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## THEORY

# A Simplified Electrical-Based Model for Electroporation Dynamics

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ABSTRACT Calculating pulsed electric field (PEF)-induced pore formation using the Smoluchowski equation (SME) can be computationally expensive, even when reduced to the asymptotic SME (ASME). These issues are exacerbated when incorporating additional physical phenomena, such as membrane temperature gradients or shock waves, or incorporating pore formation into multiscale models starting from an external stimulus at the organism level. This study presents a rapid method for calculating the membrane-level effects of PEFs by incorporating a semi-empirical equation for transmembrane potential (TMP)-dependent membrane conductivity into a single-shell model for calculating the TMP. The TMP calculated using this approach and the ASME agreed well for a range of electric field strengths for various PEF durations and AC frequencies below and above the threshold for pore formation. These results demonstrate the feasibility of rapidly predicting TMP, which is easily measured, during pore formation strictly from electrical properties and dynamics without needing to explicitly calculate pore dynamics, as required when using the SME and ASME.

**INDEX TERMS** Bioelectrics, electroporation, Laplace's equation, Smoluchowski equation, transmembrane potential.

#### I. INTRODUCTION

Pulsed electric fields (PEFs) have been used for various medical and biological applications [1], [2], [3] for decades after the initial demonstration of PEF-induced microorganism inactivation [4], [5], [6]. Some applications include food shelf-life extension [7], [8], [9], [10], [11], [12], [13], [14], [15], [16], [17], [18], cell permeabilization for gene therapy and chemotherapeutic drug delivery optimization [19], [20], [21], [22], [23], [24], [25], [26], [27], [28], ex-vivo platelet activation to enhance wound healing [29], [30], [31], synergistic combinations with other technologies for microorganism inactivation [6], [14], [32], [33], [34], cardiac ablation [35], [36], [37], [38], extraction of valuable

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intracellular materials (e.g., lipids from microalgae) [39], [40], [41], [42], [43], [44], [45], [46], [47], [48], [49], [50], [51], [52], [53], [54], [55], [56], and targeted cancer treatment through irreversible electroporation [57], [58], [59], [60], [61], [62], [63], [64], [65] or apoptosis induction [23], [67], [68]. PEFs can also block (or induce) action potentials across nerves [69]. While physical, chemical, and pharmacological approaches can achieve the same result [70], [71], electrical stimulation is attractive because it provides rapidness and reversibility. The ability to propagate or arrest action potentials with PEFs is useful for pain mitigation [72], [73] and nonlethal defense.

Electroporation, which occurs when the applied PEF sufficiently increases the transmembrane potential (TMP) to allow for pore formation [3], [74], [75], is crucial for these applications. Upon removing the electrical stimulus, the

resulting pores may either reseal (reversible electroporation), which may facilitate molecular or drug transport into the cells to modify cellular function, or continue to grow (irreversible electroporation), which leads to cell rupture and death, often through necrosis [75]. Generally, pulse durations shorter than the charging time of the membrane (typically hundreds of nanoseconds to 1  $\mu$ s) do not sufficiently charge the membrane to induce conventional electroporation [76], [77]. Instead, they require stronger applied electric fields and often generate a larger number of smaller pores [3], [78], [79], [80], [81], which may still be sufficiently large to allow ions into the cell for applications such as blocking action potentials across nerves [82] or permitting calcium transport for platelet activation [31]. Moreover, even applying trains of such nanosecond PEFs (nsPEFs) only increases the number of pores, but not the size [83]. While their duration may prohibit fully charging the cell membrane, such nanosecond PEFs (nsPEFs) can charge intracellular structures to induce changes in cellular function, such as calcium release or apoptosis [72].

To properly assess the PEF parameters necessary for these applications, robust theoretical models have been developed to assess pore dynamics [3], [74], [84]. The most common approach is the Smoluchowski equation (SME) [74], which gives the probability density function n(r, t) denoting the number of pores per unit area with radii between r and r + dr at an instantaneous time t [85]. While the SME elucidates the dynamics of pore number and size following PEFs, it requires solving a partial differential equation, given by [86]

$$\frac{\partial n}{\partial t} + D \frac{\partial}{\partial r} \left( -\frac{1}{kT} \frac{\partial \varphi}{\partial r} n - \frac{\partial n}{\partial r} \right) = S(r), \qquad (1)$$

where D is the diffusion constant of pores,  $\varphi(r)$  is the pore energy, k is the Boltzmann constant, T is the absolute temperature, and S(r) is the source term that represents the creation and destruction of pores [86]. Neu and Krassowska [86] outlined several challenges with solving this equation, including its reliance on many parameters that could not be measured directly, the difficulty in relating these constants to measured values, and computational challenges introduced by the exponential terms in the pore creation. They performed an asymptotic analysis to reduce the SME to an ordinary differential equation with fewer parameters and improved computational efficiency. This is especially valuable when trying to link pore formation to other physical phenomena. For instance, Joshi et al. [69] developed a self-consistent theory for action potential behavior in a neuron exposed to a PEF by incorporating the shunt conductance resulting from the pore dynamics determined using this asymptotic Smoluchowski equation (ASME) with the standard cable model for a neuron [87]. It also allows assessing electroporation dynamics for more realistic multiscale systems using commercial software (e.g., COMSOL Multiphysics) by reducing the computational expense that would be required by the full SME [88]. While reducing the PDE to an ODE makes the ASME much more computationally inexpensive than the SME, the ASME still requires tracking the pore number and pore size, which becomes prohibitive for the shorter pulse durations due to the large number of small pores generated [80], [81].

Increasingly, PEF-induced bioeffects require accounting for multiphysics and multiscale phenomena. For instance, Goldberg et al. coupled Poisson's equation, the Nernst-Planck equations for ion motion, membrane deformation, and the SME to assess membrane permeabilization [89]. Multiphysics modeling coupling the SME with the Nernst-Planck model have also examined bioeffect cancellation due to bipolar PEFs [90]. More realistic multiphysics models have included three-dimensional models using the ASME to probe electroporation in irregularly shaped cells [91], arrays of multiple cells [92], and cells that undergo PEFinduced deformation [93], [94]. The computational expense increases with the incorporation of additional physical phenomena. Moreover, the computational expense increases by incorporating phenomena across multiple length scales. One example is assessing skin electroporation by assessing the electric field effects and diffusion from length scales ranging from the skin to an individual membrane by using molecular dynamics [95]. Weinert et al. developed dynamic, multiphysics simulations of electroporation of various tissues in rabbits, although they did not assess the dynamics of electroporation at the pore level [96]. In addition to PEFs, RF and microwave radiation may also penetrate the body and induce multiphysics phenomena that may influence TMP and cellular function [97].

This study seeks to avoid this computational difficulty by developing a strictly electrical-based approach to modeling electroporation dynamics without directly calculating the pore dynamics. We accomplish this by combining a semi-empirical relationship between cell membrane conductivity and TMP [98] with a system of equations used to calculate TMP [99] to calculate TMP self-consistently during various electromagnetic waveforms (both PEFs and AC fields) without needing to directly calculate pore density. We achieve excellent agreement between this TMP-based approach and the ASME with minimal computational expense. This approach would allow for rapid coupling with other physical phenomena, such as membrane temperature gradients [100], or coupling with multiphysics software, such as Sim4Life or COMSOL Multiphysics [88], to assess multiscale effects from the organism to membrane level.

Section II presents the derivation of the simplified electrical-based electroporation model. We compare this simplified model to the ASME for various square PEFs, sinusoidal fields, and exponential fields in Section III. We discuss the differences in the models and remark on future analyses using the simple model in Section IV. Section V provides concluding remarks.

#### **II. MODEL DERIVATION**

In ASME models [86], the TMP is calculated explicitly at each timestep using a finite difference approach based

on the conservation of energy, current density, and electric flux where applicable. Throughout this study, we follow the implementation of the ASME from Talele et al. [101]. Instead of the full SME, the ASME solves

$$\frac{dN}{dt} = \psi e^{\left(\Delta \Phi_m / V_{ep}\right)^2} \left(1 - \frac{N}{N_{eq}}\right),\tag{2}$$

where  $\psi$  is the pore creation rate coefficient,  $\Delta \Phi_m$  is the TMP evaluated at time t,  $V_{ep}$  is the characteristic voltage of electroporation, and  $N_{eq}$  is the equilibrium pore density, which is a function of  $\Delta \Phi_m$  [101]. Using the TMP, (2) can be solved directly by linearization to provide the net change to the pore density from which individual pores are created. The radius  $r_q$  of a given pore is updated at each timestep by linearizing

$$\frac{dr_q}{dt} = -\frac{D}{kT}\frac{\partial w_m}{\partial t},\tag{3}$$

where D is the diffusion coefficient for pores and  $w_m$  is the lipid bilayer energy [101].

Pores can drastically change the electrical properties of the membrane by changing the amount of water in the membrane (which changes the membrane permittivity) and facilitating the passage of ions across the membrane (which alters the membrane conductivity). A useful measure of the overall degree of the relative poration of a membrane is the fractional pore area (FPA). For q pores, FPA is given by

$$\rho_p = \frac{\pi}{A_m} \sum_q r_q^2,\tag{4}$$

where  $A_m$  is the area of the membrane or a segment of the membrane if radial effects are considered. Equation (4) can be used to update  $\sigma_m$  at each timestep by considering the fractional pore area as a weighted average of the conductivities of the suspension  $\sigma_s$  and sealed plasma membrane  $\sigma_{pm}$  as

$$\sigma_m = \sigma_s \rho_p + \sigma_{pm} \left( 1 - \rho_p \right). \tag{5}$$

These models generally track and evolve pores on an individual basis. Thus, it is necessary to individually update and manage pore distributions containing approximately  $10^3$ - $10^8$  pores at each of the  $10^3$ - $10^4$  discrete time steps.

The management of pores in these models generally consumes the majority of model runtime, especially when many small pores are created, such as for nsPEFs with high electric field strengths. Several computational optimizations can be used, such as managing pores that form nearby temporally and spatially as groups [101], which can reduce the number of pore variables that need to be managed. However, the choice of the size of these groups is somewhat arbitrary, making it difficult select an optimal "group size" that effectively reduces the number of operations needed to maintain pores without significantly altering pore evolution dynamics. Additionally, pore management can be sped up by using process and/or instruction level parallelism, which can reduce the amount of time required to manage pores at the cost of additional model complexity. While both methods can dramatically speed up ASME models, neither can eliminate the computational load of managing pores individually.

While the ASME is more computationally efficient than the SME, which provides the most fidelity for pore dynamics, it is still computationally expensive for performing parametric analyses, particularly when pore densities increase dramatically, which occurs for PEFs with short durations and strong electric fields. Moreover, from a practical perspective, experimentalists often do not specifically measure pore dynamics directly, but instead use exclusion to dyes of various sizes to determine pore size distribution [102]. The most direct measurement that is often made is the TMP [83], [103]. While the TMP may be extracted from either the SME or ASME, particularly when it is obtained self-consistently by considering the membrane conductivity as a function of pore density [104], which is a function of the applied PEF and resulting induced TMP, this still requires directly calculating the pore density using either the ASME or SME.

TABLE 1. Parameters used in the mathematical models.

Variable	Value	
$\varepsilon_o$ [99]	$7.1 \times 10^{-10} \text{ A} \cdot \text{s} \cdot \text{V}^{-1} \text{m}^{-1}$	
$\varepsilon_i$ [99]	$7.1 \times 10^{-10} \text{ A} \cdot \text{s} \cdot \text{V}^{-1} \text{m}^{-1}$	
$\varepsilon_m$ [99]	$4.4 \times 10^{-11} \text{ A} \cdot \text{s} \cdot \text{V}^{-1} \text{m}^{-1}$	
$\lambda_o$ [98]	1.2 S/m	
$\lambda_i$ [98]	0.3 S/m	
$\lambda_{m0}$ [99]	$2.4 \times 10^{-7}$ S/m	
R [99]	$15 \times 10^{-6}$ m	
d [99]	$5 \times 10^{-9} \text{ m}$	
β	7	
$\lambda_p$ [98]	$\frac{\lambda_0 - \lambda_i}{\ln(\lambda_0 / \lambda_i)}$ S/m	
$K_1$ [98]	$5 \times 10^{-9} \lambda_p$	

Here, we derive a simple, computationally inexpensive electroporation model based strictly on electrical behavior. Rather than calculate pore dynamics directly, we incorporate a semi-empirical relationship between membrane conductivity and TMP [98] into an equation for the TMP based on solving Laplace's equations for a single-shell cell (i.e., a cell with no nucleus). From first principles, Kotnik et al. derived [99]

$$\Delta \Phi_m(t) = F(t) E(t) R \cos \theta, \qquad (6)$$

where E(t) is the applied electric field, R is the cell radius,  $\theta$  is the polar angle, and F(t) is a cell property dependent parameter defined by

$$F(t) = 3\Lambda_o \left[ 3dR^2\Lambda_i + \left( 3d^2R - d^3 \right) (\Lambda_m - \Lambda_i) \right] \\ \times \left[ 2R^3 \left( \Lambda_m + 2\Lambda_o \right) \left( \Lambda_m + \Lambda_i / 2 \right) \right. \\ \left. - 2 \left( R - d \right)^3 \left( \Lambda_o - \Lambda_m \right) \left( \Lambda_i - \Lambda_m \right) \right]^{-1}, \quad (7)$$

where d is the membrane thickness and the admittivity operators are given by

$$\Lambda_x = \lambda_x + \varepsilon_x \frac{d}{dt},\tag{8}$$

where  $\lambda_x$  and  $\varepsilon_x$  are the conductivity and permittivity of the extracellular medium (x = o), cytoplasm (x = i), and membrane (x = m). While we use a simple single-shell model here for proof of principle, other more complicated models of TMP may ultimately be considered [105], [106], [107], [108]. Electroporation is incorporated into the membrane conductivity by the semi-empirical relationship [98]

$$\lambda_m(t) = \lambda_{m0} + K_1 \left[ \exp\left(\beta \left| \Delta \Phi_m(t) \right| \right) - 1 \right], \qquad (9)$$

where  $\lambda_{m0}$  is the initial membrane conductivity and  $\beta$  and  $K_1$  are constants of the electroporation model. We consider  $\lambda_m(t) = \lambda_{m0}$ , which neglects dynamic changes due to electroporation, to benchmark to the results of Kotnik et al. [99] that consider a constant  $\lambda_m$ . Table 1 summarizes the parameters used in these calculations.

We next move the denominator of (7) to the left-hand side of (6), apply the derivatives to E(t),  $\lambda_m(t)$ , and  $\Delta \Phi_m(t)$ , and combine terms to obtain an equation for  $\Delta \Phi_m(t)$ incorporating electroporation effects with the left-hand side given by

$$\chi_{1}\Delta\Phi_{m}^{\prime\prime\prime}(t) + \chi_{2}\left[\left\{\lambda_{m0} + K_{1}\left[\exp\left(\beta\left|\Delta\Phi_{m}\left(t\right)\right|\right) - 1\right]\right\}\Delta\Phi_{m}^{\prime\prime}(t) + \beta K_{1}\exp\left(\beta\left|\Delta\Phi_{m}\left(t\right)\right|\right)\frac{\left(\Delta\Phi_{m}\left(t\right)\right)^{2}\left(\Delta\Phi_{m}^{\prime}\left(t\right)\right)}{\left|\Delta\Phi_{m}\left(t\right)\right|}\right] + \chi_{3}\Delta\Phi_{m}^{\prime\prime}(t) + \chi_{4}\Delta\Phi_{m}\left(t\right)\left\{\lambda_{m0} + K_{1}\left[\exp\left(\beta\left|\Delta\Phi_{m}\left(t\right)\right|\right) - 1\right]\right\}^{2} + \chi_{5}\Delta\Phi_{m}\left(t\right)\left\{\lambda_{m0} + K_{1}\left[\exp\left(\beta\left|\Delta\Phi_{m}\left(t\right)\right|\right) - 1\right]\right\} + \chi_{6}\Delta\Phi_{m}\left(t\right),$$
(10)

and the right-hand side given by

$$\begin{split} \psi_{1}E''(t) \\ &+ \psi_{2}\left[\left\{\lambda_{m0} + K_{1}\left[\exp\left(\beta \left|\Delta\Phi_{m}\left(t\right)\right|\right) - 1\right]\right\}E'(t) \\ &+ E(t)\,\beta K_{1}\exp\left(\beta \left|\Delta\Phi_{m}\left(t\right)\right|\right)\frac{\Delta\Phi_{m}\left(t\right)\left(\Delta\Phi'_{m}\left(t\right)\right)}{\left|\Delta\Phi_{m}\left(t\right)\right|}\right] \\ &+ \psi_{3}E'(t) \\ &+ \psi_{4}E(t)\left\{\lambda_{m0} + K_{1}\left[\exp\left(\beta \left|\Delta\Phi_{m}\left(t\right)\right|\right) - 1\right]\right\} \\ &+ \psi_{5}E(t)\,, \end{split}$$
(11)

where the coefficients are given by

$$\begin{split} \chi_{1} &= 2d^{3} \left( \varepsilon_{o} - \varepsilon_{m} \right) \left( \varepsilon_{i} - \varepsilon_{m} \right) \\ &+ 6Rd^{2} \left( \varepsilon_{o} - \varepsilon_{m} \right) \left( \varepsilon_{m} - \varepsilon_{i} \right) \\ &+ 6dR^{2} \left( \varepsilon_{o} - \varepsilon_{m} \right) \left( \varepsilon_{i} - \varepsilon_{m} \right) + 3\varepsilon_{m}R^{3} \left( 2\varepsilon_{o} + \varepsilon_{i} \right) \\ \chi_{2} &= 6d^{2}R \left( \varepsilon_{o} + \varepsilon_{i} - 2\varepsilon_{m} \right) - 2d^{3} \left( \varepsilon_{o} + \varepsilon_{i} - 2\varepsilon_{m} \right) \\ &- 6dR^{2} \left( \varepsilon_{o} + \varepsilon_{i} - 2\varepsilon_{m} \right) + 3R^{3} \left( 2\varepsilon_{o} + \varepsilon_{i} \right) \\ \chi_{3} &= \left( 2\varepsilon_{i}\lambda_{0} + 2\varepsilon_{o}\lambda_{i} \right) \left( d - R \right)^{3} \\ &+ \left( 2\varepsilon_{i}\lambda_{o} + 4\varepsilon_{m}\lambda_{o} + 2\varepsilon_{o}\lambda_{i} + \varepsilon_{m}\lambda_{i} \right) R^{3} \\ &+ \left( 2\varepsilon_{m}\lambda_{o} + 2\varepsilon_{m}\lambda_{i} \right) \left( R - d \right)^{3} \\ \chi_{4} &= 2R^{3} - 2 \left( R - d \right)^{3} \end{split}$$

$$\chi_{5} = (4\lambda_{o} + \lambda_{i} + 2\lambda_{o}\lambda_{i})R^{3} + (2\lambda_{o} + 2\lambda_{i} - 2\lambda_{o}\lambda_{i})(R - d)^{3}$$
  
$$\chi_{6} = 2\lambda_{o}\lambda_{i}R^{3} - 2\lambda_{o}\lambda_{i}(R - d)^{3}, \qquad (12)$$

and

$$\psi_{1} = (3dR\varepsilon_{o}\cos\theta) \left[ d^{2} (\varepsilon_{i} - \varepsilon_{m}) + 3dR (\varepsilon_{m} - \varepsilon_{i}) + 3R^{2}\varepsilon_{i} \right]$$

$$\psi_{2} = (3Rd\varepsilon_{o}\cos\theta) \left( 3dR - d^{2} \right)$$

$$\psi_{3} = (3dR\cos\theta) \left[ d^{2} (\varepsilon_{i}\lambda_{o} - \varepsilon_{m}\lambda_{o} + \varepsilon_{o}\lambda_{i}) - 3dR (\varepsilon_{i}\lambda_{o} - \varepsilon_{m}\lambda_{o} + \varepsilon_{o}\lambda_{o}) + 3R^{2} (\varepsilon_{i}\lambda_{o} + \varepsilon_{o}\lambda_{i}) \right]$$

$$\psi_{4} = (3dR\lambda_{o}\cos\theta) \left( 3dR - d^{2} \right)$$

$$\psi_{5} = (3dR\cos\theta) \lambda_{o}\lambda_{i} \left( d^{2} - 3dR + 3R^{2} \right). \quad (13)$$

Equations (11)-(13) represent the system of equations we will numerically solve for a given E(t), since  $K_1$  and  $\beta$  are assumed to be constants, leaving  $\Delta \Phi_m(t)$  as the only unknown.

We consider the behavior during the duration of a square pulse of duration T {i.e.,  $E(t) = E_0 [u_0(t) - u_T(t)]$ , where  $u_z(t) = 1$  for t > z, } by modeling a unit step from 0 < t < T(i.e., neglecting the rise-and fall-times of a typical trapezoidal pulse). The TMP using this simple model is calculated by

$$\Delta \Phi_m(t) = \Delta \Phi_m(t) u_0(t) - x \times \Delta \Phi_m(t - T_{peak}) u_{T_{peak}}(t),$$
(14)

where *x* is the percent of the initial pulse being subtracted due to electroporation (i.e., *x* accounts for the suppression of the TMP due to pore formation) and  $T_{peak}$  is the time at which the peak TMP occurs (corresponding to electroporation). Without electroporation, x = 1. With electroporation, x < 1(generally between 0.7 to 0.9) and is fit in the interpolation table. Again, the ASME models the applied electric field using a unit step function [i.e.,  $E(t) = E_0 u_0(t)$ ], but only calculates through the pulse duration at t = T to simulate the square pulse without explicitly accounting for the decay to E(T) = 0 at the end of the pulse.

While the ASME yields accurate results and is more computationally efficient than the full SME, our aim is to further reduce the computational expense required over a broad range of parameters of potential electrical stimulation. The long-term goal of the project is to assess the interactions of electrical stimulation on a multiscale level, including the (a) cell membrane, (b) whole cell, (c) surrounding tissue, (d) nerves (to assess action potential propagation and/or suppression), and (e) the full organism. The SME and ASME provide comprehensive analyses detailing pore dynamics and address (a) and (b). For (c)-(e), we aim to use tissue and/or animal models (such as a rat leg) from multiphysics software packages, such as Sim4Life (https://zmt.swiss/sim4life/) or COMSOL Multiphysics. The parametric analyses across various pulse parameters make the computational expense of the ASME (and SME) prohibitive.

For example, consider a 1  $\mu$ s square pulse with an applied electric field amplitude of  $E_0 = 6.75 \times 10^5$  V/m, a time step of  $\Delta t = 0.5$  ns, and a total simulation time of 3  $\mu$ s to compare simplified model (running in MATLAB) and the ASME (running in Python). With a standard personal laptop (e.g., 16 GB installed RAM and an Intel® Core™ i7-6500 processor) the simplified model outputs the solution in  $\sim 10$  s and the ASME completes in  $\sim 30$  s. While the time difference for these single pulses does not make the ASME prohibitive, the difference in computational expense greatly increases once we incorporate pulse trains or for more intense pulses that produce more pores. At that point, the burden of tracking the pore dynamics vastly increases the ASME computation time (e.g., a single 60 kV/cm, 60 ns pulse runs in  $\sim 1$  min and a train of five pulses runs in  $\sim 19$  min), while the simplified model's computation time will simply be multiplied by the number of pulses (e.g., if a single pulse runs in 10 s, a train of five pulses will run in 50 s). Thus, while the ASME is a robust model that can handle arbitrary waveforms and provide detailed pore dynamics, our simplified model empirically outperforms the ASME model-particularly for intense pulses and pulse trains that generate many pores. This makes this model a valuable computational tool. However, the results of the simplified model must still be benchmarked to those from the ASME to determine the various fitting parameters. High frequency electroporation is becoming increasingly important for improving transfection, making this process potentially valuable for predicting TMPs phenomenologically under pulse trains [109], [110], [111], further highlighting the need for computational tools that can effectively handle high numbers of pulses.

We accomplish this by considering three different time regimes during the stimulus: *Regime1*—from time t = 0 until the beginning of electroporation, which corresponds to the pore-induced arresting of the TMP increase at its peak [corresponding to the first term on the RHS of (14)]; *Regime2*—from the peak TMP until the end of the applied pulse at t = T [corresponding to both terms on the RHS of (14)]; and *Regime 3*—from the end of the pulse until the end of the simulation time (corresponding to the exponential decay). We solve (10)-(13) numerically by fitting  $\beta$  for Regime 1, then subtract a portion of those results due to the enhanced membrane conductivity after the peak in accordance with (14) to fit x. In Regime 3, the TMP decays following PEF removal, so we assume exponential decay of the form

$$\Delta \Phi_m(t) = a \exp\left(-t/\tau\right),\tag{15}$$

where *a* and  $\tau$  are fit from the results of the ASME. A parametric study between ASME and (10)-(15) for various applied field strengths and pulse durations yielded an interpolation table for *a*,  $\tau$ , and *x*. For all cases considered in this study,  $\beta = 7$ .

Fig. 1 shows a,  $\tau$ , and x for various pulse durations and electric fields. The "goodness" of the simplified model

compared to the ASME model is quantified using

$$R^{2} = 1 - \frac{\sum_{i=1}^{i=N} \left[ \left( \Delta \Phi_{i,ASME} - \Delta \Phi_{i,Simplified} \right)^{2} \right]}{\sum_{i=1}^{i=N} \left[ \left( \Delta \Phi_{i,ASME} - \bar{\Delta \Phi}_{avg} \right)^{2} \right]}, \quad (16)$$

where  $R^2$  gives the coefficient of determination,  $\Delta \Phi_{i,ASME}$  is the TMP of the ASME at each time step,  $\Delta \Phi_{i,Simplified}$  is the TMP of the simplified model, and  $\overline{\Delta \Phi}_{avg}$  is the time-averaged TMP of the ASME.

For RF fields and exponential pulses, given by E(t) = $E_0 \cos(2\pi ft)$  and  $E(t) = E_0 \exp(-t/\tau_1)$ , respectively, where f is frequency and  $\tau_1$  is the exponential time constant, we calculated the TMP below and above the electroporation threshold. Below the electroporation threshold, we simultaneously solved (10)-(13) with (9). However, at the beginning of electroporation, we substitute  $\lambda_{m0} = \lambda_{m0,2}$ into (9) to account for the decrease in TMP due to the enhanced membrane conductivity due to pore formation. The two solutions are then assigned to the relevant time matrices in accordance with  $\Delta \Phi_m(t) = \Delta \Phi_m(t) u_0(t) - \Delta \Phi_m(t) u_0(t)$  $\Delta \Phi_{m2} (t - T_{peak}) u_{T_{peak}} (t)$ , where  $T_{peak}$  is the time at which  $\Delta \Phi_m(t)$  reaches its peak before electroporation arrests TMP, which yields the full TMP solution. For  $\Delta \Phi_{m2}$ ,  $\lambda_m(t) =$  $\lambda_{m0,2} + K_1 \left[ \exp \left( \beta \left| \Delta \Phi_m \left( t \right) \right| \right) - 1 \right]$  the post-electroporation membrane conductivity is given by

$$\lambda_{m0,2} = \vartheta_1 E_0^2 + \vartheta_2 E_0 + \vartheta_3, \tag{17}$$

for an RF field, and

$$\lambda_{m0,2} = \vartheta_4 E_0^2 + \vartheta_5 E_0 + \vartheta_6, \tag{18}$$

for an exponential pulse, where the coefficients are defined as

$$\begin{split} \vartheta_1 &= -9.9 \times 10^{-27} f^2 + 1.9 \times 10^{-20} f - 3.6 \times 10^{-15}, \\ \vartheta_2 &= 6.3 \times 10^{-21} f^2 + 1.3 \times 10^{-14} f - 7.1 \times 10^{-9}, \\ \vartheta_3 &= -5.2 \times 10^{-16} f^2 + 1.1 \times 10^{-9} f - 4.8 \times 10^{-4}, \\ \vartheta_4 &= 2.36 \times 10^{-9} \tau_1 - 2.35 \times 10^{-15}, \\ \vartheta_5 &= -1.14 \times 10^{-3} \tau_1 + 5.13 \times 10^{-9}, \\ \vartheta_6 &= 1.79 \times 10^2 \tau_1 - 5.78 \times 10^{-4}, \end{split}$$
(19)

where f is in Hz and  $\tau_1$  is in s. Furthermore, for a cosine field,  $\beta = m_{cos}E_0 + b_{cos}$ , where  $m_{cos} = -7 \times 10^{-12}f + 6 \times 10^{-6}$ and  $b_{cos} = 3 \times 10^{-6}f + 5$ . For an exponential pulse,  $\beta = 6.5$  below the electroporation threshold and  $\beta = 8$ above the electroporation threshold. These semi-empirical fits came from parametric analyses and also recover the subelectroporation behavior. Accounting for electroporation in this way allows us to incorporate its effects solely through the electrical properties (i.e., the membrane conductivity) without having to track pore dynamics which is especially helpful in high-field, short pulse duration cases where there are many small pores.



**FIGURE 1.** Fitting parameters as a function of applied electric field with  $\tau$  shown in (a), (c), and (e), and *a* shown in (b), (d), and (f) for pulse durations of 1  $\mu$ s (a-b), 2  $\mu$ s (c-d) and 4  $\mu$ s (e-f); *x* is shown in (g).

### **III. RESULTS**

We next compare the results from the simplified electroporation model to the ASME. We fit the simplified model to the ASME to determine  $\tau$  and *a* for an applied pulse as a function of  $E_0$  for T = 1, 2, and 4  $\mu$ s to create an interpolation table for assessing other PEFs. For each *T* considered, both  $\tau$  and *a* 



**FIGURE 2.** Transmembrane potential  $\Phi$  as a function of time *t* in response to a unit step pulse for  $E_0 = 5 \times 10^4$  V/m for pulse durations of (a) 1  $\mu$ s, (b) 2  $\mu$ s, and (c) 4  $\mu$ s using the asymptotic Smoluchowski (ASME) equation and the simplified model, with the "goodness-of-fit" shown by R<sup>2</sup> in (d).

approach constants with increasing  $E_0$  since pores eventually form; increasing  $E_0$  further will not appreciably change TMP.

We then apply the interpolation table constructed from Fig. 1 to the simple model from (10)-(13) for an applied pulse to assess the quality of the fit to the ASME results. The comparison of the simplified model and ASME model is given by  $R^2$ , calculated using (16). Fig. 2 considers an applied PEF of  $E_0 = 5 \times 10^4$  V/m, which is below the electroporation threshold and allows us to obtain an accurate fit without using the fitting parameters, since no membrane pore formation occurs. Fig. 3 applies  $E_0 = 9.47 \times 10^4$  V/m to benchmark the simple model to previous ASME results [101] to validate both the ASME and simplified models. Figs. 4 and 5 consider  $E_0 = 5 \times 10^5$  V/m and  $E_0 = 1 \times 10^6$  V/m, respectively, which exceed the electroporation threshold. Figs. 2-5 consider pulse durations of (a) 1  $\mu$ s, (b) 2  $\mu$ s, and (c) 4  $\mu$ s and report  $R^2$  for each pulse duration.

Fig. 6 validates the simplified model by comparing the results using the simplified model with the fitting parameters to the ASME model for  $E_0 = 6.75 \times 10^5$  V/m and  $T = 1\mu$ s. We determined  $R^2 = 0.97$  between the ASME and simplified models, indicating excellent agreement between the two solutions when using the fitting parameter values from the interpolation table.

Fig. 7 demonstrates how we combine the solution responses for the exponential pulse (Fig. 7a) and the RF field

(Fig. 7b). Figs. 8-10 compare the ASME to the simplified model for RF fields with frequencies of (8) 250 kHz, (9) 500 kHz, and (10) 1 MHz and electric field amplitudes of (a) 10 kV/m, (b) 100 kV/m, (c) 235 kV/m, and (d) 500 kV/m. The average agreement between the two models for these cases is  $R^2 = 0.98$ . These results indicate that the electric field required to induce electroporation increases with increasing frequency since the TMP for a given electric field amplitude decreases with increasing frequency. This behavior is consistent with prior calculations of TMP assuming constant membrane conductivity [99], which would correspond to sub-electroporation conditions.

Figs. 11 and 12 compare the ASME and simplified models for exponential pulses with time constants of  $\tau_1 = 1\mu s$ and  $\tau_1 = 2\mu s$ , respectively, for electric field amplitudes of (a)  $E_0 = 5 \times 10^4$  V/m, (b)  $E_0 = 10^5$  V/m, (c)  $E_0 = 3 \times 10^5$  V/m, and (d)  $E_0 = 5 \times 10^5$  V/m. The average agreement between the two models for these cases is  $R^2 = 0.96$ .

While these results agree well with the ASME, both models assume minimal variation in the parameters in Table 1. Understanding the simplified model's sensitivity to these parameters is important. Ideally, we would perform a formal error propagation analysis as outlined in [112]; however, the lack of a closed form solution to the simplified model prevents us from directly applying this procedure. We can perform a parametric analysis by comparing the result



**FIGURE 3.** Transmembrane potential  $\Phi$  as a function of time *t* in response to a unit step pulse for  $E_0 = 9.47 \times 10^4$  V/m for pulse durations of (a) 1  $\mu$ s, (b) 2  $\mu$ s, and (c) 4  $\mu$ s using the asymptotic Smoluchowski (ASME) equation and the simplified model, with the "goodness-of-fit" shown by R<sup>2</sup> in (d).

when we vary the cellular parameters from Table 1 to the original result obtained using Table 1. Considering the pulse parameters used for Fig. 6, we run the simplified model with each variable (i.e.,  $\varepsilon_m$ ,  $\varepsilon_0$ ,  $\varepsilon_i$ ,  $\lambda_0$ ,  $\lambda_i$ , and  $\lambda_{m0}$ ) having a different variation  $\delta_{x_n} = 0.1, 0.3, 0.5, 0.7,$  and 0.9 from its nominal value (e.g.,  $\delta_{x_n}x_n$  where  $\delta_{x_n} = 1$  indicates no variation from the nominal value) and calculate  $R^2$  between the resulting  $\Delta \Phi$  and the nominal result (i.e.,  $\delta_{x_n} = 1$  for all parameters). Fig. 13 demonstrates that variation in  $\lambda_{m0}$  has no effect on  $\Delta \Phi$ , variation in  $\varepsilon_0$  has minimal effect, and variation in  $\lambda_i$  and  $\varepsilon_m$  have the greatest effect.

We next expand the parametric analysis to examine how introducing variation in multiple parameters alters  $\Delta \Phi$ . Considering  $\delta_{x_n} = 0.2, 0.4, 0.6, 0.8, \text{ and } 1 \text{ with } x_n = \varepsilon_m, \varepsilon_i, \lambda_0, \text{ and } \lambda_i$  gives a total of 625 simulations (and 625  $R^2$ values). Due to the difficulty in effectively reporting this large dataspace, we report the average  $R^2$  over this range of data,  $R_{avg}^2$ , for the various conditions. For the square waveform, we obtain  $R_{avg}^2 = 0.72$ . Repeating this analysis with the electrical parameters for the RF waveform from Fig. 9c and exponential waveform from Fig. 11c yields average  $R_{avg}^2 \sim 0.5$  and  $\sim 0.6$ , respectively. Considering  $\delta_{x_n} =$ 0.5, 0.6, 0.7, 0.8, 0.9, and 1 gives the average  $R_{avg}^2$  of 0.9, 0.8, and 0.8 for the square, RF, and exponential waveforms, respectively. Thus, parameter variation is unlikely to dramatically influence calculations of TMP as long as  $\delta_{x_n} > 0.5$  for each parameter. While performing a sensitivity analysis for the ASME would provide information that could improve the fidelity of the simplified model, the current model provides a reasonable first step for calculating TMP under various electrical waveforms.

#### **IV. DISCUSSION**

The main goal of this study was to develop and demonstrate a rapid, semi-empirical method for determining the effects of electrical waveforms on membrane permeabilization in spherical cells from strictly electrical arguments without directly calculating pore dynamics.

We first considered a pulse and determined the fitting parameters necessary to achieve excellent agreement between the simple and ASME models over a wide range of pulse durations. Fig. 2 shows that the TMP is characterized by a smooth increase, plateau, and subsequent decrease when below the electroporation threshold. Conversely, Fig. 3 demonstrates that just above the electroporation threshold, the TMP increases rapidly to its peak ( $\sim$ 1.4 V) and then decreases sharply due to the onset of pore formation, before plateauing until the end of the pulse due to the presence of pores and decaying exponentially after the pulse ends. Figs. 3-6 demonstrate similar behavior, while also showing that the electroporation threshold and corresponding plateau occur more rapidly with increasing electric field. Of note,



**FIGURE 4.** Transmembrane potential  $\Phi$  as a function of time *t* in response to a unit step pulse for  $E_0 = 5 \times 10^5$  V/m for pulse durations of (a) 1  $\mu$ s, (b) 2  $\mu$ s, and (c) 4  $\mu$ s using the asymptotic Smoluchowski (ASME) equation and the simplified model, with the "goodness-of-fit" shown by R<sup>2</sup> in (d).

we used interpolated fitting parameters from the interpolation table to compare the simplified model to other ASME runs that were not used to obtain the table. Fig. 6 shows that the ASME and the simplified model agree well without having to directly fit the simplified model to the ASME. This indicates that the simplified model can recover the dynamics of ASME for a range of PEF parameters using the regimes for the fits and interpolation table developed here. This indicates the feasibility of using this simplified approach as a predictive tool for assessing multiscale and multiphysics phenomena rather than solely serving as a fit to the ASME.

Furthermore, this simplified model based strictly on electrical behavior without needing to directly assess pore dynamics also elucidates the behavior of TMP during electroporation. For instance, we directly incorporate the TMP-dependent membrane conductivity for the full pulse duration, showing the smooth initial TMP increase preelectroporation, the rapid increase at the onset of electroporation, the sharp initial decrease due to rapid pore formation, the plateau of the TMP as pores are opening/closing, and the final exponential TMP decrease after the pulse is turned off.

In addition to traditional square-shaped PEFs, we also assessed RF fields and exponential pulses to demonstrate that the simplified approach works when the assumed temporal symmetry of the square pulse no longer exists. Figs. 8-10 show that a stronger electric field amplitude is necessary to induce electroporation at higher frequencies. For example, for f = 250 kHz,  $E_0 = 100$  kV/m induces a slight degree of pore formation, as indicated through TMP behavior, while  $E_0 = 235$  kV/m induces stronger pore formation. On the other hand, for f = 1 MHz,  $E_0 = 235$  kV/m induces a slight degree of electroporation, while  $E_0 = 500$  kV/m induces significant electroporation. Much as shorter duration PEFs fail to fully charge the membrane due to their high-frequency contents, narrowband RF fields of high-frequency will also fail to fully charge the membrane, resulting in a lower TMP than lower frequency fields [99]. Thus, a higher amplitude is necessary at higher frequencies to achieve the same TMP, and similar pore dynamics, as lower frequency fields. Figs. 11-12 demonstrate that the dynamics for exponential pulses with increasing time constant, which essentially makes the magnitude of the electric field higher for a longer duration, act similarly to increasing the duration for a square pulse.

These results demonstrate the effectiveness of assessing electroporation dynamics across a range of electrical waveforms by using strictly electrical behavior, specifically the TMP and assuming TMP-dependent membrane conductivity during PEF application. By not calculating the distribution of pore sizes, this approach reduces computational requirements across a range of electrical waveforms, particularly for high



**FIGURE 5.** Transmembrane potential  $\Phi$  as a function of time *t* in response to a unit step pulse for  $E_0 = 1 \times 10^6$  V/m for pulse durations of (a) 1  $\mu$ s, (b) 2  $\mu$ s, and (c) 4  $\mu$ s using the asymptotic Smoluchowski (ASME) equation and the simplified model, with the "goodness-of-fit" shown by R<sup>2</sup> in (d).



**FIGURE 6.** Transmembrane potential  $\Phi$  as a function of time *t* in response to a unit step pulse for  $E_0 = 6.75 \times 10^5$  V/m for a pulse duration of 1  $\mu$ s using *a*,  $\tau$ ,  $\beta$ , and x values from the interpolation table. The R<sup>2</sup> value comparing the asymptotic Smoluchowski equation (ASME) and the simplified model is 0.97, indicating excellent agreement between the two.

intensity, short duration PEFs that generate a large density of small pores, which can be expensive even using the ASME rather than the full SME. While this method uses a semi-empirical approach to determine the fitting constant between the membrane conductivity and TMP, we have demonstrated that it yields excellent agreement with the ASME even for cases that we did not use to develop the fitting constants.

While some degree of fidelity in the model may be lost by not accurately calculating pore dynamics, this sort of approach provides value by only requiring electrical properties, which may be measured for cells of interest [113] and may also change during or after exposure [114]. Moreover, as Neu and Krassowska [86] pointed out when deriving the ASME, many of the parameters used in the SME are not readily known or measured, while the ASME and the simple electrical-based model we present here avoid this issue. Although the ASME and our simplified approach use fitted parameters, they tend to be based on phenomenological behavior that can be readily measured, such as the TMP. However, with the simplified approach, one does not gain insight into the spatial distribution of pores or ion/molecular transport; thus, the SME or ASME is necessary when those characteristics are of interest.

The simplified model provides a straightforward method to input desired pulse parameters and obtain the TMP as a function of time (i.e., a single array that is designed for initial multiphysics analyses). Conversely, the ASME outputs the TMP, pore density, pore size, pore location, and several other specific parameters at every time step *and* every polar angle (e.g., with 25 angular segments, as considered here, the TMP result alone consists of 25 of the arrays that the simplified model outputs). While these results are desirable for certain analyses, the amount of data quickly becomes unnecessarily cumbersome when the TMP at a specific



**FIGURE 7.** Demonstration of combination of solutions with  $\lambda_{m0}$  and  $\lambda_{m0,2}$  for an (a) exponential waveform with  $\tau = 1 \ \mu$ s and  $E_0 = 300 \ \text{kV/m}$  and a (b) cosine waveform with  $f = 500 \ \text{kHz}$  and  $E_0 = 235 \ \text{kV/m}$  where Part 1 shows the numerical solution of (10)-(13) considering (9) as defined and Part 2 shows the numerical solution of (10)-(13) considering (9) with  $\lambda_{m0} = \lambda_{m0,2}$ .



**FIGURE 8.** Transmembrane potential  $\Phi$  as a function of time *t* in response to a cosine pulse for *f* = 250 kHz for applied electric field amplitudes of *E*<sub>0</sub> (a) 10 kV/m, (b) 100 kV/m, (c) 235 kV/m, and (d) 500 kV/m comparing the results of the asymptotic Smoluchowski (ASME) equation and the simplified theory.



**FIGURE 9.** Transmembrane potential  $\Phi$  as a function of time *t* in response to a cosine waveform for f = 500 kHz for applied electric field amplitudes of  $E_0$  (a) 10 kV/m, (b) 100 kV/m, (c) 235 kV/m, and (d) 500 kV/m comparing the results of the asymptotic Smoluchowski (ASME) equation and the simplified theory.



**FIGURE 10.** Transmembrane potential  $\Phi$  as a function of time in response to a cosine waveform for f = 1 MHz for applied electric field amplitudes of E<sub>0</sub> (a) 10 kV/m, (b) 100 kV/m, (c) 235 kV/m, and (d) 500 kV/m comparing the results of the asymptotic Smoluchowski (ASME) equation and the simplified model.

angle is the only parameter of interest. Furthermore, the computational expense of the ASME for high-intensity pulses

and pulse trains drastically increases, which would make the simplified model a more attractive option. Thus, the user can



**FIGURE 11.** Transmembrane potential as a function of time in response to an exponential waveform for  $\tau_1 = 1 \mu s$  for applied electric field amplitudes of  $E_0$  (a) 50 kV/m, (b) 100 kV/m, (c) 300 kV/m, and (d) 500 kV/m comparing the results of the asymptotic Smoluchowski (ASME) equation and the simplified model.



**FIGURE 12.** Transmembrane potential as a function of time in response to an exponential waveform for  $\tau_1 = 2\mu s$  for applied electric field amplitudes of  $E_0$  (a) 50 kV/m, (b) 100 kV/m, (c) 300 kV/m, and (d) 500 kV/m using the asymptotic Smoluchowski (ASME) equation and the simplified model.



**FIGURE 13.** Assessment of  $\mathbb{R}^2$  comparing the simplified model with no variation in parameter to the simplified model with variation in a single parameter represented by the fraction  $\delta_X$ , where  $\delta D 1$  indicates no variation (i.e.,  $\delta_{X_n} x_n = x_n$ , where  $x_n = \varepsilon_m, \varepsilon_0, \varepsilon_i, \lambda_0, \lambda_i$ , and  $\lambda_{m0}$ ). Variation in  $\lambda_{m0}$  has no effect on  $\Delta \Phi$ , variation in  $\varepsilon_0$  has minimal effect, and variations in  $\lambda_i$  and  $\varepsilon_m$  have the greatest effect.

choose the simplified model to rapidly estimate TMP for a multiscale or broad-sweeping parametric analysis or the ASME (or full SME) to provide information regarding pore dynamics or information regarding the angular distribution of the TMP. Ultimately, the ASME and simplified models both serve as options for assessing PEF-induced biological effects. The simplified model will serve as a foundational tool in future work assessing multiscale behavior in the presence of an electrical waveform.

Furthermore, future work will couple these results to Sim4Life to assess a full-scale model to provide insight into how these modified TMPs and additional shunt conductivities will influence the action potential initiation and propagation when starting from exposure to an electromagnetic waveform at the organism level. This analysis will aid in characterizing the multiscale effects of the PEFs and provide guidance for more in-depth simulation and experimental work to assist in biological, medical, and defense applications.

#### A. MODEL LIMITATIONS

While this study considers  $\lambda_m(t)$ , it assumes a constant  $\varepsilon_m$ . Extending this analysis to include a time-dependent membrane permeability will elucidate additional behavior and provide a more comprehensive prediction of TMP behavior with electroporation. Additionally, while we consider microsecond duration PEFs here for example cases, this approach could be applied to develop fitting functions for nano- or picosecond pulses and pulse trains. Furthermore, this additional analysis could aid in developing fitting functions for  $\beta$ , a, and  $\tau$  for square pulses analogous to those derived for the RF and exponential pulses, which would mitigate the need for the interpolation table and improve the accuracy of the model.

#### **V. CONCLUSION**

We have developed a rapid method for calculating the TMP of cells permeabilized due to exposure to various electrical waveforms by applying a semi-empirical relationship between the membrane conductivity and the TMP without needing to directly calculate the pore dynamics. While the SME provides greater fidelity into pore dynamics, its computational expense makes it undesirable for rapidly assessing the pore formation as additional physical phenomena (such as temperature gradients and shock waves) are incorporated into multiphysics, multiscale models. Moreover, although the ASME alleviates some of this computational burden, it still requires tracking pore growth, which becomes computationally expensive when many pores are formed at shorter PEF durations (on the order of nanoseconds) and strong electric field intensities. The simplified model presented here demonstrates the feasibility of considering electropermeabilization strictly from an electrical perspective. This rapid model for membrane dynamics may ultimately be linked to tissue and organism level multiscale models for assessing the exposure to various electrical waveforms that may be relevant for occupational safety or therapies.

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