad (7)$$

and the parameter  $K_{fc}$  represent the fluid coupling gain, where  $K_{pc}$  is blade characteristic.

**C. MODELING OF PHOTOVOLTAIC SYSTEM CONFIGURATION**

In area 2, the PV system comprises its all component in a single transfer function such as a PV panel, inverter, filter, and maximum power point tracking (MPPT) [7], [18] [23] is modeled below:

$$G_{PV}(s) = \frac{\alpha_1 + s\alpha_2}{s^2 + \alpha_3s + \alpha_4} \quad (8)$$

In (8),  $\alpha_2$  represents the PV system gain,  $\alpha_1$  corresponds to the negative zero value in the transfer function, and  $\alpha_3$  as well as  $\alpha_4$  represents the negative pole values [23]. In contrast to the thermal power system, the area control error ( $ACE_2$ ) in the PV generation area is designed to primarily focus on tie-line power error. This is determined by the intrinsic properties of PV systems, which do not have a direct impact on frequency regulation in the grid because the performance of the PV system is significantly impacted by solar irradiance and temperature variations. Thus,  $ACE_2$  exhibiting an input

for the controller in this particular area is  $\Delta P_{tie}$ , expressed as follow [23].

$$ACE_1 = \Delta P_{tie} = \frac{2\pi T_{12}}{s} (\Delta f_2 - \Delta f_1) \quad (9)$$

### III. FLATNESS-BASED ADRC

Considering an  $n$ th-order system with time-varying coefficients along with uncertainties is presented as:

$$\begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = x_3 \\ \vdots \\ \dot{x}_n = -a_{n-1}x_n - a_{n-2}x_{n-1} - \dots - a_1x_2 - a_0x_1 \\ + b_m u^m + \dots + b_0 u + f(x_1, \dots, x_n, u, \dots, u^m, d) \\ y = x_1 \end{cases} \quad (10)$$

where  $y$  is the output,  $u$  is the control input,  $x = [x_1, x_2, \dots, x_n]^T$  is the state vector,  $d$  is the external disturbance, and  $f(x_1, \dots, x_n, u, \dots, u^m, d)$  is the total disturbance incorporating the unknown input disturbances and internal dynamics. The set of parameters  $a = [a_1, \dots, a_n]$  are the coefficients.

For the system considered to be flat, there should be a unique output known as flat output denoted by  $y$ , and express system variables as a function of  $y$  [38] and its finite time derivatives, modelled as:

$$y^n + \sum_{i=0}^{n-1} a_i y^{(i)} = bu + f(t) \quad (11)$$

where  $f(t)(y^{(0)}, \dots, y^{(i)}, u, d)$  considered as the total disturbance, comprised of the output and its derivatives  $y^{(i)}$ ,  $i = n - 1$ , control input  $u$ , and the external disturbances  $d(t)$ .

To estimate the total disturbances, it is requisite to design an ESO depending on output variable  $y(t)$  and control input  $u(t)$  as presented [34]:

$$\begin{cases} \dot{y}_0 = y_1 + \lambda_n(e_0) \\ \dot{y}_1 = y_2 + \lambda_{n-1}(e_0) \\ \vdots \\ \dot{y}_{n-1} = bu - \sum_{i=0}^3 a_i y_i + z + \lambda_1(e_0) \\ z = \lambda_0(e_0) \end{cases} \quad (12)$$

where  $e_0 = y - y_0$ , which is referred to as the redundant estimated output error. This error component effectively fulfills the subsequent perturbed linear dynamics

$$e_0^{(n)} + \lambda_{n-1}e_0^{(n-1)} + \dots + \lambda_1 \dot{e}_0 + \lambda_0 e_0 = \dot{f}(t) \quad (13)$$

The design parameter set  $\lambda_0, \lambda_1, \dots, \lambda_{n-1}$  needs to be adjusted with respect to the following characteristic polynomial:

$$a(s) = s^{(n)} + \lambda_{n-1}s^{(n-1)} + \dots + \lambda_1 s + \lambda_0 \quad (14)$$

which is a Hurwitz polynomial. To compensate for the estimated perturbed dynamics and for a smooth trajectory

tracking task such as  $y \rightarrow y^*(t)$ ,  $y^*(t)$  represents the desired objective, which is achieved by the feedback controller given as

$$u = \frac{1}{b} \left[ (y^*(t))^{(n)} - z + \sum_{i=0}^{n-1} a_i y_i - \sum_{i=0}^{n-1} \kappa_i (y_i - (y^*(t))^{(i)}) \right]. \quad (15)$$

In (15),  $\kappa_i, i = 0, 1, \dots, n$  are constant coefficients that are deliberately selected to transform the corresponding polynomial into a Hurwitz polynomial [34].

$$q(s) = s^{(n)} + \kappa_{n-1}s^{(n-1)} + \dots + \kappa_1 s + \kappa_0. \quad (16)$$

### A. FADRC CONTROLLER FOR THERMAL SYSTEM

The state space representation for a reheated turbine shown in Fig. 1 is expressed as

$$\begin{cases} \dot{x}_1 = -\frac{D}{H}x_1 + \frac{1}{H}x_2 - \frac{1}{H}(d(t) + P_{tie}(t)) \\ \dot{x}_2 = -\frac{1}{T_t}x_2 + \frac{1}{T_t}x_3 \\ \dot{x}_3 = -\frac{1}{T_r}x_3 + \frac{T_g - T_r K_r}{T_r T_g}x_4 - \frac{K_r}{T_g R}x_1 + \frac{K_r}{T_g}u \\ \dot{x}_4 = -\frac{1}{T_g}x_4 - \frac{1}{T_g R}x_1 + \frac{1}{T_g}u. \end{cases} \quad (17)$$

Here  $x_1$  represents the deviation of frequency  $\Delta f$ ,  $x_2$ ,  $x_3$  and  $x_4$  represents the  $\Delta P_g$ ,  $\Delta P_r$  and  $\Delta X_g$  respectively. By observing the (1), the flat output is represented by the frequency deviation  $\xi = \Delta f$ . Therefore, each variable in the perturbed model needs to be represented as a differential equation in terms of  $\xi$ .

$$x_2 = D\xi + H\dot{\xi} + d(t) + P_{tie}(t)$$

$$x_3 = D\xi + (DT_t + H)\dot{\xi} + HT_t\ddot{\xi} + T_t(\dot{d}(t) + \dot{P}_{tie}) + d(t) + P_{tie}(t)$$

$$x_4 = \left( \rho D + \frac{\Xi k_r}{T_g R} \right) \xi + (\Xi D + \rho DT_t + \rho H) \dot{\xi} + (\Xi DT_t + \Xi H + \rho HT_t) \ddot{\xi} + \Xi HT_t \dddot{\xi} + \Xi T_t(\ddot{d}(t) + \ddot{P}_{tie}(t)) (\Xi + \rho T_t) (\dot{d}(t) + \dot{P}_{tie}(t)) + \rho(d(t) + P_{tie}(t))$$

$$u = \frac{1}{\beta} \left\{ \left( \rho D + \frac{\Xi k_r}{T_g R} + \frac{1}{R} \right) \xi + \left( \rho T_g D + \Xi D + \rho DT_t + \rho H + \frac{\Xi K_r}{R} \right) \dot{\xi} + \left( \Xi T_g D + \rho DT_g T_t + \Xi DT_t + \rho T_g H + \Xi H + \rho HT_t \right) \ddot{\xi} + \left( \Xi DT_t T_g + \Xi T_g H + \rho HT_t T_g + \Xi HT_t \right) \dddot{\xi} + \Xi HT_t T_g \ddot{\xi} - \frac{K_r \Xi}{T_g} \dot{u} + \Xi T_g T_t (\ddot{d}(t) + \ddot{P}_{tie}(t)) + (\Xi T_g + \rho T_g T_t + \Xi T_t) (\ddot{d}(t) + \ddot{P}_{tie}(t)) (\Xi + \rho T_t + \rho T_g) (\dot{d}(t) + \dot{P}_{tie}(t)) + \rho(d(t) + P_{tie}(t)) \right\} \quad (18)$$

where  $\Xi = \frac{T_r T_g}{T_g - K_r T_r}$ ,  $\rho = \frac{T_g}{T_g - K_r T_r}$ ,  $\beta = \frac{T_g - K_r T_r - T_g T_r K_r}{T_g - K_r T_r}$

$$\ddot{\xi} = -\gamma_4 \dot{\xi} - \gamma_3 \ddot{\xi} - \gamma_2 \dot{\xi} - \gamma_1 \xi + \beta_0 u - \omega(t)$$

where

$$\omega(t) = \frac{1}{H} \ddot{f}_d(t) + \left( \frac{1}{T_t H} + \frac{1}{T_r H} + \frac{1}{T_g H} \right) \dot{f}_d(t) + \left( \frac{1}{T_r T_t H} + \frac{1}{T_t T_g H} + \frac{1}{T_r T_g H} \right) f_d(t) + \frac{1}{T_r T_g T_t H} f_d(t) + \frac{K_r}{H T_t T_g^2} \dot{u} \tag{19}$$

$$\begin{cases} \gamma_1 = \frac{D}{H T_t T_g T_r} + \frac{K_r}{H T_t T_g^2 R} + \frac{T_g - T_r K_r}{H T_t T_r T_g^2 R} \\ \gamma_2 = \frac{K_r}{H T_t T_g R} + \frac{H T_t T_r + H T_t T_g + T_r T_g H}{H T_t T_r T_g^2 R} + \frac{1}{T_r T_t T_g} \\ \gamma_3 = \frac{H T_t + H T_r + H T_g}{D} + \frac{T_g T_r + T_t T_g + T_t T_r}{T_g T_r + T_t T_g + T_t T_r} \\ \gamma_4 = \frac{H}{H} + \frac{T_r + T_t + T_g}{T_r + T_t + T_g} \\ \beta_0 = \frac{H T_t^2 T_r (T_t - T_r K_r) - K_r T_r}{H T_t T_g^2 - T_r} \end{cases} \tag{20}$$

where  $f_d(t) = d(t) + P_{tie}(t)$ . However, the following system is considered to be invariant in terms of system parameters. Where the process nominal parameters can be computed by (20). Considering the uncertainty in the nominal parameter, the controlled process can be rewritten by including the total disturbance

$$\ddot{\xi} = -\gamma_4 \dot{\xi} - \gamma_3 \ddot{\xi} - \gamma_2 \dot{\xi} - \gamma_1 \xi + \beta u - f(t) \tag{21}$$

where the total disturbance,  $f(t)$ , is represented as follows:

$$f(t) = e_{\gamma 4} \ddot{\xi} + e_{\gamma 3} \dot{\xi} + e_{\gamma 2} \xi + e_{\gamma 1} \dot{\xi} + (\beta - \beta^*) u + \omega(t) \tag{22}$$

where  $e_{\gamma} = \gamma_i - \gamma_i^*$ ,  $i = 0, 1, 2, 3$ , which defines the error in the system parameters and  $\gamma_i^*$  is the nominal parameter value. Assume that

$$\xi_1 = \xi, \xi_2 = \dot{\xi}, \xi_3 = \ddot{\xi}, \xi_4 = \dot{\xi}, \xi_5 = f, \tag{23}$$

hence the process modal (21) can be represented as

$$\begin{cases} \dot{\xi}_1 = \xi_2 \\ \dot{\xi}_2 = \xi_3 \\ \dot{\xi}_3 = \xi_4 \\ \dot{\xi}_4 = u + \xi_5 \\ \dot{\xi}_5 = f \end{cases} \tag{24}$$

The tracking goal that follows is developed in order to obtain the process estimated value of the output, its limited-time derivatives, and overall disturbances  $f(t)$ :

$$\xi_1^* \rightarrow \xi_2^*, \xi_2^* \rightarrow \xi_3^*, \xi_3^* \rightarrow \xi_4^*, \xi_4^* \rightarrow \xi_5, \xi_5 \rightarrow \dot{f}(t) \tag{25}$$

where  $\xi_1^*, \xi_2^*, \xi_3^*, \xi_4^*$  are the approximations of  $\xi^*, \dot{\xi}^*, \ddot{\xi}^*, \dots$ . In order, to track the system state and estimate the disturbances an ESO is designed as

$$\begin{cases} e_{r1} = (\xi_1 - z_1) \\ \dot{z}_1 = z_2 + \lambda_4 e_{r1} \\ \dot{z}_2 = z_3 + \lambda_3 e_{r1} \\ \dot{z}_3 = z_4 + \lambda_2 e_{r1} \\ \dot{z}_4 = \beta u + \lambda_1 e_{r1} + z_5 \\ \dot{z}_5 = \lambda_0 e_{r1} \end{cases} \tag{26}$$

where the design parameters  $\{\lambda_4, \lambda_3, \lambda_2, \lambda_1, \lambda_0\}$  of the observer are chosen by bandwidth parameterization method [27]. And  $z_2, z_3, z_4, z_5$  are the approximated value of  $\xi_2, \xi_3, \xi_4, \xi_5$ . Based on the appropriate approximation, sum of the all perturbations  $f(t)$  with help of suggested ESO, in accordance with the idea of flatness, a feedback control law can be expressed as

$$\begin{cases} u = \frac{1}{\beta} (\gamma_4 \xi_4^* + \gamma_3 \xi_3^* + \gamma_2 \xi_2^* + \gamma_1 \xi_1^* + v - z_5) \\ v = (\xi^*)^{(4)} - \kappa_4 e_{c4} - \kappa_3 e_{c3} - \kappa_2 e_{c2} - \kappa_1 e_{c1} \end{cases} \tag{27}$$

where  $e_{ci} = \xi_i^* - z_i$  and  $\kappa_1, \kappa_2, \kappa_3, \kappa_4$  are the controller gains need to be optimized to get the desired output trajectory. The controller gains can be specified by governing the characteristic polynomial with all the poles in the left half of the complex plane. The characteristic polynomial corresponds to the Hurwitz polynomial as follows:

$$P(s) = s^4 + \kappa_3 s^3 + \kappa_2 s^2 + \kappa_1 s + \kappa_0 = (s^2 + 2\zeta_c \omega_c s + \omega_c^2)^2$$

where  $\omega_c$  and  $\zeta_c$  are the controller bandwidth and damping coefficient respectively. The value of  $\zeta_c$  is chosen between 0 and 1. the gains of the controller can be computed by  $\kappa_3 = 4\zeta_c \omega_c, \kappa_2 = 4\zeta_c^2 \omega_c^2 + \omega_c^2, \kappa_1 = 4\zeta_c \omega_c^3, \kappa_0 = \omega_c^4$ . The above procedure has been followed in the next sections by converting the system into a differential flatness problem and then designing an ADRC controller to control the process output.

### B. FADRC CONTROLLER FOR WIND SYSTEM

The wind turbine's output power is managed through pitch control, which adjusts the blade angle to match changing wind speeds and maintain optimal performance. The pitch controller utilizes the wind turbine's output power as feedback. Modeling the wind farm system as shown in 1, which can be mathematically represented as

$$\begin{cases} y = K_{fc} x_1 \\ \dot{x}_1 = -\frac{x_1}{T_w} + \frac{K_{pc}}{T_w} x_2 + d(t) \\ \dot{x}_2 = -\frac{x_2}{T_{p3}} + \frac{K_{p3}}{T_{p3}} x_3 \\ \dot{x}_3 = -\frac{x_3}{T_{p2}} + \frac{K_{p2}}{T_{p2}} x_4 \\ \dot{x}_4 = -x_4 + K_{p1} T_{p1} \dot{u} + K_{p1} u \end{cases} \tag{28}$$

where,  $\Delta P_{GW} = y$ ,  $x_1 = G_{lw}$ ,  $x_2 = G_d$ ,  $x_3 = G_h$ , and  $x_4 = G_p$ . The system presented in (31) is flat with output  $F = y = \Delta P_{GW}$ , where the system variables can be represented in terms of  $F$  and its derivatives. The system unperturbed input to flat output representation can be written as follows:

$$\begin{aligned}
 x_1 &= \frac{F}{K_{fc}} \\
 x_2 &= \frac{T_w}{K_{pc}K_{fc}}\dot{F} + \frac{1}{K_{pc}K_{fc}}F + \frac{T_w}{K_{pc}K_{fc}}d(t) \\
 x_3 &= \frac{T_{p3}T_w}{K_{p3}K_{pc}K_{fc}}\ddot{F} + \frac{T_{p3} + T_w}{K_{p3}K_{pc}K_{fc}}\dot{F} + \frac{1}{K_{p3}K_{pc}K_{fc}}F \\
 &\quad - \frac{T_wT_{p3}}{K_{p3}K_{pc}}\dot{d}(t) - \frac{T_w}{K_{p3}K_{pc}}d(t) \\
 x_4 &= \frac{T_{p3}T_{p2}T_w}{\varphi}\ddot{\ddot{F}} + \frac{T_{p3} + T_w - T_{p3}T_w}{\varphi}\ddot{F} \\
 &\quad + \frac{T_{p2} - T_{p3} + T_w}{\varphi}\dot{F} + \frac{1}{\varphi}F - \frac{T_wT_{p3}T_{p2}}{K_{p2}K_{p3}K_{pc}}\ddot{d}(t) \\
 &\quad + \frac{T_wT_{p2} - T_wT_{p3}}{K_{p2}K_{p3}K_{pc}}\dot{d}(t) - \frac{T_w}{K_{p2}K_{p3}K_{pc}}d(t) \\
 u &= \frac{T_{p3}T_{p2}T_w}{\varphi K_{p1}}\ddot{\ddot{F}} + \left( \frac{T_{p3}T_{p2} + T_{p2}T_w + T_{p3}T_w}{\varphi K_{p1}} \right. \\
 &\quad \left. + \frac{T_{p3}T_{p2}T_w}{\varphi K_{p1}} \right)\ddot{\ddot{F}} + \left( \frac{T_{p2} + T_{p3} + T_w + T_{p2}T_{p3}}{\varphi K_{p1}} \right. \\
 &\quad \left. + \frac{T_{p2}T_w + T_{p3}T_w}{\varphi K_{p1}} \right)\ddot{F} + \frac{T_{p2} + T_{p3} + T_w + 1}{\varphi K_{p1}}\dot{F} \\
 &\quad + \frac{1}{\varphi K_{p1}}F - T_{p1}\dot{u} \tag{29}
 \end{aligned}$$

where  $\varphi = K_{p3}K_{p2}K_{pc}K_{fc}$ .  $P_{lw}$  represents the unknown input disturbance, hence, (31) can be rewritten as:

$$\ddot{\ddot{F}} + \gamma_3\ddot{\ddot{F}} + \gamma_2\ddot{\ddot{F}} + \gamma_1\dot{\ddot{F}} + \gamma_0\ddot{F} = \beta u - \eta(t) \tag{30}$$

where

$$\begin{cases}
 \gamma_3 = \frac{1}{T_w} + \frac{1}{T_{p3}} + \frac{1}{T_{p2}} + 1 \\
 \gamma_2 = \frac{1}{T_{p3}T_w} + \frac{1}{T_{p2}T_w} + \frac{1}{T_{p2}T_{p3}} + \frac{1}{T_w} + \frac{1}{T_{p3}} + \frac{1}{T_{p2}} \\
 \gamma_1 = \frac{1}{T_{p3}T_w} + \frac{1}{T_{p2}T_w} + \frac{1}{T_{p2}T_{p3}} + \frac{1}{T_wT_{p3}T_{p2}} \\
 \gamma_0 = \frac{1}{T_wT_{p3}T_{p2}} \\
 \eta(t) = K_{fc}\ddot{\ddot{d}}(t) + K_{fc}\left(\frac{1}{T_{p3}} + \frac{1}{T_{p2}} + 1\right)\ddot{d}(t) \\
 + K_{fc}\left(\frac{1}{T_{p3}} + \frac{1}{T_{p2}} + \frac{1}{T_{p3}T_{p2}}\right)\dot{d}(t) + \frac{K_{fc}}{T_{p3}T_{p2}}d(t)
 \end{cases} \tag{31}$$

To accurately estimate the output  $F$  and limited-time derivatives combined with the disturbances  $\eta(t)$ , a tracking aim is designed as by considering the reference trajectory  $r^* = F - F^*$ ,

$$\dot{F}_1^* \rightarrow F_2^*, \dot{F}_2^* \rightarrow F_3^*, \dot{F}_3^* \rightarrow F_4^*, \dot{F}_4^* \rightarrow F_5^* \rightarrow \eta \tag{32}$$

where  $F_1^*, F_2^*, F_3^*, F_4^*$  are the approximated values of  $F^*, \dot{F}^*, \ddot{F}^*, F^{(4)*}$ . To optimally track the system state and estimate the disturbances an ESO is designed as

$$\begin{cases}
 e_w = (F_1 - z_1) \\
 \dot{z}_1 = F_1 + \lambda_4(e_w) \\
 \dot{z}_2 = F_2 + \lambda_3(e_w) \\
 \dot{z}_3 = F_3 + \lambda_2(e_w) \\
 \dot{z}_4 = \beta u_{eq} + \lambda_1(e_w) + z \\
 \dot{z} = \lambda_0(e_w)
 \end{cases} \tag{33}$$

The parameters  $\lambda_4, \cdot, \lambda_0$  are the observer parameters. In the end, a feedback control law is designed as

$$\begin{cases}
 u = \frac{1}{\beta}(\gamma_3F_4 + \gamma_2F_3 + \gamma_1F_2 + \gamma_0F_1 + v - z) \\
 v = (F^*)^{(4)} - \kappa_4e_{wc4} - \kappa_2e_{wc3} - \kappa_2e_{wc2} - \kappa_1e_{wc1}
 \end{cases} \tag{34}$$

where  $e_{wci} = z_i - F_1^*$ .

### C. FADRC CONTROLLER FOR PHOTOVOLTAIC SYSTEM

The PV system represented in (8) can be rewritten as

$$\ddot{y} = -\alpha_4\dot{y} - \alpha_3y + \alpha_2u + \alpha_1\dot{u}. \tag{35}$$

Here  $x_1 = y$ ,  $x_2 = \dot{y}$ , and  $\begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$ . Therefore,  $\dot{x}_2 = \dot{x}_1$ ,  $\dot{x}_2 = -\alpha_4x_2 - \alpha_3x_1 + \alpha_2u + \alpha_1\dot{u}$ . Hence  $u = f(y)$ , therefore

$$\begin{cases}
 \dot{x}_2 = x_1 \\
 \dot{x}_2 = -\alpha_4x_2 - \alpha_3x_1 + \alpha_2f(u) + \alpha_1f(\dot{u})
 \end{cases} \tag{36}$$

By solving the differential equation in terms of  $f(y)$ ,  $u = f(y)$  and  $\dot{u} = f(\dot{y})$  is a flat system that can be represented in terms of input to flat output as

$$\ddot{F} = -d\dot{F} - cF + \beta u + f(t) \tag{37}$$

where  $f(t)$  is the total disturbance including unknown external disturbance, tie-line power deviation, and modal uncertainties. To obtain the process estimated value of the  $F$ , and its limited-time derivatives including the disturbances  $f(t)$  for a perturbed system, an ESO is given

$$\begin{cases}
 e_{pv} = F - F_0 \\
 \dot{F}_0 = F_1 + \lambda_2(e_{pv}) \\
 \dot{F}_1 = \beta u + \lambda_1(e_{pv}) + z \\
 \dot{z} = \lambda_0(e_{pv})
 \end{cases} \tag{38}$$

following the feedback control law is designed as follows

$$\begin{cases}
 u = \frac{1}{\beta}(\gamma_1F_1 + \gamma_0F_0 + v - z) \\
 v = (F^*)'' - \kappa_1(F_1 - (F^*)') - \kappa_0(F_0 - F^*)
 \end{cases}$$

## IV. EBWO ALGORITHM FOR CONTROLLER PARAMETERS TUNING

### A. CONVENTIONAL BELUGA WHALE OPTIMIZATION

The Beluga Whale Optimization (BWO) method is a novel optimization technique inspired by the coordinated actions of Beluga whales (BWs) during various activities such as hunting, swimming, and engaging in whale falls [37], [39]. Found in groups of 2 to 25 individuals, Beluga whales exhibit social predator behavior within their natural environment. These groups collaborate in hunting, swimming, and information sharing to obtain a wide variety of food. The BWO algorithm like other algorithms initiates with the initialization step. Then incorporates the exploitation and exploration phases followed by whale fall behaviors of BWs. To mathematically model the BWO, the beluga whales are randomly initialized with each whale is considered as a solution and will be updated accordingly. The beluga whales positions are presented in a matrix ( $X$ ) of a size ( $n \times d$ ) presented below:

$$\chi = \begin{pmatrix} x_{1,1} & \cdots & x_{1,d} \\ \vdots & \ddots & \vdots \\ x_{n,1} & \cdots & x_{n,d} \end{pmatrix} \quad (39)$$

with the corresponding fitness values of each beluga whales are listed as:

$$F_\chi = \begin{pmatrix} f(x_{1,1}, \cdots, x_{1,d}) \\ \vdots \\ f(x_{n,1}, \cdots, x_{n,d}) \end{pmatrix} \quad (40)$$

The exploration phase of the BWO replicates the swimming behavior of two pairs of closely swimming Beluga whales, either synchronized or moving randomly. It can be represented as follows:

$$\begin{cases} \chi_{i,j}^{T+1} = \chi_{i,p_j}^T + \left( \chi_{r,p_1}^T - \chi_{i,p_j}^T \right) \\ \quad (1 + r_1) \sin(2\pi r_2), j = \text{even} \\ \chi_{i,j}^{T+1} = \chi_{i,p_j}^T + \left( \chi_{r,p_1}^T - \chi_{i,p_j}^T \right) \\ \quad (1 + r_1) \cos(2\pi r_2), j = \text{odd} \end{cases} \quad (41)$$

in which  $T$  denotes the current iteration and  $\chi_{i,j}^{T+1}$  indicates the updated location for the  $i$ th beluga whale along the  $j$ th dimension.  $\chi_{r,p_1}^T$  represents a random selected beluga whale,  $r_1$  and  $r_2$  are randomly selected within  $[0, 1]$  range.

The exploitation phase of the BWO is inspired by the preying behavior of the beluga whale in which they share the information to hunt, guided by the best solution of the BW. To improve the convergence ability of the algorithm, a Levy flight strategy has been utilized in the exploitation phase which is mathematically expressed as:

$$\chi_i^{T+1} = r_3 \chi_{best}^T - r_4 \chi_i^T + C_1 \cdot L_F \cdot (\chi_r^T - \chi_i^T) \quad (42)$$

$\chi_r^T$  and  $\chi_i^T$  are the current positions of random and  $i$ th BW respectively, while  $r_3$  and  $r_4$  are random numbers ranging from 0 to 1, and  $\chi_{best}^T$  is the best location of a beluga whale.

In (42)  $C_1$  is the random step size that calculates the intensity of the Levy flight expressed as

$$C_1 = 2r_4(1 - T/T_{max}) \quad (43)$$

where  $L_F$  describes the Levy flight function and is expressed as

$$L_F = 0.05 \times \frac{u \times \sigma}{|v|^{1/\beta}} \quad (44)$$

where

$$\sigma = \left( \frac{\Gamma(1 + \beta) \times \sin(\pi\beta/2)}{\Gamma((1 + \beta)/2) \times \beta \times 2^{(\beta-1)/2}} \right)^{1/\beta} \quad (45)$$

here  $u$  and  $v$  are the random numbers, while  $\beta$  is the constant factor with a value of 1.5. The transition of BW focus from exploration to exploitation is influenced by the equilibrium factor  $B_f = B_0(1 - T/2T_{max})$ ,  $B_0$  is a random value chosen from the interval  $[0,1]$ . The algorithm is in the state of exploration if  $B_f > 0.5$ , and will shift to exploitation as soon as the  $B_f \leq 0.5$ . The increasing number of iterations  $T$  and reduction in the range of  $B_f$  from  $[0,1]$  to  $[0, 0.5]$ , increase the likelihood of the exploitation stage.

During the whale fall phase, Beluga whales (BWs) are vulnerable to predation by killer whales and human activities while migrating and foraging. In this phase, deceased BWs sink to the seafloor, and to maintain consistent population size, their positions are updated using the following as

$$\chi_i^{T+1} = r_5 \chi_i^T - r_6 \chi_r^T + r_7 \chi_{step} \quad (46)$$

where  $r_5$ ,  $r_6$  and  $r_7$  are the random numbers in range  $0 \leq r_5, r_6, r_7 \leq 1$ .  $\chi_{step}$  is the step size of the whale fall which can be expressed as:

$$\chi_{step} = (u_b - l_b) \exp(-C_2 T/T_{max}) \quad (47)$$

where  $l_b$  and  $u_b$  are the boundaries of the variables and  $C_2$  is a time-varying factor determined by the population size and the probability of whale fall as follows:

$$C_2 = 2W_f \times n \quad (48)$$

where whale fall is denoted by  $W_f$  which is a linear function and can be calculated as:

$$W_f = 0.1 - 0.05T/T_{max}. \quad (49)$$

### B. ENHANCED BWO

The conventional Beluga Whale Optimization (BWO) method is enhanced by incorporating Particle Swarm Optimization (PSO) [40] during the whale fall phase. PSO is renowned for its robust exploration capabilities. Leveraging the swarm's collective movement, whales can navigate through a variety of regions within the search space, consequently enhancing the chances of discovering superior solutions. Moreover, the exploitation aspect of PSO, where particles gravitate towards the best-found positions, aids BWO in converging towards promising areas. This characteristic entails global information sharing among particles



through their respective best-match positions. By capitalizing on this information sharing, whales can communicate their optimal positions, thereby influencing the movement of their counterparts which ultimately drives better convergence. The velocity and position components of the PSO algorithm are expressed as follows

$$V_{ij}(t + 1) = \omega \cdot V_{ij}(t) + c_1 \cdot \Upsilon_1 \cdot (P_{b_{ij}}(t) - \chi_{ij}(t)) \quad (50)$$

$$+ c_2 \cdot \Upsilon_2 \cdot (G_{b_{ij}}(t) - \chi_{ij}(t))$$

$$\chi_{ij}(t + 1) = \chi_{ij}(t) + V_{ij}(t + 1) \quad (51)$$

where  $V_{ij}(t)$  represents the velocity of BWs  $i$  in dimension  $j$  at time  $t$ ,  $\omega$  is the inertia weight,  $P_{b_{ij}}(t)$  is the personal best position of BW  $i$  in dimension  $j$  at time  $t$ ,  $\chi_{ij}(t)$  is the current position of BW  $i$  in dimension  $j$  at time  $t$ . The flowchart and pseudo code can be found in Fig.2 and Algorithm 1, respectively. Application of the proposed EBWO utilized for tuning the parameters of the controller shown in Fig. 1.

### C. IMPLEMENTATION AND COMPARISON OF PROPOSED EBWO WITH OTHER METAHEURISTIC ALGORITHMS

To initiate the optimization, initial parametric values are as follows: population size of  $N = 25$ , a maximum iteration limit of  $M = 300$ , and Dimension  $D = 30$ . Providing a clear understanding of how the EBWO algorithm operates, the procedural steps are depicted in a flowchart shown in Figure 2. This flowchart offers a detailed overview of how the optimization process is executed using the EBWO algorithm.

To evaluate the performance improvement achieved by the Enhanced Beluga Whale Optimization(EBWO) algorithm, compared with several recently developed optimization algorithms, including the Bat algorithm [41], Firefly algorithm [42], Particle Swarm Optimization [40], and the traditional Beluga Whale Optimization [37]. EBWO algorithm is evaluated on five different benchmarks [37] including unimodal and multimodal functions, as detailed in Table 1. The analysis included tracking the minimum fitness value obtained with the EBWO algorithm and the convergence curve for the competitive algorithms shown in Figure3 and 4. The performance of these algorithms has been evaluated, and the results were analyzed using statistical metrics, including mean, mode, median, and standard deviation (STD) given in Table 2. Overall performance of the EBWO algorithm demonstrates superior performance as compared to other optimization techniques across all benchmark problems. The superior performance, as reflected in the statistical data, underscores the effectiveness and robustness of the EBWO algorithm in obtaining optimal solutions for the given functions. Therefore, to use the EBWO algorithm for optimizing the proposed FADRC for the purpose of LFC, an Integral Time Absolute Error (ITAE) serves as the performance index to achieve the optimal gain values(controller bandwidth( $\omega_c$ ) and observer bandwidth( $\omega_o$ )). ITAE is frequently employed in LFC studies [31], providing a robust settling time. As a result, minimizing ITAE is essential. Mathematically, ITAE

### Algorithm 1 algorithm

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**Input:** Initialize the population with algorithmic parameters (population size, maximum iteration)

**Output:** The best solution

- 1 Evaluate fitness values based on initial population and obtain the best solution (P\*)
- 2 **while**  $T \leq T_{max}$  **do**
- 3   Compute Whale fall  $W_f$  by Eq (49) and  $B_f$
- 4   **for each** beluga  $X_i$  **do**
- 5     **if**  $B_f > 0.5$  **then**
- 6       // Exploration phase
- 7       Generate random values for  $p(j = 1, 2, \dots, d)$
- 8       Choose a beluga whale  $X_r$  randomly
- 9       Update the new position using Eq (41)
- 10     **else**
- 11       **if**  $B_f \leq 0.5$  **then**
- 12         // Exploitation phase
- 13         Update  $C_1$  and compute  $L_f$
- 14         Update the new position using Eq (42)
- 15     **end**
- 16   **end**
- 17   Check the boundaries and Evaluate fitness
- 18   **for each** beluga  $X_i$  **do**
- 19     // Whale fall phase
- 20     **if**  $B_f \leq 0.5$  **then**
- 21       Update  $C_2$  and compute  $X_{step}$
- 22       **if** case = PSO **then**
- 23         Update the new position using Eq (51)
- 24       **else**
- 25         Update the new position using Eq (46)
- 26       **end**
- 27       Check the boundaries and Evaluate fitness
- 28     **end**
- 29   **end**
- 30   Find the current best solution
- 31    $T = T + 1$ ;
- 32 **end**
- 33 Output the best solution

---

is expressed as

$$J = ITAE = \int_0^{t_{sim}} \left( \sum_{n=1}^i \Delta f_i + \sum_{n=1}^j \Delta P_{tie,j} \right) dt. \quad (52)$$

The value of  $J$  must be minimized to improve the dynamic response of the system, the ITAE comprised of the response of deviation in frequency ( $\Delta f_1, \Delta f_2$ ) in area 1 and area 2, and the tie-line power deviation  $\Delta P_{tie,j}$ . The optimum controller gains are obtained within the defined bounds for the PV, thermal system, and wind turbine controller separately given as

$$\begin{aligned} \omega_{o,min} &\leq \omega_o \leq \omega_{o,max} \\ \omega_{c,min} &\leq \omega_c \leq \omega_{c,max} \end{aligned} \quad (53)$$

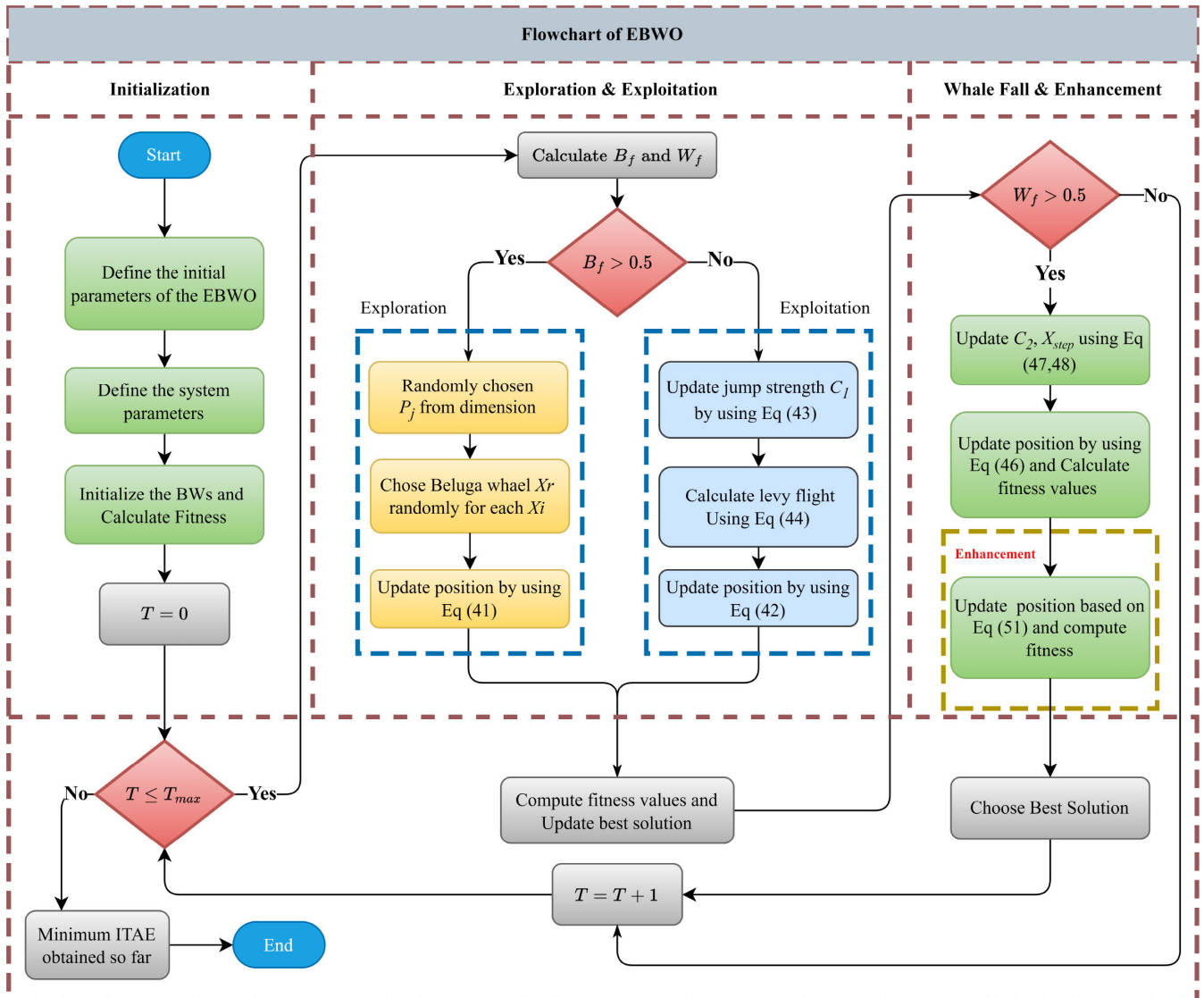


FIGURE 2. Flow chart of the EBWO algorithm for tuning controllers parameters.

TABLE 1. Details of the test benchmark problem.

Name	Function	Range	$f_{min}$
Sphere	$F_1 = \sum_{i=1}^D x_i^2$	[-100, 100]	9.332e-162
Schwefel's 1.2	$F_2 = \sum_{i=1}^D \left( \sum_{j=1}^D x_j \right)^2$	[-100, 100]	4.593e-171
Rosenbrock	$F_3 = \sum_{i=1}^D \left[ 100 (x_{i+1} - x_i^2)^2 + (x_i - 1)^2 \right]$	[-30, 30]	0.01019
Step	$F_4 = \sum_{i=1}^D (x_i + 0.5)^2$	[-100, 100]	1.208e-5
Ackley	$F_5 = -20 \exp \left( -0.2 \sqrt{\frac{(\sum_{i=1}^D x_i^2)}{D}} \right) - \exp \left( \frac{(\sum_{i=1}^D \cos(2\pi x_i))}{D} \right) + 20 + e$	[-32, -32]	8.882e-16

V. SIMULATION RESULTS

MATLAB/SIMULINK 2019b is used to build and simulate the model shown in Fig. 1. In this study, the system parameters used for simulations are given in Appendix. The optimal gains of PID, LADRC, and FADRC were obtained by using the designed EBWO. Firstly, a two-area PS is taken into

consideration for analysis, the first area is a thermal power plant with a wind penetration whilst the second area is a PV plant as presented [7], [23]. Secondly, the system is extended to four areas. The superiority, robustness, and sensitivity of the proposed EBWO-tuned FADRC controller are being analysed by taking into account the subsequent scenarios.

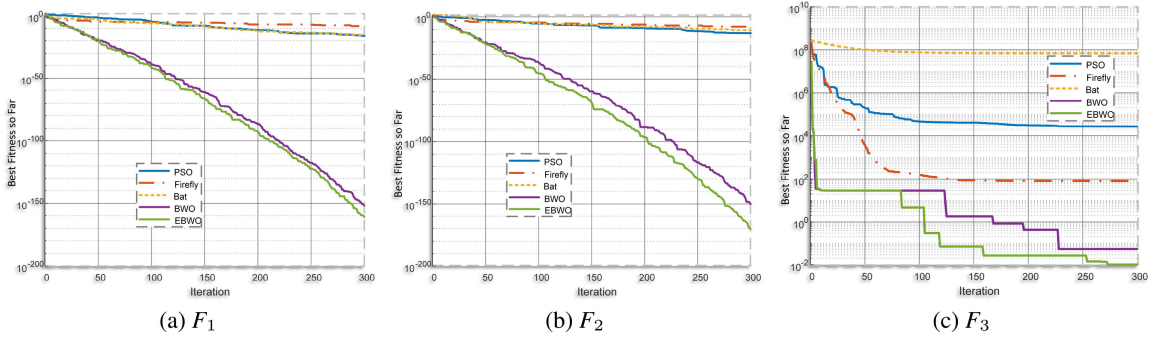


FIGURE 3. Convergence curve for function  $F_1$ ,  $F_2$ , and  $F_3$ .

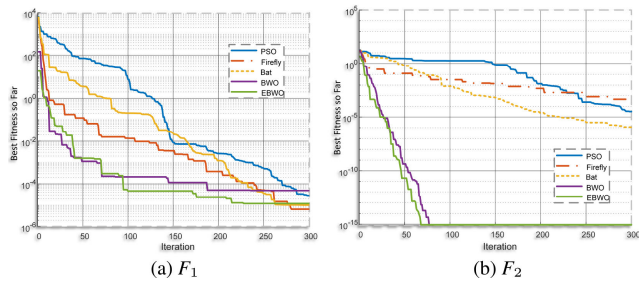


FIGURE 4. Convergence curve for function  $F_4$  and  $F_5$ .

TABLE 2. Results of the test benchmark functions for compared algorithms.

Function	Algorithm	Mean	Median	Mode	STD
$F_1$	PSO	0.448	1.259e-08	6.578e-15	2.999
	Firefly	0.07005	3.475e-06	1.788e-05	1.062
	BAT	0.04836	3.393e-09	5.712e-06	0.5168
	BWO	0.006793	6.626e-62	1.468e-20	0.1096
	EBWO	0.02843	2.94e-67	7.238e-84	0.3184
$F_2$	PSO	0.3025	2.48e-07	3.443e-07	2.682
	Firefly	1.927	3.353e-06	7.062e-07	1.145
	BAT	1.927	7.02e-07	1.547e-08	7.78
	BWO	0.02039	2.162e-60	3.846e-89	0.3012
	EBWO	0.003738	1.539e-69	5.307e-75	0.03979
$F_3$	PSO	2.039e+06	4.088e+04	2.7e+04	1.39e+07
	Firefly	1.47e+06	85.42	82	1.193e+07
	BAT	8.744e+07	6.99e+07	6.835e+07	3.953e+07
	BWO	3307	1.806	28.7	5.489e+04
	EBWO	8.561e+04	0.07187	0.02773	1.481e+06
$F_4$	PSO	113.4	0.008514	0.007227	469.6
	Firefly	26.72	0.003539	0.01591	289.9
	BAT	38.48	0.02223	0.03224	379
	BWO	1.649	0.0001164	0.00004884	14.67
	EBWO	0.2547	0.00004641	0.00001208	1.974
$F_5$	PSO	1.708	0.7699	1.859	2.73
	Firefly	0.2024	0.01378	0.001903	1.203
	BAT	0.7287	0.0006236	3.039e-06	2.215
	BWO	0.1271	8.882e-16	8.882e-16	1.193
	EBWO	0.03948	8.882e-16	8.882e-16	0.3502

TABLE 3. Performance indices of competitive controllers in Area 1.

Controller	$\Delta f_1$		
	Settling time(s)	Overshoot(p.u.)	ITAE
FA-PID	30	0.0176	7486
PSO-LADRC	23.4038	0.0114	1102.7
BAT-LADRC	22.9077	0.0043	609.6877
EBWO-PID	28.4410	0.0176	4968.9
EBWO-LADRC	23.2025	0.0031	287.2218
EBWO-FADRC	10.5821	0.0011	193.6188

with other controllers optimized by different optimization algorithms such as Firefly optimized PI [7], PSO-based LADRC [11], Bat algorithm-based LADRC [31] and the EBWO based PID and LADRC. Fig. 5 illustrates the responses of competing controllers in the multi-area PS. Subsequently, Fig. 5a and Fig. 5b represents the frequency variation for both areas. Fig. 5c shows the tie-line power deviation. The analysis particularly centres on both tie-line power and frequency deviations. The dynamic responses indicate the effectiveness of the proposed EBWO-optimized FADRC controller. It shows enhanced dynamic performance in contrast to alternative controllers with minimum overshoot and settling time. The competitive controllers are evaluated based on ITAE, settling time(s), and overshoot(p.u.) as tabulated in Table 3 and 4. Based on this comparison, it is evident that the proposed EBWO-tuned FADRC controller yields significantly less settling time (s), overshoot, and ITAE results. In area 1, FADRC obtains a settling time of 10.5821(s) and an overshoot of 0.0011(p.u.) which is very small as compared to the competitive controllers. Also in area 2, the FADRC controller demonstrates the smallest settling time and overshoot, measuring at 10.7677 (s) and 0.0104 (p.u.) respectively, while exhibiting an ITAE of 552.0376. The overall performance of the proposed EBWO-based FADRC is more robust and effective in its dynamic response and performance indices.

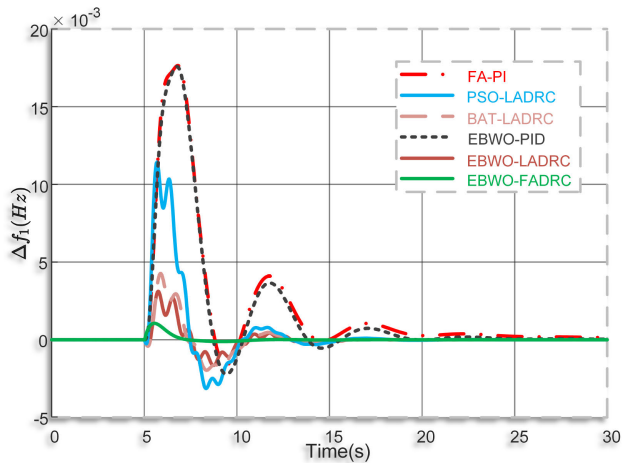
**B. IMPACT OF HIGH LOAD DEMAND**

In this case, the system is examined by subjecting it to successive step changes in load demand in the thermal power system, while the WT and PV system remains unchanged. The first step change is 0.1pu and the second change is 0.4 at the 20s, Table 5 and 6 shows the settling time, overshoot,

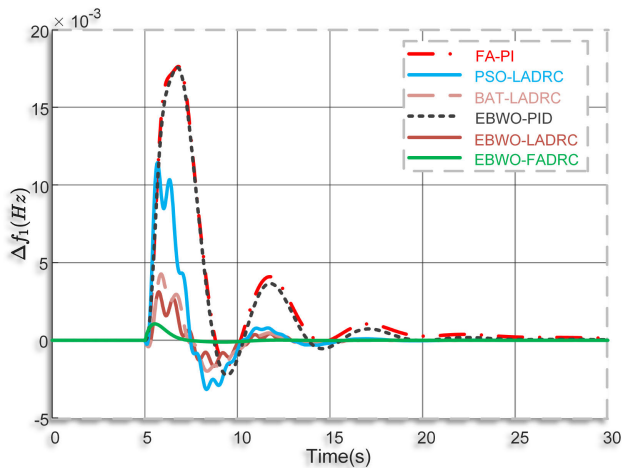
- 1) Comparison of the proposed scheme with optimized controller
- 2) Impact of high load demand
- 3) Sensitivity analysis
- 4) Fluctuation in load and RESs
- 5) Photovoltaic system downtime
- 6) Four area PS

**A. COMPARISON OF THE PROPOSED SCHEME WITH OPTIMIZED CONTROLLERS**

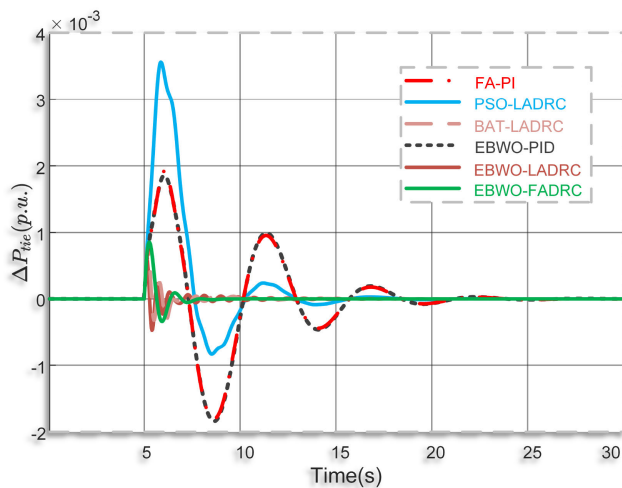
In this scenario, a load deviation of 1% in area-1 and 2 with a step time of 5s. Comparisons have been made



(a)



(b)



(c)

**FIGURE 5.** Responses of the competing controllers, (a) and (b) is Frequency deviation in Area 1 and 2 respectively, (c) tie-line power deviation.

and ITAE indices of the controllers. Results illustrated the lowest values for the proposed controller FADRC indices.

The dynamic performance is depicted in Fig. 6, Fig. 6a

**TABLE 4.** Performance indices of competitive controllers in Area 2.

Controller	$\Delta f_2$		
	Settling time(s)	Overshoot(p.u.)	ITAE
FA-PID	30	0.0208	8928.80
PSO-LADRC	30	0.0122	1844.1
BAT-LADRC	30	0.0104	990.5231
EBWO-PID	30	0.0206	6809.0
EBWO-LADRC	20.9343	0.0111	757.6267
EBWO-FADRC	10.7677	0.0104	552.0376

**TABLE 5.** Performance indices of area-1 with high load demand.

Controller	$\Delta f_1$		
	Settling time(s)	Overshoot(p.u.)	ITAE
PID	30.3340	0.0246	3.6389e+06
LADRC	29.2782	0.0140	10777
FADRC	23.1215	0.0028	347.8358

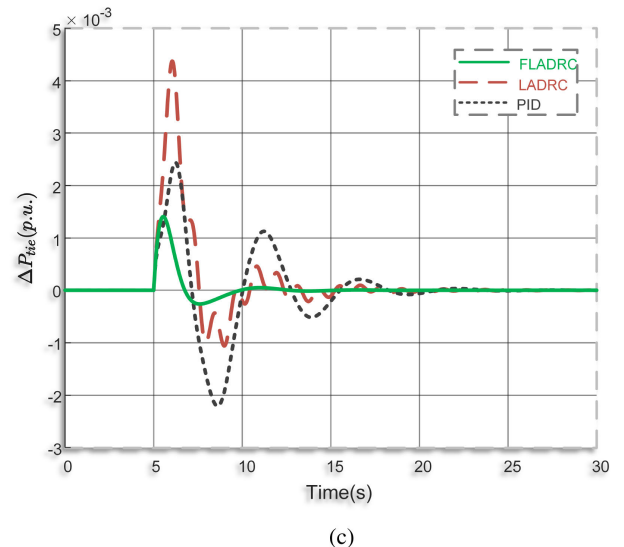
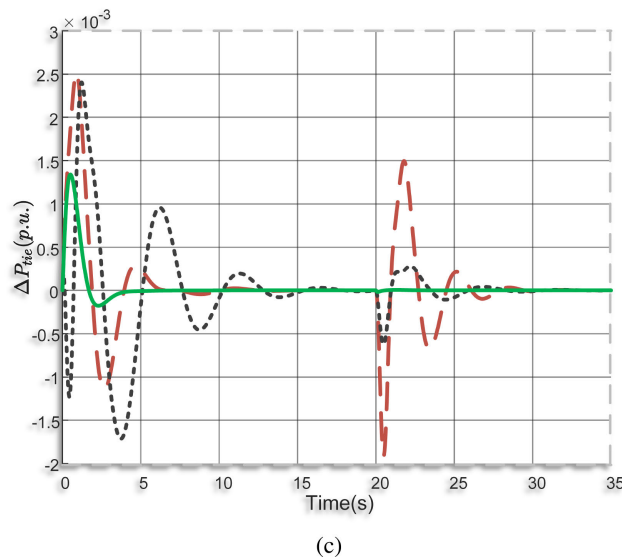
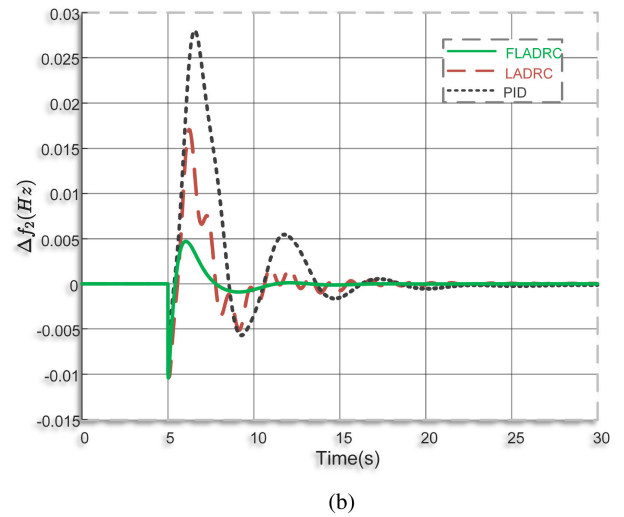
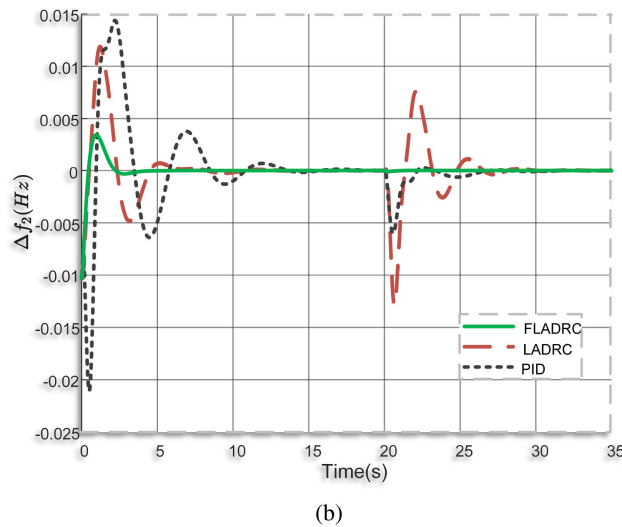
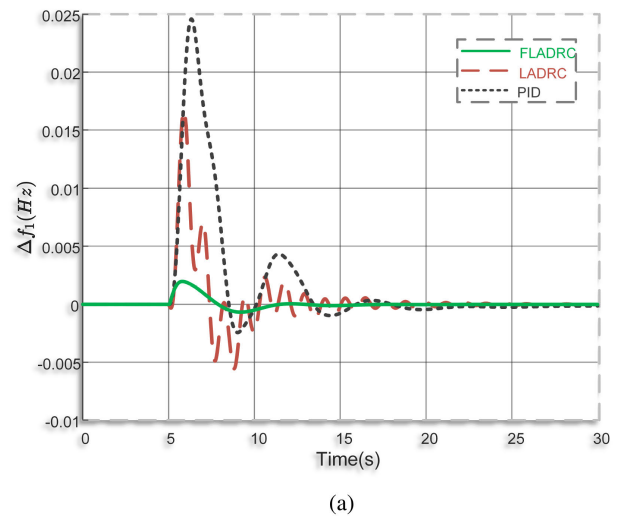
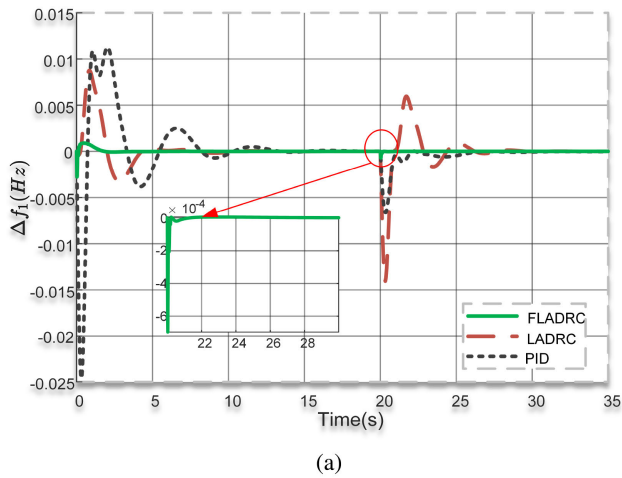
**TABLE 6.** Performance indices of area-2 with high load demand.

Controller	$\Delta f_2$		
	Settling time(s)	Overshoot(p.u.)	ITAE
PID	30.8060	0.0211	4.3795e+06
LADRC	32.5912	0.0129	15505
FADRC	23.5322	0.0103	314.6907

and Fig. 6b shows the frequency responses for area 1 and 2, respectively, while Fig. 6c is the tie-line power deviation. The EBWO-based FADRC controller demonstrates robustness and significantly improves the system's damping and settling time characteristics compared to the LADRC and PID controllers.

### C. SENSITIVITY ANALYSIS

The sensitivity analysis has been conducted to further examine the scientific validity of the proposed EBWO-based FADRC by measuring its robustness to parameter uncertainties. This addresses a common drawback of competitive methods, where performance deteriorates significantly with even minor model uncertainties and could lead to the deviation of frequency and tie-line power from their nominal values. To, analyze the stability and robustness of the proposed method against the competitive controllers, the parameter values ( $(0.04 \leq T_g \leq 0.12, 0.15 \leq T_i \leq 0.45, 5 \leq T_r \leq 15, 0.165 \leq T_g \leq 0.495)$ ), are changed by  $\pm 50\%$  from the values listed in Appendix. Firstly, the parameters are changed from their nominal values by  $+50\%$  to test the stability of the system, the dynamic response of the proposed controller and the competitive controllers are shown in Fig. 7. Secondly, the system parameters are changes from their nominal values by  $-50\%$  to further assess the system performance in the presence of uncertainties, and the simulation results are shown in Fig. 8. Overall, results shows that the proposed EBWO-based FADRC indicates stability and robustness against uncertainties, and is more efficient as compared to LADRC and PID. Even when there's uncertainty about the model parameters, there is no need to reset the controller gains. Moreover,

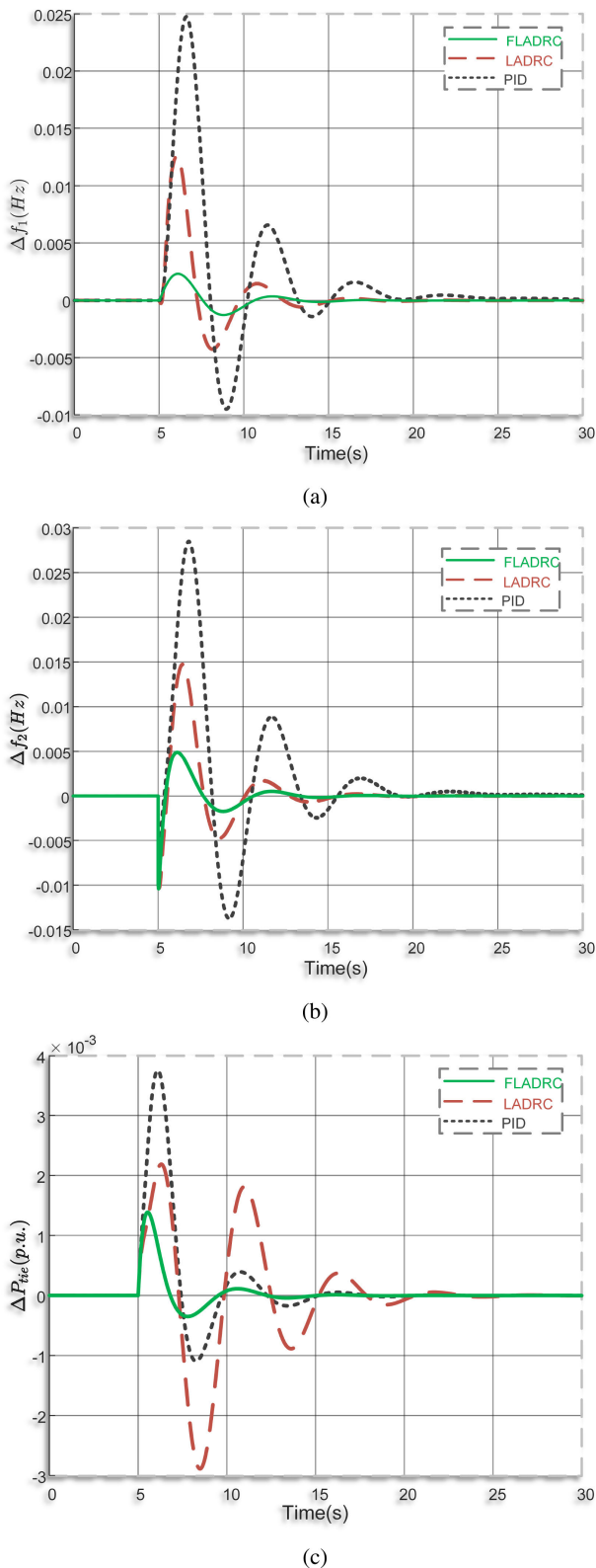


**FIGURE 6.** Response in the presence of high load demand, (a,b) is the deviation of frequency in area-1 and 2 respectively, (c) tie-line power deviation.

a detailed comparison between FADRC, LADRC, and PID is presented in Table 7 and 8 for the key performance indices (settling time (s), overshoot (p.u.), and ITAE) shows

**FIGURE 7.** Dynamic responses for a +50%, (a,b) is the deviation of frequency in area-1 and 2 respectively, (c) Tie-line power deviation.

the substantial practical benefits of EBWO-based FADRC as the minimum indices have been achieved in the presence of practical parameters uncertainties. Overall results, highlight



**FIGURE 8.** Dynamic responses for a -50%, (a,b) is the deviation of frequency in area-1 and 2 respectively, (c) Tie-line power deviation.

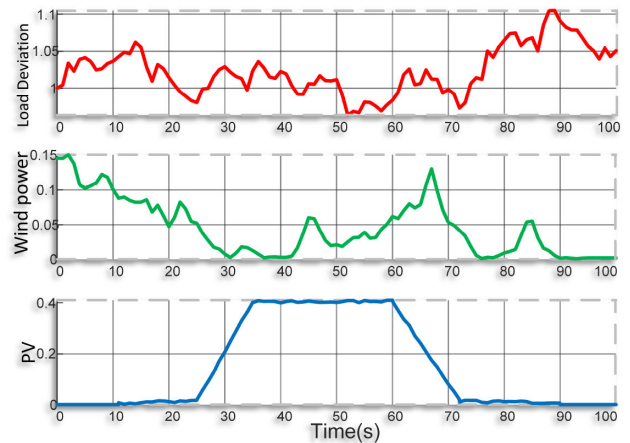
its effectiveness and suitability in real-world scenarios. This comprehensive sensitivity analysis, therefore, not only supports the theoretical validity of EBWO-based FADRC but

**TABLE 7.** Performance indices for parameters variation in the range of  $\pm 50\%$  in Area 1.

Variations	Controllers	$\Delta f_1$		
		Settling time(s)	Overshoot(p.u.)	ITAE
+50%	PID	30	0.0244	5741.5
	LADRC	27.1288	0.0168	2558.4
	FADRC	15.9156	0.0020	637.84
-50%	PID	30	0.0248	9554.8
	LADRC	19.6551	0.0126	1455.5
	FADRC	15.5702	0.0023	1116.3

**TABLE 8.** Performance indices for parameters variation in the range of  $\pm 50\%$  in Area 2.

Variations	Controllers	$\Delta f_1$		
		Settling time(s)	Overshoot(p.u.)	ITAE
+50%	PID	30	0.0279	7824
	LADRC	29.7257	0.0171	2495.2
	FADRC	16.0590	0.0104	1133.8
-50%	PID	35	0.0285	12464
	LADRC	22.6084	0.0147	2263.1
	FADRC	17.7237	0.0104	1941

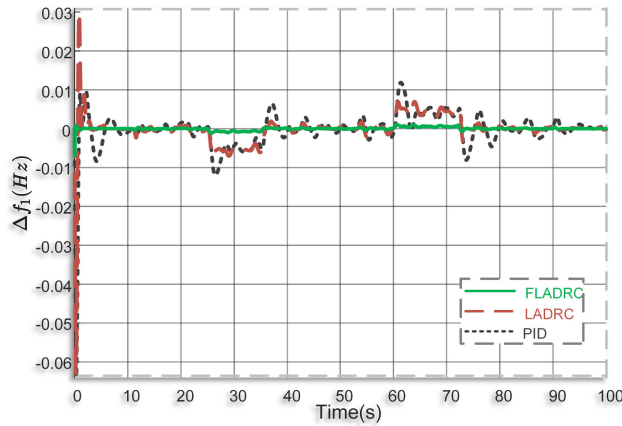


**FIGURE 9.** (a) Wind power deviation (b) Load power deviation (c) Solar power deviation.

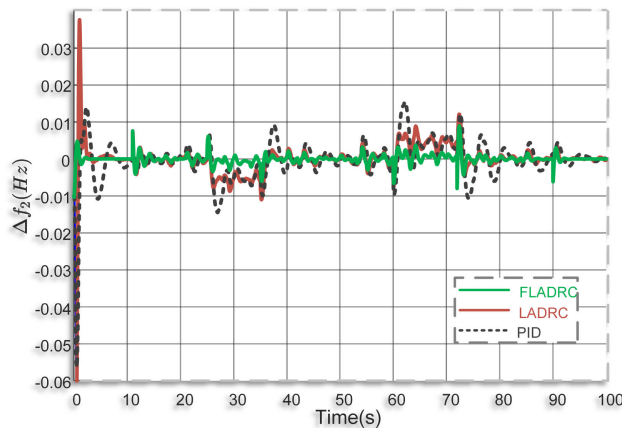
also emphasizes its practical worth as a robust and efficient control method.

**D. FLUCTUATION IN LOAD AND RES**

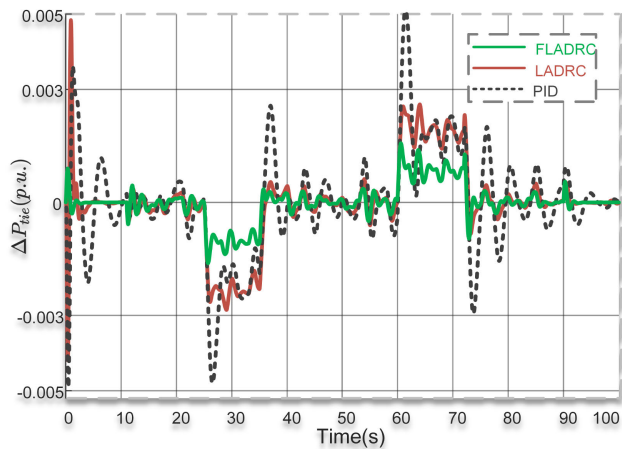
In this case, the practical uncertainties of wind speed, solar radiation, and load variations have been considered to evaluate the system response. The wind power data is obtained from the [43] for real-time implementation. Solar power fluctuation is designed accordingly given in [44] and load deviation is modeled by using band-limited noise followed by initial input [23]. These deviations in the load, wind, and solar irradiance are shown in Fig. 9, offering a clear depiction of the fluctuating nature of the RESs. Fig. 10 illustrates the results of competing controllers for both frequency and tie-line power deviations in the presence of RESs uncertainties and load deviation. The dynamic results and the subsequent analysis demonstrate the superiority of the proposed FADRC controller. Regardless of notable



(a)



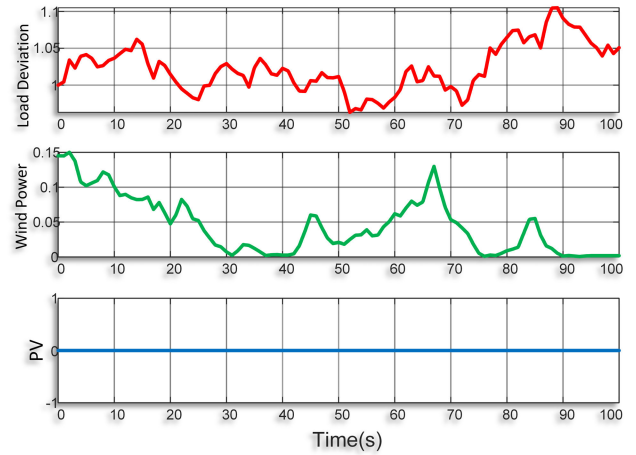
(b)



(c)

**FIGURE 10.** Simulation results in the presence of load, wind, and solar deviation, (a) and (b) is deviation of frequency in Area-1 and 2 respectively, (c) Tie-line power deviation.

fluctuations in RESs and load demands, the system’s dynamic performance shows how reliable and effective the suggested FADRC controller is. The frequency change in areas 1 and 2 deviate within the acceptable range, even during instances of substantial load demand as shown in Fig. 10. The system responses exhibit the superiority and efficiency of the



**FIGURE 11.** Changes in RESs and load.

proposed FADRC controller with EBWO-tuned parameters in facing the practical uncertainties of wind speed, solar irradiance, and load deviations.

**E. PHOTOVOLTAIC SYSTEM DOWNTIME**

In this simulation scenario, our main objective was to assess the system’s reaction to real-world uncertainties in wind speed and load fluctuations, while not considering the influence of photovoltaic (PV) generation. PV sources have downtime especially during the night-time or due to weather conditions as depicted in Fig. 11. During these times the wind and thermal power system will manage to maintain the equilibrium between the load and generation. Figure 12 illustrates the dynamic responses of different controllers concerning both frequency and tie-line power deviations, taking into account uncertainties in wind speed and load variations. The results indicate that the overshoot of the EBWO-based FADRC controller is very small with respect to the competing controllers and always in an acceptable range. Although there is no photovoltaic generation, the analysis demonstrates the robustness and efficacy of the EBWO-based FADRC controller.

**F. FOUR-AREA POWER SYSTEM**

In this case, the LFC technique based FADRC is utilized on a commonly employed four-area PS [10]. The structure of the system is shown in Fig 13. Each area is comprised of the non-reheated turbine shown in Fig. 14, while the wind power penetrated in area-4.

**1) MODELING OF FLATNESS BASED ADRC FOR NON-REHEAT POWER SYSTEM**

In the non reheat unit, let  $x_1 = \Delta f$ ,  $x_2 = \Delta P_m$ ,  $x_3 = \Delta X_G$  and  $d = \Delta P_d$ , the LFC model is designed as:

$$\dot{x}(t) = Ax(t) + B_1u(t) + B_2d(t) \tag{54}$$

$$y(t) = Cx(t). \tag{55}$$

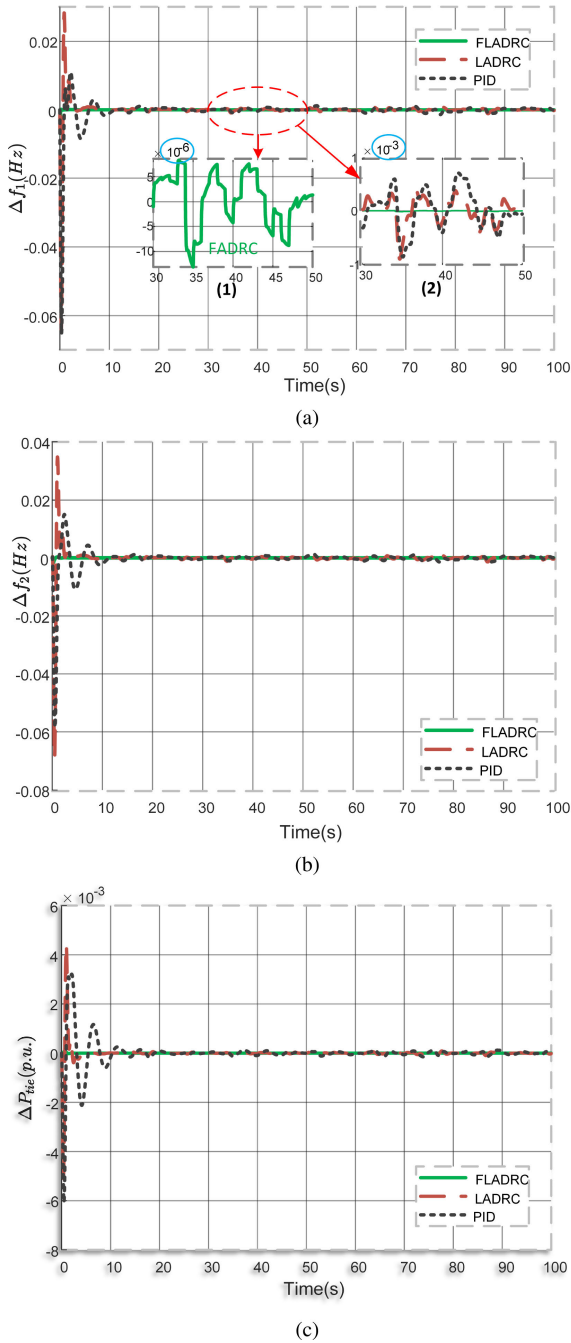


FIGURE 12. Results in the absence of PV (a) and (b)is Frequency deviation in Area 1 and 2 respectively,(c) Tie-line power deviation.

where

$$A = \begin{bmatrix} -\frac{1}{T_p} & \frac{K_p}{T_p} & 0 \\ 0 & -\frac{1}{T_t} & \frac{1}{T_t} \\ \frac{1}{T_g R} & 0 & -\frac{1}{T_g} \end{bmatrix}$$

$$B_1 = \begin{bmatrix} 0 \\ 0 \\ \frac{1}{T_g} \end{bmatrix}, \text{ and } B_2 = \begin{bmatrix} \frac{K_p}{T_p} \\ 0 \\ 0 \end{bmatrix} \quad C = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}.$$

From the system, it can be observed that the system is flat, all of the variables can be represented as function of flat

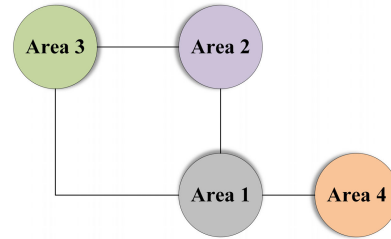


FIGURE 13. Four area structure.

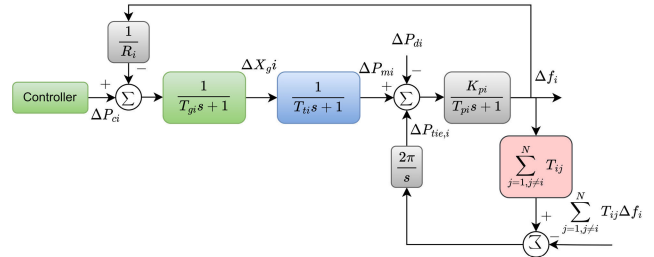


FIGURE 14. Single area non-reheated system.

output  $F = y$ .

$$\begin{cases} x_2 = \frac{1}{K_p} F + \frac{T_p}{K_p} \dot{F} \\ x_3 = \frac{1}{K_p} F + \frac{T_p + T_t}{K_p} \dot{F} + \frac{T_t T_p}{K_p} \ddot{F} \\ u = \left( \frac{1}{K_p} + \frac{1}{R} \right) F + \left( \frac{T_p}{K_p} + \frac{T_t}{K_p} + \frac{T_g}{K_p} \right) \dot{F} \\ + \left( \frac{T_p T_t}{K_p} + \frac{T_p T_g}{K_p} + \frac{T_t T_g}{K_p} \right) \ddot{F} + \frac{T_t T_p T_g}{K_p} \dddot{F} \end{cases} \quad (56)$$

where  $d(t)$  in (54) is the unknown input disturbance, by including the disturbances input to the flat output of the model is expressed as

$$\ddot{F} + \gamma_2 \dot{F} + \gamma_1 F + \gamma_0 F = bu + \eta(t) \quad (57)$$

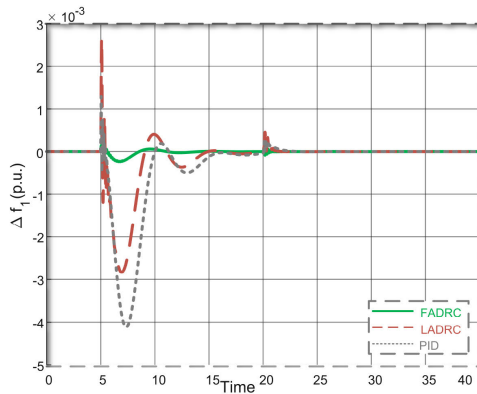
where

$$\begin{cases} \gamma_2 = \frac{1}{T_g} + \frac{1}{T_t} + \frac{1}{T_p}, \gamma_1 = \frac{1}{T_g T_t} + \frac{1}{T_p T_g} + \frac{1}{T_p T_t}, \\ \gamma_0 = \frac{K_p + R}{T_g T_p T_t}, b = \frac{K_p}{T_g T_p T_t} \\ \eta(t) = \frac{K_p}{T_g T_p T_t} d(t) + K_p \left( \frac{T_g + T_p}{T_g T_t T_p} \right) \dot{d}(t) + \frac{K_p}{T_p} \ddot{d}(t). \end{cases} \quad (58)$$

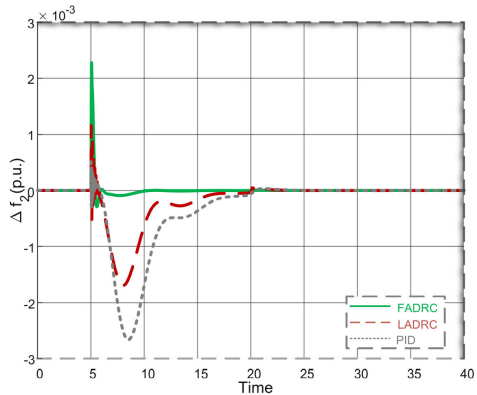
To appropriately estimate the output variable  $F$  and its finite time derivatives along with parameters uncertainties considered as a total disturbance, an ESO is designed as given by

$$\begin{cases} e_{nr} = (F - F_0) \\ \dot{F}_0 = F_1 + \lambda_4(e_{nr}) \\ \dot{F}_1 = F_2 + \lambda_3(e_{nr}) \\ \dot{F}_2 = \beta u + z - \sum_{i=0}^2 \gamma_i F_i + \lambda_1(e_{nr}) \\ \dot{z} = \lambda_0(e_{nr}). \end{cases} \quad (59)$$

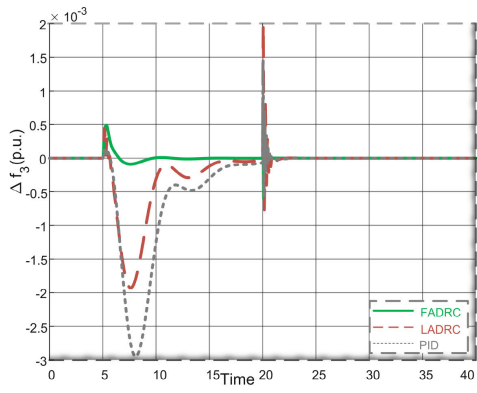




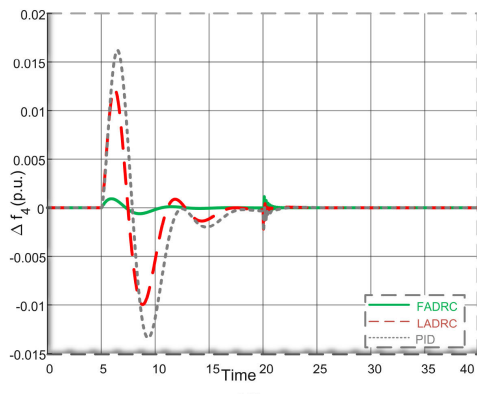
(a)



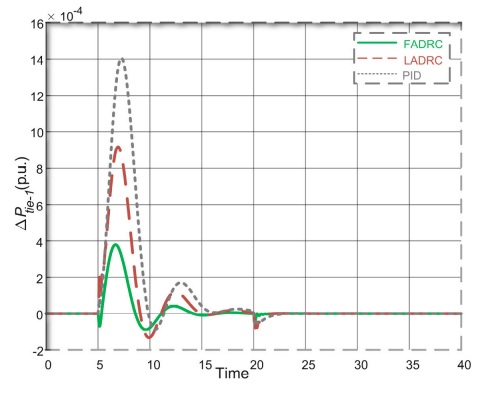
(b)



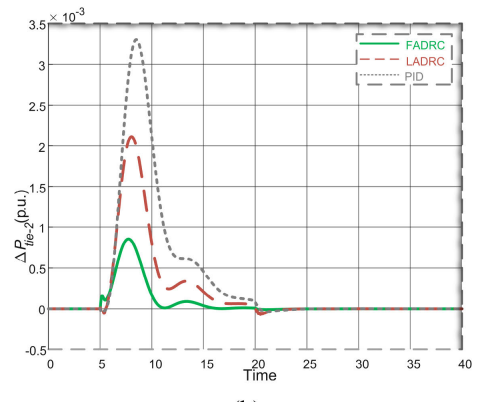
(c)



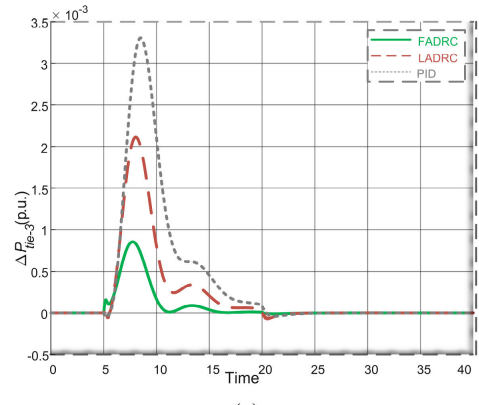
(d)



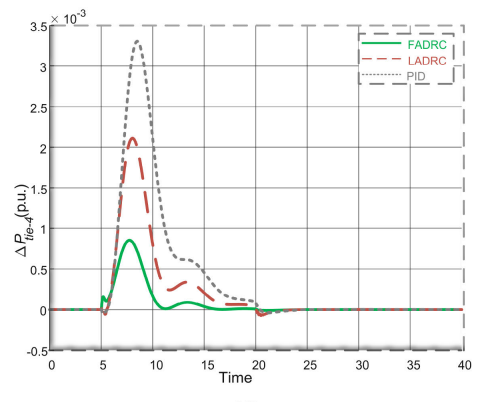
(a)



(b)



(c)



(d)

FIGURE 15. Frequency deviation of the four-areas.

FIGURE 16. Tie-line power deviation of the four-areas.

**TABLE 9.** Parameters of the non-reheated four-area system.

Area #	$T_g$	$T_l$	$T_p$	$K_p$
1	0.4	0.08	20	120
2	0.33	0.072	25	112.5
3	0.35	0.07	20	115
4	0.375	0.085	20	120

In (57),  $\eta(t)$  is the total disturbance which can be accurately estimated as  $z$  in (59), a feedback control law using the idea of flatness as a foundation mathematically expressed as

$$\begin{cases} u = \frac{1}{\beta}(\gamma_2 F_2 + \gamma_1 F_1 + \gamma_0 F_0 + v - z) \\ v = (F^*)^{(3)} - \kappa_2(F_2 - (F^*)'') - \kappa_1(F_1 - (F^*)') \\ -\kappa_0(F - F^*) \end{cases} \quad (60)$$

here  $y^*$  represents the reference trajectory and  $\kappa_2, \kappa_1, \kappa_0$  are the control gains that can be determined by bandwidth parameterization.

## 2) SIMULATION RESULTS

Considering the model with non reheated turbine and wind power penetration in area 4, and the parameters used are given in Table 9. A load disturbance of 0.01 p.u. has been applied simultaneously to area-1 and 2 at  $t = 5s$ , with a consecutive step load deviation in area-3 and 4 of 0.01 p.u. at  $t = 20s$ . Fig. 15 shows the frequency deviation in the four-area PS of the competitive controllers.

The suggested LFC scheme, as depicted in Fig 15, demonstrates a significant enhancement in the system frequency as compared to the linear ARRC and PID. Considering the quick response of the suggested LFC scheme, a prompt control measure can be executed whenever there are disturbances. Consequently, even when the governor and generator exhibit slow dynamics, the FADRC expedites the attainment of a stable state for system frequency, resulting in a considerably smaller frequency deviation when compared to the existing controller. Fig 16 demonstrates the variation in tie-line power of the four-areas. Simulation results are evidence that the FADRC effectively reduces the frequency and tie-line power deviation, and achieves faster convergence towards zero deviation.

## VI. CONCLUSION

In this paper, a robust Flatness-based Active Disturbance Rejection Control (FADRC) scheme is proposed for LFC, incorporating an Enhanced Beluga Whale Optimization Algorithm. The LFC model encompasses diverse area power systems with renewable resources, including photovoltaic generation and wind turbines. Initially, the system is transformed into a differential flatness model, addressing the complexity of real-world power systems. Then, total disturbances, including tie-line power deviations, load variations, and parameter uncertainties, are considered as lumped

disturbances. An extended state observer is developed to approximate and track the overall disturbances in order to effectively handle these disturbances. Finally, a feedback control law is developed to mitigate dynamic errors. To ensure optimal performance, the controller gains are fine-tuned utilizing the enhanced Beluga Whale Optimization Algorithm, resulting in superior control outcomes. The simulation results indicate the optimum settling time of 10.5821s, minimal overshoot(0.0011p.u.), and ITAE(193.6188), with a settling time improvement of 89.41%. Furthermore, in comparison to proportional integral derivative and linear active disturbance rejection control methods, the results demonstrate robustness and efficiency of the suggested controller.

In the future, this research can be extended to include intricate battery storage systems in the absence of photovoltaic cells and address the challenges associated with electrical vehicles. Furthermore, investigating system delays is a remarkable subject that warrants extensive investigation in the ongoing research efforts and extending the current optimization algorithm to a multi-objective optimization algorithm.

## APPENDIX

### A. PV system parameters:

$$\alpha_1 = 900, \alpha_2 = -18, \alpha_3 = 100, \alpha_4 = 50$$

### B. Thermal power system parameters:

$P_R = 2000\text{MW}$ (rating),  $P_L = 1000\text{MW}$ (nominal loading);  $f = 60\text{Hz}$ ;  $R_l = 2.5\text{Hz/p.u. MW}$ ;  $B = 0.425\text{p.u MW/Hz}$ ;  $T_g = 0.08\text{s}$ ;  $T_l = 0.3\text{s}$ ;  $T_r = 10\text{s}$ ;  $K_r = 0.33\text{pu MW}$ ;  $K_p = 120\text{Hz/pu MW}$ ;  $T_p = 20\text{s}$ ;  $2\pi T_{l2} = 0.545\text{p.u.}$ ;  $a_{l2} = -1$ .

### C. Parameters for wind turbine:

$K_{p1} = 1.25\text{pu MW}$ ;  $T_{p1} = 0.6 \text{ s}$ ;  $K_{p2} = 1 \text{ pu MW}$ ;  $T_{p2} = 0.041 \text{ s}$ ;  $K_{pc} = 0.08$ ;  $K_{fc} = 1.494$ ;  $K_{p3} = 1.4 \text{ pu MW}$ ;  $T_{p3} = 1 \text{ s}$ ;  $T_w = 4 \text{ s}$ .

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