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## RESEARCH ARTICLE

# A 7L and 11L High Step-Up SCMLI Topology With Reduced Component Voltage Stress

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**ABSTRACT** This article proposes a new capacitor-based multilevel inverter topology (CBMLI) with fewer devices and reduced voltage stress on capacitors and switches. In addition, the proposed topology can be configured as either a 7-level (7L) or 11L circuit with a maximum voltage gain of 3 and 2.5 times, respectively. Comparisons are made between the proposed topology and existing recent CBMLI topologies, and various power loss analyses are presented. The capacitance values are determined by selecting the maximum discharging period, and the associated analysis is presented. Using the simulation software MATLAB/Simulink, the performance of the proposed circuit topology is validated, and the same is tested in the hardware setup. The various dynamic performance characteristics, such as loading changes, input variations, and modulation index, are validated, and the resulting data is discussed.

**INDEX TERMS** Switched capacitor, multilevel inverter, voltage boost, technological development.

## I. INTRODUCTION

Earlier, two-level inverters with suitable modulation schemes were used for harmonic reduction and fundamental voltage control for various applications, including AC drives, Grid-tied renewable energy systems, etc. However, it requires a front high-voltage gain DC-DC boost converter or transformer with a rectifier unit on the source side for high-voltage applications. Also, the grid-tied system requires a complicated filter design at the load side. Conventional multilevel inverters such as cascaded, flying capacitors, and neutral point clamped topologies were proposed to improve the efficiency of the motor drive systems [1], [2]. Later, the explorations grew in a wide span, increasing the number of

levels, reducing the power components, incorporating voltage boosting ability, etc., The prominent issues in the conventional multilevel inverters are i) they are buck converters, ii) achieving the voltage balance between the capacitors requires dedicated circuitry, making the system and control complex, iii) if a higher number of levels are required in the terminal voltage, the component count will increase drastically, that is for 'n' capacitors, the components required will be four times 'n' in [3] and [4] and iv) it requires multiple dc source or dc-link capacitors.

The recent research is focused on overcoming the aforementioned issues in conventional neutral point-clamped inverters. The two other types of multilevel inverters, viz., Flying Capacitor Multilevel inverters and Cascaded H- Bridge Multilevel inverters, are hybridized to reduce the component count. The Active Neutral Point Clamped

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Multilevel inverter topologies are suggested to achieve voltage gain and self-voltage balancing abilities. These topologies are formed by replacing the clamping diodes with active power switches [5]. Additional voltage levels can be obtained by using floating capacitors, and further, reducing clamping diodes may result in reduced conduction losses. These floating capacitors can be used with an H-Bridge to form a hybrid topology with Active Neutral Point clamped inverters. The number of such floating capacitors employed should be optimized, or the voltage balancing issue will arise. In a hybrid voltage source converter that combines three-level neutral point clamped and flying capacitor structures, the voltage balancing issue still needs to be addressed, even though the topology is compact [6]. The number of components is significantly reduced compared to the classical topologies, but voltage boosting and capacitor voltage balancing are still a concern [7]. A switched capacitor based 5LANPC topology is presented in [8] for DC-AC power conversion with inherent self-balancing ability and charging current attenuation. However, they need to have the dc-link voltage equal to the input voltage making the system to add front end boost converter for grid connected applications. Another 9L topology using three switched capacitor is discussed in [9], capable of quadratic voltage gain and inherent voltage balancing. However, it requires a tiny inductor to suppress the inrush current. The topologies presented in [10], [11], [12], and [13], are capable of synthesizing 7 levels with a voltage gain of 1.5, but the component to gain ratio is much higher ranging from 13.3 to 15.3, thus jeopardizing the reliability of the topologies. A reduced switch count based N-Level boost inverter topology has been proposed in [14], with a boosting ability of 2, but its total standing voltage (TSV) and components to gain ration are high. The reduction is components to gain ratio is attempted in [15], [16], [17], [18], and [19] for seven level output by increasing the gain to 3, but the Total standing voltage in the circuit has been compromised. Recently attempts had been made to derive different voltage levels from a single topology through symmetric or asymmetric operation. An UXE-type inverter is proposed in [20], it is capable of giving 9,11 and 13-level operations without any structural modification. However, the topology could achieve only a 1.25 voltage gain during 11-level operation. The proposed high step-up switched capacitor multilevel inverter addresses the component count, voltage gain, and modes of operation. The salient features of the proposed topology are listed as follows:

- (i) 7L operation with a voltage gain of 3 and a TSV of 4.6 p.u.
- (ii) 11L operation with a voltage gain of 2.5 and a TSV of 4.4 p.u.
- (iii) It uses only 10 switches for 7L and 11L output voltage generation.
- (iv) Reduced voltage stress on switches.
- (v) Both 7 and 11-level operations can be achieved by changing the charging voltage of the capacitor rather than their rating.

**TABLE 1. Switching pattern of switches and status of capacitors of 7L operation.**

Level	Active Switches	V <sub>o</sub>	Capacitor Status			
			C <sub>a</sub>	C <sub>b</sub>	C <sub>1</sub>	C <sub>2</sub>
1	S <sub>1</sub> , S <sub>2</sub> , S <sub>3</sub> ', S <sub>4</sub> ', S <sub>5</sub>	+V <sub>in</sub>	N	C	C	N
2	S <sub>1</sub> ', S <sub>2</sub> , S <sub>3</sub> ', S <sub>4</sub> ', S <sub>5</sub>	+2V <sub>in</sub>	C	D	C	N
3	S <sub>1</sub> ', S <sub>2</sub> ', S <sub>3</sub> , S <sub>4</sub> ', S <sub>5</sub>	+3V <sub>in</sub>	C	D	D	C
4	S <sub>1</sub> , S <sub>3</sub> , S <sub>4</sub> ', S <sub>5</sub> '	0	N	D	N	D
5	S <sub>1</sub> ', S <sub>2</sub> ', S <sub>3</sub> , S <sub>4</sub> , S <sub>5</sub> '	-V <sub>in</sub>	C	N	N	D
6	S <sub>1</sub> ', S <sub>2</sub> , S <sub>3</sub> ', S <sub>4</sub> , S <sub>5</sub> '	-2V <sub>in</sub>	C	N	C	D
7	S <sub>1</sub> , S <sub>2</sub> , S <sub>3</sub> ', S <sub>4</sub> , S <sub>5</sub> '	-3V <sub>in</sub>	D	C	C	D

C: Charging, D: Discharging, N: No effect

- (vi) Self-balancing capacitors, free from any separate control algorithms and sensing elements.

**II. PROPOSED TOPOLOGIES**

**A. CIRCUIT DIAGRAM DESCRIPTION AND WORKING PRINCIPLE OF PROPOSED TB-7LI**

As shown in Fig. 2 (a), the proposed topology consists of a single dc source, four switched capacitors, two diodes, eight switches with antiparallel diodes (S<sub>1</sub>, S<sub>3</sub>-S<sub>5</sub>, and S<sub>1</sub>', S<sub>3</sub>'-S<sub>5</sub>'), and two switches (S<sub>2</sub> and S<sub>2</sub>') without anti-parallel diode. The voltage across each SCs is given in (1)

$$V_{C_a} = V_{C_b} = V_{C_1} = V_{C_2} = V_{in} \tag{1}$$

±L1 (V<sub>in</sub>)- During the first level, the capacitor C<sub>a</sub> and C<sub>1</sub> get charged to v<sub>in</sub> through the switches S<sub>1</sub>, S<sub>2</sub>, S<sub>4</sub>' S<sub>5</sub>, and D<sub>1,2</sub> for positive level and S<sub>4</sub>, S<sub>1</sub>', S<sub>3</sub>, S<sub>5</sub>', and S<sub>2</sub> for the negative cycle as shown in Fig. 2 (b) and (c), respectively. The input voltage is supplied to the load, i.e., V<sub>o</sub> = V<sub>in</sub>, for both the half cycle.

±L2 (2V<sub>in</sub>)- the charged capacitor is discharged to the load by adding the source voltage together, as shown in Fig. 2 (d) and (e). However, the upper capacitor, i.e., C<sub>a</sub> and C<sub>1</sub>, is charging, and C<sub>b</sub> and C<sub>2</sub> are discharging for the positive and negative cycle, respectively. The voltage across the load will be the sum of the source voltage and the capacitor, either C<sub>b</sub> or C<sub>2</sub> voltage, with respect to the polarity of the load voltage.

±L3 (3V<sub>in</sub>)-the top level, i.e., third level, produces the output voltage equal to three times the source voltage, which is achieved by summing the voltage across the C<sub>b</sub>, C<sub>1</sub>, and source voltage for the positive cycle and the negative cycle the C<sub>a</sub>, C<sub>2</sub> is added with v<sub>in</sub> as shown in Fig. 2 (f) and (g) respectively. Finally, the zero states with a maximum output voltage of 0V<sub>in</sub> are achieved by subtracting the C<sub>a</sub> and C<sub>1</sub> or C<sub>b</sub> and C<sub>2</sub>, as shown in Fig. 2 (h). The switching sequences of the 7L operation, voltage stress and current stress of all switches during each voltage levels are presented in Table 1, 2 and 3.

**B. WORKING PRINCIPLE OF PROPOSED TB-11LI**

The 11L can be obtained by changing the switching operation. For 7L operation, all four capacitors were charged to a voltage equivalent to the input voltage. But in 11L operation,

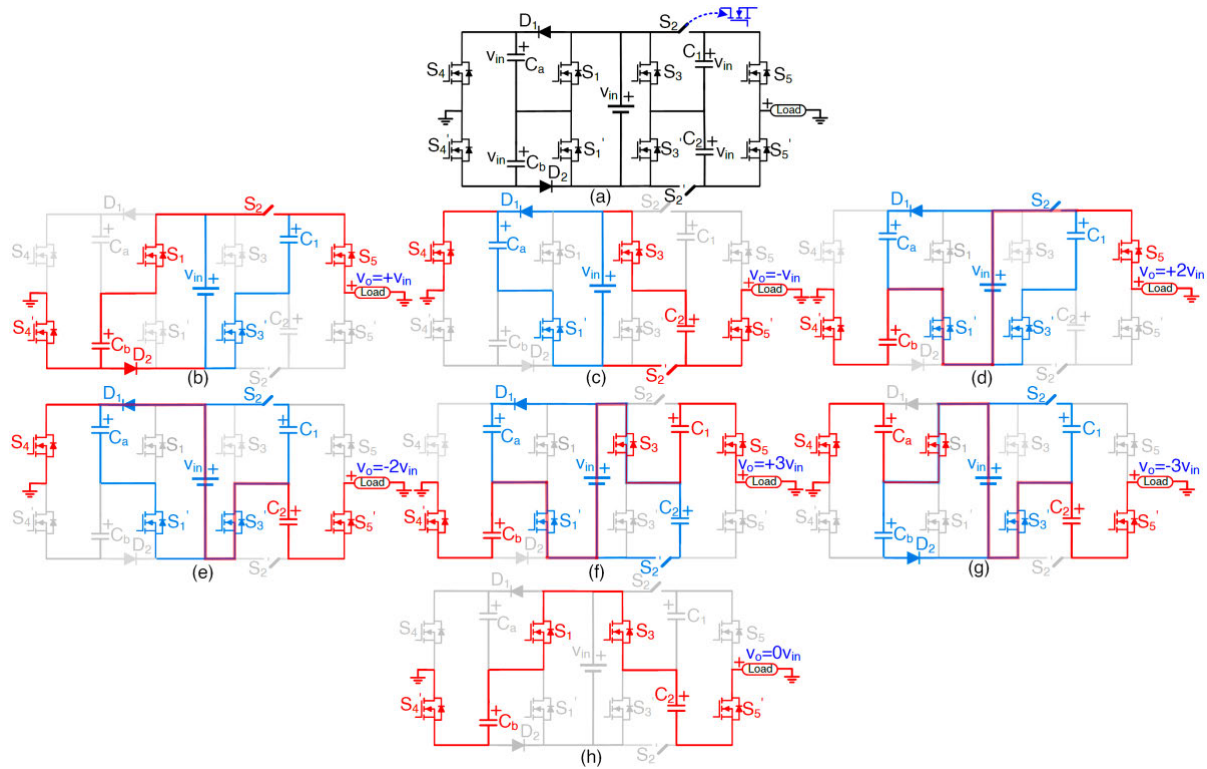


FIGURE 1. Proposed 7L topology (a) Circuit diagram of the proposed and (b)-(h) Modes of operations with corresponding current paths.

TABLE 2. Voltage stress of switches during 7L operation.

Level	S <sub>1</sub>	S <sub>1</sub> '	S <sub>2</sub>	S <sub>2</sub> '	S <sub>3</sub>	S <sub>3</sub> '	S <sub>4</sub>	S <sub>4</sub> '	S <sub>5</sub>	S <sub>5</sub> '	V <sub>o</sub>
1	0	V <sub>in</sub>	0	V <sub>in</sub>	V <sub>in</sub>	0	2V <sub>in</sub>	0	2V <sub>in</sub>	0	+V <sub>in</sub>
2	V <sub>in</sub>	0	V <sub>in</sub>	0	V <sub>in</sub>	0	0	0	0	2V <sub>in</sub>	+2V <sub>in</sub>
3	0	V <sub>in</sub>	0	V <sub>in</sub>	0	0	2V <sub>in</sub>	0	0	0	+3V <sub>in</sub>
4	0	V <sub>in</sub>	V <sub>in</sub>	0	0	V <sub>in</sub>	0	2V <sub>in</sub>	2V <sub>in</sub>	0	0
5	V <sub>i</sub>	0	V <sub>in</sub>	V <sub>in</sub>	0	0	0	2V <sub>in</sub>	0	0	-V <sub>in</sub>
6	V <sub>i</sub>	0	0	V <sub>in</sub>	V <sub>in</sub>	0	0	0	0	0	-2V <sub>in</sub>
7	V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	2V <sub>in</sub>	2V <sub>in</sub>	2V <sub>in</sub>	2V <sub>in</sub>	-3V <sub>in</sub>
MBV	V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	2V <sub>in</sub>	2V <sub>in</sub>	2V <sub>in</sub>	2V <sub>in</sub>	-

TABLE 3. Current stress of switches during 7L operation.

Level	S <sub>1</sub>	S <sub>1</sub> '	S <sub>2</sub>	S <sub>2</sub> '	S <sub>3</sub>	S <sub>3</sub> '	S <sub>4</sub>	S <sub>4</sub> '	S <sub>5</sub>	S <sub>5</sub> '	V <sub>o</sub>
1	i <sub>cb</sub>	-	i <sub>cl</sub> +i <sub>o</sub>	-	-	i <sub>cl</sub>	-	i <sub>o</sub>	-	-	+V <sub>in</sub>
2	-	i <sub>ca</sub> +i <sub>o</sub>	-	-	-	i <sub>cl</sub>	-	i <sub>o</sub>	i <sub>o</sub>	-	+2V <sub>in</sub>
3	-	i <sub>ca</sub> +i <sub>o</sub>	-	-	i <sub>c2</sub>	i <sub>o</sub>	-	i <sub>o</sub>	-	-	+3V <sub>in</sub>
4	i <sub>o</sub>	-	-	-	-	-	-	-	-	-	0 V <sub>in</sub>
5	-	i <sub>ca</sub>	-	-	i <sub>c2</sub> +i <sub>o</sub>	i <sub>c2</sub>	-	-	-	i <sub>o</sub>	-V <sub>in</sub>
6	-	i <sub>ca</sub>	-	-	-	-	-	-	-	-	-2V <sub>in</sub>
7	i <sub>cb</sub>	-	i <sub>cl</sub>	-	-	i <sub>cl</sub> -i <sub>o</sub>	i <sub>o</sub>	-	-	-	-3V <sub>in</sub>

the capacitors C<sub>1</sub> and C<sub>2</sub> are operated in clamping mode. The input voltage is evenly divided between the capacitors C<sub>1</sub> and C<sub>2</sub>. With this and switching sequence modification, the topology can synthesize 11L without structural modifications. The respective switching sequences of the 11L operation, voltage

TABLE 4. Switching pattern of switches and status of capacitors of 11L operation.

Level	Active Switches	V <sub>o</sub>	Capacitor Status			
			C <sub>a</sub>	C <sub>b</sub>	C <sub>1</sub>	C <sub>2</sub>
1	S <sub>1</sub> , S <sub>3</sub> ', S <sub>4</sub> ', S <sub>5</sub>	+V <sub>in</sub> /2	N	C	D	N
2	S <sub>1</sub> , S <sub>2</sub> , S <sub>2</sub> ', S <sub>4</sub> ', S <sub>5</sub>	+2V <sub>in</sub>	N	C	C	C
3	S <sub>1</sub> , S <sub>3</sub> , S <sub>4</sub> ', S <sub>5</sub>	+3V <sub>in</sub>	N	C	D	N
4	S <sub>1</sub> ', S <sub>2</sub> , S <sub>2</sub> ', S <sub>4</sub> ', S <sub>5</sub>	+4V <sub>in</sub>	C	D	C	C
5	S <sub>1</sub> ', S <sub>3</sub> , S <sub>4</sub> ', S <sub>5</sub>	+5V <sub>in</sub>	C	D	D	N
6	S <sub>1</sub> , S <sub>2</sub> , S <sub>2</sub> ', S <sub>4</sub> ', S <sub>5</sub> '	0	C	C	C	C
7	S <sub>1</sub> ', S <sub>3</sub> , S <sub>4</sub> , S <sub>5</sub> '	-V <sub>in</sub> /2	C	N	N	D
8	S <sub>1</sub> , S <sub>2</sub> , S <sub>2</sub> ', S <sub>4</sub> , S <sub>5</sub> '	-2V <sub>in</sub>	C	N	C	C
9	S <sub>1</sub> ', S <sub>3</sub> ', S <sub>4</sub> , S <sub>5</sub> '	-3V <sub>in</sub>	C	N	N	D
10	S <sub>1</sub> , S <sub>2</sub> , S <sub>2</sub> ', S <sub>4</sub> , S <sub>5</sub> '	-4V <sub>in</sub>	D	C	C	C
11	S <sub>1</sub> , S <sub>3</sub> ', S <sub>4</sub> , S <sub>5</sub> '	-5V <sub>in</sub>	D	C	N	D

C: Charging, D: Discharging, N: No effect

stress, and current stress of all switches during each voltage level are given in Tables 4, 5, and 6.

### C. CAPACITOR SIZING

The optimum value of the capacitor to be connected to the circuit can be obtained by using the equation below [21]

$$C_{opt} = Q_{Ci}/k \times V_{in} \quad (2)$$

where Q<sub>Ci</sub> and k represent the net charge of ith capacitor and ripple factor.

For the 7L and 11L operations, the optimum value of capacitance is to be chosen based on the lower ripple voltage,

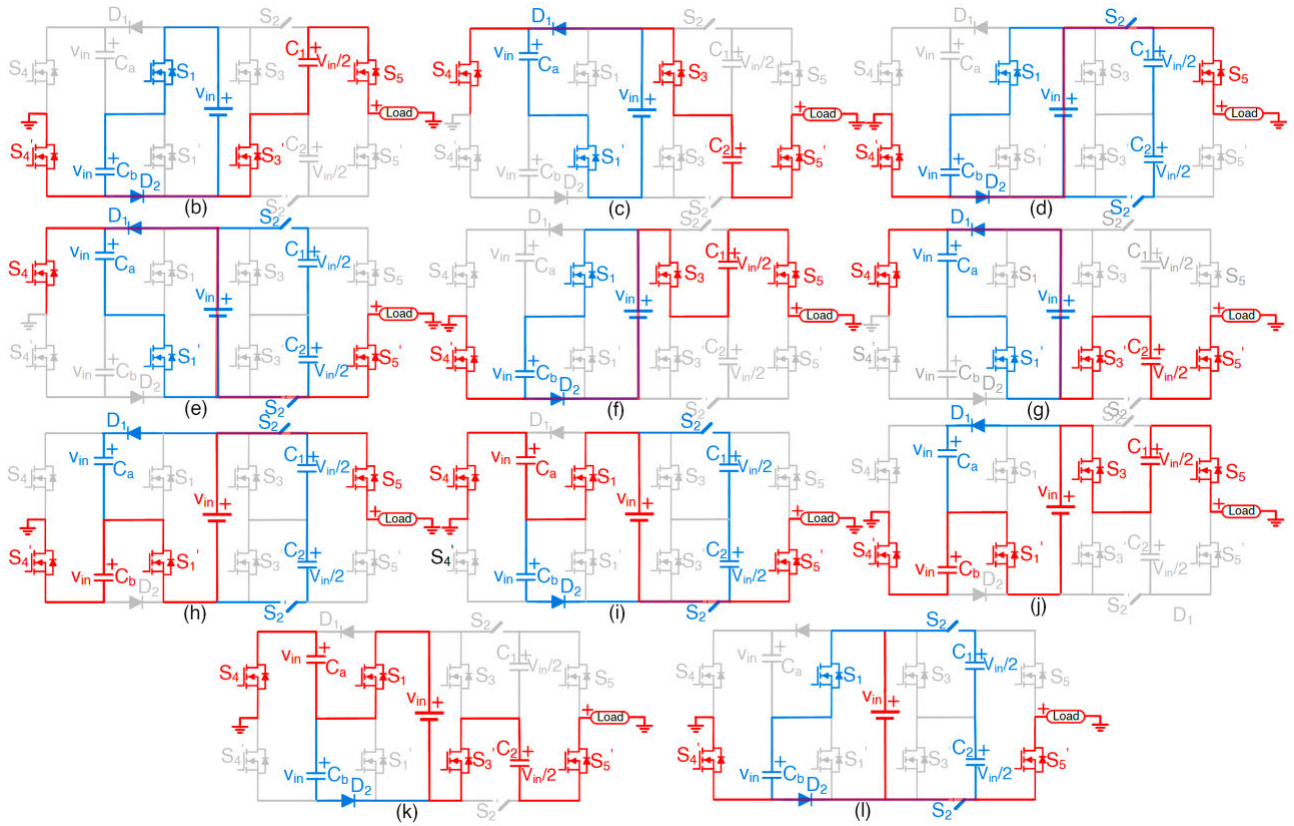


FIGURE 2. Proposed 11L topology (a) Circuit diagram and (b)-(l) Modes of operations with corresponding current paths.

TABLE 5. Voltage stress of switches during 11L operation.

Level	S <sub>1</sub>	S <sub>1</sub> '	S <sub>2</sub>	S <sub>2</sub> '	S <sub>3</sub>	S <sub>3</sub> '	S <sub>4</sub>	S <sub>4</sub> '	S <sub>5</sub>	S <sub>5</sub> '	V <sub>o</sub>
1			0.5V <sub>in</sub>	0	V <sub>in</sub>	0.5V <sub>in</sub>	V <sub>in</sub>		V <sub>in</sub>		+V <sub>in</sub> /2
2	0	V <sub>in</sub>									+V <sub>in</sub>
3											+3V <sub>in</sub> /2
4	V <sub>in</sub>	0	0.5V <sub>in</sub>	0.5V <sub>in</sub>	V <sub>in</sub>		2V <sub>in</sub>	0	0	V <sub>in</sub>	+2V <sub>in</sub>
5											+5V <sub>in</sub> /2
6	0	V <sub>in</sub>	0	0	0.5V <sub>in</sub>						0
7											-V <sub>in</sub> /2
8	V <sub>in</sub>	0	0.5V <sub>in</sub>	0.5V <sub>in</sub>	V <sub>in</sub>						-V <sub>in</sub>
9											-3V <sub>in</sub> /2
10	V <sub>in</sub>	0	0.5V <sub>in</sub>	0	V <sub>in</sub>	0.5V <sub>in</sub>	0	2V <sub>in</sub>	V <sub>in</sub>	0	-2V <sub>in</sub>
11	0	V <sub>in</sub>									-5V <sub>in</sub> /2
MBV	V <sub>in</sub>	V <sub>in</sub>	0.5V <sub>in</sub>	0.5V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	2V <sub>in</sub>	2V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	-

nominal frequency, high gain, and longest discharging time (*LDT*). The *LDT* for each cycle should be determined based on the charging and discharging chart shown in Fig.3.

During seven-level operation, the *LDT* of the capacitors *C<sub>a</sub>* and *C<sub>1</sub>* is between *t<sub>c</sub>* and  $\pi-t_c$ . Thus, the net charge of capacitors *C<sub>a</sub>* and *C<sub>1</sub>* can be mathematically expressed in the (3),

$$Q_{C_a} (Q_{C_1}) = \int_{t_c}^{(\pi-t_c)} I_L(t) d\omega t \quad (3)$$

where, *I<sub>L</sub>*(*t*) denotes load current. For an RL load, the net charge of capacitors *C<sub>a</sub>* and *C<sub>1</sub>* can be mathematically

TABLE 6. Current stress of switches during 11L operation.

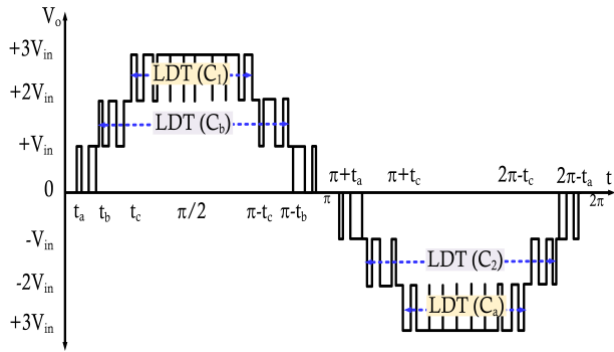
Level	S <sub>1</sub>	S <sub>1</sub> '	S <sub>2</sub>	S <sub>2</sub> '	S <sub>3</sub>	S <sub>3</sub> '	S <sub>4</sub>	S <sub>4</sub> '	S <sub>5</sub>	S <sub>5</sub> '	V <sub>o</sub>
1			-	-							+V <sub>in</sub> /2
2	<i>i<sub>cb</sub></i>	-	( <i>i<sub>c1,2</sub></i> )+ <i>i<sub>o</sub></i>	( <i>i<sub>c1</sub></i> = <i>i<sub>c2</sub></i> )	-						+V <sub>in</sub>
3			-	-	<i>i<sub>o</sub></i>						+3V <sub>in</sub> /2
4	-	<i>i<sub>ca</sub></i> + <i>i<sub>o</sub></i>	( <i>i<sub>c1,2</sub></i> )+ <i>i<sub>o</sub></i>	( <i>i<sub>c1</sub></i> = <i>i<sub>c2</sub></i> )	-		<i>i<sub>o</sub></i>				+2V <sub>in</sub>
5			-	-	<i>i<sub>o</sub></i>						+5V <sub>in</sub> /2
6	<i>i<sub>cb</sub></i>	-	( <i>i<sub>c1</sub></i> = <i>i<sub>c2</sub></i> )	( <i>i<sub>c1,2</sub></i> )- <i>i<sub>o</sub></i>	-						0
7			-	-	<i>i<sub>o</sub></i>						-V <sub>in</sub> /2
8	-	<i>i<sub>ca</sub></i>	( <i>i<sub>c1</sub></i> = <i>i<sub>c2</sub></i> )	( <i>i<sub>c1,2</sub></i> )- <i>i<sub>o</sub></i>	-						-V <sub>in</sub>
9			-	-	<i>i<sub>o</sub></i>		<i>i<sub>o</sub></i>				-3V <sub>in</sub> /2
10	<i>i<sub>o</sub></i>	-	( <i>i<sub>c1</sub></i> = <i>i<sub>c2</sub></i> )	( <i>i<sub>c1,2</sub></i> )- <i>i<sub>o</sub></i>	-						-2V <sub>in</sub>
11			-	-	<i>i<sub>o</sub></i>						-5V <sub>in</sub> /2

expressed in the (4),

$$Q_{C_a} (Q_{C_1}) = \int_{t_c}^{(\pi-t_c)} I_m \sin(\omega t - \phi) d\omega t \quad (4)$$

where, *I<sub>m</sub>* is the maximum value of the load current. On simplification, the charge in capacitors *C<sub>a</sub>* and *C<sub>1</sub>* can be estimated using the (5) below,

$$Q_{C_a} (Q_{C_1}) = \frac{I_m}{\omega} [\cos(\omega t_c) - \cos\omega(\pi - t_c)] \quad (5)$$



**FIGURE 3.** Charging and discharging time of the capacitors for synthesizing 7L voltage waveform.

By substituting the calculated charge in the equation (3), the optimum value of capacitance can be found in the (6),

$$C_{a-opt/1-opt} = \frac{Q_{C_a} (Q_{C_1})}{k \times V_{in}} = \frac{I_m}{k \times V_{in} \times \omega} [\cos(\omega t_c) - \cos \omega(\pi - t_c)] \quad (6)$$

Similar to the capacitors  $C_a$  and  $C_1$ , the optimum values of  $C_b$  and  $C_2$  are also calculated. Considering the LDT of  $C_b$  and  $C_2$ , which is in between  $t_b$  and  $\pi - t_b$ , the value of net charge and the optimum value of capacitance is calculated as (7),

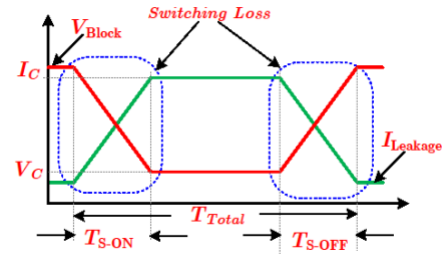
$$Q_{C_b} (Q_{C_2}) = \frac{I_m}{\omega} [\cos(\omega t_c) - \cos \omega(\pi - t_c)] \quad (7)$$

$$C_{b-opt/2-opt} = \frac{Q_{C_b} (Q_{C_2})}{k \times V_{in}} = \frac{I_m}{k \times V_{in} \times \omega} [\cos(\omega t_b) - \cos \omega(\pi - t_b)] \quad (8)$$

where,  $t_a = 0$  ;  $t_b = 19.47/\omega$  ;  $t_c = 41.81/\omega$

### D. LOSS EQUATIONS

There are three significant losses in the inverters they are conduction losses, switching losses, and capacitor ripple losses. The conduction losses are the losses due to the internal resistance of the capacitor and switches while synthesizing different voltage levels. From Fig.3, the equivalent circuits are constructed for synthesizing each level, shown in Table 7. In the equivalent circuits,  $R_S$ -is the switch resistance,  $V_d$ -is the forward voltage drop across the diode,  $R_d$ -is the diode resistance, and  $V_{C_x}$  ( $x = 1/2/a/b$ )-is the capacitor voltage. To estimate the conduction loss, the equivalent circuits of each level have been utilized, and the respective expressions for instantaneous and average conduction loss are presented in the fourth column of Table 7. The same method can be used for deducing the conduction losses for the proposed topology when operated in 11L output voltage generation. These are the losses while synthesizing each level, to compute



**FIGURE 4.** Linearized switching model of a power electronic switch.

the average losses, the number of times each level occurs over a cycle is multiplied and divided by the period T.

From Table 7, the total conduction losses can be computed is given in (9)

$$P_{con} = \sum_{i=0}^{N_{Level}} P_{C_i}^+ + P_{C_i}^- \quad (9)$$

The switching characteristics of a practical switch are shown in Fig. 4. The turn-on and turn-off delay times result in energy losses in each switch according to their switching sequence. The energy lost while turning on a power electronic switch can be estimated by the (10),

$$E_{ON} = \frac{V_C i_C}{6} t_{on} \quad (10)$$

Here  $V_C$  is the voltage drop across the internal resistance of the switch during conduction.  $i_C$  is the current through the switch during the conduction level concerned.  $t_{on}$  is the finite turn-on time. Similarly, from the linearized switching model, the energy dissipated in a switch when it is turned OFF can be determined using the (11)

$$E_{OFF} = \frac{V_{Block} i_C}{6} t_{off} \quad (11)$$

Here  $V_{Block}$  is the blocking voltage of the switch, and  $t_{off}$  is the time taken for the switch to turn off. The average switching loss can be determined using the (12) and (13). Where  $f$  is the frequency, and ‘n’ is the number of switching instants per cycle.

$$P_{SL} = n \times f (E_{on} + E_{OFF}) \quad (12)$$

$$P_{SL} = \sum_{i=1}^{N_{Switch}} P_{SL-i} \quad (13)$$

The capacitor ripple voltage arises due to the difference between the desired and actual voltage at its terminals at any instant. If  $i_{cn}$  is the current through the capacitor ‘n’ and  $C_p$  is the capacitance of capacitor ‘p’, then the voltage ripple can be estimated using the (14) as given in [22]

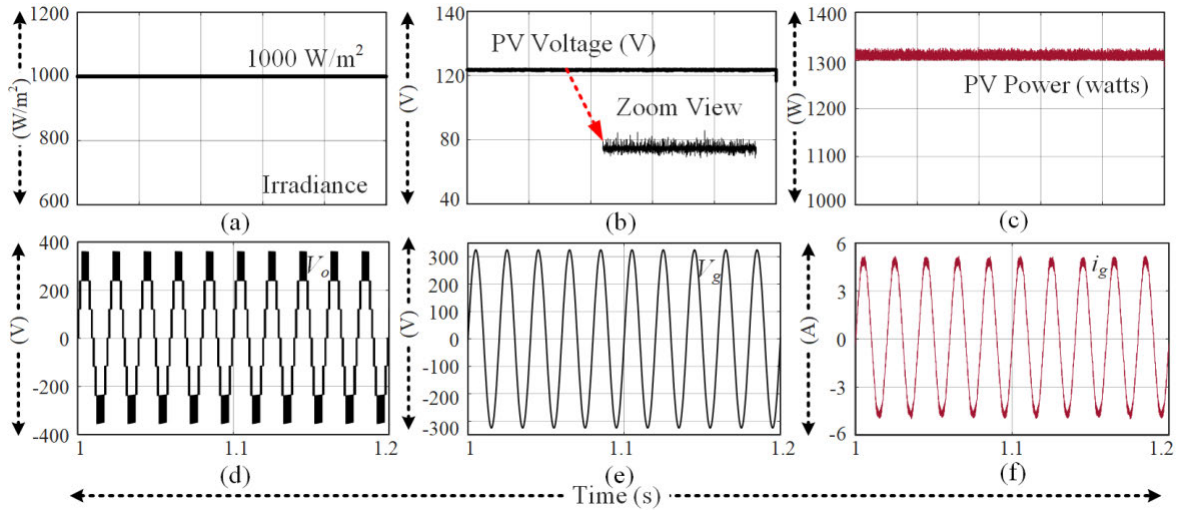
$$\Delta V_{cp} = \frac{1}{2\pi f C_p} \int_{\alpha_m}^{\alpha_n} i_{cp}(t) dt \quad (14)$$

The total ripple loss is the sum of the losses incurred across the capacitors  $C_1$  to  $C_4$ , and it can be expressed in (15)

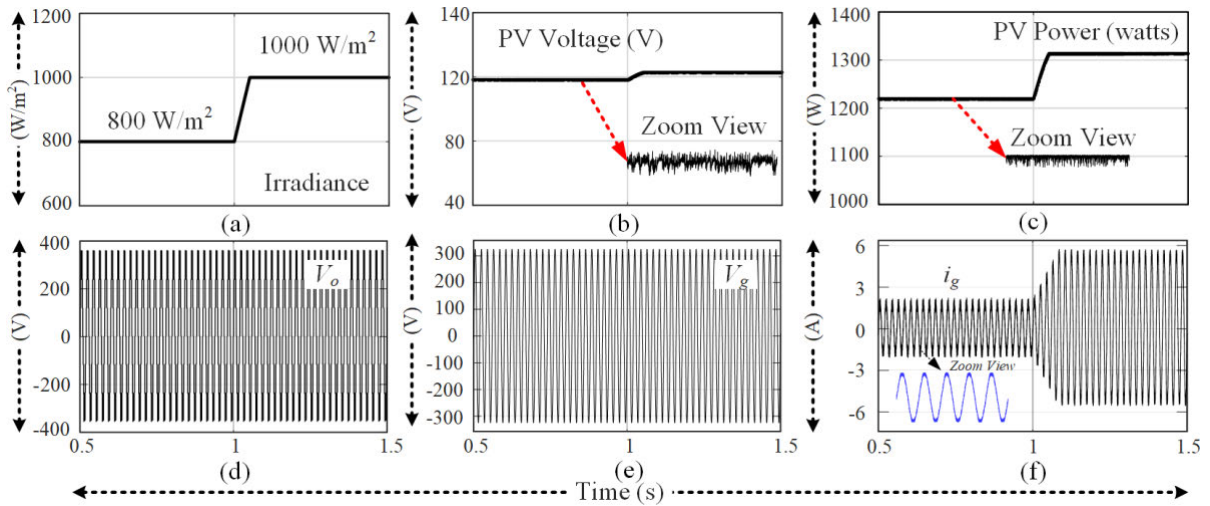
$$P_{R-L} = \frac{1}{2\pi} \sum_{k=1}^4 C_k \Delta V_{cp}^2 \quad (15)$$

TABLE 7. Conduction loss equations for the 7-level topology.

$V_o$	Equivalent Circuit	Expressions for charging and Load Current	Expressions for instantaneous and average conduction loss
$+V_{in}$		$i_{C1} = \frac{V_{in} - V_{C1}}{2R_s + R_{C1}};$ $i_{C_b} = \frac{V_{in} - V_{C_b} - V_{D2}}{R_s + R_d + R_{C_b}};$ $i_{C_2} = i_{C_a} = 0;$ $i_o = \frac{V_{C_b}}{4R_s + R_{C_b} + R_L};$	$p_{C1} = i_{C1}^2 (2R_s + R_{C1}) + i_o^2 (4R_s + R_{C_b})$ $+ i_{C_b}^2 (R_s + R_{C_b} + R_d)$ $P_{C1} = \frac{2(t_b - t_a)' p_{C1}}{T}$
$-V_{in}$		$i_{C2} = \frac{V_{in} - V_{C2}}{2R_s + R_{C2}};$ $i_o = \frac{V_{C_a}}{4R_s + R_{C_a} + R_L};$ $i_{C_a} = \frac{V_{in} - V_{D1} - V_{C_a}}{R_s + R_d + R_{C_a}};$ $i_{C_2} = i_{C_b} = 0;$	$p_{C1}' = i_{C2}^2 (2R_s + R_{C2}) + i_o^2 (4R_s + R_{C_a})$ $+ i_{C_a}^2 (R_s + R_{C_a} + R_d)$ $P_{C1} = \frac{2(t_b - t_a)' p_{C1}'}{T}$
$2V_{in}$		$i_{C2} = \frac{V_{in} - V_{C1}}{2R_s + R_{C2}};$ $i_o = \frac{V_{in} + V_{C_b}}{4R_s + R_{C_b} + R_L};$ $i_{C_a} = \frac{V_{in} - V_{D1} - V_{C_a}}{R_s + R_d + R_{C_a}};$ $i_{C1} = i_{C_b} = 0;$	$p_{C2} = i_{C2}^2 (2R_s + R_{C1}) + i_o^2 (4R_s + R_{C_b})$ $+ i_{C_a}^2 (R_s + R_{C_a} + R_d)$ $P_{C2} = \frac{2(t_c - t_b)' p_{C2}}{T}$
$-2V_{in}$		$i_{C1} = \frac{V_{in} - V_{C1}}{2R_s + R_{C1}};$ $i_o = \frac{V_{in} + V_{C_b} + V_{C2}}{4R_s + R_{C_b} + R_L};$ $i_{C_a} = \frac{V_{in} - V_{D1} - V_{C_a}}{R_s + R_d + R_{C_a}};$	$p_{C2}' = i_{C1}^2 (2R_s + R_{C1}) + i_o^2 (3R_s + R_{C2} + R_d)$ $+ i_{C_a}^2 (R_s + R_{C_a} + R_d)$ $P_{C2} = \frac{2(t_c - t_b)' p_{C2}'}{T}$
$3V_{in}$		$i_o = \frac{V_{C_b} + V_{in} + V_{C1}}{4R_s + R_{C1} + R_{C_b} + R_L};$ $i_{C_b} = \frac{V_{in} - V_{C_a} - V_{D1}}{R_s + R_d + R_{C_a}};$ $i_{C2} = \frac{V_{in} - V_{C1}}{2R_s + R_{C2}};$	$p_{C3} = i_{C2}^2 (2R_s + R_{C2}) + i_o^2 (4R_s + R_{C_b} + R_{C1})$ $+ i_{C_a}^2 (R_s + R_{C_a} + R_d)$ $P_{C3} = \frac{(p - 2t_c)' p_{C3}}{T}$
$-3V_{in}$		$i_o = \frac{V_{C_a} + V_{in} + V_{C2}}{4R_s + R_{C2} + R_{C_a} + R_L};$ $i_{C_b} = \frac{V_{in} - V_{C_b} - V_{D2}}{R_s + R_d + R_{C_b}};$ $i_{C1} = \frac{V_{in} - V_{C1}}{2R_s + R_{C1}};$	$p_{C3}' = i_{C1}^2 (2R_s + R_{C1}) + i_o^2 (4R_s + R_{C_a} + R_{C2})$ $+ i_{C_b}^2 (R_s + R_{C_b} + R_d)$ $P_{C3} = \frac{(p - 2t_c)' p_{C3}'}{T}$



**FIGURE 5.** Simulation results of (a)-(c) Irradiance level, PV voltage, and PV power, (d) Inverter voltage ( $V_o$ ), (e) Grid voltage ( $v_g$ ), and (f) Grid current ( $i_g$ ).



**FIGURE 6.** Simulation results during a change in irradiance level (a)-(c) Irradiance, PV voltage and PV power, (d) Inverter output voltage ( $V_o$ ), (e) Grid voltage ( $v_g$ ), and (f) Grid current ( $i_g$ ).

The energy loss in a practical switch while turning ON and turning OFF can be estimated using the loss equations explained in [15]. The average power loss can be estimated using the frequency and number of switching instants from the energy loss. Thus, the net loss in any inverter can be expressed in (16) and (17),

$$P_{Loss} = P_{con} + P_{sw} + P_{R-L} \quad (16)$$

$$\eta = \frac{P_{out}}{P_{in} + P_{Loss}} \quad (17)$$

### E. CAPACITOR VOLTAGE BALANCING

The prominent setback in conventional multilevel inverter topologies like neutral point clamped and flying capacitor multilevel inverters is that, with the increase in the number of levels in the terminal voltage, the capacitor voltage unbalance

will occur [23], [24]. To overcome this setback, there are separate voltage balancing circuits need to be deployed, which makes the circuit bulky and reduces reliability. In the proposed topology, during 7L operation all four capacitors,  $C_1$ ,  $C_2$ ,  $C_a$ , and  $C_b$  are connected individually to the voltage source. The voltage of the source is not divided among the capacitors; hence, the balance is inherently achieved during the 7L operation. In 11L operation, the capacitors  $C_a$  and  $C_b$  are always connected individually in parallel to the voltage source; hence, their voltage is always equal to the source voltage. But the capacitors  $C_1$  and  $C_2$  are connected in series and then clamped parallel to the voltage source. In this case, the capacitor  $C_1$  conducts for the positive voltage levels, and  $C_2$  conducts for the corresponding negative voltage level, so if the current through the two capacitors  $C_1$  and  $C_2$  over a cycle is summed up, the value will be zero.

**TABLE 8. Prototype Specifications.**

Parameters / Components	Type and Description
Input Voltage ( $V_{dc}$ )	100 V
Output Voltage ( $V_o$ )	300 V (7L) & 250 V (11L)
Switching ( $f_{cr}$ )	5 kHz
Output Frequency ( $f$ )	50 Hz
Capacitor $C_1, C_2, C_3$ & $C_4$	2700 $\mu$ F, 160 V
Switch	IXXH50N60C3D1 / 600 V, 50 A
Gate Driver	HCPL-J312
Digital Controller	TMS320F28379D
Diode	VS-60EPU02-N3 / 200V, 60 A
Load Parameters	50 $\Omega$ , 50+j80 $\Omega$ , 80+j50 $\Omega$

This implies that the capacitors  $C_1$  and  $C_2$  are inherently balanced.

### III. RESULT AND DISCUSSIONS

The proposed 7L and 11L has been simulated in MATLAB/Simulink platform to test its operability. Initially, the performance has been checked considering the constant irradiance of 1000 W/m<sup>2</sup> and 25°C, and the respective results are illustrated in Fig. 5(a)-(f). Further the performance has been tested by varying the irradiance level from 800 W/m<sup>2</sup> to 1000 W/m<sup>2</sup> at 25°C, and the corresponding results are depicted in Fig. 6(a)-(f).

The proposed 7L and 11L topologies have been experimentally validated using a laboratory setup of 900 W. The circuit devices and their specification for the experimental prototype are listed in Table 8. Both 7L with triple voltage gain and 11L with a voltage gain of 2.5 topologies have been tested under static and dynamic operating conditions, such as input change, different load conditions, and modulation index variations. Based on the design calculations in equations (6) and (8), the capacitor model SLPX272M160H9P3 has been considered, with an ESR value of 0.074  $\Omega$ . Initially, a resistive load of R=50  $\Omega$  is chosen for an input voltage of 100 V, a 7L stepped waveform with a peak value of 300 V is obtained, and the load current is 6 A peak as illustrated in Fig. 7(a). The proposed topology is subjected to two different RL loads, R=50  $\Omega$  and L = 80 mH and R=80  $\Omega$  and L = 50 mH and their performance is tested. The terminal voltage, load current, and capacitor voltages obtained at the above load conditions are portrayed in Fig. 7(b) and Fig. 7(c). For a source voltage of 100 V, the terminal voltage is 300 V, verifying its voltage boosting ability, i.e., voltage gain is 3. The load currents are observed as 5.4 and 3.6 A for the said loads. In both loads, it is observed that the voltages across the capacitors are inherently balanced at 100 V. Further, when compared to the resistive load, the load current is smoothed in the inductive loading, with the inductance being a ripple filter. Fig. 8(a) shows the experimental waveforms of, terminal voltage, load current, and capacitor voltages  $V_{Ca}$  and  $V_{C2}$  when an input voltage change of 80 V to 100 V is considered. It is observed that the load and capacitors smoothly accommodate the input change. At time t=1.5 s, the load

demand is varied from R= 50 $\Omega$  to R-L Load (50+j80)  $\Omega$ , and the respective experimental results of terminal voltage, load current, and capacitor voltages  $V_{Cb}$  and  $V_{C1}$  are depicted in Fig. 8(b). It can be observed that the capacitor voltage is well-balanced, confirming its self-balancing nature, while the load demand is varied. Fig. 9(a) and 9(b) show the experimental results when the modulation index is altered to test the performance of the proposed 7L inverter. While changing the modulation index from 1 to 0.8 and from 0.8 to 0.3, it is observed intact during the above variations with a smooth changeover of terminal voltage and load current. Similar to the 7L operation, the performance of the 11L with a voltage gain of 2.5 is also tested with different scenarios. The waveforms of terminal voltage, load current, and capacitor voltages  $V_{Ca}$  and  $V_{Cb}$  are shown in Fig. 10(a) when a load of R=50  $\Omega$  and an input of 100 V is applied. The proposed topology delivers a terminal voltage with a 250 V peak for an input voltage of 100 V, i.e., a voltage boost 2.5. The capacitor voltages shown in Fig. 10(c) indicate that the capacitor voltages  $V_{C1}$  and  $V_{C2}$  are balanced with input voltage equally divided, wherein the capacitor voltages of  $V_{Ca}$  and  $V_{Cb}$  are 100 V each. The response of the 11L operation, when subjected to an input change of 80 V to 100 V and during the transient load demand of 50 $\Omega$  to (50+j80)  $\Omega$ , is depicted in Fig. 11(a) and 11(b). Furthermore, while varying the modulation index values from 1 to 0.8 and from 0.8 to 0.5, the proposed topology's response is shown in Fig. 12(a) and 12(b).

The PLECS (Piecewise Linear Electrical Circuit Simulation) software tool has been used to analyse the power loss of the proposed 7L and 11L configurations. For this purpose, the thermal modelling of the proposed topologies has been done using the Infineon IGBT switch model IKW50N60DTP with its anti-parallel diode, and the maintained ambient temperature value is 25°C. The efficiency is obtained by considering the input of 100 V with different load values. Figures 13(a) and 13(b) show the efficiency graph of 7L and 11L configurations for different output powers. It is observed that the maximum simulation efficiency when the topology performs 7L operation is 98.5% at 0.4 kW, whereas it is 96.74% for 11L operation. Further, Fig. 13(c) depicts the filled radar chart of loss distribution among semiconductor switches and diodes at 0.9 kW output power during 7L and 11L operations.

### IV. COMPARISON WITH OTHER RECENT TOPOLOGIE

Recent topologies with 7L and 11L have been compared with the proposed topology to highlight their unique benefits. Table 9 shows that the 7L topologies are first compared, followed by a comparison of the 11L topologies.

The comparative assessment is performed using the key metrics such as components used (number of switches, drivers, diodes, capacitors, and inductors), voltage gain, maximum blocking voltage per unit (MBV<sub>p.u.</sub>), total standing voltage per unit (TSV<sub>p.u./NL</sub>), different ratios MBV<sub>p.u./Gain</sub>, TSV<sub>p.u./NL</sub>,  $T_C / N_L$ ,  $T_C / \text{Gain}$ , variety of capacitors utilized, and efficiency. The following observations are made



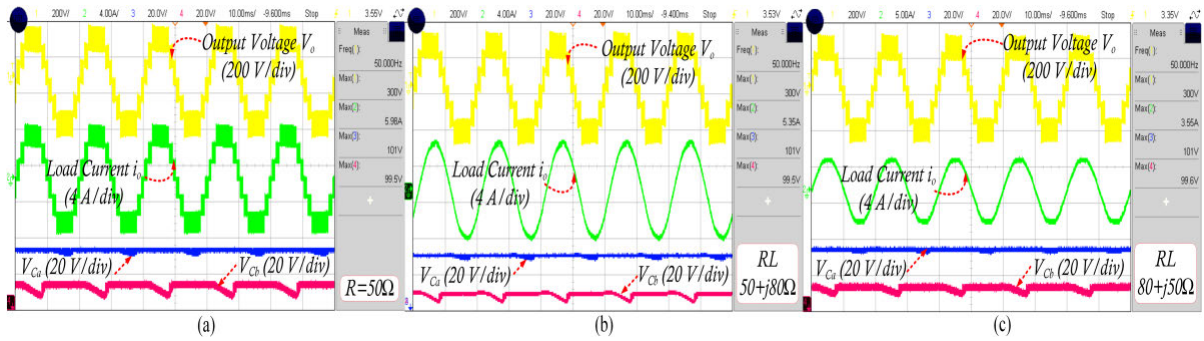


FIGURE 7. 7L operation, (a) R-Load (50 Ω), (b) R-L Load (50+j80 Ω), (c) R-L Load (80+j50 Ω).

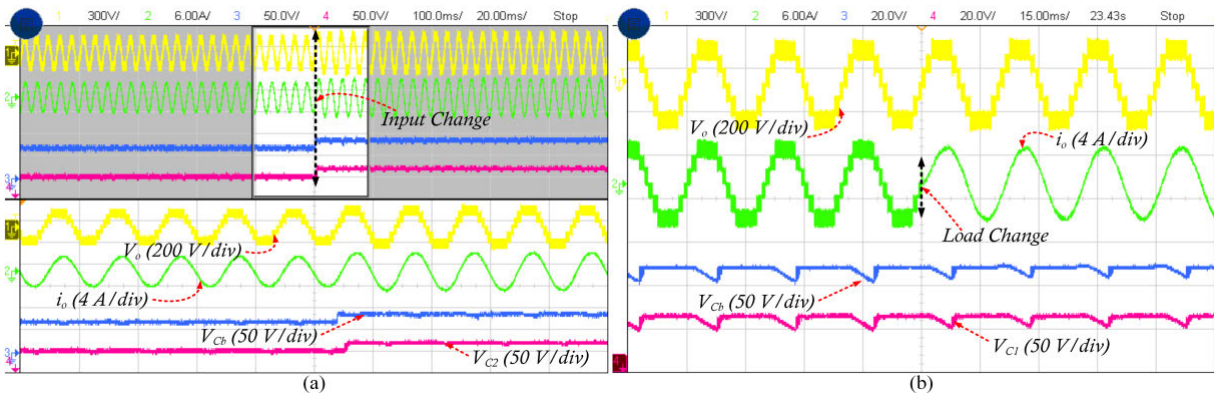


FIGURE 8. 7L operation, (a) Step change in input 80 V to 100 V, (b) Load change from R to R-L Load (50+j80 Ω).

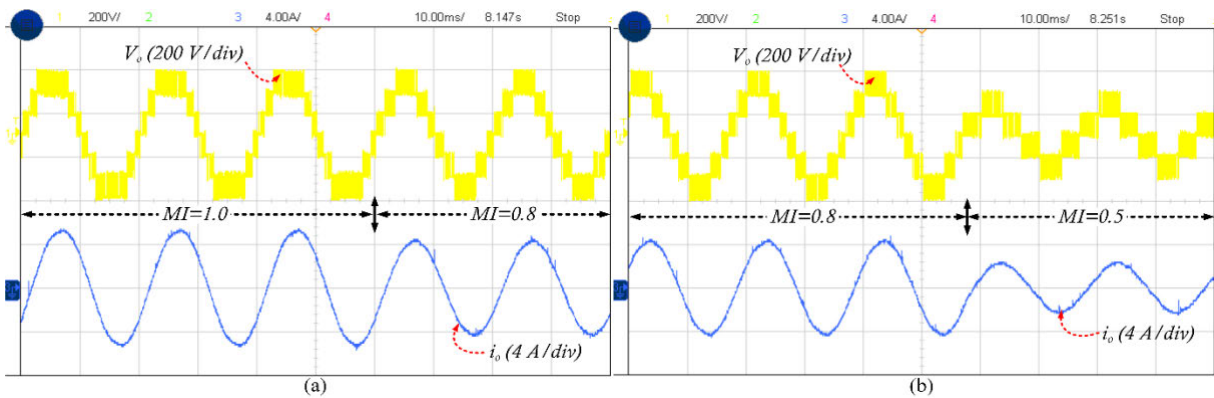


FIGURE 9. 7L operation, (a) Change in modulation index from 1 to 0.8, (d) Change in modulation index from 0.8 to 0.3.

while comparing the proposed topology with the topologies [10], [11], [12], [13], [14], [15], [16], [17], [18], [19], [30], [31], [32], [33] of Table 9. The proposed topology uses ten power switches, out of which two switches do not require anti-parallel diodes. It uses the third least number of components in the list. Though the topologies [11], and [13] use a smaller number of switches than the proposed topology, they can provide a voltage gain of 1.5, which is lower than the proposed topology. Even though the topology in [14] can provide a triple voltage boost like the proposed topology,

the  $MBV_{p.u.}$  of two of their switches is equal to the output voltage, i.e.,  $3V_{in}$ . While the topologies [10], [12], and [31] use the same power component counts with two and one driver less than the proposed topology, they can boost the input voltage to 1.5 times. The topology proposed in [16] can boost the input voltage to three times using ten switches, but their  $TSV_{p.u.}$  is higher than the proposed topology. Regarding  $MBV_{p.u.}$ , the proposed topology is second-least among the topologies under comparison. The  $TSV_{p.u.}$  value for the proposed topology is lower than that of all other topologies

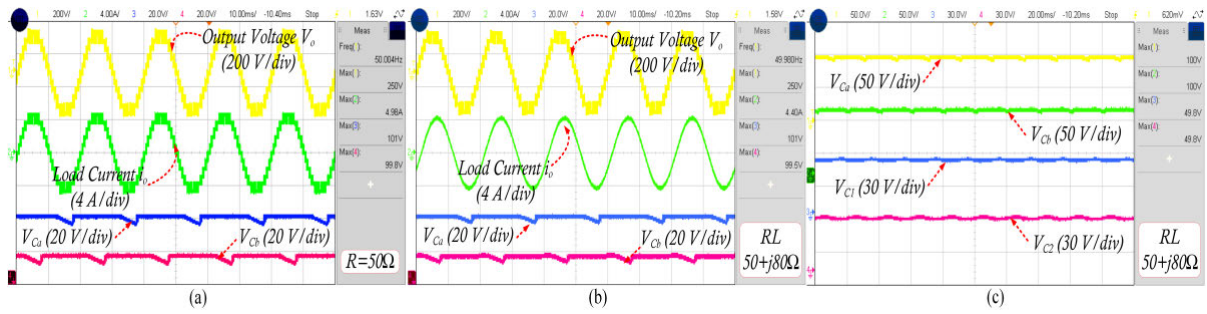


FIGURE 10. 11L operation, (a) R-Load (50 Ω), (b) R-L Load (50+j80 Ω), (c) Capacitor voltages  $V_{Ca}$ ,  $V_{Cb}$ ,  $V_{C1}$ , and  $V_{C2}$ .

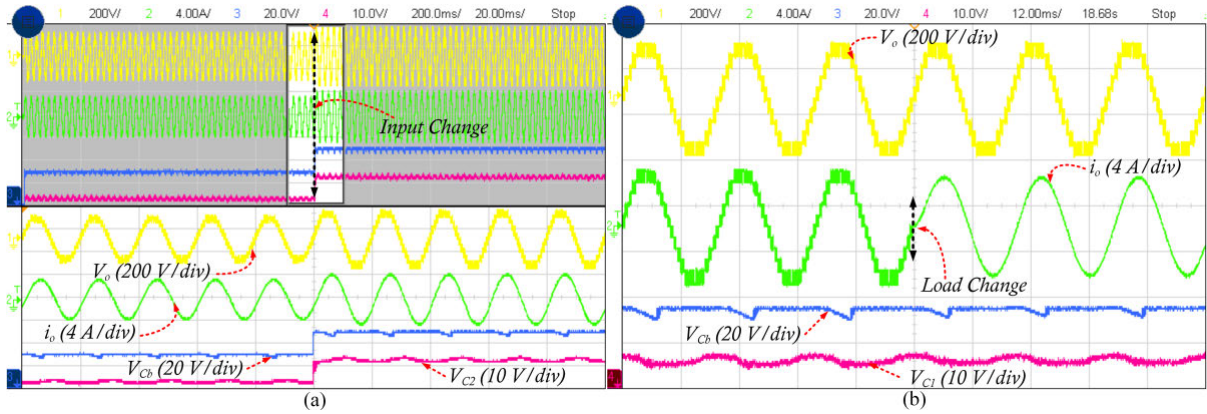


FIGURE 11. 11L operation, (a) Step change in input 80 V to 100 V, (b) Load change from R to R-L Load (50+j80 Ω).

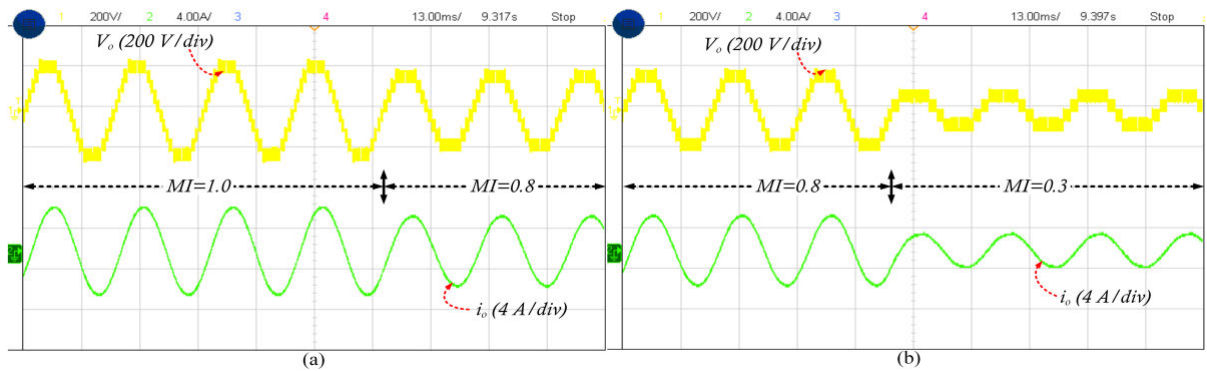


FIGURE 12. 11L operation, (a) Change in modulation index from 1 to 0.8, (d) Change in modulation index from 0.8 to 0.3.

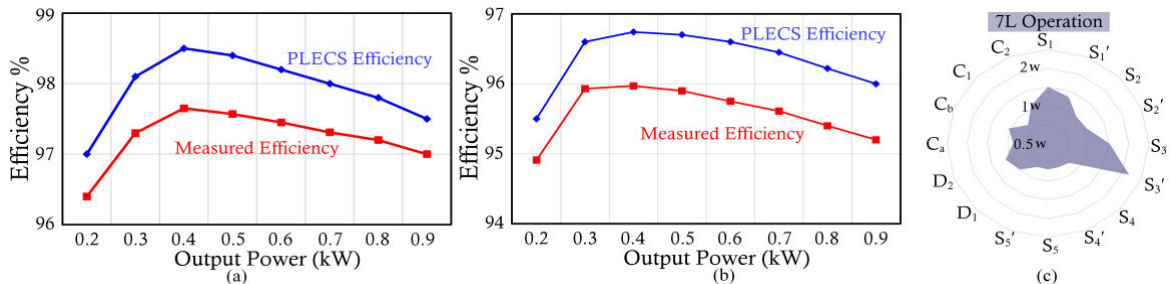


FIGURE 13. Simulation efficiency, (a) 7L operation, (d) 11L operation, (c) PLECS loss distribution during 7L operation with a voltage gain of 3.

except the one presented in [15], which stands at 4.3, slightly better than the 4.6 TSVp.u. value observed in the proposed

topology. However, the  $MBV_{p.u.}$  of [15] is higher than the proposed topology. In Table 9, when considering the ratio

TABLE 9. Comparison with other similar topologies.

Ref	N <sub>L</sub>	A	B	C	D	E	F	G	H	I	J	K	L	M					N
														C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	C <sub>5</sub>	
[10]	7L	1	10	8	0	2	0	1.5	1	5.3	0.67	0.8	14	V <sub>in</sub> /2	V <sub>in</sub> /2	V <sub>in</sub>	-	-	NR
[11]		1	9	9	0	1	0	1.5	1	7	0.67	1	13.3	V <sub>in</sub> /2	-	-	-	-	NR
[12]		1	10	9	0	3	0	1.5	1	5.7	0.67	0.8	15.3	V <sub>in</sub> /2	V <sub>in</sub> /2	V <sub>in</sub>	-	-	96
[13]		1	8	7	2	4	0	1.5	1	4.7	0.67	0.7	14.7	V <sub>in</sub> /2	V <sub>in</sub> /2	V <sub>in</sub> /2	V <sub>in</sub> /2	-	97*
[14]		1	12	12	4	2	0	2	2	8	1	1.1	15.5	V <sub>in</sub>	-	-	-	-	NR
[15]		1	9	9	2	3	0	3	3	4.3	1	0.6	8	V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	-	-	89
[16]		1	10	8	1	2	0	3	3	6.7	1	0.9	7.3	V <sub>in</sub>	V <sub>in</sub>	-	-	-	96*
[17]		1	12	11	0	2	0	3	2	5.3	0.67	0.8	8.7	V <sub>in</sub>	V <sub>in</sub>	-	-	-	97
[18]		1	14	14	0	2	0	3	2	5.3	0.67	0.8	10.3	V <sub>in</sub>	V <sub>in</sub>	-	-	-	92.5
[19]		1	16	10	0	3	0	3	3	16	1	2.3	10	V <sub>in</sub> /2	V <sub>in</sub> /2	V <sub>in</sub>	-	-	NR
[30]		1	12	11	0	2	1	3	2	5.33	0.67	0.76	9	V <sub>in</sub>	V <sub>in</sub>	-	-	-	98.7*
[31]		1	10	8	0	2	0	1.5	1	8	0.67	1.14	14	V <sub>in</sub> /2	V <sub>in</sub> /2	-	-	-	97.6
[32]		1	11	11	3	3	1	3	2	5	0.67	0.71	10	V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	-	-	98*
[33]		1	12	11	4	4	0	1.5	2	9.33	1.33	1.33	21.3	V <sub>in</sub> /2	V <sub>in</sub> /2	V <sub>in</sub> /2	V <sub>in</sub> /2	-	96.7
[P]		1	10	10	2	4	0	3	2	4.6	0.67	0.66	9	V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	V <sub>in</sub>	-	97.2
[20]		11L	1	12	7	0	2	0	1.25	2	6.5	1.6	0.6	17.6	V <sub>in</sub> /4	V <sub>in</sub> /4	-	-	-
[25]	1		14	6	0	5	0	1	2	4	2	0.4	24	V <sub>in</sub> /5	V <sub>in</sub> /5	.6V <sub>in</sub>	.4V <sub>in</sub>	.1V <sub>in</sub>	NR
[26]	1		11	10	0	2	0	1.25	1	6.2	0.8	0.6	19.2	V <sub>in</sub> /4	V <sub>in</sub> /4	-	-	-	96.4
[27]	2		11	11	0	1	0	1.25	4	5.3	3.2	0.5	20	V <sub>in</sub>	-	-	-	-	95.2*
[28]	2		8	8	1	1	0	1.67	3	6	1.8	0.5	12	V <sub>in</sub>	-	-	-	-	96.5*
[29]	1		14	12	2	3	0	2.5	2	6.4	0.8	0.6	12.8	V <sub>in</sub> /2	V <sub>in</sub> /2	V <sub>in</sub>	-	-	96.75*
[34]	2		8	7	0	2	0	2.5	2.5	4.4	1	0.4	7.6	V <sub>in</sub> /2	V <sub>in</sub> /2	-	-	-	NR
[35]	2		10	9	2	2	0	1.67	1.67	6.2	1	0.56	14.9	V <sub>in</sub>	V <sub>in</sub>	-	-	-	96.2*
[P]	1		10	10	2	4	0	2.5	2	4.4	0.8	0.4	10.8	V <sub>in</sub> /2	V <sub>in</sub> /2	V <sub>in</sub>	V <sub>in</sub>	-	96.5

N<sub>L</sub>: Number of Levels, A: Source Count, B: Switch Count, C: Driver Count, D: Diode Count, E: Capacitor Count, F: Inductor Count, G: Gain, H: MBV<sub>p.u.</sub>, I: TSV<sub>p.u.</sub>, J: MBV<sub>p.u.</sub>/Gain, K: TSV<sub>p.u.</sub>/N<sub>L</sub>, L: T<sub>C</sub>/Gain, M: Variety of Capacitors, N: Efficiency, NR: Not Reported, \*: Simulation Efficiency.

TSV<sub>p.u.</sub>/N<sub>L</sub>, the proposed topology stands out as having the second lowest value among all the 7L topologies. Furthermore, when comparing the ratio of TC/Gain for the proposed topology, it shows a value of 9, which is slightly higher than the ratios in topologies [16] and [17], which have values of 7.3 and 8.7 respectively. The comparison of the proposed topology when it is operated in 11L mode is done using other recent 11L topologies [20], [25], [26], [27], [28], [29], [34], and [35] as listed in Table 9. Except for the topology [28] and [34], all other topologies use more power components to generate 11L output voltage than the proposed topology. However, the topology in [28] and [34] requires two DC sources to obtain 11L output voltage generation. While considering the boosting ability, the proposed topology and the one in [29] and [34] can boost the input voltage to 2.5 times. The topologies [20], [26], [27], [28], [29], and [35] have a boosting factor lower than the proposed topology. The MBV<sub>p.u.</sub> of the proposed topology is 2, which is slightly higher than 1.67 of topology [28] and [35], despite the fact that [28] relies on three DC sources and [35] needs two DC sources. The value of TSV<sub>p.u.</sub> of the proposed topology is 4.4, the second lowest value in Table 9. The topology in [25] has the lowest TSV<sub>p.u.</sub> value at the cost of a higher number of power switches. Regarding the ratio of T<sub>C</sub>/Gain, the proposed topology has the minimum value when compared with all other topologies. In addition, several topologies that use switched capacitors to achieve voltage boost and more output voltage levels 5L [36] and [37], 7L [38], 9L [39],

[40], [41], and 13L [42] and [43] have been proposed in recent years. However, each of these topologies has its own advantages, including the ability to boost voltage, a reduced number of devices, and a soft charging method to reduce the inrush current, among others. Compared to the proposed topology, however, the number of switches is high and the voltage boosting versus number of output voltage levels is low.

### V. CONCLUSION

A high-step-up switched capacitor multilevel inverter has been proposed. Its capability to deliver 7L and 11L without modifying the circuit structure is analyzed. The aspects like the number of levels, component count, and total standing voltage are compared with the recent topologies. From the comparison, it is established that it is significantly better than the topologies presented in the literature with its reduced component count, voltage stress, current stress and higher number of levels. Regarding the capacitor rating, the topology presented can be operated in both modes without the need to change the capacitor rating. Further, it is observed that the components-to-gain ratio is very minimal when compared to the other topologies synthesizing the same number of levels. The simulation and experimental prototypes are tested under dynamic conditions like sudden load/modulation index, and it is found that the topology smoothly handles the transition between the states. On the basis of performance and suitability for three-phase systems (like CHB three phase

configuration), the proposed topologies are the best option for PV and fuel cell applications requiring high voltage boosting.

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