

Received 3 September 2023, accepted 16 September 2023, date of publication 20 September 2023, date of current version 27 September 2023.

Digital Object Identifier 10.1109/ACCESS.2023.3317432

## RESEARCH ARTICLE

# Full-Bridge Diode-Clamped Four-Level Symmetric Switching Converter

MIN-SUP SONG<sup>1</sup>, JAEWON KIM<sup>1</sup>, AND JAE-BUM LEE<sup>2</sup>

<sup>1</sup>Smart Electrical & Signaling Division, Electrification System Research Department, Korea Railroad Research Institute, Uiwang-si 16105, South Korea

<sup>2</sup>Department of Railroad Electrical and Electronics Engineering, Korea National University of Transportation, Uiwang-si 16106, South Korea

Corresponding author: Min-Sup Song (mssong@krii.re.kr)

This work was supported by the Korea Institute of Energy Technology Evaluation and Planning (KETEP) grant funded by the Korea Government Ministry of Trade, Industry and Energy (MOTIE) (20225500000110, Design and Analysis of AC/DC Hybrid Distribution Networks).

**ABSTRACT** In this paper, we propose a novel full-bridge (FB) diode-clamped four-level symmetric switching converter. Multi-neighboring reference vector discontinuous PWM (MNRV DPWM) for FB topology was implemented using a symmetric half-bridge (HB)-based control approach that incorporates an offset voltage addition method. A diode-clamped four-level symmetrical switching circuit with pulse-amplitude-modulation (PAM) was proposed and its working principle was explained in detail. Voltage gain, duty loss factor, and zero-voltage-switching (ZVS) condition were derived by analyzing the steady-state operating characteristics of the proposed converter. The required input and output capacitance values were also calculated explicitly. The voltages across the series-connected input DC-link capacitors were well balanced and the output voltage was controlled in a simple linear manner by PAM. The proposed method offers the advantage of reducing circulating current when compared to the existing phase-shift method, thanks to the inclusion of an additional power delivery period. The effectiveness of the proposed converter was verified through simulation and prototype experiments. The power conversion efficiency exceeded 91.44% at an output power ( $P_O$ ) of 250W or higher, with a peak efficiency of 95.23% achieved at  $P_O = 750W$ .

**INDEX TERMS** Full-bridge, diode-clamped converter, four-level, symmetric switching, DC-link voltage balancing, pulse-amplitude-modulation, multi-neighboring reference vector discontinuous PWM.

## I. INTRODUCTION

Multilevel converters are popular in high-voltage applications because of their advantages such as the use of low rated voltage switches, low harmonic distortion generation, and reduced electromagnetic interference (EMI) [1], [2], [3], [4], [5]. Among many multilevel converters such as diode-clamped topology, flying capacitor method, and cascaded H-bridge type, diode-clamped type is widely used in the industry such as renewable energy systems and motor drives because of its simple power stage configuration [6], [7]. The diode-clamping topology is particularly useful in applications with limited power supply lines, such as rail vehicles where a single extra-high voltage power line is fed from an overhead catenary.

The associate editor coordinating the review of this manuscript and approving it for publication was Ton Duc Do<sup>1</sup>.

However, the diode-clamped method suffers from the voltage deviation problem of series-connected DC-link capacitors as the multilevel dimension increases [8]. DC voltage imbalances can impact the performance of the converter. They can lead to increased losses, reduced efficiency, and distortion in the output voltage waveform. In extreme cases, severe imbalances can trigger fault conditions or overvoltage events. To solve this problem, many studies have been conducted in the literature, including the use of auxiliary circuit [9], [10], predictive control [11], [12], fault detection and protection [13]. Auxiliary circuits for DC voltage balancing offer simplicity, reliability, and cost-effectiveness, but can have limited control capabilities, energy losses, slow response times, and issues related to component size and scalability. Using model predictive control for DC voltage balancing in diode-clamped multilevel inverters provides benefits such as optimal control, flexibility, fast response, and reduced

harmonics. However, it raises issues related to computational complexity, parameter tuning, implementation complexity, and robustness. Fault detection and protection mechanisms offer significant benefits in terms of reliability, safety and reduced maintenance costs. However, there are challenges associated with complexity, cost, potential for false alarms, response time, and compatibility.

Meanwhile, virtual-vector PWM (VVPWM) and carrier-overlapped PWM (COPWM) are successfully applied to multi-phase multilevel PWM inverters [14], [15], [16], [17], [18], [19], [20], [21]. VVPWM quickly eliminates capacitor voltage deviations within a carrier period by extending the reference vector to a virtual space vectors whose associated current sum is zero. Thus, the voltage ripple is greatly reduced. COPWM effectively eliminates the voltage deviation across the capacitors and preserves the *volt-time* product by using multiple geometrically elaborately designed overlapping carriers with a single command voltage. However, for full closed-loop control, laborious control such as zero-sequence voltage calculations or rather complex duty compensation designs are required. In addition, these two methods have a problem in that switching loss is increased compared to the general phase-deposition PWM method. Both methods are suitable for multi-phase multilevel inverters, but are difficult to apply to DC/DC converters with high switching frequencies.

A lot of research has been conducted on multi-phase multilevel modular converters such as input-series/output-parallel (ISOP) converters, and cascaded converters based on a two-level topology [22], [23], [24], [25], [26], [27]. These topologies can be easily scaled to accommodate varying system capacities, making them particularly advantageous for constructing high-capacity power systems. However, each sub-module requires additional passive components, and the number of secondary diodes or switches increases proportionally with the number of sub-modules, making the overall system bulky, complex, and low power density. A separate voltage balancing algorithm between sub-modules is also essential. The modular multilevel converter (MMC) is a promising technology in the high-voltage and high-power fields [5], [28], [29]. Like other modular converters, it offers advantages in terms of system scalability and high power quality. However, the system configuration is complex, involving a large number of power semiconductors along with multiple leveling capacitors, and it also requires an advanced sorting algorithm for voltage balancing between sub-modules.

Multi-neighboring reference vector discontinuous PWM (MNRV DPWM) applicable to full-bridge (FB) DC/DC converters has been reported recently [30], [31]. It is characterized in that several reference voltage vectors having different charge/discharge characteristics of the capacitors are selected and combined according to the position of the command voltage. With the aid of duty compensators designed to reduce the voltage deviations among DC-link capacitors, voltage balance between capacitors is easily achieved while

satisfying the magnitude of the command voltage on average. MNRV DPWM can be applied to any buck derived symmetric converter topology.

FB diode-clamped four-level LLC resonant converter using MNRV DPWM controls the output voltage by modulating the amplitude of the switching leg voltage instead of the conventional method of sweeping the switching frequency [30]. Pulse-amplitude-modulation (PAM) allows the switching frequency to be fixed at the resonant frequency, facilitating passive component design. However, since the resonant converter must be designed with a low magnetizing inductance ( $L_m$ ) to secure zero-voltage-switching (ZVS), there is a problem in that the internal circulating current increases.

On the other hand, a phase-shift FB (PSFB) converter operates by modulating the phase difference between the switching legs [32], [33]. Unlike resonant converters,  $L_m$  can be designed to be large, so the circulating current can be greatly reduced. Additionally, both the primary switch and secondary diode are switched smoothly, providing high efficiency over a wide load range. Unlike the resonant converter in which the input/output voltage gain is related to the complex trigonometric values, the output voltage of PSFB converter can be simply controlled by modulating the leg phase difference.

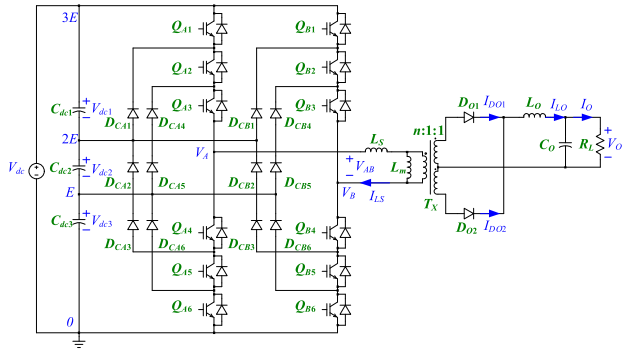
Meanwhile, it has been proven that the MNRV DPWM method for FB topology can be implemented as a symmetric control method of two half-bridges (HBs) constituting the FB [34]. In other words, the FB topology can be easily replaced with two HBs by aligning the symmetry point where the polarity of the command voltage changes and the boundary point where the switching area is divided. Symmetric HB-based control technique can be easily implemented with a well-known offset voltage addition method [35], [36]. This means that MNRV DPWM can be widely applied to two/three or more multi-phase power conversion systems in the future.

In this paper, a symmetric switching converter with offset voltage addition is proposed. The circuit and basic operation principle of the proposed converter are described in Section II. The detailed operating characteristics in steady state are analyzed in Section III, and the design parameters for input and output capacitors are expressed in Section IV. In Section V, the effectiveness of the proposed converter is confirmed and validated by simulations and 500W prototype circuit experiments. Section VI compares the proposed symmetric switching converter with a conventional isolated multilevel converter and elucidates its advantages and disadvantages. In the conclusion section VII, suitable application areas, along with the characteristics and pros and cons of the proposed converter, are outlined.

## II. PROPOSED FB DIODE-CLAMPED FOUR-LEVEL SYMMETRIC SWITCHING CONVERTER

### A. CIRCUIT STRUCTURE

The circuit of the proposed converter is shown in Fig. 1. The DC-link stage consists of series-connected three capacitors



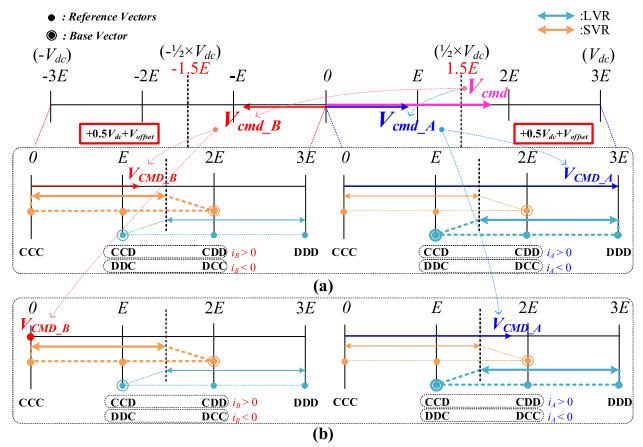
**FIGURE 1.** Circuit diagram of the proposed FB diode-clamped four-level symmetric switching converter.

$C_{dc1}$ ,  $C_{dc2}$ , and  $C_{dc3}$ . The switching stack consists of  $A$ -phase switches  $Q_{A1}$  to  $Q_{A6}$  and  $B$ -phase switches  $Q_{B1}$  to  $Q_{B6}$ , and clamping diodes  $D_{CA1} \sim D_{CA6}$  and  $D_{CB1} \sim D_{CB6}$ . Here, the four-level topology is represented with three clamp diodes in series, rather than two in series, in order to balance the voltage across the clamp diodes [37]. The series inductor  $L_S$  includes the leakage inductance of the transformer  $T_X$  having a  $L_m$  and a turn ratio of  $n:1:1$ . The rectifying stage is comprised of output diodes  $D_{O1}$  and  $D_{O2}$  and supplies power to the load  $R$  through the output smoothing inductor  $L_O$  and the output capacitor  $C_O$ . According to the complementary rule of the diode-clamped topology, the on/off states of the lower switches are determined inversely to the on/off states of the corresponding upper switches.  $Q_{A1}$  and  $Q_{A4}$ ,  $Q_{A2}$  and  $Q_{A5}$ , and  $Q_{A3}$  and  $Q_{A6}$  are complementary switch pairs. The same applies to the phase  $B$ .

**B. DESIGN PRINCIPLES OF FB MNRV DPWM**

Fig. 2 illustrates the principle of symmetric MNRV DPWM for the FB diode-clamped four-level topology with an offset voltage injection method. The FB topology (or more than three phases is also possible) can be equated to the symmetric operation of the two HBs that constitute the FB, and the HB-based diode-clamped topology can be readily implemented by applying the well-known offset voltage injection technique. In this context,  $V_{cmd}$  was assumed to be positive, and the offset voltage was applied differently depending on clamp mode (CM). In the symmetric HB-based MNRV, the  $V_{cmd}$  is divided into two command voltages corresponding to phases  $A$  and  $B$  as  $V_{cmd_A} = 0.5V_{cmd}$  and  $V_{cmd_B} = -0.5V_{cmd}$ . Then, by adding normalization voltage of  $0.5V_{dc}$  and the offset voltage of  $V_{offset}$  that varies according to CM, the final command values of  $V_{CMD_A}$  and  $V_{CMD_B}$  are set as  $V_{CMD_A} = V_{cmd_A} + 0.5V_{dc} + V_{offset}$  and  $V_{CMD_B} = V_{cmd_B} + 0.5V_{dc} + V_{offset}$ , respectively.  $V_{offset}$  is determined by (1) according to the CM.

$$V_{offset} = \begin{cases} 0.5V_{dc} - \max(V_{cmd_A}, V_{cmd_B}), & \text{for } CM = 1 \\ -0.5V_{dc} - \min(V_{cmd_A}, V_{cmd_B}), & \text{for } CM = -1 \end{cases} \quad (1)$$



**FIGURE 2.** Symmetric HB-based MNRV DPWM design rule for FB topology in (a) UCM and (b) LCM when  $V_{cmd} > 0$ .

Fig. 2a and b represent multiple adjacent reference voltage selection rule according to the upper clamping mode (UCM,  $CM = 1$ ) and the lower clamping mode (LCM,  $CM = -1$ ). Based on the virtual neutral point  $0.5V_{dc}$ , if  $V_{CMD_A}$  or  $V_{CMD_B}$  is larger than the corresponding virtual voltage, it is classified as large vector region (LVR), and if these command voltages are smaller than the virtual voltage, it is distinguished as small vector region (SVR). According to the position of command voltages, several adjacent reference vectors with different charging/discharging characteristics for DC-link capacitors are selected. In LVR, reference voltage vectors are selected from  $E$  to  $3E$ , and in SVR, they are selected from  $0$  to  $2E$ . Here,  $E$  is the unit voltage level of the capacitor and means one third of the DC-link voltage  $V_{dc}$ . In MNRV DPWM, a  $\pm 180^\circ$  clamped switching state is introduced to reduce switching loss and redundancy [38]. When  $V_{cmd} > 0$ , in UCM,  $V_{CMD_A}$  is clamped to a  $V_{dc}$  value and  $V_{CMD_B}$  is classified to LVR or SVR according to the magnitude of  $V_{CMD_B}$ , and in LCM,  $V_{CMD_B}$  is clamped to  $0$  value and  $V_{CMD_A}$  is divided to LVR or SVR depending to the magnitude of  $V_{CMD_A}$ . The C or D located at the bottom indicates the charge/discharge state of capacitors at each step voltage. For example, the switching pairs representing  $2E$  includes (20) in LCM and (31) in UCM, and the capacitor charge/discharge state at (20) is CDD, which means that  $C_{dc1}$  is charged and  $C_{dc2}$  and  $C_{dc3}$  are discharged. When  $V_{cmd}$  is negative, it has a symmetry with the case of positive  $V_{cmd}$ .

@  $CM = 1$

$$(AB) = \begin{cases} \begin{matrix} (30) - (31) - (32), \\ DDD \quad DDC \quad DCC \end{matrix} & \text{for } 0.5 \leq m \leq 1 \\ \begin{matrix} (31) - (32) - (33), \\ DDC \quad DCC \quad CCC \end{matrix} & \text{for } 0 \leq m < 0.5 \\ \begin{matrix} (13) - (23) - (33), \\ DDC \quad DCC \quad CCC \end{matrix} & \text{for } -0.5 \leq m < 0 \\ \begin{matrix} (03) - (13) - (23), \\ DDD \quad DDC \quad DCC \end{matrix} & \text{for } -1 \leq m \leq -0.5 \end{cases} \quad (2)$$

@CM = -1

$$(AB) = \begin{cases} \begin{pmatrix} (30) - (20) - (10), \\ DDD & CDD & CCD \end{pmatrix}, & \text{for } 0.5 \leq m \leq 1 \\ \begin{pmatrix} (20) - (10) - (00), \\ CDD & CCD & CCC \end{pmatrix}, & \text{for } 0 \leq m < 0.5 \\ \begin{pmatrix} (02) - (01) - (00), \\ CDD & CCD & CCC \end{pmatrix}, & \text{for } -0.5 \leq m < 0 \\ \begin{pmatrix} (03) - (02) - (01), \\ DDD & CDD & CCD \end{pmatrix}, & \text{for } -1 \leq m \leq -0.5 \end{cases} \quad (3)$$

The switching patterns in the end sag type that adopt either down or up counting carrier depending on the magnitude of modulation index  $m = V_{cmd}/V_{dc}$  ( $-1 \leq m \leq 1$ ) and CM are expressed in (2) and (3) [30]. When  $V_{cmd}$  is greater than  $0.5V_{dc}$  in UCM, the switching pair (AB) changes as follows: because the A phase is clamped to the positive DC rail, the switching status of A always remains at “3”, while the switching status of the B phase changes from “0”, “1”, and “2” because the B phase is located in SVR. When  $V_{cmd}$  is less than  $0.5V_{dc}$  in UCM, phase A remains fixed at “3”, and phase B is located in LVR, causing it to switch among “1”, “2”, and “3”. This design was intended to have an end sag characteristic, resulting in a staircase-shaped waveform descending from the end. The same principles apply to other cases.

To remove the voltage deviations of capacitors, duty compensators proportional to the capacitor voltage deviation are designed as (4).

$$\begin{aligned} d_{comp1\_23} &= k_p(V_{dc1} - \frac{V_{dc2} + V_{dc3}}{2}) + k_i \int_0^t (V_{dc1} - \frac{V_{dc2} + V_{dc3}}{2}) dt, \\ d_{comp12\_3} &= k_p(\frac{V_{dc1} + V_{dc2}}{2} - V_{dc3}) + k_i \int_0^t (\frac{V_{dc1} + V_{dc2}}{2} - V_{dc3}) dt. \end{aligned} \quad (4)$$

The duty compensation parameters  $d_{comp1\_23}$  and  $d_{comp12\_3}$  are proportional to the differences between  $V_{dc1}$  and  $(V_{dc2} + V_{dc3})/2$  and between  $(V_{dc1} + V_{dc2})/2$  and  $V_{dc3}$ , respectively.  $V_{dc1}$ ,  $V_{dc2}$ , and  $V_{dc3}$  denote the voltages of  $C_{dc1}$ ,  $C_{dc2}$ , and  $C_{dc3}$ , respectively. In (4),  $k_p$  and  $k_i$  refer to the proportional and integral gains of the PI controller. Based on the  $E$  vector in LVR and the  $2E$  vector in SVR, the duty ratios of each reference vectors are determined according to the CM by (5) and (6), as shown at the bottom of the next page, while satisfying the *volt-time* product.

$d_{0\_X}$ ,  $d_{E\_X}$ ,  $d_{2E\_X}$ , and  $d_{3E\_X}$  ( $X = A$  or  $B$ ) refer to duty ratios of step voltages  $0$ ,  $E$ ,  $2E$ , and  $3E$  vectors, respectively. As seen in (5) and (6), the duty ratios of each step voltages include the duty compensation parameters, so it can be expected that the capacitor voltage deviation will be controlled by these duty

combinations.

$V_{cmd} \geq 0$

@CM = 1

PWM\_CMDs of A leg :

$$\begin{pmatrix} PWM\_CMD\_A1 \\ PWM\_CMD\_A2 \\ PWM\_CMD\_A3 \end{pmatrix} = N_{max} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix},$$

PWM\_CMDs of B leg :

$$\begin{pmatrix} PWM\_CMD\_B1 \\ PWM\_CMD\_B2 \\ PWM\_CMD\_B3 \end{pmatrix} = \begin{cases} N_{max} \begin{pmatrix} d_{3E\_B} \\ d_{2E\_B} + d_{3E\_B} \\ 1 \end{pmatrix} @LVR, \\ N_{max} \begin{pmatrix} 0 \\ d_{2E\_B} \\ d_{E\_B} + d_{2E\_B} \end{pmatrix} @SVR, \end{cases}$$

@CM = -1

PWM\_CMDs of B leg :

$$\begin{pmatrix} PWM\_CMD\_B1 \\ PWM\_CMD\_B2 \\ PWM\_CMD\_B3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix},$$

PWM\_CMDs of A leg :

$$\begin{pmatrix} PWM\_CMD\_A1 \\ PWM\_CMD\_A2 \\ PWM\_CMD\_A3 \end{pmatrix} = \begin{cases} N_{max} \begin{pmatrix} d_{3E\_A} \\ d_{2E\_A} + d_{3E\_A} \\ 1 \end{pmatrix} @LVR, \\ N_{max} \begin{pmatrix} 0 \\ d_{2E\_A} \\ d_{E\_A} + d_{2E\_A} \end{pmatrix} @SVR. \end{cases} \quad (7)$$

$V_{cmd} < 0$

@CM = 1

PWM\_CMDs of B leg :

$$\begin{pmatrix} PWM\_CMD\_B1 \\ PWM\_CMD\_B2 \\ PWM\_CMD\_B3 \end{pmatrix} = N_{max} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix},$$

PWM\_CMDs of A leg :

$$\begin{pmatrix} PWM\_CMD\_A1 \\ PWM\_CMD\_A2 \\ PWM\_CMD\_A3 \end{pmatrix} = \begin{cases} N_{max} \begin{pmatrix} d_{3E\_A} \\ d_{2E\_A} + d_{3E\_A} \\ 1 \end{pmatrix} @LVR, \\ N_{max} \begin{pmatrix} 0 \\ d_{2E\_A} \\ d_{E\_A} + d_{2E\_A} \end{pmatrix} @SVR, \end{cases}$$

@CM = -1

PWM\_CMDs of A leg :

$$\begin{pmatrix} PWM\_CMD\_A1 \\ PWM\_CMD\_A2 \\ PWM\_CMD\_A3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix},$$

PWM\_CMDs of B leg :

$$\begin{pmatrix} PWM\_CMD\_B1 \\ PWM\_CMD\_B2 \\ PWM\_CMD\_B3 \end{pmatrix} = \begin{cases} N_{max} \begin{pmatrix} d_{3E\_B} \\ d_{2E\_B} + d_{3E\_B} \\ 1 \end{pmatrix} @LVR, \\ N_{max} \begin{pmatrix} 0 \\ d_{2E\_B} \\ d_{E\_B} + d_{2E\_B} \end{pmatrix} @SVR. \end{cases} \quad (8)$$

After determining the duty ratios of each step voltage, the final PWM command values PWM\_CMD\_X of A and B legs can be calculated according to CM as (7) and (8). Here,  $N_{max}$  corresponds to carrier period value. As can be seen from (5)–(8), it can be confirmed that when  $m$  is negative, it has a symmetric characteristic as when  $m$  is positive. In other words, when the polarity of  $V_{cmd}$  changes,  $+/- m$  becomes  $-/+ m$  and A leg's values are interchanged with B leg's values.

**C. CONTROL ALGORITHM**

The control block diagram of the proposed symmetric switching converter is shown in Fig. 3. First, the CM is determined by comparing the absolute difference values between the reference value ( $V_{dc}/3$ ) and  $V_{dc1}$  and between reference value and  $V_{dc3}$ . For  $CM = -1$ , it tends to charge  $C_{dc1}$  and discharge  $C_{dc3}$ , and vice versa for  $CM = 1$ . Therefore, CM is selected as  $-1$  if  $V_{dc1}$  is lower than  $V_{dc3}$ , and vice versa, CM becomes 1. To control the output voltage  $V_O$  constantly, PI control is

$$\begin{aligned}
 &V_{cmd} \geq 0 \\
 &@CM = 1 \\
 &A \text{ leg's reference vectors :} \\
 &\begin{pmatrix} d_{E\_A} \\ d_{2E\_A} \\ d_{3E\_A} \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \\
 &B \text{ leg's reference vectors :} \\
 &\left\{ \begin{array}{l} @LVR : \\ \begin{pmatrix} d_{E\_B} \\ d_{2E\_B} \\ d_{3E\_B} \end{pmatrix} = \begin{pmatrix} m - \frac{1}{3}d_{comp1\_23} \\ m + \frac{2}{3}d_{comp1\_23} \\ 1 - 2m - \frac{1}{3}d_{comp1\_23} \end{pmatrix}, \\ @SVR : \\ \begin{pmatrix} d_{0\_B} \\ d_{E\_B} \\ d_{2E\_B} \end{pmatrix} = \begin{pmatrix} -1 + 2m - \frac{1}{3}d_{comp12\_3} \\ 1 - m + \frac{2}{3}d_{comp12\_3} \\ 1 - m - \frac{1}{3}d_{comp12\_3} \end{pmatrix} \end{array} \right. \\
 &V_{cmd} < 0 \\
 &@CM = 1 \\
 &B \text{ leg's reference vectors :} \\
 &\begin{pmatrix} d_{E\_B} \\ d_{2E\_B} \\ d_{3E\_B} \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \\
 &A \text{ leg's reference vectors :} \\
 &\left\{ \begin{array}{l} @LVR : \\ \begin{pmatrix} d_{E\_A} \\ d_{2E\_A} \\ d_{3E\_A} \end{pmatrix} = \begin{pmatrix} -m - \frac{1}{3}d_{comp1\_23} \\ -m + \frac{2}{3}d_{comp1\_23} \\ 1 + 2m - \frac{1}{3}d_{comp1\_23} \end{pmatrix}, \\ @SVR : \\ \begin{pmatrix} d_{0\_A} \\ d_{E\_A} \\ d_{2E\_A} \end{pmatrix} = \begin{pmatrix} -1 - 2m - \frac{1}{3}d_{comp12\_3} \\ 1 + m + \frac{2}{3}d_{comp12\_3} \\ 1 + m - \frac{1}{3}d_{comp12\_3} \end{pmatrix} \end{array} \right. \\
 \end{aligned}$$

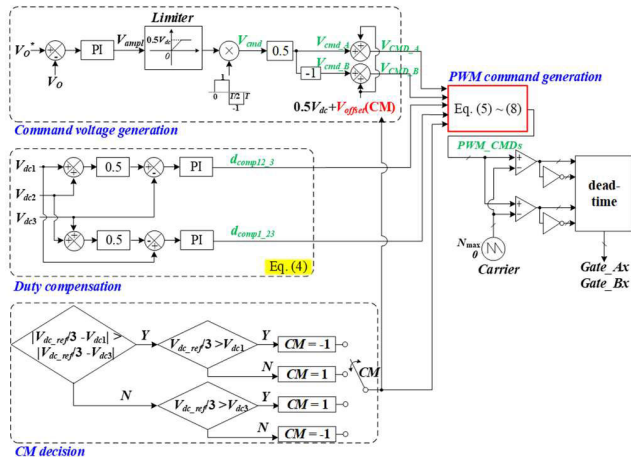
$$\begin{aligned}
 &@CM = -1 \\
 &B \text{ leg's reference vectors :} \\
 &\begin{pmatrix} d_{0\_B} \\ d_{E\_B} \\ d_{2E\_B} \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \\
 &A \text{ leg's reference vectors :} \\
 &\left\{ \begin{array}{l} @LVR : \\ \begin{pmatrix} d_{E\_A} \\ d_{2E\_A} \\ d_{3E\_A} \end{pmatrix} = \begin{pmatrix} 1 - m + \frac{1}{3}d_{comp1\_23} \\ 1 - m - \frac{2}{3}d_{comp1\_23} \\ -1 + 2m + \frac{1}{3}d_{comp1\_23} \end{pmatrix}, \\ @SVR : \\ \begin{pmatrix} d_{0\_A} \\ d_{E\_A} \\ d_{2E\_A} \end{pmatrix} = \begin{pmatrix} 1 - 2m + \frac{1}{3}d_{comp12\_3} \\ m - \frac{2}{3}d_{comp12\_3} \\ m + \frac{1}{3}d_{comp12\_3} \end{pmatrix} \end{array} \right. \\
 \end{aligned}$$

(5)

$$\begin{aligned}
 &@CM = -1 \\
 &A \text{ leg's reference vectors :} \\
 &\begin{pmatrix} d_{0\_A} \\ d_{E\_A} \\ d_{2E\_A} \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \\
 &B \text{ leg's reference vectors :} \\
 &\left\{ \begin{array}{l} @LVR : \\ \begin{pmatrix} d_{E\_B} \\ d_{2E\_B} \\ d_{3E\_B} \end{pmatrix} = \begin{pmatrix} 1 + m + \frac{1}{3}d_{comp1\_23} \\ 1 + m - \frac{2}{3}d_{comp1\_23} \\ -1 - 2m + \frac{1}{3}d_{comp1\_23} \end{pmatrix}, \\ @SVR : \\ \begin{pmatrix} d_{0\_B} \\ d_{E\_B} \\ d_{2E\_B} \end{pmatrix} = \begin{pmatrix} 1 + 2m + \frac{1}{3}d_{comp12\_3} \\ -m - \frac{2}{3}d_{comp12\_3} \\ -m + \frac{1}{3}d_{comp12\_3} \end{pmatrix} \end{array} \right. \\
 \end{aligned}$$

(6)





**FIGURE 3.** Control block diagram for the proposed converter.

performed, and the result is set as  $V_{amp}$ , which corresponds to the magnitude (amplitude) of the PWM command voltage.  $V_{amp}$  is multiplied by a switching square wave alternating  $\pm 1$  to generate a  $V_{cmd}$  reference voltage that swings  $V_{amp}$  for half cycle and  $-V_{amp}$  for the next half cycle. As described above, this  $V_{cmd}$  is divided into  $V_{cmd\_A}$  and  $V_{cmd\_B}$ . After adding the  $V_{offset}$  of (1) and the normalization factor of  $0.5V_{dc}$ , and considering duty compensator values of (4), final PWM commands are generated accordingly. Designing appropriate carrier either down or up types depending to the CM, proper gate signals of the A and B legs are finally output.

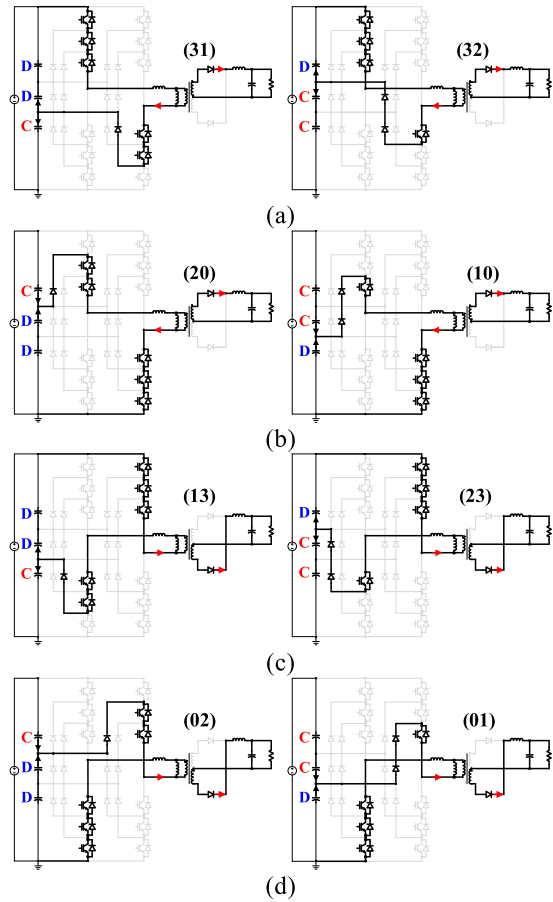
### III. ANALYSIS

#### A. OPERATION MODES

The equivalent circuits of the switching states that causes a capacitor voltage fluctuation are shown in Fig. 4. Except for (30), (03), (33), and (00), capacitor voltage deviations occur uniquely in all other switching pairs. In UCM, capacitor voltage charging states becomes DDC or DCC, and in LCM, it becomes CDD or CCD. Therefore, if  $V_{dc1} > V_{dc3}$ , UCM is selected and vice versa.

To analyze the operating characteristics in steady state, it is assumed that proposed converter operates in LVR as UCM during one cycle and as LCM during the next cycle as shown in Fig. 5. In steady state, it has four distinct modes during each half cycle.

**Mode 1** [ $t_0, t_1$ ]: At  $t_0$ , CM changes from LCM to UCM and the polarity of  $V_{cmd}$  changes from negative to positive. The switching state at this mode is (30), and all upper switches of phase A are on and all upper switches of phase B are off. All upper switches of phase A turn on ZVS condition due to negative  $L_S$  current,  $I_{L_S}$ . This mode corresponds to the commutation time period in which both  $D_{O1}$  and  $D_{O2}$  are conducting with soft commutation. Output inductor current,  $I_{L_O}$ , is sum of two output diodes currents. The time length of this mode is equal to duty loss period,  $d_{loss} \cdot T/2$ , and the primary side switching voltage  $V_{AB}$  is not applied to the secondary side. Here,  $T$  is time period.



**FIGURE 4.** Equivalent circuits of switching pairs that produces a voltage variation at (a) UCM, (b) LCM when  $V_{cmd} \geq 0$  and (c) UCM, (d) LCM when  $V_{cmd} < 0$ .

**Mode 2** [ $t_1, t_2$ ]: At  $t_1$ , commutation process ends, and the primary current is delivered to the output stage through  $D_{O1}$ . Until  $Q_{B3}$  is turned on (i.e.,  $Q_{B6}$  is turned off) at  $t_2$ , the switching state is still (30). Assuming that  $L_m$  is much larger than  $L_S$  and ignoring the voltage drop due to the current flowing through  $L_S$ ,  $V_{AB}$  of  $V_{dc}$  is applied to output inductor through  $D_{O1}$ .  $I_{L_O}$  increases with the slope of  $(V_{dc}/n - V_O)/L_O$ .  $I_{L_O}$  equals current of diode  $D_{O1}$ ,  $I_{D_{O1}}$ . The length of this mode corresponds to  $(d_{3E} - d_{loss}) \cdot T/2$ .

**Mode 3** [ $t_2, t_3$ ]: At  $t_2$ ,  $Q_{B6}$  turns off and the switching state becomes (31). The capacitor charge state becomes DDC, which means  $C_{dc1}$  and  $C_{dc2}$  are discharged with a current of  $1/3 \cdot I_{L_S}$  and  $C_{dc3}$  is charged with a current of  $2/3 \cdot I_{L_S}$ .  $V_{AB}$  of  $2V_{dc}/3$  is applied to the  $L_O$  through  $D_{O1}$ , and the slope of  $I_{L_O}$  changes to  $(2V_{dc}/3n - V_O)/L_O$ . The length of mode 3 corresponds to  $d_{2E} \cdot T/2$ . Unlike conventional PSFB converters, this mode corresponds to another powering period. Through this section, the reactive current can be reduced because power is transferred from the primary side to the secondary side of transformer.

**Mode 4** [ $t_3, t_4$ ]: At  $t_3$ ,  $Q_{B5}$  turns off and the switching state becomes (32). The capacitor charge state becomes DCC, and  $C_{dc1}$  is discharged with a current of  $2/3 \cdot I_{L_S}$  and  $C_{dc2}$  and

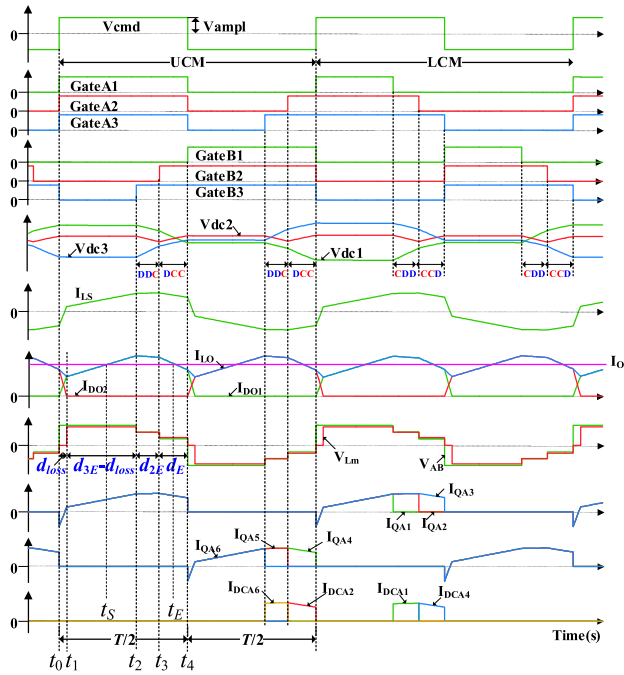


FIGURE 5. Theoretical waveforms of the proposed converter when  $|m| \geq 0.5$ .

$C_{dc3}$  are charged with a current of  $1/3 \cdot I_{LS}$ .  $V_{AB}$  of  $V_{dc}/3$  is applied to the  $L_O$  through  $D_{O1}$ , and the  $I_{LO}$  decreases with a negative slope of  $(V_{dc}/3n - V_O)/L_O$ . This mode continues until all of the upper switches of phase A are turned off and all of the upper switches of phase B are turned on at  $t_4$ . The time period of this mode is  $d_E \cdot T/2$ . This section is also included in the powering period.

Due to the symmetrical operation of the DC/DC converter, the operation of remaining half cycle in UCM can be easily inferred from the previous half cycle. During the next one cycle, LCM operation starts. The operations in LCM are symmetric with UCM. Fig. 5 also includes the switches and clamping diodes currents ( $I_{QA_x}$ ,  $I_{DCA_x}$ ) of phase A. The operating aspect of the proposed converter is similar to the conventional two-level PSFB topology, but the current pattern is symmetric without distinction between leading and lagging legs. Although not shown in the Figure, the current of phase B also has cross-symmetric characteristics with phase A, which means that  $I_{QA_x}$  has time-shifted symmetry with  $I_{QB(7-x)}$ . Here,  $x = 1, 2, 3, \dots, 6$ .

**B. VOLTAGE GAIN**

Meanwhile, an analyzing result of the change of  $I_{LO}$  during half cycle (Fig. 6) is expressed in (9) and (10) when  $|m| \geq 0.5$  and  $|m| < 0.5$ , respectively.

Using the fact that the *volt-time* product of the inductor preserves as zero, the voltage transfer gain of  $nV_O/V_{dc}$  is obtained as (11). The voltage transfer gains in LVR and SVR have a similar relationship.

Because the average of  $I_{LO}$  during  $T/2$  is equal to output current  $I_O$ ,  $I_{LO}(t_0)$  can be calculated as (12). Using the

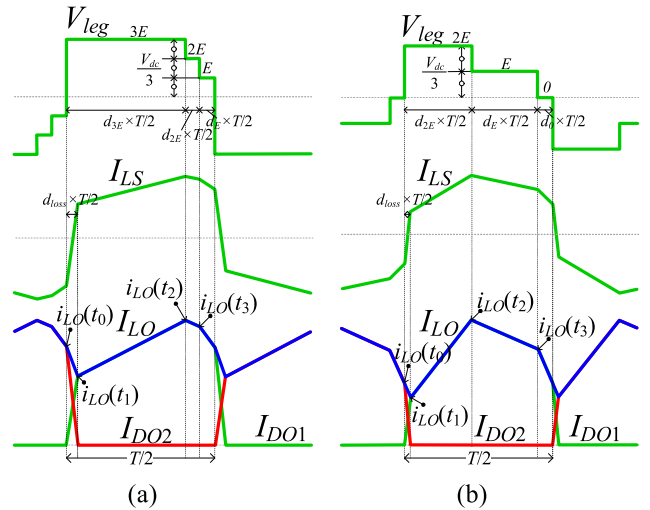
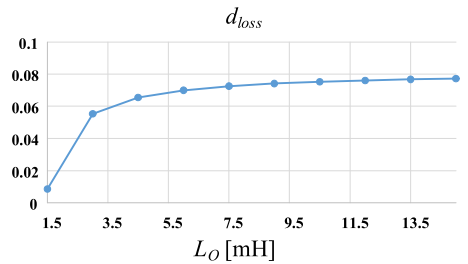
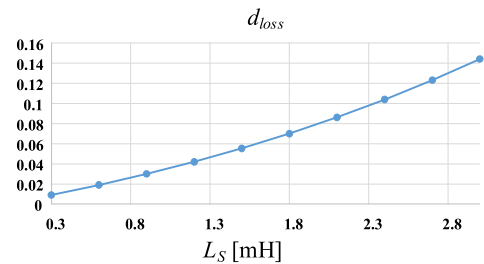


FIGURE 6.  $V_{leg}$ ,  $I_{LS}$ , and  $I_{LO}$  waveforms of the proposed converter when (a)  $|m| \geq 0.5$  and (b)  $|m| < 0.5$ .



(a)



(b)

FIGURE 7.  $d_{loss}$  dependency according to the inductance variations of (a)  $L_O$  and (b)  $L_S$ .

geometry of  $I_{LS}$  and  $I_{LO}$  during the commutation period,  $d_{loss}$  can be determined as (13), where **A** and **B** factors are related to circuit parameters as expressed in (14).

For  $|m| \geq 0.5$  :

$$i_{LO}(t_1) = i_{LO}(t_0) - \frac{V_O}{2L_O} d_{loss} T,$$

$$i_{LO}(t_2) = i_{LO}(t_1) + \frac{\frac{V_{dc}}{n} - V_O}{2L_O} (d_{3E} - d_{loss}) T,$$

$$i_{LO}(t_3) = i_{LO}(t_2) + \frac{\frac{2}{3} \cdot \frac{V_{dc}}{n} - V_O}{2L_O} d_{2E} T,$$

$$i_{LO}(t_0) = i_{LO}(t_3) + \frac{1}{3} \cdot \frac{V_{dc}}{n} - V_O \frac{dET}{2L_O} \quad (9)$$

For  $|m| < 0.5$  :

$$\begin{aligned} i_{LO}(t_1) &= i_{LO}(t_0) - \frac{T}{2L_O} \cdot d_{loss} V_O \\ i_{LO}(t_2) &= i_{LO}(t_1) + \frac{2}{3} \cdot \frac{V_{dc}}{n} - V_O \frac{T}{2L_O} \cdot (d_{2E} - d_{loss}) \\ i_{LO}(t_3) &= i_{LO}(t_2) + \frac{1}{3} \cdot \frac{V_{dc}}{n} - V_O \frac{T}{2L_O} \cdot (d_E) \\ i_{LO}(t_0) &= i_{LO}(t_3) - \frac{T}{2L_O} \cdot d_0 V_O \end{aligned} \quad (10)$$

$$\frac{nV_O}{V_{dc}} = \begin{cases} m - d_{loss}, & \text{for } |m| \geq 0.5 \\ m - \frac{2}{3}d_{loss}, & \text{for } |m| < 0.5 \end{cases} \quad (11)$$

$$i_{LO}(t_0) = \begin{cases} I_O - \frac{T}{4L_O} \left\{ \begin{aligned} &\left( -\frac{2}{3}m^2 + d_{loss}^2 - md_{loss} \right) \cdot \frac{V_{dc}}{n} \\ &+ \frac{7}{3}m - d_{loss} - \frac{2}{3} \\ &-mV_O \end{aligned} \right\}, & \text{for } |m| \geq 0.5 \\ I_O - \frac{T}{4L_O} \left\{ \begin{aligned} &\left( \frac{1}{3}m^2 + \frac{2}{3}d_{loss}^2 - \frac{4}{3}md_{loss} \right) \cdot \frac{V_{dc}}{n} \\ &+ m - \frac{2}{3}d_{loss} \\ &-2mV_O \end{aligned} \right\}, & \text{for } |m| < 0.5 \end{cases} \quad (12)$$

$$d_{loss} = 0.5 \times (-A + \sqrt{A^2 - 4B}) \quad (13)$$

$$A = \begin{cases} -m - 1 + \frac{nV_O}{V_{dc}} + \frac{n^2L_O}{L_S}, & \text{for } |m| \geq 0.5 \\ -2m - 1 + \frac{3nV_O}{2V_{dc}} + \frac{n^2L_O}{L_S}, & \text{for } |m| < 0.5 \end{cases}$$

$$B = \begin{cases} -\frac{2}{3}m^2 + \left(\frac{7}{3} - \frac{nV_O}{V_{dc}}\right)m - \frac{2}{3} - \frac{4nL_OI_O}{TV_{dc}}, & \text{for } |m| \geq 0.5 \\ \frac{1}{2}m^2 + \left(\frac{3}{2} - \frac{3nV_O}{V_{dc}}\right)m - \frac{6nL_OI_O}{TV_{dc}}, & \text{for } |m| < 0.5 \end{cases} \quad (14)$$

Referring to Fig. 7, which shows the relationships between  $d_{loss}$  and  $L_O$  and  $L_S$  from (13),  $d_{loss}$  increases mainly in proportion to  $L_S$ . This is because when  $L_S$  increases, the current slopes of  $I_{DO1}$  and  $I_{DO2}$  decreases during the commutation period, thus  $d_{loss}$  period becomes longer. Here, the calculation conditions are  $V_{dc} = 700\text{V}$ ,  $V_O = 350\text{V}$ ,  $I_O = 1.43\text{A}$ ,  $n = 1.5$ ,  $L_S = 1.5\text{mH}$ ,  $L_O = 3\text{mH}$ ,  $T = 0.1\text{ms}$ ,  $m = 0.8$ .

Fig. 8 shows the calculation and simulation results for the change of gain and  $d_{loss}$  according to the  $m$  variation. Ignoring the small  $d_{loss}$  value, it can be seen that the gain is almost simply proportional to  $m$ .

### C. ZVS CONDITION

To satisfy the ZVS condition, when the switching state changes from (01) (Fig. 4d) or (23) (Fig. 4c) to (30), the

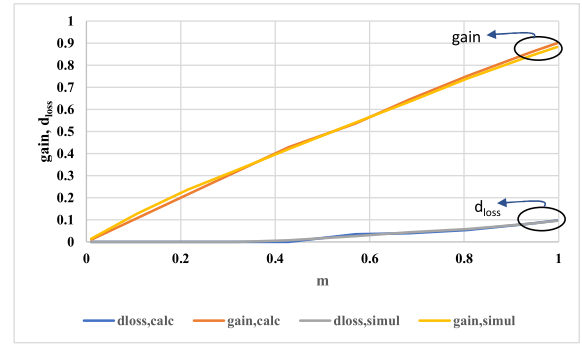


FIGURE 8. Comparison of gain and duty loss between calculation and simulation according to the  $m$ .

energy stored in  $L_S$  must be sufficiently large to charge and discharge the output capacitances  $C_{OSS}$  of six switches in  $A$  leg and two switches in  $B$  leg. Therefore, the  $L_S$  value that satisfies the ZVS condition can be determined by (15).

$$L_S \geq \frac{8}{9}n^2C_{OSS} \left( \frac{V_{dc}}{i_{LO}(t_0)} \right)^2 \quad (15)$$

Since the unit switching voltage level of the four-level converter is reduced by three times compared to two-level topology,  $L_S$  for ZVS is reduced compared to the existing two-level converter. The dead-time  $t_{dead}$  needed to ensure ZVS can be also calculated as (16) [39].

$$t_{dead} \geq \frac{T_r}{4} = \frac{\pi}{2} \sqrt{L_S C_{OSS, EQ}} = \frac{\sqrt{6\pi}}{3} \sqrt{L_S C_{OSS}} \quad (16)$$

### IV. DESIGN PARAMETERS

As shown in Fig. 5, the amount of charging and discharging of capacitors  $C_{dc1}$  or  $C_{dc3}$  during a half cycle is determined by the magnitude and duration of  $I_{LS}$ . The durations during which the capacitors are charged or discharged correspond to  $d_{E_X}$  and  $d_{2E_X}$ . A current of  $1/3 \cdot I_{LS}$  during  $d_{E_X}$  and a current of  $2/3 \cdot I_{LS}$  during  $d_{2E_X}$  discharges the capacitor  $C_{dc1}$ . Therefore, the required input capacitance  $C_{dc}$  satisfying the allowable voltage deviation  $\Delta V_{dc, target}$  of the input capacitor during a half cycle can be determined as (17).

$$\begin{aligned} C_{dc} &= \frac{1}{\Delta V_{dc, target}} \left[ \frac{2}{3} \cdot \frac{i_{LS}(t_2) + i_{LS}(t_3)}{2} \cdot d_{2E} \frac{T}{2} \right. \\ &\quad \left. + \frac{1}{3} \cdot \frac{i_{LS}(t_3) + i_{LS}(t_0)}{2} \cdot d_E \frac{T}{2} \right] \\ &= \frac{1 - m}{4nf_{sw} \Delta V_{dc, target}} \\ &\quad \cdot \left[ 2I_O - \frac{T}{2L_O} \left\{ \begin{aligned} &\left( -\frac{2}{3}m^2 + d_{loss}^2 - md_{loss} \right) \cdot \frac{V_{dc}}{n} \\ &-\frac{1}{3}m + \frac{2}{3}d_{loss} + \frac{1}{3} \\ &+ \left( -\frac{2}{3} + \frac{4}{3}m \right) V_O \end{aligned} \right\} \right] \end{aligned} \quad (17)$$

On the other hand, the net incremental charge  $Q$  of the output capacitor  $C_O$  can be calculated as (18) by integrating



the  $I_{LO} - I_O$  during the time between  $t_S$  and  $t_E$ , the intersection where  $I_{LO}$  and  $I_O$  meet in Fig 5. Then dividing  $Q$  by the allowable voltage deviation of output capacitor,  $\Delta V_{O,target}$ , the required output capacitance  $C_O$  can be computed as (19).

$$Q = \int_{t_S}^{t_E} (i_{LO}(t) - I_O) dt$$

$$= \frac{t_2 - t_S}{2} \times \frac{V_{dc}/n - V_O}{L_O} \times (t_2 - t_S)$$

$$- \frac{d_{2E} \frac{T}{2}}{2} \times \frac{2V_{dc}/3n - V_O}{L_O} \times d_{2E} \frac{T}{2} - \frac{t_E - t_3}{2}$$

$$\times \frac{V_{dc}/3n - V_O}{L_O} \times (t_E - t_3) \quad (18)$$

$$C_O = \frac{1}{24nL_O \Delta V_{O,target} f_{sw}^2}$$

$$\times \left[ \frac{\{V_{dc}(-2m^2 + 3d_{loss}^2 - 3md_{loss} - 5m + 3d_{loss} + 4) + nV_O(9m - 6)\}^2}{12(V_{dc} - nV_O)} \right. \\ \left. - \frac{\{V_{dc}(-2m^2 + 3d_{loss}^2 - 3md_{loss} - m + 3d_{loss}) + 3nmV_O\}^2}{4(V_{dc} - 3nV_O)} \right. \\ \left. - (2V_{dc} - 3nV_O) \cdot (1 - m)^2 \right] \quad (19)$$

## V. SIMULATIONS AND EXPERIMENTS

### A. SIMULATIONS

To verify the feasibility of the proposed converter, several simulations were performed under the conditions listed in Table 1.

TABLE 1. Simulation conditions.

$P_O$ (W)	$V_{dc}$ (V)	$V_O$ (V)	$L_S$ (mH)	$L_O$ (mH)
500	700	350	1.5	3
$C_{dc}$ ( $\mu$ F)	$C_O$ ( $\mu$ H)	$n$	$f_{sw}$ (kHz)	
100	11	1.5	10	

Figs. 9 and 10 show the simulation results in steady state of the proposed symmetric switching converter for  $m = 0.9$  and  $m = 0.45$ , respectively. From top to bottom, they are  $GateA$ ,  $GateB$ ,  $V_{dc1}$ ,  $V_{dc2}$ , and  $V_{dc3}$ ,  $I_{LS}$ ,  $I_{DO}$  and  $I_{LO}$ ,  $V_{AB}$  and  $V_{Lm}$ ,  $V_O$ ,  $I_{A\_upper}$ ,  $I_{A\_lower}$ ,  $I_{DCA}$ ,  $I_{B\_upper}$ ,  $I_{B\_lower}$ ,  $I_{DCB}$ . It can be seen that the overall operating characteristics are consistent with the above steady-state operation analysis results. Because the proposed converter is designed based on the end sag type, unique gate signals corresponding to end sag can be found depending on the CM [30]. When the polarity of  $V_{cmd}$  is changed, a soft commutation period occurs, and the shape of the  $I_{LS}$  and  $I_{LO}$  changes smoothly according to the step voltages. When  $V_{cmd}$  belongs to LVR, the form of step leg voltage changes in the order of  $3 \rightarrow 2 \rightarrow 1$ , and when it belongs to SVR, the form of step leg voltage changes in the order of  $2 \rightarrow 1 \rightarrow 0$ . All primary side switches are turned on with ZVS condition and secondary side diodes are turned off by ZCS condition.

As shown in Fig. 11, it can be noted that the currents of phases A and B are symmetrical to each other. Unlike the

existing PSFB, a symmetrical current pattern can be seen without distinction between leading and lagging legs. That is why this converter topology is named symmetric switching converter. Due to the additional powering period following the main powering period, the reduced circulating current of the proposed converter can be expected.

Using PLECS' heat loss modeling method, the losses of the switching elements are analyzed in Fig. 12 for an output power of  $P_O = 500W$ . The analysis was conducted using the actual datasheet of the device referenced in the subsequent Experiments section. The calculation conditions are as shown in Table 1. The thermal resistances and capacitances were set so that the temperature of the heat sink was saturated at  $80^\circ C$  in steady state. Here,  $P_{cond,Q}$  represents the conduction losses of the main switches, and  $P_{SW,Q}$  represents the switching losses of the main switches.  $P_{cond,CD}$  and  $P_{SW,CD}$  denote the conduction and switching losses of the clamping diodes. Finally,  $P_{cond,OD}$  and  $P_{SW,OD}$  are the conduction and switching losses of the output diodes. Switching losses and conduction losses for these switches and diodes are determined using the thermal modeling method within PLECS software. This calculation relies on interpolation and considers operating conditions like on-state current, blocking voltage, and internal device temperature, following the lookup table established from pre-defined electrical specifications of the device.

The switching losses of the main switches were the largest as 24W, followed by the conduction losses of the output diodes as 2.7W and the conduction losses of the main switches as 2.6W. The total loss is about 30W, which corresponds to an expected efficiency of 94%.

### B. EXPERIMENTS

To check and verify the operating characteristics and effectiveness of the proposed converter, several experiments were conducted under the same conditions in Table 1. The experimental prototype circuit including DSP, DC-link capacitors, FB switching stack, input and output voltage sensing parts,  $L_S$ ,  $L_O$  and  $T_X$  is shown in Fig. 13. The main switches are FGW35N60HD, the clamping diodes are STTH15RQ06-Y, and the output diodes are VS-HFA06TB120S-M3. The center-tapped transformer has three stacked ferrite cores of EE7166S with a turn ratio of 42:28:28. The  $L_S$  consists of two stacked ferrite cores of EE4242S with 41 turns. The  $L_O$  is made of ferrite core EE6565S with 98 turns. All magnets have air gaps to prevent saturation. The controller was implemented using TMS320F28377D.

Fig. 14 shows the operational waveforms of proposed converter at  $P_O = 500W$ ,  $750W$ , and  $250W$ . It can be seen that the CM alternates every cycle for voltage balancing, and leg voltages change accordingly. Except for parasitic resonances due to parasitic components in transformer winding and circuits, the waveforms of the  $I_{LS}$  and  $I_{LO}$  agree with the theoretical analysis in Fig 5. From negative values of  $I_{LS}$ , ZVS behavior can be observed in all power ranges. Fig. 15 shows the dynamics of voltage balancing when  $P_O$  changes from 250W to

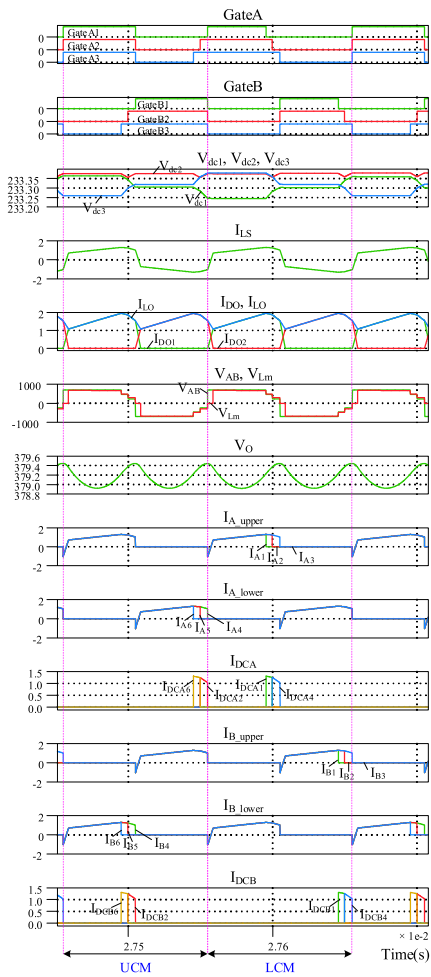


FIGURE 9. Simulation results for  $m = 0.9$ .

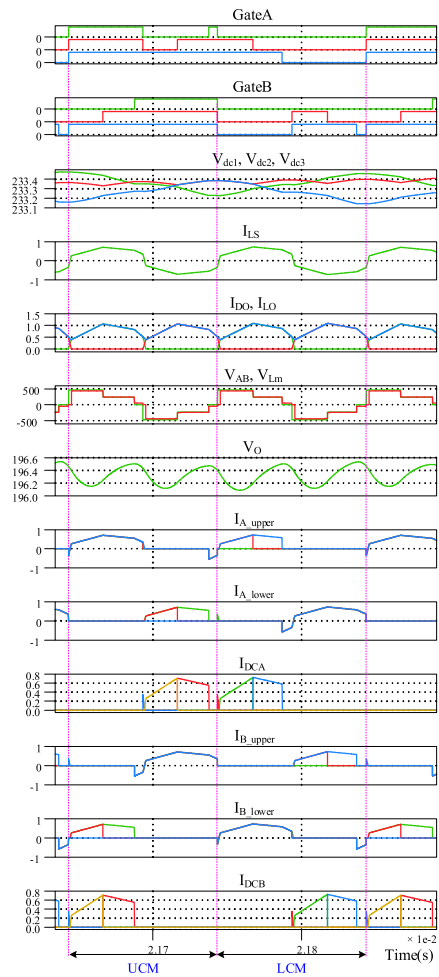


FIGURE 10. Simulation results for  $m = 0.45$ .

750W. The DC-link voltages ( $V_{dc1}$ ,  $V_{dc2}$ , and  $V_{dc3}$ ) maintain a value of  $V_{dc}/3$  and are well balanced even with sudden load changes. Fig. 16 shows the load regulation performance when  $P_O$  changes from 250W to 750W. The output voltage is well controlled within 45V during transients.

Fig. 17 shows the measuring result of power conversion efficiency  $\eta$  when  $P_O$  changes from 250W to 750W using Yokogawa’s WT5000 power analyzer.  $\eta$  was calculated as  $P_O/P_{in}$ , where  $P_{in}$  is product of  $V_{dc,rms}$  and  $I_{dc,rms}$ , and  $P_O$  is product of  $V_{O,rms}$  and  $I_{O,rms}$ . At  $P_O = 250W$ , the  $\eta$  was 91.44%, and as the load increased, the  $\eta$  increased gradually, and the maximum  $\eta$  was measured as 95.23% at  $P_O = 750W$ .

### VI. ADVANTAGES OF THE PROPOSED CONVERTERS

To compare and validate the competitiveness of the proposed converter, we conducted a comparison with existing representative isolated multilevel DC/DC converters in Table 2. Well-known multilevel converters in the literature include the ISOP-structured modular converter [22], [23], [24], [25], the cascaded modular converter [26], [27], and MMC [5], [28], [29].

In the case of ISOP/cascaded converters, to handle high input voltage levels, they are configured with several sub-modules arranged in an input series and output parallel format, based on a two-level isolated converter structure. To operate at relatively high switching frequencies, they have been modularized using low breakdown voltage devices with low  $R_{ds,on}$  and fast switching characteristics. Consequently, the number of input switches increased according to the number of sub-modules, and the number of output diodes or switches also increased. The ISOP converter incorporates passive elements such as inductors and transformers within each sub-module. The cascaded method offers the advantage of reducing the number of passive elements by connecting the structure of the input switching stack in a cascading manner. However, it still maintains a parallel structure on the output side, resulting in an increase in the number of transformer output windings. MMCs consist of multiple individual sub-modules connected in series. Each sub-module typically contains one or more power semiconductor devices along with capacitors. MMCs offer excellent waveform quality with low harmonic distortion. This is important in high-power

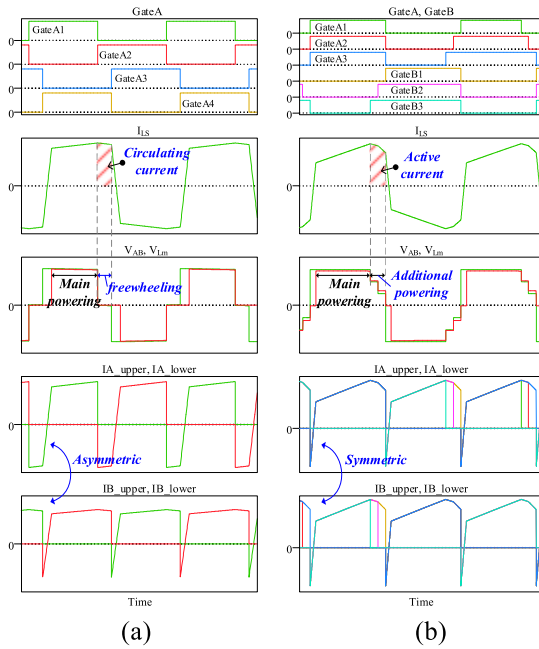


FIGURE 11. Comparison between (a) conventional two-level PSFB converter and (b) proposed four-level symmetric switching converter.

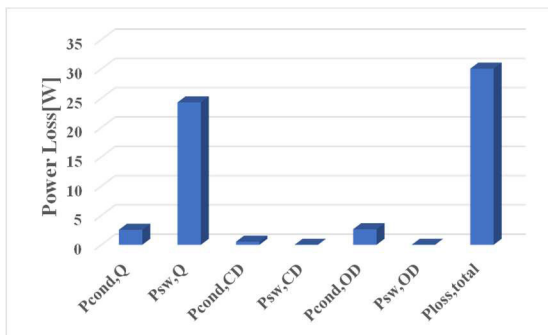


FIGURE 12. Losses analysis results when  $P_O = 500W$ .

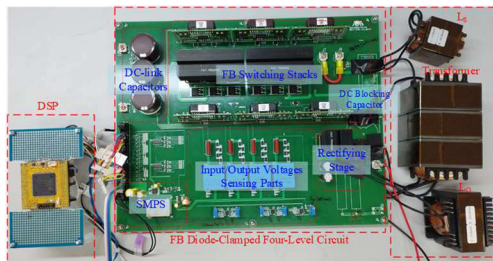


FIGURE 13. Prototype circuit.

applications to minimize interference with the power grid and reduce losses in the connected equipment.

While the ISOP, cascaded, and MMC converter structure boasts high scalability, allowing for flexible adjustment of the number of modules to match the system’s capacity, it necessitates a large number of passive components and requires advanced voltage balancing control for each sub-module.

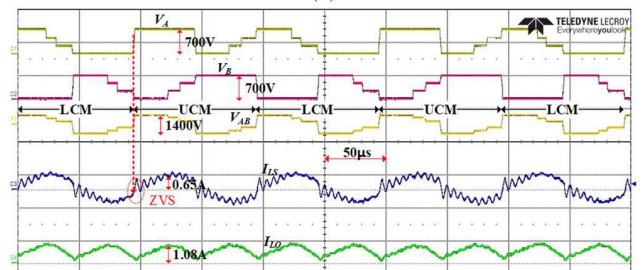
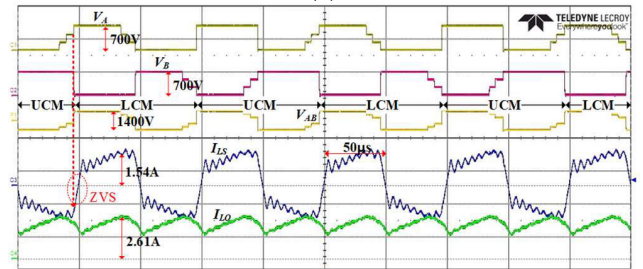
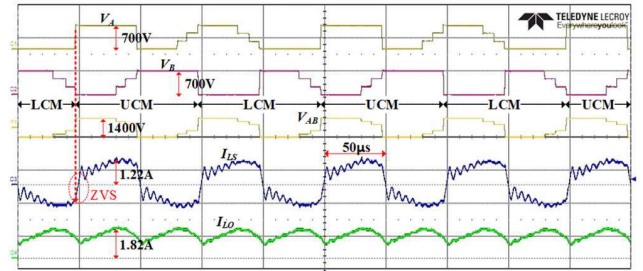


FIGURE 14. Operational waveforms when (a)  $P_O = 500W$ , (b)  $P_O = 750W$ , and (c)  $P_O = 250W$ .

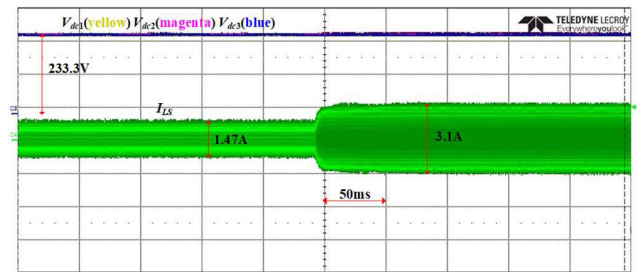


FIGURE 15. Dynamic voltage balancing performance when  $P_O$  changes from 250W to 750W.

Furthermore, there is a method of handling high input voltage based on a two-level topology using recently developed high-voltage SiC devices [40], [41]. This configuration, based on the well-known two-level topology, offers the advantage of significantly reducing the number of components compared to other methods. However, these devices are still under development at the laboratory level, are prohibitively expensive, and are not readily available in the market. Additionally, due to the requirement for a single switch to handle very high voltages, it leads to high EMI and imposes limitations on switching frequency.

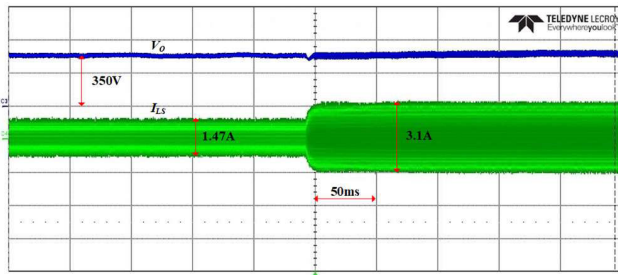


FIGURE 16. Load regulation performance when  $P_o$  changes from 250W to 750W.

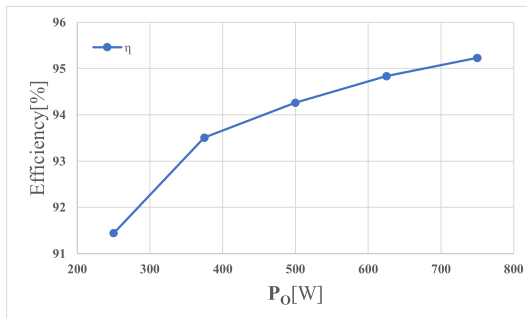


FIGURE 17. Power conversion efficiency ( $=P_o/P_{in}$ ).

TABLE 2. Comparison of isolated multilevel dc/dc converters.

	ISOP Converter [22]–[25]	Cascaded Converter [26, 27]	MMC [5], [28, 29]	Proposed Converter
# of primary switches	Large	Large	Large	Large
# of secondary diodes (switches)	Large	Large	Small	Small
# of clamping diodes	-	-	-	Large
# of passive components	Large	Medium	Large	Small
Advantages	Modularity/ High power handling capability	Modularity/ High power handling capability/ Reduced harmonics	Modularity/ Bidirectional power flow/ High power handling capability/ High power quality	Simple power configuration (single DC source)/ High power density/ Embedded DC balancing algorithm
Disadvantages	Many passive components/ Separated DC balancing algorithm	Many isolated DC sources/ Separated DC balancing algorithm	Many capacitors/ Separated DC balancing algorithm (Sorting algorithm)	Many clamping diodes/ Scalability

In contrast, the proposed method employs a simple input power supply configuration based on a diode-clamped circuit and achieves high power density by utilizing only one set of passive elements. However, owing to the characteristics of the diode-clamped circuit, the number of clamp diodes increases rapidly with the increase in the multilevel order, resulting in a somewhat complex circuit configuration. Modularization is also more challenging when compared to the ISOP or

cascading methods. On the bright side, the voltage balancing algorithm is already integrated into the implementation of the PWM switching pattern, eliminating the need for separate voltage balancing efforts. Moreover, the issue of the large number of clamp diodes in the FB topology can be mitigated by applying the HB method, reducing it by half [34].

The proposed converter offers several advantages over existing resonant converters or PSFB converters. In comparison to resonant converters that rely on trigonometric values [30], [31], the voltage transfer gain in the proposed converter is directly proportional to ‘m’, simplifying the design and tuning of controller parameters. While resonant converters often face the challenge of requiring a large circulating current and low ‘ $L_m$ ’ to meet ZVS conditions, the proposed converter is not constrained by this requirement, allowing for the suppression of circulating current by designing ‘ $L_m$ ’ to be large. Additionally, the proposed converter effectively mitigates the circulating current typically associated with the freewheeling section in conventional PSFB converters by introducing an additional powering section in the voltage drop section following the main powering section. This reduction in current flow through switches and magnetic components contributes to its advantages.

## VII. CONCLUSION

In this paper, we propose a novel FB diode-clamped four-level symmetric switching converter based on MNRV DPWM. The proposed converter operates in the PAM method with fixed switching frequency. Through the HB-based symmetric switching method, the switching modulation design scheme in the proposed FB topology was easily implemented through the offset voltage addition method. In this study, we have described MNRV DPWM design rules with offset voltage addition and have proposed appropriate control algorithms. A detailed analysis of the operating characteristics of the proposed converter is presented, including voltage transfer gain, ZVS conditions, and circuit design parameters. Furthermore, the proposed converter underwent a comparative analysis with existing representative isolated multilevel converters to validate its effectiveness and competitiveness. The results of this comparison revealed that, while the proposed converter exhibits lower modularity and a somewhat complex circuit configuration when compared to the existing ISOP, cascade-type converters, and MMCs, it offers the distinct advantages of enhancing the power density due to the reduction of passive components and streamlining the power supply configuration. Moreover, the DC-link voltage balancing control is inherently integrated into the PWM switching control, eliminating the need for an additional balancing control algorithm. Furthermore, when compared to existing resonant and PSFB converters, the proposed converter stands out for its simplified controller design and reduced circulating current. The feasibility and effectiveness of the proposed converter were verified through simulations and experiments on a 500W prototype circuit. The proposed converter can be applied in power conversion systems with high input voltage



requirements, such as DC/DC converter stations between MVDC-to-LVDC, electric vehicle (EV) charger, and railway vehicle propulsion systems. The proposed method can also be extended to HB-based multiphase systems consisting of three or more phases by utilizing offset voltage addition methods. As future work, our research plans aim to investigate diode-clamped multilevel multiphase topologies employing MNRV PWM.

## APPENDIX

Below we show the source codes for calculating the PWM command values of the proposed symmetric switching converter.

```
Vcmd_A = Vcmd/2;
Vcmd_B = -Vcmd/2;

if (CM== 1) Voffset = 0.5*Vdc - max(Vcmd_A, Vcmd_B);
else Voffset = -0.5*Vdc - min(Vcmd_A, Vcmd_B);

VCMD_A = Vcmd_A + Voffset + 0.5*Vdc;
VCMD_B = Vcmd_B + Voffset + 0.5*Vdc;

//X=A or B
if (VCMD_X <= 0.5*Vdc) CMD_region_X = 2;
else CMD_region_X = 1;

if (CMD_region_X == 1)
{
    if (VCMD_X == Vdc)
    {
        PWM_CMD_X1 = PWM_CMD_X2 = PWM_CMD_X3 = N_max;
    }
    else
    {
        dE_X = -VCMD_X/Vdc - CM*dcomp_1_23/3 + 1.;
        d2E_X = dE_X + CM*dcomp_1_23;
        d3E_X = 1 - dE_X - d2E_X;

        PWM_CMD_X1 = N_max*d3E_X;
        PWM_CMD_X2 = N_max*(d2E_X + d3E_X);
        PWM_CMD_X3 = N_max;
    }
}

if (CMD_region_X == 2)
{
    if (VCMD_X == 0)
    {
        PWM_CMD_X1 = PWM_CMD_X2 = PWM_CMD_X3 = 0;
    }
    else
    {
        d2E_X = VCMD_X/ Vdc - CM*dcomp_12_3/3.;
        dE_X = d2E_X + CM*dcomp_12_3;
        d0_X = 1 - dE_X - d2E_X;

        PWM_CMD_X1 = 0;
        PWM_CMD_X2 = N_max*d2E_X;
        PWM_CMD_X3 = N_max*(dE_X + d2E_X);
    }
}
```

They consist of dividing  $V_{cmd}$  into  $V_{cmd\_A}$  and  $V_{cmd\_B}$ , calculating  $V_{offset}$  according to CM, adding  $0.5V_{dc} + V_{offset}$ , distinguishing the positions of command values  $V_{CMD\_A}$  and  $V_{CMD\_B}$ , calculating duties of reference vectors, and generating PWM\_COMMANDS. Codes that are common to either the A or B legs are denoted together by the symbol X.

## REFERENCES

- [1] J. Rodriguez, J.-S. Lai, and F. Z. Peng, "Multilevel inverters: A survey of topologies, controls, and applications," *IEEE Trans. Ind. Electron.*, vol. 49, no. 4, pp. 724–738, Aug. 2002.
- [2] A. Bughneda, M. Salem, A. Richelli, D. Ishak, and S. Alatai, "Review of multilevel inverters for PV energy system applications," *Energies*, vol. 14, no. 6, p. 1585, Mar. 2021.
- [3] M. A. Perez, S. Ceballos, G. Konstantinou, J. Pou, and R. P. Aguilera, "Modular multilevel converters: Recent achievements and challenges," *IEEE Open J. Ind. Electron. Soc.*, vol. 2, pp. 224–239, 2021.
- [4] K. K. Gupta, A. Ranjan, P. Bhatnagar, L. K. Sahu, and S. Jain, "Multilevel inverter topologies with reduced device count: A review," *IEEE Trans. Power Electron.*, vol. 31, no. 1, pp. 135–151, Jan. 2016.
- [5] S. Debnath, J. Qin, B. Bahrani, M. Saedifard, and P. Barbosa, "Operation, control, and applications of the modular multilevel converter: A review," *IEEE Trans. Power Electron.*, vol. 30, no. 1, pp. 37–53, Jan. 2015.
- [6] S. Shi, X. Wang, S. Zheng, Y. Zhang, and D. Lu, "A new diode-clamped multilevel inverter with balance voltages of DC capacitors," *IEEE Trans. Energy Convers.*, vol. 33, no. 4, pp. 2220–2228, Dec. 2018.
- [7] M. Z. Youssef, K. Woronowicz, K. Aditya, N. A. Azeez, and S. S. Williamson, "Design and development of an efficient multilevel DC/AC traction inverter for railway transportation electrification," *IEEE Trans. Power Electron.*, vol. 31, no. 4, pp. 3036–3042, Apr. 2016.
- [8] J. Pou, R. Pindado, and D. Boroyevich, "Voltage-balance limits in four-level diode-clamped converters with passive front ends," *IEEE Trans. Ind. Electron.*, vol. 52, no. 1, pp. 190–196, Feb. 2005.
- [9] Z. Shu, X. He, Z. Wang, D. Qiu, and Y. Jing, "Voltage balancing approaches for diode-clamped multilevel converters using auxiliary capacitor-based circuits," *IEEE Trans. Power Electron.*, vol. 28, no. 5, pp. 2111–2124, May 2013.
- [10] D. Cui and Q. Ge, "A novel hybrid voltage balance method for five-level diode-clamped converters," *IEEE Trans. Ind. Electron.*, vol. 65, no. 8, pp. 6020–6031, Aug. 2018.
- [11] J. Rodriguez, B. Wu, M. Rivera, A. Wilson, V. Yaramasu, and C. Rojas, "Model predictive control of three-phase four-leg neutral-point-clamped inverters," in *Proc. Int. Power Electron. Conf. (ECCE ASIA)*, Jun. 2010, pp. 3112–3116.
- [12] V. Yaramasu, B. Wu, M. Rivera, M. Narimani, S. Kouro, and J. Rodriguez, "Generalised approach for predictive control with common-mode voltage mitigation in multilevel diode-clamped converters," *IET Power Electron.*, vol. 8, no. 8, pp. 1440–1450, Aug. 2015.
- [13] M. Bhattacharya, S. Saha, D. Khan, and T. Nag, "Wavelet based component fault detection in diode clamped multilevel inverter using probabilistic neural network," in *Proc. 2nd Int. Conf. Conver. Technol. (I2CT)*, Apr. 2017, pp. 1163–1168.
- [14] S. Busquets-Monge, S. Alepuz, J. Bordonau, and J. Peracaula, "Voltage balancing control of diode-clamped multilevel converters with passive front-ends," *IEEE Trans. Power Electron.*, vol. 23, no. 4, pp. 1751–1758, Jul. 2008.
- [15] F. B. Grigoletto and H. Pinheiro, "Generalised pulse width modulation approach for DC capacitor voltage balancing in diode-clamped multilevel converters," *IET Power Electron.*, vol. 4, no. 1, pp. 89–100, Jan. 2011.
- [16] S. Busquets-Monge, J. Bordonau, D. Boroyevich, and S. Somavilla, "The nearest three virtual space vector PWM—A modulation for the comprehensive neutral-point balancing in the three-level NPC inverter," *IEEE Power Electron Lett.*, vol. 2, no. 1, pp. 11–15, Mar. 2004.
- [17] S. Busquets-Monge, S. Alepuz, J. Rocabert, and J. Bordonau, "Pulsewidth modulations for the comprehensive capacitor voltage balance of n-level three-leg diode-clamped converters," *IEEE Trans. Power Electron.*, vol. 24, no. 5, pp. 1364–1375, May 2009.
- [18] S. Busquets-Monge and A. Ruderman, "Carrier-based PWM strategies for the comprehensive capacitor voltage balance of multilevel multileg diode-clamped converters," in *Proc. IEEE Int. Symp. Ind. Electron.*, Jul. 2010, pp. 688–693.
- [19] K. Wang, Z. Zheng, and Y. Li, "A novel carrier-overlapped PWM method for four-level neutral-point clamped converters," *IEEE Trans. Power Electron.*, vol. 34, no. 1, pp. 7–12, Jan. 2019.
- [20] K. Wang, Z. Zheng, L. Xu, and Y. Li, "A generalized carrier-overlapped PWM method for neutral-point-clamped multilevel converters," *IEEE Trans. Power Electron.*, vol. 35, no. 9, pp. 9095–9106, Sep. 2020.
- [21] K. Wang, Z. Zheng, and Y. Li, "Topology and control of a four-level ANPC inverter," *IEEE Trans. Power Electron.*, vol. 35, no. 3, pp. 2342–2352, Mar. 2020.



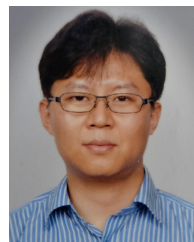
- [22] D. Ma, W. Chen, and X. Ruan, "A review of voltage/current sharing techniques for series-parallel-connected modular power conversion systems," *IEEE Trans. Power Electron.*, vol. 35, no. 11, pp. 12383–12400, Nov. 2020.
- [23] G. Daoud, E. H. Aboadla, S. Khan, S. F. Ahmed, and M. Tohtayong, "Input-series output-parallel full-bridge DC–DC converter for high power applications," in *Proc. 4th IEEE Int. Conf. Eng. Technol. Appl. Sci. (ICETAS)*, Nov. 2017, pp. 1–6.
- [24] M. Lee, C.-S. Yeh, O. Yu, J.-W. Kim, J.-M. Choe, and J.-S. Lai, "Modeling and control of three-level boost rectifier based medium-voltage solid-state transformer for DC fast charger application," *IEEE Trans. Transport. Electrification*, vol. 5, no. 4, pp. 890–902, Dec. 2019.
- [25] X. Zhang, M. Tian, X. Xiang, J. Pereda, T. C. Green, and X. Yang, "Large step ratio input-series-output-parallel chain-link DC–DC converter," *IEEE Trans. Power Electron.*, vol. 34, no. 5, pp. 4125–4136, May 2019.
- [26] V. Karthikeyan and R. Gupta, "Distributed power flow control using cascaded multilevel isolated bidirectional DC–DC converter with multi-phase shift modulation," *IET Power Electron.*, vol. 12, no. 11, pp. 2996–3003, Sep. 2019.
- [27] N. B. Y. Gorla, S. Kolluri, M. Chai, and S. K. Panda, "A comprehensive harmonic analysis and control strategy for improved input power quality in a cascaded modular solid state transformer," *IEEE Trans. Power Electron.*, vol. 34, no. 7, pp. 6219–6232, Jul. 2019, doi: 10.1109/TPEL.2018.2873201.
- [28] B. Zhao, Q. Song, J. Li, Y. Wang, and W. Liu, "Modular multilevel high-frequency-link DC transformer based on dual active phase-shift principle for medium-voltage DC power distribution application," *IEEE Trans. Power Electron.*, vol. 32, no. 3, pp. 1779–1791, Mar. 2017.
- [29] D. Ronanki and S. S. Williamson, "Modular multilevel converters for transportation electrification: Challenges and opportunities," *IEEE Trans. Transport. Electrification*, vol. 4, no. 2, pp. 399–407, Jun. 2018.
- [30] M.-S. Song and J.-B. Lee, "Pulse-amplitude-modulation full-bridge diode-clamped multilevel LLC resonant converter using multi-neighboring reference vector discontinuous PWM," *Energies*, vol. 15, no. 11, p. 4045, May 2022.
- [31] M.-S. Song, H. Jung, H. Kim, J. Kim, G.-J. Cho, and H.-H. Cho, "Pulse-amplitude-modulation full-bridge diode-clamped three-level LLC resonant converter with offset voltage injection method," *Trans. Korean Inst. Electr. Eng.*, vol. 71, no. 9, pp. 1342–1350, Sep. 2022.
- [32] C.-Y. Lim, Y. Jeong, and G.-W. Moon, "Phase-shifted full-bridge DC–DC converter with high efficiency and high power density using center-tapped clamp circuit for battery charging in electric vehicles," *IEEE Trans. Power Electron.*, vol. 34, no. 11, pp. 10945–10959, Nov. 2019.
- [33] G. Li, J. Xia, K. Wang, Y. Deng, X. He, and Y. Wang, "Hybrid modulation of parallel-series LLC resonant converter and phase shift full-bridge converter for a dual-output DC–DC converter," *IEEE J. Emerg. Sel. Topics Power Electron.*, vol. 7, no. 2, pp. 833–842, Jun. 2019.
- [34] M.-S. Song, J.-B. Lee, H. Jung, and H. Kim, "Half-bridge diode-clamped four-level LLC resonant converter with pulse-amplitude-modulation for railway applications," *J. Electr. Eng. Technol.*, May 2023, doi: 10.1007/s42835-023-01545-5.
- [35] W. Song, X. Feng, and K. M. Smedley, "A carrier-based PWM strategy with the offset voltage injection for single-phase three-level neutral-point-clamped converters," *IEEE Trans. Power Electron.*, vol. 28, no. 3, pp. 1083–1095, Mar. 2013.
- [36] E.-S. Jun, M. H. Nguyen, and S.-S. Kwak, "Model predictive control method with NP voltage balance by offset voltage injection for three-phase three-level NPC inverter," *IEEE Access*, vol. 8, pp. 172175–172195, 2020.
- [37] R. Stala, "Natural DC-link voltage balance in a single-phase NPC inverter with four-level legs and novel modulation method," *IET Power Electron.*, vol. 13, no. 16, pp. 3764–3776, Dec. 2020.
- [38] M.-S. Song, I.-H. Cho, and J.-B. Lee, " $\pm 180^\circ$  discontinuous PWM for single-phase PWM converter of high-speed railway propulsion system," *Energies*, vol. 13, no. 7, p. 1550, Mar. 2020.
- [39] J.-Y. Lee, J.-H. Chen, and K.-Y. Lo, "An interleaved phase-shift full-bridge converter with dynamic dead time control for server power applications," *Energies*, vol. 14, no. 4, p. 853, Feb. 2021.
- [40] A. Q. Huang, "Medium-voltage solid-state transformer: Technology for a smarter and resilient grid," *IEEE Ind. Electron. Mag.*, vol. 10, no. 3, pp. 29–42, Sep. 2016.
- [41] Q. Zhu, L. Wang, D. Chen, L. Zhang, and A. Q. Huang, "Design and implementation of a 7.2 kV single stage AC–AC solid state transformer based on current source series resonant converter and 15 kV SiC MOSFET," in *Proc. IEEE Energy Convers. Congr. Expo. (ECCE)*, Oct. 2017, pp. 1288–1295.



**MIN-SUP SONG** received the B.S., M.S., and Ph.D. degrees from the Department of Electrical Engineering, Pohang University of Science and Technology, Pohang, South Korea, in 2005, 2007, and 2011, respectively. He was a Senior Researcher with LG Display, Samsung Electro-Mechanics, and Hyundai-Rotem, South Korea, from 2011 to 2016. He is currently a Senior Researcher with the Smart Electrical & Signaling Division, Korea Railroad Research Institute, Uiwang-si, South Korea. His research interests include the development of novel circuit topologies and suitable switching modulation techniques for high-power and high-voltage power conversion systems.



**JAEWON KIM** received the B.S., M.S., and Ph.D. degrees in electrical engineering from Korea University, Seoul, South Korea, in 2006, 2008, and 2018, respectively. He is currently a Principal Researcher with the Korea Railroad Research Institute, Uiwang-si, South Korea. His research interests include onboard energy storage system for railway trains, traction power supply system analysis, and railway electric components reliability analysis.



**JAE-BUM LEE** received the B.S. degree in electrical engineering from Korea University, Seoul, South Korea, in 2010, and the M.S. and Ph.D. degrees in electrical engineering from the Korea Advanced Institute of Science and Technology, Daejeon, South Korea, in 2012 and 2016, respectively. He was a Senior Researcher with the Korea Railroad Research Institute, Uiwang-si, South Korea, from 2016 to 2019. He is currently an Associate Professor with the Korea National University of Transportation, Uiwang-si. His main research interests include high-voltage/power transformer design, high-efficiency AC/DC and DC/DC converters, and digital control method in high-power vehicles, such as electric vehicles and rolling stock and medium power applications, such as electronic equipment.