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# **RESEARCH ARTICLE**

# A Deeper Look Into Remote Sensing Scene Image Misclassification by CNNs

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**ABSTRACT** As deeper and lighter variations of convolutional neural networks (CNNs) continue to break accuracy and efficiency records, their applications for solving domain-specific challenges continue to widen, particularly in computer vision and pattern recognition. The feat achieved by these end-to-end learning models can be attributed to their ability to extract local and global discriminative features for effective classification. However, in land use and land cover classification (LULC), inner-class variability and outer-class similarity could cause a classifier to confuse one image's discriminative features with another's, leading to inefficiency and poor classification. In this work, we deviate from the conventional approach of classifying high-resolution remote sensing images (HRRS) by proposing a framework for comparing and combining images of different simple classes into superclasses based on spatial, textural, and colour similarities. To achieve this, we implement the *Bhattacharyya* metric for colour-based similarity analysis, a combination of LBPs (Local Binary Pattern), the Earth Mover's Distance, and Euclidean Distance for the texture and spatial similarity analysis in addition to the structural similarity index (SSIM). A pretrained CNN model (*Xception*) is then fine-tuned to classify the superclasses and the original classes of the Aerial Image (AID), the UC Merced, the Optical Image Analysis and Learning (OPTIMAL-31), and *NWPU-RESIS45* datasets. Results show that methodically combining overlapping classes into superclasses reduces the possibility of misclassifications and increases the efficiency of CNNs. The model evaluation further indicates that this approach can boost classifiers' robustness and significantly reduce the impact of inner-class variability and outer-class similarity on their performance.

**INDEX TERMS** Image similarity metrics, Euclidean distance, local binary pattern, transfer learning, scene classification.

# I. INTRODUCTION

Satellite sensors acquire remote sensing images under varying altitudes and constantly changing atmospheric conditions. Because of the height at which the acquisition is made, each image usually covers a large area and land type, resulting in dataset inner-class variability and outer-class image similarity [1], [2], [3], [4], [5]. For the inner-class variability, images within the same class or category tend to vary due to factors such as the atmospheric conditions during acquisition, the angle at which an image is acquired, and the number of noninformative features that might appear in different parts of the images. These challenges make repurposing pre-trained

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deep learning models for classifying images challenging, especially in earth observation tasks [2]. Several techniques that attempt to improve the overall efficiency of deep learning classifiers have been developed over the years to address the issue [1], [6], [7], [8], [9], [10], [11], [12], [13], [14], [15]. Despite the record-breaking performance of the proposed models, creating an efficient scene image classifier that is robust against misclassification due to inner-class variability and outer-class similarity remains elusive [2]. Recently, deep learning [14], [15], and transfer learning models [18] have shown dramatic improvements in classification accuracy and efficiency. Some of the most prominent deep learning models that have been widely used in machine vision include *AlexNet* [19], *VGGNet* [20], *Xception* [21], *GoogleNet* [22], *MobileNet* [23], *EfficientNet* [24], *DenseNet* [25] and

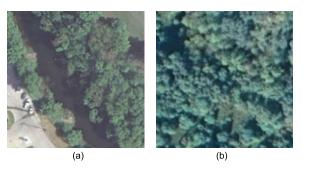


FIGURE 1. HRRS scene classification images: (a) a river and (b) a forest.

ShuffleNet [26]. The success of the transfer learning architectures in scene classification is connected to their ability to work with a small amount of training data and extract class-specific features that contain the discriminative information necessary for the accurate classification of scene images [12], [16], [22]. However, despite the efficacy demonstrated by these models, they can still be confused by inner-class variability and outer-class similarity in scene classification datasets. Fundamentally, satellite images usually contain aspects of other objects besides their salient features [2]. The additional objects are often the main features that characterise another scene. For example, in Fig.1, a significant part of image 1(a) of a *river* is covered by *vegetation*, which, on the other hand, represents the class *forest* as in image 1(b).

Such intricate similarities could mislead a classifier to assign the same label to the two distinct images. The misclassifications due to inner-class variability or outer-class similarity could affect the quality of decision-making where the outcome of a classifier forms an integral part of the decision-making process. Generally, LULC is done using the multiclass approach, where each category is assigned a label depending on the classes in a dataset [20], [21], [22], [23], [24], [25]. The drawback is that standard CNNs and fine-tuned transfer learning models could confuse one category with another due to the complex similarities and subtle differences among some classes [1]. On the one hand, the standard CNNs use the output of the last fully connected layer to predict the label of each image. However, this causes the images' local discriminative features to be discarded, leading to inaccurate classifications [2], [32]. On the other hand, the transfer learning models, which use a feature extraction strategy, are often modified to combine the local and global discriminative characteristics as an improvement to the traditional CNNs [1], [2], [14]. While there is room for improvement in the classification of HRRS scene images, other researchers are exploring new frontiers for applying images obtained in one city to classify land-use and landcover scenes in another [33]. More application domains are evolving in smart cities, such as land-use management, urban planning, building counting, change detection, road extraction, and damage assessment. In damage assessment, for example, the goal is not to differentiate between the types of buildings that survived a natural disaster but to ascertain the number of still-standing buildings. Likewise, in road extraction, the primary aim is to extract a road infrastructure regardless of its make or type. As a result, the spatial complexities of the images and the scenes they represent could be leveraged to merge some classes into superclasses. For some tasks of land-use management, such as change detection [34], [35], [36], [37], building detection/extraction [38], [39], [40], [41], [42], [43], [44], vegetation detection [45], [46], [47], road detection/extraction [25], [48], [49], roof type classification [50], [51], a particular area of interest could contain several scenes considered as a single entity in scene classification datasets. In all these tasks, the general assumption is that the otherwise independent scenes represent a single entity; hence, they are assigned a single label regardless of the colour, textural or spatial differences. What we set out to do in this paper is to design a framework for comparing and combining images based on their spatial, textural, and colour similarities. To investigate the viability of this approach, selected scene classification dataset classes are incorporated into several superclasses using the scores given by the algorithm we developed. A fine-tuned transfer learning model is then used to classify the superclasses and the original classes; the results are critically analysed and compared.

In summary, the contributions of this paper are as follows:

- A framework for comparing images based on their spatial, textural, and colour properties is proposed. This framework can also be applied for content-based image retrieval on natural images.
- 2. A transfer learning strategy is developed using the *Xception* model, which is not widely used despite its lightweight nature and efficient use of training parameters.
- 3. A new *HRRS* scene image classification technique that could be used in land-use management, early warning systems, disaster management, building counting, vegetation analysis, etc., is proposed and presented.

# A. CONVOLUTIONAL NEURAL NETWORKS

The most critical components of a CNN model are its layers, which are the building blocks of any CNNs architecture and define most of its parameters [16], [51], [52], [53]. The arrangement of the layers to form a viable CNN depends on the problem at hand, the domain's expertise, and technical knowledge [55]. Generally, each layer of a CNN (apart from the last one or two fully connected layers) constitutes three significant operations: convolution, activation, and pooling [19]. The convolutional layers generate feature maps by convolving 2D filters (kernels, or a collection of kernels in the 3D case) over an image. The feature maps are passed through a non-linearity of an activation function, such as ReLU, Sigmoidal, or others, to determine the output of a neuron. The ReLU activation function is commonly used based on its lower susceptibility to saturation, leading to faster training and learning compared to other activation functions [16], [52], [56], [57]. The role of pooling is to

merge semantically similar features into a single segment and to achieve spatial invariance by reducing the resolution of the feature maps (downsampling). As images pass through a CNN architecture, blobs, edges, and other primitives are detected and combined locally to form motifs. These detected features are arranged to shape distinctive parts assembled to configure objects. The final (classification) layer, which usually consists of the *Softmax* transfer function, takes in the output of the previous (fully connected) layer and produces classification probabilities depending on the number of output classes.

#### **B. TRANSFER LEARNING**

Despite the notable popularity of machine learning, most of its models work on the principle that the training and the test data share the same feature space and distribution; therefore, any change to the feature space and the distribution of the training data affects the overall model prediction ability [58]. Similarly, training models from scratch requires a humungous amount of training data, which could be unavailable or prohibitively expensive to collect [59]. The transfer learning approach aims to solve this problem by transferring features learned from a 'source' to a 'target' domain [59], [60], [61]. Thus, the need to acquire extensive training data is addressed, and the model training time is reduced significantly [60].

# C. TRANSFER LEARNING NOTATIONS AND TERMINOLOGIES

To better understand the mathematical representation of different aspects of transfer learning, some basic notations and definitions are briefly explained here.

Definition 1 (Domain [58]): A domain D is denoted by

 $D = \{\chi, P(X)\}$  is defined by two components:

- i. A feature space: **χ**;
- ii. Marginal probability distribution P(X), such that  $X = \{x_1, \ldots, x_n\} \in \chi$ .

*Definition 2 (Task [58]):* A Task is given by  $T = {\mathbf{Y}, \mathbf{f}(\cdot)}$  comprises of two parts:

- i. A label space  $Y = \{y_1, ..., y_m\};$
- ii. An objective predictive function  $f(\cdot)$  that has not been observed or learned.

A corresponding label  $f(x_i)$  of an unlearned instance,  $x_i$ , can be predicted using the function  $f(\cdot)$ . The function  $f(x_i)$  can also be presented as  $P(y_i|x_i)$  when considered from a probabilistic angle [58], [60].

Definition 3 (Transfer Learning [60]): Formally defined, transfer learning is such that given a source domain  $D_s$ , a learning task  $T_s$  and a target domain  $D_t$ , its goal is to make some improvement on the learning of a predictive function  $f(\cdot)$  in the target domain  $D_t$ , by leveraging the knowledge acquired from  $D_s$  and  $T_s$ , where  $D_s \neq D_t$  and  $T_s \neq T_t$  [58].

#### **II. RELATED WORK**

The main idea behind remote sensing is to acquire vital information about objects or scenes within an area of interest. It is a means of acquiring local, regional and global earth observation information [62]. Thus, its application in many areas, including land-use and land cover classification (LULC) [63], [64], [65]. As one of the most crucial subfields of remote sensing, LULC is widely applied in understanding the socio-economic land usage, interpreting the physical land features on earth, monitoring natural and artificial changes to land, and predicting how these changes can affect the environment and the socio-economic life of its inhabitants [66], [67], [68]. Research in scene image classification and its potential applications has recently gained traction, paving the way for more innovations in processing and utilising HRRS images [1], [68]. Previously, scene classification techniques were primarily based on handcrafted feature extraction methods [1], [2]. Some of the traditional approaches are based on colour extraction [69], texture motifs extraction [70], texture descriptors [71], object structure [72], shape and other properties [73]. However, these low-level and middle-level image feature extraction techniques have many constraints that limit their applicability to remote sensing scene image classification. Therefore, to fill the gap created by the traditional feature extraction models' weaknesses [2], attention was shifted to deep learning (DL) models for their remarkable improvements in classification accuracy, faster convergence speed and computational efficiency. One of the advantages of their layered architecture is that it allows the extraction of discriminative information and compression of data without throwing away the essential features of an image [74], [75]. Among the DL approaches, the transfer learning models are more efficient than the traditional CNNs models. Architectures such as AlexNet [19], Xception [21], MobileNet [23], and ResNet [76] have been widely repurposed and used for tasks of scene classification. As mentioned above, one of the main motivations for using the transfer learning models is the lack of enough training data [60].

Notwithstanding, using the transfer learning models trained on ImageNet might not always yield the desired accuracy level on remote sensing images because of the differences in resolution, spatial features, and texture between satellite and natural images. Therefore, architectural improvements are always necessary in order to use a pre-trained model for scene classification [77]. More so, scene classification images have two properties that confuse deep learning classifiers: inner-class variability and outer-class similarity [2]. As shown in Fig. 1, the classes exhibiting these peculiarities share some textural or spatial features. Since multiclass classification requires each category to be assigned a label and reorganising the categories with high-level similarity into clusters could not be carried out subjectively, we proposed using image similarity techniques to recombine the images into superclasses based on the colour spatial and textural similarities to establish whether a logical clustering of the basic classes of scene classification datasets into superclasses can reduce training time, improve classification accuracy and reduce computational complexity.

#### **III. IMAGE SIMILARITY METRICS**

#### A. IMAGE HISTOGRAM SIMILARITY METRICS

As the field of image processing evolves, the application of image similarity and recognition also continues to develop [78]. One of the most effective ways of assessing image similarities is through image histogram comparison [79]. A histogram  $H_x$  of a digital image x is a graphical representation of the frequency of occurrence of each grey level in the image x [75], or  $H_y$  can be easily represented as a one-dimensional vector with domain  $\{0, ..., K-1\}$ , where K is the maximum pixel value of 256. The Distance between the image histograms is valuable for feature extraction, contentbased image retrieval (*CBIR*), image pattern recognition, and feature clustering [74].

#### 1) Bhattacharyya

is a bin-to-bin metric of histogram similarity, focusing on the probability distributions between two histograms. The image similarity value of this metric ranges from 0 to 1, where 0 indicates an accurate matching and 1 shows otherwise (the lower the value, the higher the similarity between the images) [79], [80].

$$D_H = \sqrt{1 - \frac{1}{\sqrt{\bar{H}_1 \bar{H}_2 N^2}} \sum_{I} \sqrt{H_1(I) \cdot H_2(I)}} \qquad (1)$$

where *H* represents a histogram with *N* bins and *I* is the colour intensity.

# B. IMAGE TEXTURE SIMILARITY

In machine vision and image processing, a texture is defined as a function of an image's local spatial patterns and grey-level pixel intensities [82], [83]. It also refers to the shape of the contents of an image [84]. There are several texture similarity analysis metrics; however, local binary pattern (*LBP*) has been selected for this research due to its efficiency and superior performance.

#### 1) LOCAL BINARY PATTERN (LBP)

According to Huang et al. [85], the primary aim of the LBP operator is to give an efficient summary of the structure of an image. Texture analysis is used in machine vision for various applications such as pattern recognition, object detection, medical image analysis, etc. [83]. Image texture analysis techniques have been classified into four categories: modelbased, structural, transformed-based, and statistical, in which the *LBP* falls [83]. The local binary pattern technique was initially developed for texture description and is widely used for its efficiency and low execution time [86]. The classic version of LBP generates a label for each pixel in an image by thresholding the  $3 \times 3$  pixel neighbourhood and converting the result to a binary value [84], [86]. The set of binary values produced by each operation on an image patch is used as a local patch descriptor, and the combination of these descriptors gives the overall texture description of the image [87]. By converting the LBP binary codes to a decimal number, a feature vector that represents the textural information of an image is generated [88]. Subsequently, histograms created

$$LBP(x_c, y_c) = \sum_{n=0}^{n-1} 2^n s(i_n - i_c)$$
(2)





FIGURE 2. Images of *Buildings* superclass of *AID* and corresponding *Euclidean* and *Earth Mover's* distance values, respectively.

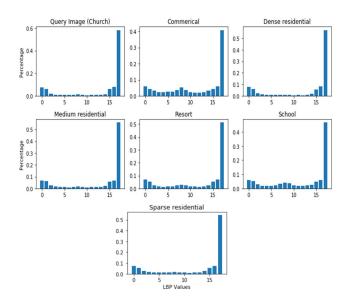


FIGURE 3. Local Binary Pattern (LBP) histograms for the 7 images of Buildings superclass of AID (from Fig.2).

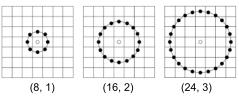


FIGURE 4. Multilevel *LBP* Operator showing different sampling pixels and radius values [84].

In equation (2),  $i_c$  is the grey level intensity of the central pixel per patch and the grey level value at position *n*. The result of  $s(i_n \cdot i_c)$  is 1 if  $(i_n \cdot i_c) \ge 0$  and 0 otherwise [85]. The main limitation of the classic *LBP* operator is the small neighbourhood considered (only  $3 \times 3$ ), which could not cover the most prevalent features in an image [87]. To address this limitation, further improvement on *LBP* makes it possible to accommodate textures at different levels by using a neighbourhood of varying sizes [85], [87]. *LBP* has been applied with CNNs for remote sensing scene classification to improve image classification based on texture [90]. However, the core idea of this work, which is using texture to group classes into superclasses, has yet to be exploited.

In the improved version of *LBP*, a neighbourhood is defined by the number of sampling pixels. Depending on the size of the sampling pixels, a circle of evenly spaced points is formed around the central pixel, which is used to threshold the surrounding pixels [88]. Ojala et al. [82] found out that *LBP* patterns representing the key distinguishing features of images, such as edges, curves, line-ends, etc., occur more frequently than other patterns. Based on this observation, uniform patterns were adopted to reduce the feature vector's length and improve the method's statistical robustness [88], [91]. Fig. 4 shows an example of different radius values and sampling pixels of an *LBP* operation using 8 sampling pixels and 1 radius, 16, 2 and 24, 3 values, respectively.

The *Euclidean Distance (ED)* is an effective metric widely used for image retrieval due to its efficiency. The *ED* between two vectors is obtained by taking the square root of the sum of the squared absolute difference between the vectors. Because the *LPB* codes are in a one-dimensional vector, the Euclidean distance becomes the metric of choice since it works very well with low-dimensional data [92].

$$E_D = \sqrt{\sum_{i=1}^{N} (H_1 - H_2)^2}$$
(3)

The *Earth Mover's Distance* (EMD) measures the distance between two probability distributions, A and B, and it is estimated as the most negligible cost of converting one distribution into another [80], [93].

$$EMD = \frac{\sum_{i,j}^{N} d_{i,j} g_{i,j}}{\sum_{i,j}^{N} g_{i,j}}$$
(4)

Here,  $d_{i,j}$  represents the dissimilarity between bin *i* and *j*, and  $g_{i,j} \ge 0$  is the optimum flow between the two distributions such that the total cost  $\sum_{i,j}^{N} d_{i,j}g_{i,j}$  is minimalised [93].

# **IV. METHODOLOGY**

# A. PROBLEM STATEMENT

Several attempts have been made to design deep learning techniques to address the issue of misclassifications due to inner-class variability and outer-class similarity by combining the local and global features of images and by improving the quality of the extracted features using content enhancement modules in some cases [2]. Even so, misclassifications remain high, as mentioned by Li et al. [1]. To address the challenge of misclassifications by deep learning classifiers, we propose a technique for combining the categories of some selected land-use and land cover classification datasets into superclasses based on colour, spatial, and textural similarities. This approach reduces the need for a highly sophisticated model. It introduces an alternative to the traditional scene classification approach that has not been explored, with the potential of a wide range of applications in areas of earth observation such as agriculture, disaster management, change detection, town planning, defence, and other fields of earth observation.

# B. INNER-CLASS VARIABILITY AND OUTER-CLASS SIMILARITY ASSESSMENT TECHNIQUES

For each class in a dataset, depending on the number of images per class, the *LBP* code of each image is extracted and converted to a histogram. A distance metric, *Euclidean Distance* or *Earth Mover's Distance*, is used to compare the *LBP* code histogram of each image with another. The similarity score is stored in a vector. Each class's minimum, maximum, mean, standard deviation and other statistical parameters are computed. Classes with similar spatial structure or structural functionality are grouped to form superclasses using the statistical measure as the criterion.

- ∀y∈C: compute the colour histograms of each image and save the result in the vector *Q*;
- 2.  $\forall C' \in Q$ : normalise the histograms;
- 3.  $\forall q \in \hat{Q}$ : compute the *Bhattacharyya*(1) histogram similarity value,  $D_H$ , from  $q_i$  to  $q_{i+1 \ to \ n-1}$  and save the result to another vector  $\vec{F}$ ;
- Using F, compute the min, max, mean and standard deviation of all the values in F. where:

y,  $C, C', \vec{Q}, \vec{F}$  and q are the images in a superclass, the superclass label, image histograms, the first vector, the second vector, and the histogram similarity values, respectively.

The classes are grouped by comparing markers on their respective box plots. The same steps are repeated for image texture similarity assessment using the *local binary pattern* and structural similarity index (*SSIM*). The images are grouped into superclasses depending on their levels of similarity according to the below steps.

- 1.  $\forall y \in C$  : compute the *LBP* using equation (3) and save the result to the vector  $\vec{Q}$ ;
- 2.  $\forall C' \in \vec{Q}$  : convert the *LBP* into histograms;

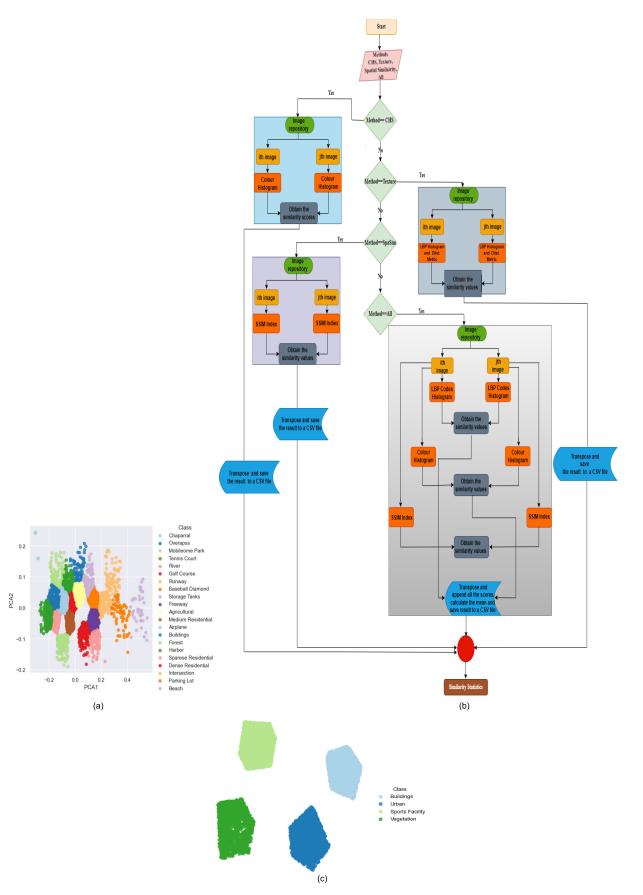
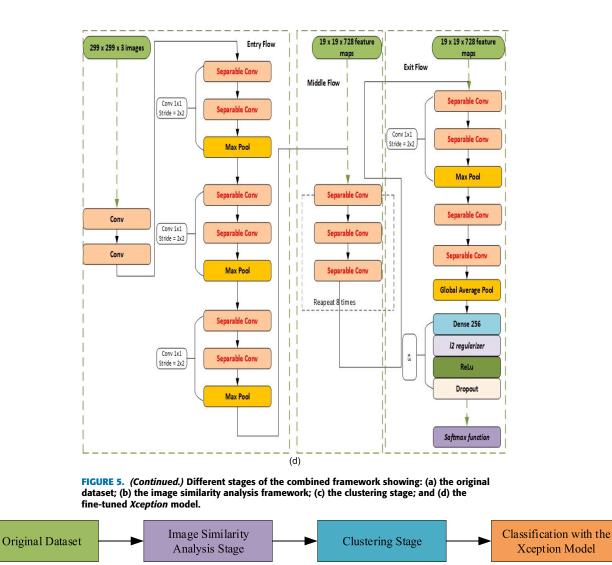
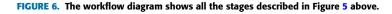


FIGURE 5. Different stages of the combined framework showing: (a) the original dataset; (b) the image similarity analysis framework; (c) the clustering stage; and (d) the fine-tuned *Xception* model.





- ∀q ∈ Q: compute the histogram similarity, d using the *Euclidean* Distance, E<sub>D</sub> (5) or *Earth Mover*' s Distance, *EMD* (6) from x<sub>i</sub> to x<sub>i+1 to n-1</sub> and save the result to vector F;
- 4. Compute the *min*, *max*, the *mean* and *standard deviation* of all the values in  $\vec{F}$ .

# Where:

y, C, C', Q, F, and q, are the images in a superclass, the superclass label, *LBP* codes, the first vector, the second vector, and the histogram values, respectively.

As shown in Fig. 5 and 6 above, the workflow has three data processing stages. First, the image similarity analysis framework takes input and compares them depending on the user's choice. There are four approaches for comparing the images in the framework: a comparison based on colour, texture, structural similarity, and a combination of these three methods. For the colour similarity analysis, we used the *Bhattacharyya* metric for comparing the images, considering its

efficiency in measuring the similarity between a pair of statistical distributions. Each image is read, and the corresponding histogram is generated and saved in a vector. Subsequently, the histograms are compared one after the other, and the result is transposed from a row to a column vector and into a comma-separated values (*CSV*) file after each iteration.

TABLE 1. Merged	classes in each o	of the 4 Super	classes and the
corresponding nu	mber of samples	in the UCM da	ataset.

	Class Members	#Samples
Buildings	Buildings, Dense residential, Medium residential, Mobile home	400
	park, Sparse residential	
Sport	Baseball diamond, Golf course,	400
	Tennis court	
Urban	Airplane, Freeway, Intersection, Harbour, Overpass, Parking lot,	800
	Runway, Storage tank	
Vegetation	Agriculture, Beach, Chaparral, Forest, River	500

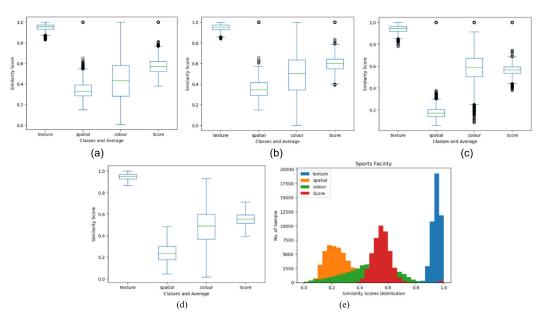


FIGURE 7. Box plots and similarity scores distribution for the sports facility superclass: (a) Baseball diamond; (b) Golf course; (c) Tennis court; and (d) & (e) Sports facility.

Superclass	Class Members	#Samples
Buildings	Church, Commercial_area,	360
	Dense residential,	
	Industrial area, Medium	
	residential,	
	Mobile home park	
Desert	Beach, Chaparral, Desert	180
Road	Bridge, Freeway, Intersection,	420
	Overpass, Railway,	
	Roundabout, Runway	
Sportsfacility	Baseball diamond,	240
	Basket ball court,	
	Golf course,	
	Ground track field,	
Urban	Airplane, Airport, Harbor,	240
	Parking lot	
Vegetation	Circularfarmland, Forest,	300
0	Meadow, Mountain,	
	Rectangularfarmland	
Waterbody	Lake, Island	120

**TABLE 2.** Merged classes in each of the 7 Superclasses and the corresponding number of samples in the *OPTIMAL-31* dataset.

The mean, the standard deviation, the variance, and other relevant statistical measures are computed and saved on a separate file. Further additional similarity analysis approaches on texture and structural similarity follow the same procedure, using a combination of the Euclidean distance, local binary pattern (*LBP*) and structural similarity index (*SSIM*). However, for the texture similarity analysis, luminosity is first added to the images to sharpen them and improve the quality of their features. The *LBP* codes for each image are then extracted and converted into histograms before applying a distance metric to determine the similarity level between each pair.

 TABLE 3. Merged classes in each of the 7 Superclasses and the corresponding number of samples in the AID dataset.

Superclass Class Members		#Samples	
Buildings	Church, Commercial, Dense residential, Medium residential, Resort, School, Sparse residential	2180	
Desert	Bareland, Beach, Desert	1010	
Road	Bridge, Railway, Viaduct	1040	
Sportsfacility	Baseballdiamon, Park, Playground, Stadium	1230	
Urban	Airport, Center, Industrial, Parking, Port, Square,	2470	
Vegetation	Storagetanks Farmland, Forest, Meadow, Mountain, River	1650	
Waterbody	Pond	420	

Where all three features, colour, texture and structural similarity, are of interest, as in the case of this work, two images are uploaded and processed simultaneously, and the result of each similarity approach is saved into a row vector before being transposed into a column vector. The average of the three scores is then generated as the basis for comparing the images. From the average score and the scores for the other similarity comparisons, box and scatter plots of each result are plotted to help establish the degree of similarity between classes before combining them into clusters. Upon careful observation of the statistical markers on the plots, classes with values within specific ranges are grouped to form superclasses. The similarity result for each feature per class is appended to a new *CSV* file from which superclass box plots

are generated to compare the statistical correlation between each class and its superclass. The generated superclasses, each consisting of a range of subclasses, are then clustered further to establish the degree of inner-class similarity among them. In the final phase of the framework, the clustered classes are fed into the classification module.

#### **V. EXPERIMENTS**

# A. XCEPTION MODEL AS THE BACKBONE FOR TRANSFER LEARNING

The idea of the *Xception* model [21] stemmed from the need to develop a deep separable convolutional neural network as an alternative to the regular CNNs models and the depth-wise separable convolution. The *Xception* model can be viewed as the culmination of improvements of some of the most predominantly used transfer learning models, such as *Inception* V1-4 [22], [94], [95], [96], and *VGGNet* [20]. Despite having the same parameters as *the Inception* V3, this model has proven more reliable for image classification due to its efficient use of parameters [21]. The choice of the model for this research is informed by its simple yet efficient structure and effective use of the model training parameters.

#### **B. DATASETS**

The UC Merced dataset (UCM) [97] is an HRRS image scene dataset of 21 classes containing 2100 images. Each category contains 100 RGB images with a size of  $256 \times 256$  pixels and a uniform spatial resolution of 0.3 meters. Classifying images in the UCM dataset is challenging due to the high inner-class variability and outer-class similarity among the images in some categories. For example, the images in class *River* and those in class *Forest* have a high degree of similarity, which could cause misclassifications by the deep learning classifiers. The superclasses generated from the categories in this dataset are given in Table 1.

**OPTIMAL-31** [98] is a scene classification dataset of 31 classes. Each category in this dataset contains the same number of images, 60, of size  $256 \times 256$  pixels, totalling 1860 images from the categories given in Table 2. Like the *AID* dataset, images in **OPTIMAL-31** were also sourced from Google Earth Images.

The Aerial Image Dataset (AID) [99] is one of the most extensive scene classification datasets. It contains 30 classes of between 200 and 420 images per category (Table 3). The images in AID are of a uniform dimension of  $600 \times 600$  pixels and spatial resolution ranging from 8 to 0.5 meters. There are 10,000 images from the categories given in Table 3. Unlike the UCM dataset, AID is a multi-source dataset gathered from Google Earth Images and other sources and, thus, more challenging to work on than UCM images.

*NWPU-RESISC45* is a single-label dataset of 45 classes comprising 31,500 images of different observation scenes. The dataset was developed by the Northwestern Polytechnic University [15]. Each of the 45 dataset classes consists of 700 images of size  $256 \times 256$  in RGB format and a spatial

resolution ranging from 30 to 0.2m. All the images were acquired through Google Earth imagery across more than 100 countries and regions [77].

# C. TRAINING STRATEGY

#### 1) DATA AUGMENTATION

Considering the amount of data needed to train a deep learning model from scratch, which is one of the primary reasons for opting for the transfer learning approach, we decided to incorporate some data augmentation techniques to boost the size of the datasets. Eight more copies of each training image were generated by applying *vertical flip, horizontal flip, rotation, width shift, height shift, zooming, and shear* operations, generating 11,760 more training samples for the *UCM* and 56,000 for the *AID* and 10,416 for *OPTIMAL-31*.

#### 2) TRAINING PARAMETERS

To fine-tune the Xception model for our task, the top layers of the model were cut off, leaving only the bottom layers from the input layer to the last convolutional layers of the model. This base model is used as the backbone for feature extraction; six more layers, besides the classification layer, were stacked atop the backbone. The first layer added is the GlobalAveragePooling layer, which takes the average of each feature map, followed by a *dense layer* with 256 filters, an l2 regulariser with a 0.001 regularisation value and a ReLU activation function. In addition to the l2, to make the model more efficient and reduce the risk of overfitting, we added a dropout layer with a 0.25 dropout value. The next block contains the same layers, filters, and l2 regulariser as in the previous layer. In the last layer (before the classification layer), the number of filters was increased to 512 to extract more discriminative features. The dropout value was also doubled to mitigate the possibility of overfitting. The Adam optimiser with a fixed learning rate of 10e-6 and a decay value of 10e-6 was used for the model training parameters. Since this is a multiclass classification task, the categorical cross-entropy loss function was employed to update the model's weights. We used the softmax function for the eventual classification of the images. For a class  $s_i$ , the *Softmax* function is calculated using the expression:

$$f(s)_i = \frac{e^{s_i}}{\sum_j^C e^{s_j}}$$

where  $s_j$  is the vector of the scores predicted by the model for each class in *C*. The cost function for the model, using *categorical cross-entropy* loss, is computed as:

Cost function = 
$$-\sum_{i}^{C} t_{i} \log (f(s)_{i}) + \frac{\lambda}{2} * \Sigma ||\mathbf{w}||^{2}$$
,

where *C* is the number of classes,  $t_i$  is the index of the target ground truth in vector  $t_i f(s)_i$  is the inferred value for the class  $s_i$ ,  $\lambda$  is the regularisation rate, and *w* is the model's weights.

*Earlystopping* was used to terminate the training if the metric of interest (validation loss) did not improve for 100 epochs. To split the data into test, train and validation

#### **TABLE 4.** Comparison between our approach and some state-of-the-arts on the UCM dataset.

Superclass	OA (%)
VGG9-Net+SVM [32]	94.70
CNN-FT-Full [100]	96.67
DCV [101]	90.50±1.20
DFB-LULC [102]	92.7±0.80
CNN-DA [52]	82.29
VGG-DV-16 [99]	95.20
GoogleNet [99]	94.30
CNN+FV [14]	98.60
AlexNet_MSCP [103]	97.29±0.63
VGG_D16+MSCP [103]	98.36±0.58
InceptionV3 [104]	91.00
VGGNet(VGG19) [104]	94.30
VGG19+Kmeans[32]	94.97
CNN+Genetic Algo. [105]	94.43±0.71
Xception-4Scs	99.05
Xception-10Scs	98.64
Xception-21 Classes	96.90

 
 TABLE 5. Comparison between our approach and some state-of-the-arts on the OPTIMAL-31 dataset.

Superclass	OA (%)
ArchNetVGG16 [98]	87.45±0.45
Fine-tuned AlexNet [98]	82.57±0.12
Fine-tuned GoogleNet [98]	87.15±0.45
Fine-tuned VGG16 [98]	92.70±0.35
VGG-DV-16 [1]	89.12±0.35
KFBNet(VGGNet16) [1]	95.12±0.13
KFBNet(DenseNet121) [1]	95.60±0.63
GBNet+global feature [106]	93.28±0.27
CNN+DM Arch [107]	96.24±1.10
EfficientNet-B3 [108]	92.33
Xception-78cs	97.31
Xception-17Scs	97.04
Xception-30 Classes	92.20

TABLE 6. Comparison between our approach and some state-of-the-arts on the AID dataset.

Superclass	OA (%)	
InceptionV3 [104]	94.10	
VGGNet (VGG19) [104]	88.90	
AID Benchmark (VGG) [102]	89.64±0.36	
CNN+Genetic Algo. [105]	91.20±0.49	
Search for CNN Arch [77]	96.10±0.18	
CNN+LBP [90]	95.73±0.16	
ArchNet+VGG16 [98]	93.10±0.55	
VGG19+Kmeans [32]	95.29	
GBNet+global feature [106]	95.45±0.21	
Xception-7Scs	97.25	
Xception-17Scs	97.90	
Xception-30 Classes	95.75	

sets, we set aside 80% of the dataset for training and 20% for testing. Out of the training set, 15% was used for validation. Overall, the model is trained for 350 epochs. All experiments were run on *Google Collaboratory* with a high accelerator set as *GPU* and runtime shape as *High-RAM*. The models are modified and fine-tuned using *TensorFlow* [113] and *Keras* environment [114].

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# **TABLE 7.** Comparison between our approach and some state-of-the-arts on the *NWPU-RESISC45* dataset.

Superclass	OA (%)
MLFFN [109]	92.45±0.20
VGGNet-16 [15]	90.36±0.36
MLFCNetXt50 [110]	$94.76 {\pm} 0.08$
MLFCNet50 [110]	94.32±0.04
MLFC-VGG-1650 [110]	93.02±0.09
MILRDA [111]	92.87±0.26
SLGE CNN [77]	96.56±0.13
MRHNet-50 [112]	96.33
MRHNet-101 [112]	96.38
Xception-10Scs	98.00
Xception-18Scs	97.20
Xception-45 Classes	95.62

#### TABLE 8. Ablation experiments conducted on the UCM dataset.

Model	Accuracy(%)
Baseline	70.25
<i>Xception</i> + 1 block	95.71
<i>Xception</i> + 2 blocks	98.00

TABLE 9. Ablation experiments conducted on the OPTIMAL-31 dataset.

Model	Accuracy(%)
Baseline	70.25
Xception + 1 block	85.71
<i>Xception</i> + 2 blocks	88.00

TABLE 10. Ablation experiments conducted on the AID dataset.

Model	Accuracy(%)
Baseline	72.20
Xception + 1 block	89.30
Xception + 2 blocks	90.59

 TABLE 11. Ablation experiments conducted on the NWPU-RESISC45

 dataset.

Model	Accuracy(%)
Baseline	73.99
<i>Xception</i> + 1 block	85.71
Xception + 2 blocks	88.00

#### **D. ABLATION EXPERIMENT**

To demonstrate the effectiveness of the proposed approach, we carried out ablation experiments on the four datasets. The experiments were performed to analyse the impact of the added blocks on the proposed model. The entire experiments can be divided into two parts.

1) Verify the effect of removing the added blocks on the performance of the proposed model. This was achieved by removing all the added blocks and retaining only the Xception feature extraction module and the classification layer. The removal of the added blocks shows that the feature extraction part of the proposed model cannot, on its own, achieve the desired result.

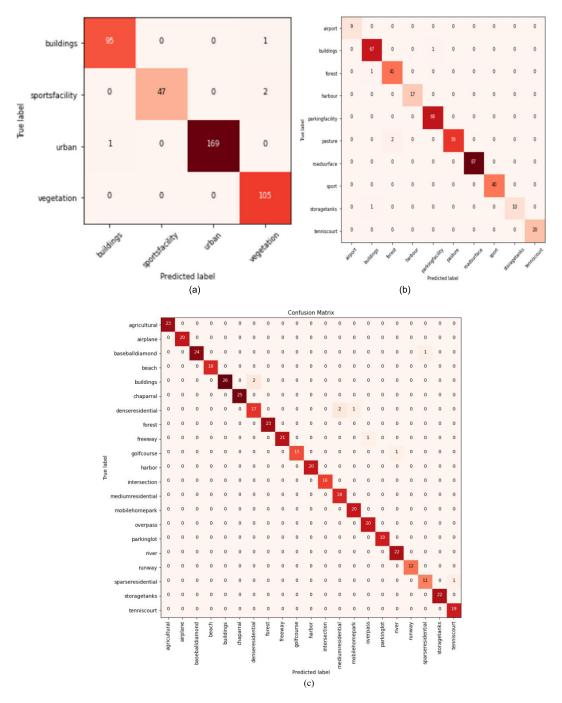


FIGURE 8. Confusion matrices for (a) 4 superclasses; (b) 10 superclasses; and (c) 21 classes of the UCM dataset.

2) Three blocks have been added to the baseline model to form the proposed model. Each block consists of a dense layer, an *l2 regularisation*, a *ReLU* and a dropout layer. In the second part of the ablation experiment, one block was removed, leaving the baseline model and two other blocks. Finally, two blocks were removed to assess the effectiveness of a single block of four layers on the proposed model.

#### E. EVALUATION METRICS

To evaluate the performance of the modified architecture, we employ the following metrics: overall accuracy, balanced accuracy, confusion matrix, F1 Score, Kappa Score, precision, recall, and ROC Curve. We considered balanced accuracy (BA) mainly because of randomness in how the test, train and validation sets are split.

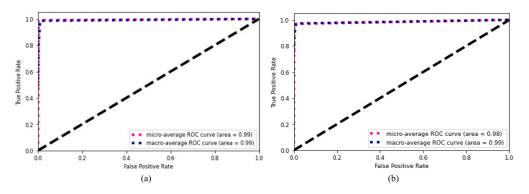


FIGURE 9. ROC Curves for the (a) 4 superclasses and (b) 21 classes of the UCM dataset.

# 1) OVERALL ACCURACY (OA)

The overall accuracy is obtained by dividing the total number of correctly predicted test samples by the total number of samples in each category [115].

$$Accuracy = \frac{TP + TN}{TP + TN + FP + FN}$$

# 2) BALANCED ACCURACY (BA)

the average of the specificity and sensitivity. It gives information on the probability of classifying a class correctly. The critical difference between the BA and OA is how accuracy for balanced and imbalanced data is computed. If the data is balanced, the two converge and vice versa [115].

Balanced Accuracy = 
$$\frac{\frac{TP}{TP+FN} + \frac{TN}{TN+FP}}{2}$$

#### 3) CONFUSION MATRIX

This visual performance evaluation metric shows how well a model classifies the categories in each dataset class. It is easy to interpret and shows the exact number of accurate classifications and misclassifications per class in a row/column [115].

### 4) F1 SCORE

It uses the confusion metric to aggregate precision and recall through harmonic mean. F1-Score can be viewed as the weighted average between precision and recall and returns a value of 1 for an ideal model and 0 for the worst performance [115].

$$F1 - Score = 2 \times \left(\frac{precision \times recall}{precision + recall}\right)$$

#### 5) KAPPA SCORE

Cohen's Kappa score measures the agreement between the predicted and the actual labels in a classification task. In a multiclass classification, Cohen's Kappa works on the same principle as Matthews Correlation Coefficient [115].

$$Kappa = \frac{c \times s - \Sigma_k^K p_k \times t_k}{s^2 - \Sigma_k^K p_k \times t_k}$$

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# 6) PRECISION AND RECALL

Precision is obtained by dividing the number of True Positives (TP) by all positively classified labels (TP and FP). The Recall (sensitivity or TPR) measures the proportion of actual positives categorised correctly by the model. The values of other metrics, such as accuracy, balanced accuracy and F1-Score, are obtained from these essential metrics [115].

$$Precision = \frac{TP}{TP + FP} \quad Recall = \frac{TP}{TP + FN}$$

# 7) ROC CURVE

The *Receiver Operating Characteristic (ROC)* is a probabilistic curve that illustrates the performance of a classification model at all classification thresholds (TPR vs. FPR at different classification thresholds). The *ROC* curve gives a summary of a classifier's performance. To visualise a model's performance, the area under the *ROC* curve (*AUC*) shows the degree of accuracy with which the model can separate among classes in a given task. In addition, the *AUC* is used to compare the performances of different classification models [116].

#### F. EXPERIMENTAL RESULTS

In addition to the model's overall accuracy, we extracted a few more performance evaluation metrics, such as balanced accuracy, to address the imbalance in the datasets (UCM, AID, OPTIMAL-31, and NWPU-RESISC45). Tables 4, 5 and 6 show our approach's comparisons with the state-of-art OA models. The precision, recall, F1-Score, and Kappa scores have all been calculated (see Tables 12, 13, 14, and 15). Table 16 gives the AUC for the three experiments under each dataset. The UCM has AUC values of 0.9913, 0.9904, and 0.9852 for the four superclasses, 10 superclasses and 21 classes, respectively. AID has AUC values of 0.9841, 0.9827, and 0.9767 for the 7 superclasses, 17 superclasses, and 30 categories, respectively. OPTIMAL-31 has 0.9846, 0.9821, and 0.9591, respectively. It is noteworthy that some of these state-of-the-art have different test-train ratios. For the UCM dataset (Table 4), the comparison shows that our approach of combining the classes into superclasses has led to desirable results, outperforming

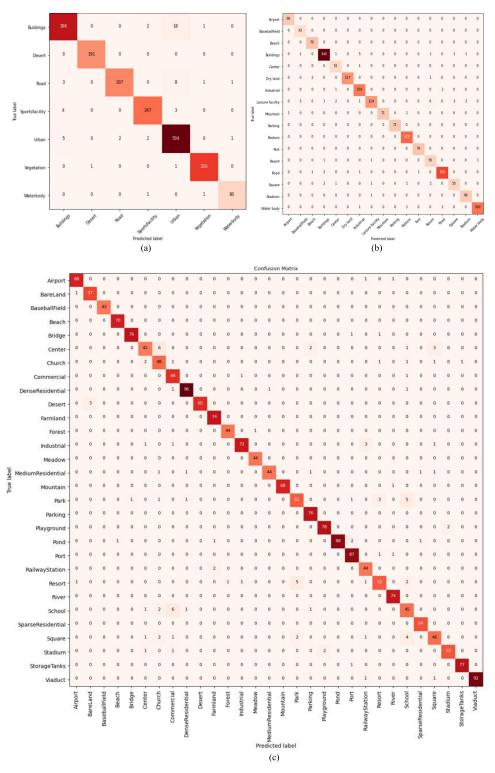


FIGURE 10. Confusion matrices for (a) 7 superclasses; (b) 17 superclasses; and (c) 30 classes of the AID dataset.

most of the state-of-the-art methods. The model performance improvement is due to the careful clustering of the classes into superclasses based on the similarity scores produced by the proposed image similarity analysis framework. Some state-of-the-art shown in the table contain feature fusion strategies that involve fusing the local and global image features to enhance models' classification ability. At the same time, our approach is simple yet highly efficient. The

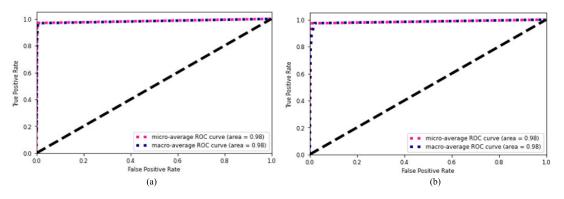


FIGURE 11. ROC Curves for the: (a) 7 superclasses; (b) 30 classes of dataset AID dataset.

architecture of our approach includes only the backbone network (the *Xception* model) and a few additional layers incorporated to stabilise and enable the model to extract more features and mitigate the risk of overfitting.

As can be observed from Table 7, the model's performance decreases as the number of classes expands towards the original number of the dataset's classes. This reduction in the model accuracy is due to the confusion induced by inner-class variability and outer-class similarity. Even in the 21 classes of the dataset, the model performance is quite competitive compared to the more sophisticated approaches used in some state-of-the-art. Our technique gives the best result and is arguably the most efficient for the purely fine-tuned models shown in Table 4. The trend of model performance deterioration is also apparent in Table 8, where the AUC for the 4 superclasses is 99.13% against 98.52% for the 21 classes of the dataset. The same performance pattern can be seen in Fig. 9(a) and (b), where the *ROC* curves values for the 4 superclasses and the 21 dataset classes differ by 1%. It is essential to mention that for most of the monitored performance metrics in the case of this dataset, the variations between the model's performance on the 4 superclasses and the 10 superclasses are negligible. This can be attributed to the size of the dataset and the close similarity in how the superclasses in the two experiments were formed.

The number of misclassifications due to similarities and variabilities between and among the classes of the dataset rises with the expansion of the classes, as shown by the confusion matrices in Fig. 8(a), 8(b) and 8(c). The number jumped from just 4 for the 4 superclasses to 9 for the 21 classes. The issue of misclassification due to inner-class variability and outer-class similarity can be reduced by carefully and logically clustering the overlapping classes of *HRSS* images into superclasses. This will result in more classification accuracy and increased efficiency.

For the *AID*, the performance comparison of our approach with the state-of-the-art models is given in Table 6. Compared to the state-of-the-art, our method gives the best result in the 7 and the 17 superclasses. It also shows competitive performance in the result for classifying the 30 classes of

the dataset. Similarly, from Table 8, the performance of our model for each of the three experiments on AID can be observed. For all the metrics shown in the table, the proposed technique performs best on the 7 superclasses, followed by the 17 superclasses and the 30 classes of the dataset. An observable correlation among the metrics' values for all the datasets shows the model is stable. Like the pattern of performance shown in the three experiments with the UCM dataset, the model's performance also reduced as the number of classes moved towards the dataset's original size. In Table 10, the AUC also shows a similar trend of performance reduction with the increase in the number of classes. As the classes increase and more categories become independent, the tendency for the classifier to misclassify the images due to inner-class variability and outer-class similarity increases. Our approach has proven to be very effective for classifying images by grouping different images into superclasses based on the selected image properties. The confusion matrices, Fig. 10(a), (b) and (c), for the three experiments also corroborate the results in tables and figures. For the first experiment on the 7 superclasses, there are 55 misclassifications, with the Buildings and the Urban classes accounting for nearly 50% of these misclassifications. This is due to the intricate structural similarities between the superclass and the images of the class Centre in the Urban superclass. For the second experiment on the 17 superclasses, the model records 62 misclassifications, which is 7 more than in the previous experiment. The last experiment on the original classes of the dataset resulted in 105 misclassifications, which is almost 100% increase in misclassifications compared to the number in the first and the second experiments.

*OPTIMAL-31* is a small dataset compared to the *UCM* and the *AID* datasets, and research on this dataset is less than on the *UCM* dataset. This dataset differs from the other two datasets (UCM and AID) because it has more categories but fewer samples per class. The performance comparison of our model and the state-of-the-art on this dataset is given in Table 5. Compared to *KBFNet*, which contains three branches and an inference aggregation module, our approach performs better in the first experiment on the 7 superclasses and the

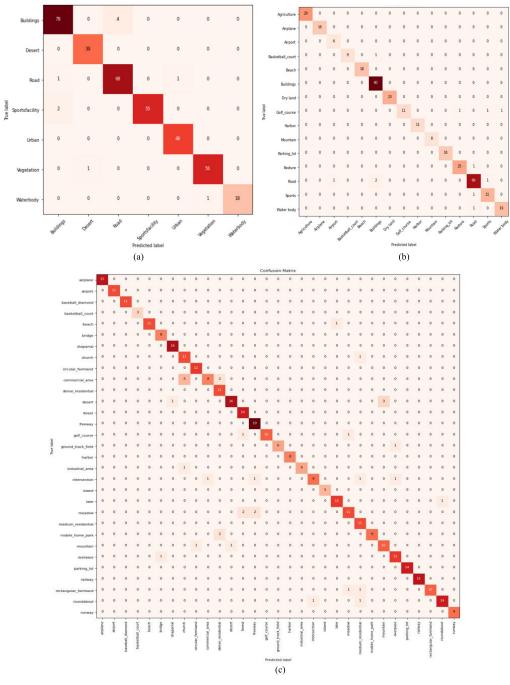


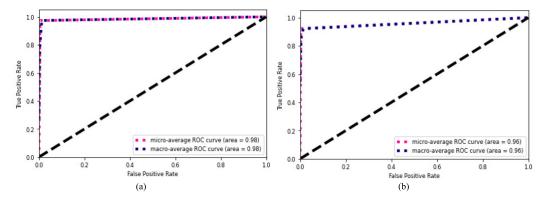
FIGURE 12. Confusion matrices for (a) 7 superclasses; (b) 15 superclasses; and (c) 31 classes of the OPTIMAL-31 dataset.

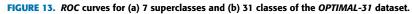
TABLE 12. Performance evaluation metrics values for the three experiments on the UCM dataset.

Experiment	OA	Precision	Recall	F1-Score	BA	Kappa
4 Superclasses	99.05	99.04	98.57	98.81	98.57	98.65
10 Superclasses	98.64	99.10	98.36	98.72	98.42	98.24
21 Classes	96.90	97.13	97.19	97.16	97.18	96.74

third experiment on the 31 classes. The same performance pattern is also demonstrated by our approach in terms of

overall accuracy, precision, recall, F1-Score, and Kappa, as can be observed in Table 9. For all the metrics, the





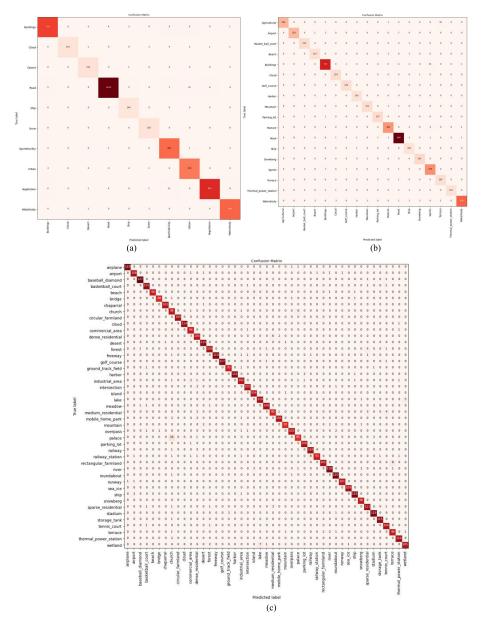


FIGURE 14. Confusion matrices for (a) 10 superclasses; (b) 18 superclasses; and (c) 45 classes of the NPUW-RESIC45 dataset.

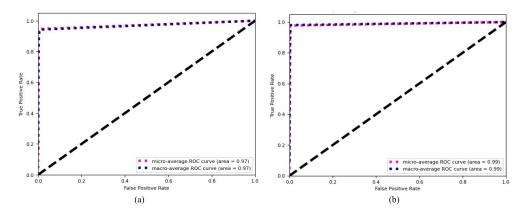


FIGURE 15. ROC curves for (a) 10 superclasses and (b) 45 classes of the NWPU-RESISC45 dataset.

TABLE 13. Performance evaluation metrics values for the three experiments On the AID dataset.

Experiment	OA	Precision	Recall	F1-Score	BA	Kappa
7 Superclasses	97.25	97.80	97.33	97.56	97.33	96.66
17 Superclasses	96.90	96.17	96.73	96.45	96.73	96.61
30 Classes	95.75	95.52	95.48	95.50	95.48	95.60

TABLE 14. Performance evaluation metrics values for the three experiments on the OPTIMAL-31 dataset.

Experiment	OA	Precision	Recall	F1-Score	BA	Kappa
7 Superclasses	97.31	97.78	97.39	97.58	97.39	96.80
15 Superclasses	97.04	97.35	96.64	97.00	96.64	96.65
31 Classes	92.20	92.46	92.08	92.27	92.08	91.93

TABLE 15. Performance evaluation metrics values for the three experiments on the NWPU-RESISC45 dataset.

Experiment	OA	Precision	Recall	F1-Score	BA	Kappa
10 Superclasses	98.00	97.00	97.83	97.31	98.03	97.30
18 Superclasses	97.20	96.80	97.55	97.17	97.60	96.90
45 Classes	95.62	95.62	95.60	95.62	95.60	95.51

TABLE 16. AUC values for the three datasets for each of the three experiment.

Dataset	AUC				
	4 Superclasses	10 Superclasses	21 classes		
UCM	0.9913	0.9904	0.9852		
AID	7 superclasses	17 superclasses	30 classes		
	0.9841	0.9827	0.9767		
OPTIMAL-31	7 superclasses	15 superclasses	31 classes		
	0.9846	0.9821	0.9591		
NWPU-RESIS45	10 superclasses	18 superclasses	45 classes		
	0.9888	0.9846	0.9808		

performance is affected by the expansion of the classes. Similarly, the *ROC* curves in Fig. 13(a) and 13(b) also show that the *AUC* decreases with the number of classes. This demonstrates that inner-class variability and outer-class similarity could degrade the model's performance by causing to confuse one class with another. The *AUC* values for *OPTIMAL-31* also decline with the expansion of the classes, as given in Table 10. The reduction in the model's performance across all the experiments demonstrates the effect of inner-class variability and outer-class similarity on the deep-learning classifiers. Consequently, where, for example, the goal is to count the number of buildings instead of their type and detect vegetation regardless of its make, this approach could be more effective than the traditional method of independently classifying each class.

NWPU-RESISC45 is one of the most extensive datasets of scene classification images. It has more categories and images compared to the other datasets used in this experiment. The proposed approach shows the same performance pattern for the superclasses and the 45 classes as in the other three datasets. The model classified the 10 superclasses with higher accuracy compared to the 18 superclasses and the 45 classes. As shown in Fig. 14(a), (b) and (c), the composition of the superclasses reduces the number of misclassifications and improves the model's overall performance. For the 10 superclasses, 79 misclassifications have been recorded, while more than 120 misclassifications occurred after expanding the classes back to the dataset's original size. In terms of other metrics, Table 7 gives.

#### **VI. CONCLUSION**

In this article, we propose a framework for combining classes of remote sensing scene classification datasets into superclasses based on textural, spatial, and colour similarities to improve the model classification performance. For the textural and spatial similarity analysis, we used the Local Binary Pattern in combination with the Euclidean Distance, Earth Mover's Distance and Bhattacharyya for image histogram similarity assessment and the Structural Similarity Index (SSIM) for spatial similarity comparison. We also present a fine-tuned model based on the Xception model, an improved version of successive transfer learning techniques. The Xception has not received its fair share of attention from researchers despite its simple yet highly efficient architecture. We demonstrate that a systematic clustering based on some image properties, such as texture, colour, and other spatial properties, can potentially reduce the risk of misclassifications (due to inner-class variability or outer-class similarity) by deep learning classifiers. The experiment results showed that texture plays a critical role in determining the most intrinsic features of an image than colour and structural similarity. This approach can be considered a proof of concept for adoption for use in object detection, change detection, and scene classification, where objects of interest share some features. More so, it has the potential to be applied in urban mapping, settlement identification and risk analysis, particularly in areas where certain facilities should not be situated in proximity due to risk hazards. In the future, we plan to automate the image clustering process and include a decision module to merge classes into superclasses based on the similarity scores. For the fine-tuned model, we plan to add a few more branches that would take input from some of the model layers and pass it into a content enhancement module for more accurate classification.

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