

Received 31 March 2023, accepted 25 April 2023, date of publication 2 May 2023, date of current version 10 May 2023. *Digital Object Identifier 10.1109/ACCESS.2023.3272531*

RESEARCH ARTICLE

A New Method for the Design of Interval Type-3 Fuzzy Logic Systems With Uncertain Type-2 Non-Singleton Inputs (IT3 NSFLS-2): A Case Study in a Hot Strip Mill

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This work was supported in part by the Consejo Nacional de Ciencia y Tecnología (CONACyT) under Grant PN-2015-01-1354.

ABSTRACT This paper presents a new method for the construction and training of interval type-3 fuzzy logic systems whose inputs are uncertain type-2 non-singleton numbers (IT3 NSFLS-2). The proposed methodology is divided in two processes: 1) The novel construction of the structure of the IT3 NSFLS-2 systems based on: a) The level-alpha-0 of the interval type-2 fuzzy logic system (IT2-alpha-0 FLS), and on b) The secondary membership function using Gaussian modeling to construct each rule of the alpha-k fuzzy rule base (FRB), the firing intervals of the antecedent and the centroids of the consequent, and 2) The training methodology based on gradient descent algorithm to train the antecedent and consequent parameters of the alpha-0 FRB. The primary membership functions (MF) of the antecedents of the IT3 NSFLS-2 system are modeled as Gaussians with uncertain means and with common standard deviation. The proposal was applied and tested with the prediction of a transfer bar's surface temperature in an industrial hot strip mill facility located in Monterrey México. The modeling results show that the proposal supports the stability required by this critical process and shows the best performance when compared with similar methods.

INDEX TERMS General type-2 fuzzy systems, gradient descent algorithm, hot strip mill, interval type-2 fuzzy systems, interval type-3 fuzzy systems, temperature prediction, type-2 non-singleton inputs.

I. INTRODUCTION

Recent trends on science have generated an evolution of fuzzy systems. This evolution was marked by the development of new models as in the case of the interval type-3 (IT3) fuzzy logic systems (FLS). This type of system was proposed in 2008 by Rickard, et al. with the term type-3 fuzzy sets [\[1\], \[2](#page-14-0)[\].](#page-14-1) I. [\[3\] the](#page-14-2) IT3 and the type-n systems models were presented in forecasting application.

In recent years, 2019-2022, important and novel papers were developed [\[4\], \[](#page-14-3)[5\], \[6](#page-14-4)[\], \[7](#page-14-5)[\], \[8](#page-14-6)[\], \[9](#page-14-7)[\], \[1](#page-14-8)[0\], \[](#page-14-9)[11\], \[](#page-14-10)[12\], \[](#page-15-0)[13\],](#page-15-1) [\[14\], \[](#page-15-2)[15\], \[](#page-15-3)[16\], \[](#page-15-4)[17\], \[](#page-15-5)[18\], \[](#page-15-6)[19\], \[](#page-15-7)[20\], \[](#page-15-8)[21\], \[](#page-15-9)[22\], \[](#page-15-10)[23\], \[](#page-15-11)[24\],](#page-15-12) [\[25\], \[](#page-15-13)[26\], \[](#page-15-14)[27\], \[](#page-15-15)[28\], \[](#page-15-16)[29\], \[](#page-15-17)[30\], \[](#page-15-18)[31\], \[](#page-15-19)[32\], \[](#page-15-20)[33\], \[](#page-15-21)[34\], \[](#page-15-22)[35\],](#page-15-23)

The associate editor coordinating the review of this manuscript and approving it for publication was Qi Zhou.

[\[36\], \[](#page-15-24)[37\], \[](#page-15-25)[38\], \[](#page-15-26)[39\], \[](#page-15-27)[40\], \[](#page-15-28)[41\], \[](#page-15-29)[42\]: C](#page-15-30)astillo et al. [\[4\], \[](#page-14-3)[5\],](#page-14-4) [\[6\], \[](#page-14-5)[7\], \[](#page-14-6)[8\], \[](#page-14-7)[9\], \[](#page-14-8)[10\], \[](#page-14-9)[11\], \[](#page-14-10)[12\], \[](#page-15-0)[13\], \[](#page-15-1)[14\], \[](#page-15-2)[15\], \[](#page-15-3)[16\], \[](#page-15-4)[17\],](#page-15-5) [\[18\], \[](#page-15-6)[19\], \[](#page-15-7)[28\], \[](#page-15-16)[34\], C](#page-15-22)astillo et al. [\[4\], \[](#page-14-3)[5\], \[6](#page-14-4)[\], \[](#page-14-5)[8\], \[](#page-14-7)[9\], \[](#page-14-8)[10\],](#page-14-9) [\[11\], \[](#page-14-10)[12\], \[](#page-15-0)[13\], \[](#page-15-1)[14\], \[](#page-15-2)[18\],](#page-15-6) [\[19\], C](#page-15-7)astillo et al. [\[4\], \[](#page-14-3)[5\], \[](#page-14-4)[8\],](#page-14-7) [\[9\], \[](#page-14-8)[10\], \[](#page-14-9)[11\], \[](#page-14-10)[13\], \[](#page-15-1)[14\], \[](#page-15-2)[18\], M](#page-15-6)ohammadzadeh et al. [\[15\],](#page-15-3) [\[16\], \[](#page-15-4)[20\], \[](#page-15-8)[21\], \[](#page-15-9)[22\], \[](#page-15-10)[23\], \[](#page-15-11)[25\], \[](#page-15-13)[26\], \[](#page-15-14)[27\], \[](#page-15-15)[28\], \[](#page-15-16)[29\], \[](#page-15-17)[30\],](#page-15-18) [\[31\], \[](#page-15-19)[32\], \[](#page-15-20)[33\], \[](#page-15-21)[34\], \[](#page-15-22)[35\], \[](#page-15-23)[36\], \[](#page-15-24)[38\], \[](#page-15-26)[39\], \[](#page-15-27)[40\], \[](#page-15-28)[41\], \[](#page-15-29)[42\],](#page-15-30) among others. Their work shows that the IT3 FLS systems constitute an emerging technology.

In [\[34, p.](#page-15-22) 154] the IT3 FLS are defined as:

''The type-3 FLS, is the generalization of the type-2 FLS that has more capacity to cope with uncertainties. In T3-FLSs, the secondary membership function (MF) is also a type-2 MF. Then the upper and lower bounds of

memberships are not constant in contrast to the type-2 MFs. These features cause that more level of uncertainties can be handled by type-3 MFs.''

The IT3 model presents several similarities to the general type-2 (GT2) model due to their analogous mathematical foundations. The authors in [\[10\] p](#page-14-9)resent a list of characteristics for similar intelligent systems as provided in Table [1.](#page-1-0) It shows that the IT3 FLS provide better accuracy than the IT2 systems.

TABLE 1. Characteristics of the IT3 compared with other models. adapted from [\[10\].](#page-14-9)

Method	Learning required	Knowledge required	Type of model	Time to develop	Accuracy
NNs.	Yes	Nο	Monolithic	Long	Good
Ensemble NNs	Yes	No	Hvbrid	Long	Excellent
ANFIS	Yes	No	Hybrid	Long	Excellent
T ₁ F _S	No	Yes	Monolithic	Short	Regular
T ₂ F _S	No	Yes	Monolithic	Short	Good
IT3FS	No	Yes	Monolithic	Short	Excellent

Table [2](#page-1-1) shows the challenges to be avoided in the imple-mentation of GT2 models as it is mentioned in [\[43\].](#page-15-31)

TABLE 2. Challenges of the generalization of type-2 fls. adapted from [\[43\].](#page-15-31)

Challenge	References
Difficult to implement	[44]
Information is non-functional	[45]
Information is un useful	[45]
Information not needed	[45]
Complex learning process	$[46-50]$
Hard computation	$[46, 49-53]$
Defuzzification very complex	[46, 53, 54]
Exhaustive computational time	$[46, 49-53]$
Impractical to usage	[46]
Method iterative and algorithmic	[55]
Determination of the number of alpha planes	[51]

Based on the information above and according to [\[10\] an](#page-14-9)d [\[43\], t](#page-15-31)he main advantages of IT3FS are: a) Superior accuracy versus IT2 models, b) Better system performance, c) Management of non-uniform uncertainties, d) Management of semantically numerical values that the secondary membership function of IT2 cannot make [\[58\]. T](#page-16-0)he disadvantages are: a) Complex learning process due to the change of the type of the secondary membership function, b) Hard computation and their iterative nature, c) Quantity of alpha planes or slices necessary for the implementation and d) Limited number of applications available in the literature.

In [\[56\],](#page-16-1) [\[57\], a](#page-16-2)nd [\[58\] th](#page-16-0)e authors proposed to handle higher levels of uncertainty using IT3 FLS. Nowadays, several researchers have developed its mathematical foundations and applied it to modeling, predicting, and controlling realworld situations.

In [\[21\] t](#page-15-9)he authors presented the baseline to construct and update the IT3 FLS with singleton inputs using a fractional-order learning algorithm, and only the consequent parameters are adjusted to help to decrease the computational cost.

The analysis of the state-of-the-art literature shows that IT3 models use singleton inputs [\[4\], \[](#page-14-3)[5\], \[](#page-14-4)[6\], \[](#page-14-5)[7\], \[](#page-14-6)[8\], \[](#page-14-7)[9\],](#page-14-8) [\[10\], \[](#page-14-9)[11\], \[](#page-14-10)[12\], \[](#page-15-0)[13\], \[](#page-15-1)[14\], \[](#page-15-2)[15\], \[](#page-15-3)[16\], \[](#page-15-4)[17\], \[](#page-15-5)[18\], \[](#page-15-6)[19\], \[](#page-15-7)[20\],](#page-15-8) [\[21\], \[](#page-15-9)[22\], \[](#page-15-10)[23\], \[](#page-15-11)[24\], \[](#page-15-12)[25\], \[](#page-15-13)[26\], \[](#page-15-14)[27\], \[](#page-15-15)[28\], \[](#page-15-16)[29\], \[](#page-15-17)[30\], \[](#page-15-18)[31\],](#page-15-19) [\[33\],](#page-15-21) [\[34\],](#page-15-22) [\[35\],](#page-15-23) [\[36\], \[](#page-15-24)[37\], \[](#page-15-25)[38\], \[](#page-15-26)[39\], \[](#page-15-27)[40\], \[](#page-15-28)[41\], \[](#page-15-29)[42\] a](#page-15-30)nd type-1, non-singleton inputs [\[32\]. T](#page-15-20)he applications of the IT3 FLS systems mainly covers the areas of: Mathematical theory [\[1\], \[](#page-14-0)[2\], \[](#page-14-1)[3\], \[](#page-14-2)[4\], \[](#page-14-3)[6\], \[](#page-14-5)[11\],](#page-14-10) [\[19\],](#page-15-7) [\[24\],](#page-15-12) [\[56\],](#page-16-1) [\[57\], \[](#page-16-2)[58\], \[](#page-16-0)[59\], \[](#page-16-3)[60\], \[](#page-16-4)[61\], \[](#page-16-5)[62\], \[](#page-16-6)[63\], q](#page-16-7)uality of sound and image processing [\[5\], \[](#page-14-4)[9\], co](#page-14-8)ntrol systems [\[5\], \[](#page-14-4)[7\], \[](#page-14-6)[8\],](#page-14-7) [\[9\], \[](#page-14-8)[15\], \[](#page-15-3)[16\], \[](#page-15-4)[17\],](#page-15-5) [\[20\], \[](#page-15-8)[22\], \[](#page-15-10)[23\], \[](#page-15-11)[25\], \[](#page-15-13)[27\], \[](#page-15-15)[28\], \[](#page-15-16)[29\],](#page-15-17) [\[31\],](#page-15-19) [\[32\],](#page-15-20) [\[33\],](#page-15-21) [\[36\],](#page-15-24) [\[39\],](#page-15-27) [\[64\],](#page-16-8) [\[65\], \[](#page-16-9)[66\],](#page-16-10) fuzzy learning [\[16\], \[](#page-15-4)[20\], \[](#page-15-8)[21\], \[](#page-15-9)[26\],](#page-15-14) [\[27\], \[](#page-15-15)[34\], \[](#page-15-22)[36\], \[](#page-15-24)[40\], t](#page-15-28)ime series forecasting [\[3\], \[](#page-14-2)[10\], \[](#page-14-9)[13\], p](#page-15-1)arameters optimization [\[8\], \[](#page-14-7)[26\],](#page-15-14) fault detection [\[30\], \[](#page-15-18)[64\], \[](#page-16-8)[65\], s](#page-16-9)tabilization and synchronization [\[35\], \[](#page-15-23)[64\], m](#page-16-8)anagement [\[37\], p](#page-15-25)rediction [\[12\], \[](#page-15-0)[13\],](#page-15-1) [\[14\], \[](#page-15-2)[18\], \[](#page-15-6)[25\], \[](#page-15-13)[29\], \[](#page-15-17)[38\], \[](#page-15-26)[41\], \[](#page-15-29)[42\], a](#page-15-30)nd dynamic adaptation [\[65\], \[](#page-16-9)[66\]. O](#page-16-10)n the other hand, there exists a gap in the theory and the characteristics, design, and formulation of the involved mathematics of the IT3 models as: the number of rules, the number of alpha planes, and the number of required optimization parameters. All pertinent information respect to the previous characteristics of the systems is omitted in the state-of-the-art literature, as it is shown in Table [3.](#page-2-0) Also, most of the papers show the particularity of getting the alpha cuts from only one level or only a vector of consequent parameters and from there the alpha cuts or slices are obtained.

In the state-of-the-art literature analysis, there are only few papers that used the gradient descent (GD) method for learning: For instance, authors in [\[40\] u](#page-15-28)se only the pure model and in [\[34\] th](#page-15-22)e extended Kalman filter (EKF) with hybridization is used. The main characteristics of the IT3 FLS found in the state-of-the-art literature are shown in Table [3.](#page-2-0) It is important to note that most of the publications present only a common vector of 4 or 8 fixed equations for the α_k cuts calculation in the consequent section (CCS). The use of CCS appears in [\[7\], \[](#page-14-6)[15\], \[](#page-15-3)[20\], \[](#page-15-8)[21\],](#page-15-9) [\[22\], \[](#page-15-10)[24\], \[](#page-15-12)[28\], \[](#page-15-16)[30\],](#page-15-18) [\[34\], \[](#page-15-22)[35\], \[](#page-15-23)[41\], a](#page-15-29)nd [\[42\].](#page-15-30)

The main contributions of this paper are:

1. An alternative and economical model to construct IT3 NSFLS-2 systems with dynamical structure, where each 2*N* horizontal levels- α_k has its own base of *M* rules. The output *y*α*^k* of each level- α_k is calculated with the contribution of each i th rule, which only requires both its antecedent's firing intervals $\int f_i^i$ $\frac{i}{l\alpha_k}, \overline{f}_i^i$ $\begin{bmatrix} i \\ r\alpha_k \end{bmatrix}$ and its consequent's centroids $\left[c_{l\alpha_{k}}^{i}, \overline{c}_{r\alpha_{k}}^{i}\right]$. According to the literature, each output $y_{\alpha_{k}}$ of each level- α_k is calculated using the estimation of the alpha cuts at level- α_k . This proposal does not estimate each α_k -cut of each input x'_q at each level- α_k , that is

TABLE 3. State of art and survey of IT3 systems.

TABLE 3. (Continued.) State of art and survey of IT3 systems.

^a Singleton, ^b Type-1 non-singleton, ^c Type-2 non-singleton.

 $\left[a_{q\alpha_{k}}^{i}\left(x_{q}^{i}\right), b_{q\alpha_{k}}^{i}\left(x_{q}^{i}\right)\right]$, in order to calculate the firing interval values $\int f_i^i$ \overline{f} ^{*i*} $\left[\begin{array}{c} i \\ r\alpha_k \end{array}\right]$ of this level- α_k .

- 2. The use of Gaussian models to directly calculate the firing interval $\int f_i^i$ $\frac{i}{l\alpha_k}$, \overline{f}^i $\begin{bmatrix} i \\ r\alpha_k \end{bmatrix}$ of each fuzzy rule at each level- α_k using for the basis of this estimation the firing interval of the level- α_0 , f_i^i *l*α*o* , *f i* $\frac{i}{r\alpha_o}$.
- 3. The use of two sets of product operations required to calculate the values of the α_k -cuts for each input variable, of each rule at each level- α_k . e.g. If an IT3 NSFLS-2 fuzzy system has $p = 2$ input variables $x'_1, x'_2, M = 25$ rules, and $K = 20$ levels- α_k , then the new proposal does not require the calculation of [\(24\)](#page-6-0) and [\(25\)](#page-6-1) for a total of 2000 α_k -cuts $= 2pMK = 2 \times 2 \times 25 \times 20$ times, for each estimation of *y*α.
- 4. To the best knowledge of the authors, this is the first time that the primary MFs of the antecedents section of the IT3 NSFLS-2 are modeled as Gaussians with uncertain mean $M_q^i \in \left[M_{q_1}^i, M_{q_2}^i \right]$ and common standard deviation σ_q^i , Fig. [1,](#page-3-0) with such being a more difficult case than the one considered in the state-of-the-art literature that uses the primary MFs modeled as Gaussians with uncertain standard deviation $\sigma_q^i \in \left[\sigma_{q_1}^i, \sigma_{q_2}^i\right]$ and a common mean M_q^i .
- 5. The proposal handles the type-2 non-singleton inputs modeled as Gaussians fuzzy numbers with uncertain standard deviations $\sigma_{x_q}^i \in \left[\sigma_{x_{q1}}^i, \sigma_{x_{q2}}^i\right]$, representing the additive measurement non-stationary noise (Fig. [1\)](#page-3-0). An interval type-3 FLS whose inputs are modeled using type-2 fuzzy numbers is named a type-2 non-singleton type-3 fuzzy logic system (IT3 NSFLS-2).
- 6. The complete set of equations to update all the parameters of both antecedent and consequent sections of the proposed dynamical structure which are obtained by applying the gradient descent methodology, is presented here.
- 7. To the best of the knowledge of the authors, this is the first time that the IT3 NSFLS-2 fuzzy systems are applied to predict the transfer bar surface temperature at the entry zone of the finishing scale breaker of a hot strip mill.
- 8. To the best knowledge of the authors, this is the first time that systematic experiments using IT3 NSFLS-2 fuzzy systems with more than 10 levels- α_k are proposed: 100 and 1000 levels- α_k .

FIGURE 1. Levels-α and uncertain secondary values of the proposed IT3 NSFLS-2 system.

II. PROBLEM DESCRIPTION

The Hot Strip Mill (HSM) process presents many complexities and uncertainties involved in rolling operations. Fig. [2](#page-4-0) shows the HSM sub-processes: The reheat furnace, the roughing mill (RM), the transfer tables, the scale breaker (SB), the finishing mill (FM), the round out tables, and the coiler (CLR) .

The most critical subprocess is the FM. There are several mathematical model-based systems for setting up the FM, like the finishing mill setup (FSU) model which calculates the working references required to obtain the target strip gauge, target strip width and target strip temperature at the exit zone of the FM. The FSU model takes as inputs the FM target strip gage, the target strip width, the target strip temperature, the slab steel grade, the hardness ratio from slab chemistry, the FM load distribution, the FM gauge offset, the FM temperature offset, the FM roll diameters, the FM load distribution, the input transfer bar gauge, the input transfer bar width, and the most critical variable, the input transfer bar temperature.

The FSU model requires knowing accurately what the input transfer bar temperature is at the entry zone of the FM. A minimum entry temperature error will propagate through the entire FM and produce a coil out of the required quality. For the estimation of this FM entry temperature, the math models require knowledge of the transfer bar surface

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FIGURE 2. Topology of a typical HSM.

temperature, which is measured by a pyrometer located at the RM exit side, and the time taken to translate the transfer bar from the RM exit zone to the FM SB entry zone.

These pyrometers' measurements are affected by the noise produced by the surface scale growth, environment water steam, the pyrometer's location, calibration, resolution, repeatability, and by the recalescence phenomenon occurring at the RM exit in the body of the transfer bar [\[67\]. T](#page-16-11)he time required by the transfer bar to move its head end from the RM exit to the FM entry zones, is estimated by the FSU model, when calculating the required transfer bar thread speed to reach the strip target temperature at the exit zone of the FM. This time estimation is affected by the free air radiation phenomenon occurring during the transfer bar translation and by the inherent uncertainty of the kinematic and dynamic modeling.

The FSU model parameters are adjusted using both, the uncertain surface temperature measured by pyrometers located at the FM entry zone, and the uncertain surface temperature at the FM entry zone estimated by the FSU model. The proposal was off-line tested using real data from an industrial hot strip mill facility located in Monterrey, México, which is currently using a certain type of fuzzy system for this estimation.

III. CONSTRUCTION OF THE IT3 NSFLS-2

The main foundation of IT3 systems is the uncertainty presented by the horizontal level- α_k with respect to its vertical location or its secondary membership value $\mu_{\tilde{A}}(x, u)$ = $f_x(u) = \alpha_k$, as is shown in Fig. [1.](#page-3-0) In the IT3 systems, this additional uncertainty is represented by the interval value $[\underline{\alpha}_k, \overline{\alpha}_k]$. Geometrically as in [\[21\], i](#page-15-9)t is interpreted as shown in Fig. [3.](#page-4-1) This uncertainty is modeled to be between the horizontal level $\underline{\alpha}_k$ and the horizontal level $\overline{\alpha}_k$, as in Fig. [4.](#page-4-2)

Based on the economical modeling of WH GT2 Mamdani fuzzy systems that use the type reduction center sets and the end-point defuzzification average $[60]$, $[61]$, $[62]$, $[63]$, the IT3 NSFLS-2 can be calculated as in [\[60\], w](#page-16-4)ith $q =$ $1, 2, \ldots, p$ the number of input variables, $i = 1, 2, \ldots, 2M$ the number of rules, $k = 1, 2, ..., N$, and the number of

FIGURE 3. Uncertainty of secondary membership grade in IT3 system.

FIGURE 4. Uncertainty of secondary membership grade in GT2 equivalent to IT3 systems.

initial horizontal levels-α*^k* :

$$
f_{IT3NSFLS-2}\left(\mathbf{x}'\right) = y_{WH-3} = y_{\alpha} = \frac{\sum_{k=1}^{k_{max}} \alpha_k y_{\alpha_k}}{\sum_{k=1}^{k_{max}} \alpha_k} \tag{1}
$$

$$
y_{\alpha_k} = \left[\frac{y_{l\alpha_k} + y_{r\alpha_k}}{2}\right] \tag{2}
$$

$$
f_{IT3NSFLS-2}\left(x'\right) = y_{WH-3} = y_{\alpha}
$$
\n
$$
= \frac{\sum_{k=1}^{k_{max}} \alpha_k \left[\left(y_{l,\alpha_k}^{cos}\left(x'\right) + y_{r,\alpha_k}^{cos}\left(x'\right) \right) / 2 \right]}{\sum_{k=1}^{k_{max}} \alpha_k}
$$
\n(3)

where y_{l,α_k}^{cos} and y_{r,α_k}^{cos} are the left and right points of the center of sets of each y_{α_k} , and its union can be expressed as an expansion y_{WH-3} composed by *N* elements y_{α_k} corresponding to the *N* horizontal levels- α_k :

$$
y_{\alpha} = \frac{\alpha_1}{\sum_{n=0}^{N} \alpha_n} y_{\alpha_1} + \frac{\alpha_2}{\sum_{n=0}^{N} \alpha_n} y_{\alpha_2} + \ldots + \frac{\alpha_k}{\sum_{n=0}^{N} \alpha_n} y_{\alpha_k}
$$

+ \ldots +
$$
\frac{\alpha_N}{\sum_{n=0}^{N} \alpha_n} y_{\alpha_N}
$$
 (4)

Each weighted output y_{α_k} corresponding to each level α_k can be calculated using the IT3 NFLS-2 modeling with the uncertain level $\alpha_k \in [\underline{\alpha}_k, \overline{\alpha}_k]$. Now the proposed y_{WH-3} expansion is composed by 2*N* elements, as in Fig. [4.](#page-4-2)

$$
y_{\alpha} = \frac{\alpha_1}{\sum_{n=0}^{N} \alpha_n} \left(\frac{\frac{\alpha_1 y_{\alpha_1} + \overline{\alpha}_1 y_{\overline{\alpha}_1}}{\alpha_1 + \overline{\alpha}_1} \right)
$$

+ ... + $\frac{\alpha_k}{\sum_{n=0}^{N} \alpha_n} \left(\frac{\frac{\alpha_k y_{\alpha_k} + \overline{\alpha}_k y_{\overline{\alpha}_k}}{\alpha_k + \overline{\alpha}_k} \right)$
+ ... + $\frac{\alpha_N}{\sum_{n=0}^{N} \alpha_n} \left(\frac{\frac{\alpha_N y_{\alpha_N} + \overline{\alpha}_N y_{\overline{\alpha}_N}}{\alpha_N + \overline{\alpha}_N} \right)$

$$
y_{\alpha} = \frac{\alpha_1}{\sum_{n=0}^{N} \alpha_n} \left(\frac{\frac{\alpha_1 y_{\alpha_1}}{\alpha_1 + \overline{\alpha}_1} \right) + \frac{\alpha_1}{\sum_{n=0}^{N} \alpha_n} \left(\frac{\overline{\alpha}_1 y_{\overline{\alpha}_1}}{\alpha_1 + \overline{\alpha}_1} \right)
$$

+ ... + $\frac{\alpha_k}{\sum_{n=0}^{N} \alpha_n} \left(\frac{\alpha_k y_{\alpha_k}}{\alpha_k + \overline{\alpha}_k} \right)$

$$
\alpha_k \left(\frac{\overline{\alpha}_k y_{\overline{\alpha}_k}}{\alpha_k} \right)
$$

$$
+\frac{\alpha_{K}}{\sum_{n=0}^{N} \alpha_{n}} \left(\frac{\alpha_{K} y_{\alpha_{K}}}{\underline{\alpha}_{K} + \overline{\alpha}_{K}} \right) + \dots + \frac{\alpha_{N}}{\sum_{n=0}^{N} \alpha_{n}} \left(\frac{\underline{\alpha}_{N} y_{\underline{\alpha}_{N}}}{\underline{\alpha}_{N} + \overline{\alpha}_{N}} \right) + \frac{\alpha_{N}}{\sum_{n=0}^{N} \alpha_{n}} \left(\frac{\overline{\alpha}_{N} y_{\overline{\alpha}_{N}}}{\underline{\alpha}_{N} + \overline{\alpha}_{N}} \right)
$$
\n(6)

$$
y_{\alpha} = K_{\underline{\alpha}_1} y_{\underline{\alpha}_1} + K_{\overline{\alpha}_1} y_{\overline{\alpha}_1} + \ldots + K_{\underline{\alpha}_k} y_{\underline{\alpha}_k} + K_{\overline{\alpha}_k} y_{\overline{\alpha}_k}
$$

+ \ldots + $K_{\underline{\alpha}_N} y_{\underline{\alpha}_N} + K_{\overline{\alpha}_N} y_{\overline{\alpha}_N}$ (7)

$$
y_{\alpha} = K_{\alpha_1} y_{\alpha_1} + K_{\alpha_2} y_{\alpha_2} + \dots K_{\alpha_k} y_{\alpha_k} + \dots + K_{\alpha_N} y_{\alpha_N} + K_{\alpha_{N+1}} y_{\alpha_{N+1}} + \dots + K_{\alpha_{2N-1}} y_{\alpha_{2N-1}} + K_{\alpha_{2N}} y_{\alpha_{2N}}
$$
(8)

Now y_α of the IT3 NSFLS-2 can be modeled as any GT2 NSFLS-2 system as it is shown in Fig. [4.](#page-4-2) where

$$
K_{\alpha_1} = \frac{\alpha_1}{\sum_{n=0}^{N} \alpha_n} \left[\frac{\underline{\alpha}_1}{\underline{\alpha}_1 + \overline{\alpha}_1} \right]
$$
(9)

$$
K_{\alpha_2} = \frac{\alpha_1}{\sum_{n=0}^{N} \alpha_n} \left[\frac{\overline{\alpha}_1}{\underline{\alpha}_1 + \overline{\alpha}_1} \right]
$$
(10)

$$
K_{\alpha_k} = \frac{\alpha_k}{\sum_{n=0}^{N} \alpha_n} \left[\frac{\underline{\alpha}_k}{\underline{\alpha}_k + \overline{\alpha}_k} \right]
$$
(11)

$$
K_{\alpha_{k+1}} = \frac{\alpha_k}{\sum_{n=0}^{N} \alpha_n} \left[\frac{\overline{\alpha}_k}{\underline{\alpha}_k + \overline{\alpha}_k} \right]
$$
(12)

$$
K_{\alpha_{2N-1}} = \frac{\alpha_{2N-1}}{\sum_{n=0}^{N} \alpha_n} \left[\frac{\underline{\alpha}_N}{\underline{\alpha}_N + \overline{\alpha}_N} \right]
$$
(13)

$$
K_{\alpha_{2N}} = \frac{\alpha_{2N}}{\sum_{n=0}^{N} \alpha_n} \left[\frac{\overline{\alpha}_N}{\underline{\alpha}_N + \overline{\alpha}_N} \right]
$$
(14)

then:

$$
y_{\alpha} = K_{\alpha_1} \left[\frac{y_{l\alpha_1} + y_{r\alpha_1}}{2} \right] + K_{\alpha_2} \left[\frac{y_{l\overline{\alpha}_2} + y_{r\overline{\alpha}_2}}{2} \right] + \dots + K_{\alpha_k} \left[\frac{y_{l\alpha_k} + y_{r\alpha_k}}{2} \right] + K_{\alpha_{k+1}} \left[\frac{y_{l\overline{\alpha}_{k+1}} + y_{r\overline{\alpha}_{k+1}}}{2} \right] + \dots + K_{\alpha_{2N-1}} \left[\frac{y_{l\alpha_{2N-1}} + y_{r\alpha_{2N-1}}}{2} \right] + K_{\alpha_{2N}} \left[\frac{y_{l\overline{\alpha}_{2N}} + y_{r\overline{\alpha}_{2N}}}{2} \right] \tag{15}
$$

$$
y_{\alpha} = \sum_{k=1}^{2N} K_{\alpha_k} \left[\frac{y_{l\alpha_k} + y_{r\alpha_k}}{2} \right]
$$
 (16)

The centroids can be calculated with the centroid equations using the Karnik-Mendel (KM) algorithm for any left end $point y_{l\alpha_k}$:

$$
y_{l\alpha_k} = \frac{\sum_{n=1}^{L} \overline{f}_{\alpha_k}^n * c_{l\alpha_k}^n + \sum_{n=L+1}^{M} f_{-\alpha_k}^n * c_{l\alpha_k}^n}{\sum_{n=1}^{L} \overline{f}_{\alpha_k}^n + \sum_{n=L+1}^{M} f_{-\alpha_k}^n}
$$
(17)

and for any right endpoint $y_{r\alpha_k}$:

$$
y_{r\alpha_k} = \frac{\sum_{n=1}^{R} \int_{-\alpha_k}^{n} *c_{r\alpha_k}^n + \sum_{n=R+1}^{M} \overline{f}_{\alpha_k}^n * c_{r\alpha_k}^n}{\sum_{n=1}^{R} \int_{-\alpha_k}^{n} * + \sum_{n=R+1}^{M} \overline{f}_{\alpha_k}^n}
$$
(18)

 $\int f^n$ $\frac{n}{\alpha_k}, \overline{f}_\alpha^n$ $\left[c^n_{l\alpha_k}, c^n_{r\alpha_k} \right]$ is the estimated firing interval and $\left[c^n_{l\alpha_k}, c^n_{r\alpha_k} \right]$ is the estimated consequent centroid of the rule *n* of the level- α_k .

A. INPUT VARIABLES, RULES, AND LEVELS-α*k*

The designer must select $q = 1, 2, \ldots, p$ the input variables, $i = 1, 2, ..., M$ the number of rules, $k = 1, 2, ..., N$, the initial number of horizontal levels- α_k to start the construction of the IT3 NSFLS-2 system.

The *p* inputs are type-2 non-singleton numbers modeled as a Gaussian with common mean x'_q and an interval of standard deviations $\sigma_{\tilde{X}_q} \in [\sigma_{X_{q1}}, \sigma_{X_{q2}}]$. The well-known type-2 nonsingleton Gaussian model [61 and 68] is used as primary MF:

$$
\mu_{\tilde{X}_q}(x_q) = exp\left[-\frac{1}{2}\left[\frac{x_q - x'_q}{\sigma_{x_{q_k}}}\right]^2\right] \tag{19}
$$

Each input must cover its universe of discourse (UOD) with the required number of MF.

FIGURE 5. Graphic representation of the proposed model, the IT3 NSFLS-2 system.

B. UNIVERSE OF DISCOURSE AND MF

The number *M* of rules is determined by the array of required MFs of each input. If there are two inputs, and the UOD of \tilde{X}_1 and \tilde{X}_2 are covered by five MFs each, then the rule base has $M = 5 \times 5 = 25$ rules.

As it is shown in Fig. [5,](#page-6-2) each consequent MF is modeled as Gaussian with uncertain means $M_q^i \in \left[M_{q_1}^i, M_{q_2}^i \right]$ and common standard deviation σ_q^i :

$$
\mu_{\tilde{A}_q^i}(x_q) = \exp\left[-\frac{1}{2}\left[\frac{x_q - M_q^i}{\sigma_q^i}\right]^2\right] \tag{20}
$$

The GT2 Mamdani fuzzy rule base model has *p* inputs $x_1 \in$ $X_1, \ldots, X_p \in X_p$, one output $\in Y$, and a rule base of size *M* of the form:

$$
\tilde{R}^i: IF x_1 \text{ is } \tilde{A}^i_1 \text{ and } \dots \text{ and } x_q \text{ is } \tilde{A}^i_q \text{ THEN } y \text{ i. } \tilde{G}^i \quad (21)
$$

where $q = 1, 2, \ldots, p$ is the number of inputs; $i =$ $1, 2, \ldots, M$ is the number of rules.

C. RULE BASE

The rule base of the horizontal level- α_0 of *M* rules, is constructed assigning the initial values of each of the *M* consequent centroids $\left[c_{i\alpha_0}^i, \overline{c}_{r\alpha_0}^i\right]$. These values can be fixed by an expert or with initialization fixed at zero.

$$
\tilde{R}^i: IF\ x_1\ i.\ \tilde{A}^i_1\ and\ \ldots\ x_q\ i.\ \tilde{A}^i_q\ THEN\ y\ is\ \left[\underline{c}^i_{l\alpha_0},\overline{c}^i_{r\alpha_0}\right]
$$
\n(22)

D. ALPHA CUTS

The *M* firing intervals \int_{I}^{i} $\frac{i}{l\alpha_0}, \overline{f}_i^i$ $\begin{bmatrix} i \\ ir\alpha_0 \end{bmatrix}$ of the horizontal level- α_0 or IT2 α_0 FLS are calculated based on [\(23\)](#page-6-3) using the α_0 -cuts or the intersection of x'_q and the MF of each input and each rule. Only the $α_0$ -cuts of level- $α_0$ are calculated, not the $α_k$ cuts of any other level- α_k , as shown in Table [3.](#page-2-0)

$$
\left[\underline{f}_{l\alpha_{0}}^{i}, \overline{f}_{r\alpha_{0}}^{i}\right] = \left[\prod_{q=1}^{p} a_{q\alpha_{0}}^{i}\left(\underline{x}_{q,\text{max}}^{i}\right), \prod_{q=1}^{p} b_{q\alpha_{0}}^{i}\left(\overline{x}_{q,\text{max}}^{i}\right)\right]
$$
\n(23)

with

$$
a_{q\alpha_0}^i \left(\underline{x}_{q,\text{max}}^i \right) = \underline{\mu}_{\tilde{X}_q} (\underline{x}_{q,\text{max}}^i) \underline{\mu}_{\tilde{A}_q^i} (\underline{x}_{q,\text{max}}^i)
$$
(24)

FIGURE 6. Geometrical view used to calculate, a) For each level- $\alpha_{\bm{k}}$, each $\alpha_{\pmb{k}}$ -cut point of the firing interval of the antecedent section of the proposed IT3 NSFLS-2 systems, and b) Its equivalent geometrical view in GT2 systems.

and

$$
b_{q\alpha_0}^i\left(\overline{x}_{q,\text{max}}^i\right) = \overline{\mu}_{\tilde{X}_q}(\overline{x}_{q,\text{max}}^i)\overline{\mu}_{\tilde{A}_q^i}(\overline{x}_{q,\text{max}}^i)
$$
(25)

 $x_{q,max}$ and $\bar{x}_{q,max}$ are determined according to the locations of x'_q with respect to $M_{q_1}^i$ and $M_{q_2}^i$ as it is shown in Table [4.](#page-7-0)

E. FIRING INTERVALS

Each firing interval $\int f_i^i$ $\frac{i}{l\alpha_0}, \overline{f}_i^i$ $\begin{bmatrix} i \\ ra_0 \end{bmatrix}$ of the horizontal level- α_0 or IT2 α_0 NSFLS-2 is used to estimate the antecedent's firing interval at each level- $\alpha_k \in [\underline{\alpha}_k, \overline{\alpha}_k]$. As it is shown in Fig. [6,](#page-6-4) the Gaussian model of the vertical slice at $x'_{q,max}$ used to calculate the firing interval \int_f^i $\frac{i}{l\alpha_k}, \overline{f}^i$ $\begin{bmatrix} i \\ r\alpha_k \end{bmatrix}$ of each level- α_k is:

$$
\mu_{f_{\text{ysz}_k}^i} = \alpha_k = \exp\left[-\frac{1}{2}\left[\frac{x_q^i - m_{f_{\text{ysz}_0}}^i}{\sigma_{f_{\text{ysz}_0}}^i}\right]^2\right] \tag{26}
$$

where

$$
m_{f_{\text{vsa}_0}}^i = \frac{f_{l\alpha_0}^i + \bar{f}_{r\alpha_0}^i}{2} \tag{27}
$$

$$
\sigma_{f_{\text{vsa}_0}}^i = \frac{\overline{f}_{r\alpha_0}^i - f_{-l\alpha_0}^i}{Z}
$$
 (28)

$$
\left[\underline{f}_{l\alpha_{k}}^{i}, \overline{f}_{r\alpha_{k}}^{i}\right] = \frac{\underline{f}_{l\alpha_{0}}^{i} + \overline{f}_{r\alpha_{0}}^{i}}{2} \mp \frac{\overline{f}_{r\alpha_{0}}^{i} - \underline{f}_{l\alpha_{0}}^{i}}{Z} \sqrt[2]{-2\ln(\alpha_{k})} \tag{29}
$$

with $z = 1, 2, ..., n$ being an integer number estimated by trial and error. The magnitude of the standard deviation of the model is a fraction of the interval of the means.

F. CONSEQUENT CENTROIDS

Each consequent's centroids $\left[c_{l\alpha_0}^i, \overline{c}_{r\alpha_0}^i\right]$ of the horizontal level- α_0 are used to estimate the consequents' centroid of the

TABLE 4. Locations of $x'_{\bf q'}$ for $x^{\bf i}_{\bf low_{\bf q}}$ and $x^{\bf i}_{\bf up_{\bf q}}$ estimation used to calculate $\left[f^{\bf j}_{I\alpha_{\bf 0}},\overline f^{\bf j}_{I\alpha_{\bf 0}}\right]$ and $\left[f^{\bf j}_{I\alpha_{\bf k}},\overline f^{\bf j}_{I\alpha_{\bf k}}\right]$.

FIGURE 7. Geometrical view used to calculate, a) For each level- $\alpha_{\bm{k}}$, each $\alpha_{\pmb{k}}$ -cut point of the Centroids of the consequent section of the proposed IT3 NSFLS-2 system, and b) its equivalent geometrical view in GT2 systems.

level- $\alpha_k \in [\underline{\alpha}_k, \overline{\alpha}_k]$. As shown in Fig. [7,](#page-7-1) the Gaussian model of the vertical slice at $x'_{q,max}$ used to calculate the centroid

$$
\cdots
$$

$$
\[c_{l\alpha_{k}}^{i}, \overline{c}_{r\alpha_{k}}^{i}\] \text{ of each level-}\alpha_{k} \text{ is:}\]
$$

$$
\mu_{c_{\text{ys}\alpha_k}} = \alpha_k = \exp\left[-\frac{1}{2}\left[\frac{x_q' - m_{\text{cys}\alpha_0}^i}{\sigma_{\text{cys}\alpha_0}^i}\right]^2\right] \tag{30}
$$

where

$$
m_{cvs\alpha_0}^i = \frac{c_{l\alpha_0}^i + \overline{c}_{r\alpha_0}^i}{2} \tag{31}
$$

$$
\sigma_{f_{\text{vsa}_0}}^i = \frac{\bar{c}_{r\alpha_0}^i - \underline{c}_{l\alpha_0}^i}{Z} \tag{32}
$$

$$
\left[\underline{c}_{l\alpha_{k}}^{i},\overline{c}_{r\alpha_{k}}^{i}\right]=\frac{\underline{c}_{l\alpha_{0}}^{i}+\overline{c}_{r\alpha_{0}}^{i}}{2}\mp\frac{\overline{c}_{r\alpha_{0}}^{i}-\underline{c}_{l\alpha_{0}}^{i}}{Z}\sqrt[2]{-2\ln(\alpha_{k})}
$$
(33)

G. EXPANSION OF THE LEVEL-α*k*

The proposed IT3 NSFLS-2 solves the processing of the uncertainty of the secondary grade of each level- α_k , Fig. [3,](#page-4-1) by replacing this level by its two levels- α_k that represent the uncertainty in the secondary membership: The lower level- $\underline{\alpha}_k$ and the upper level- $\overline{\alpha}_k$. Now the expanded number of the horizontal levels- α_k is 2*N*, transforming the IT3 NSFLS-2 into a GT2 NSFLS-2 system, Fig. [4,](#page-4-2) by applying the WH GT2 methodology to 2*N* levels- α_k [\(8\)](#page-5-0).

H. CALCULATION OF **y**α

For each input-output training data pair (x', y) , y_α can be estimated using [\(16\)](#page-5-1). The proposed IT3 NSFLS-2 is dynamically constructed because its structure is calculated for each input vector x'_q . The horizontal level- α_0 or IT2 α_0 NSFLS-2 is used as the base line to estimate the structure of each horizontal level- α_k or IT2 α_k . Regardless of it being either the low horizontal level- $\underline{\alpha}_k$ or the upper horizontal level- $\overline{\alpha}_k$, it requires the same procedure: In each level- α_k an IT2 α_k NSFLS-2 is constructed with its corresponding antecedent firing interval $\int f_i^i$ $\frac{i}{l\alpha_k}$, \overline{f}^i $\left[\begin{array}{c} i \\ r\alpha_k \end{array}\right]$ and its corresponding consequent centroid $\left[c_{l\alpha_{k}}^{i}, \overline{c}_{r\alpha_{k}}^{i}\right]$. An important characteristic is that the estimated parameters of the antecedent and consequent sections of each rule of all the levels- $\alpha_k \in [\underline{\alpha}_k, \overline{\alpha}_k]$ are dynamic and temporal, and only the parameters of the level- α_0 or IT2 $α_0$ are permanent. Only the level- $α_0$ has MF parameters of its Gaussians models, while any other level- α_k temporarily has the corresponding estimated firing intervals $\int_f f_i$ $\frac{i}{l\alpha_k}, \overline{f}^i$ *r*α*k* i and the estimated centroids $\left[c_{l\alpha_{k}}^{i}, \overline{c}_{r\alpha_{k}}^{i}\right]$ both required to calculate its weighted contribution to the y_α final value.

IV. TRAINING ALGORITHM

An objective function $E(\theta)$ may have a non-linear form with respect to an adjustable parameter θ . In the interactive descent methods, the next point θ (*new*) is determined by one step down from the current point θ (*now*) in the negative direction of the gradient of the function $E(\theta_{now})$. The *K* learning rates are selected by trial and error while meeting the selected criteria of minimizing the error.

$$
\theta \text{ (new)} = \theta \text{ (now)} - Kg \tag{34}
$$

$$
\theta \text{ (new)} = \theta \text{ (now)} - K \frac{\partial E}{\partial \theta_{now}} \tag{35}
$$

K is the training rate, and *g* is the vector of the first partial derivatives of $E(\theta)$ and is equivalent to $\frac{\partial E}{\partial \theta_{now}}$:

$$
g(\theta) = \left[\frac{\partial E}{\partial \theta_{1now}}, \frac{\partial E}{\partial \theta_{2now}}, \dots, \frac{\partial E}{\partial \theta_{now}}\right]^T
$$
 (36)

Each rule of the level- α_0 uses [\(35\)](#page-8-0) to update three θ antecedent parameters, $M_{q1\alpha_0}^i$ [\(37\)](#page-8-1), $M_{q2\alpha_0}^i$ [\(38\)](#page-8-2), and $\sigma_{q\alpha_0}^i$ [\(39\)](#page-8-3), and two θ consequent parameters, $c^i_{l\alpha_0}$ [\(40\)](#page-8-4), and $\overline{c}^i_{r\alpha_0}$ [\(41\)](#page-8-5).

Equation [\(35\)](#page-8-0) requires finding the partial derivatives used to update all the parameters of the antecedent and consequent sections of each rule of only the IT2 α_0 NFLS-2 located at level- α_0 .

$$
M_{q1\alpha_0}^i \left(new \right) = M_{q1\alpha_0}^i \left(now \right) - K_{M_{q1\alpha_0}} \frac{\partial E}{\partial M_{q1\alpha_0}^i} \tag{37}
$$

$$
M_{q2\alpha_0}^i \left(new \right) = M_{q2\alpha_0}^i \left(now \right) - K_{M_{q2\alpha_0}} \frac{\partial E}{\partial M_{q2\alpha_0}^i} \tag{38}
$$

$$
\sigma_{q\alpha_0}^i \left(new \right) = \sigma_{q\alpha_0}^i \left(now \right) - K_{\sigma_q\alpha_0} \frac{\partial E}{\partial \sigma_{q\alpha_0}^i} \tag{39}
$$

$$
\underline{c}_{l\alpha_0}^i \left(new \right) = \underline{c}_{l\alpha_0}^i \left(now \right) - K_{\underline{c}_l\alpha_0} \frac{\partial E}{\partial \underline{c}_{l\alpha_0}^i} \tag{40}
$$

$$
\overline{c}_{r\alpha_0}^i \left(new \right) = \overline{c}_{r\alpha_0}^i \left(now \right) - K_{\overline{c}_r\alpha_0} \frac{\partial E}{\partial \overline{c}_{r\alpha_0}^i} \tag{41}
$$

where $K_{M_{q1\alpha_0}}, K_{M_{q2\alpha_0}}, K_{\sigma_q\alpha_0}, K_{\underline{c}_l\alpha_0}$, and $K_{\overline{c}_r\alpha_0}$ are the training rates of its corresponding parameter.

The quadratic error function to minimize is:

$$
E = \frac{1}{2} (y - y_{\alpha})^2
$$
 (42)

where: *y* is the output value of the *L* input-output data pairs. The error function is:

$$
e = y - y_{\alpha} \tag{43}
$$

As an example, the logic sequence of the math steps to obtain the partial derivatives of the objective function *E* with respect to the antecedent parameter $M_{q1\alpha_0}^i$ are illustrated from [\(44\)](#page-8-6) to (46) .

$$
M_{q1\alpha_0}^i \left(new\right) = M_{q1\alpha_0}^i \left(now\right) - K_{M_{q1\alpha_0}} \frac{\partial E}{\partial M_{q1\alpha_0}^i} \tag{44}
$$

then

$$
\frac{\partial E}{\partial M_{q1\alpha_0}^i} = \left[\frac{\partial E}{\partial y_{\alpha}} \frac{\partial y_{\alpha}}{\partial y_{\alpha_1}} \frac{\partial y_{\alpha_1}}{\partial M_{q1\alpha_0}^i} + \frac{\partial E}{\partial y_{\alpha}} \frac{\partial y_{\alpha}}{\partial y_{\alpha_1}} \frac{\partial y_{\alpha_1}}{\partial M_{q1\alpha_0}^i} + \dots + \frac{\partial E}{\partial y_{\alpha}} \frac{\partial y_{\alpha_k}}{\partial y_{\alpha_k}} \frac{\partial y_{\alpha_k}}{\partial M_{q1\alpha_0}^i} + \frac{\partial E}{\partial y_{\alpha}} \frac{\partial y_{\alpha_k}}{\partial y_{\alpha_k}} \frac{\partial y_{\alpha_k}}{\partial M_{q1\alpha_0}^i} + \dots + \frac{\partial E}{\partial y_{\alpha}} \frac{\partial y_{\alpha_k}}{\partial y_{\alpha_k}} \frac{\partial y_{\alpha_k}}{\partial M_{q1\alpha_0}^i} + \frac{\partial E}{\partial y_{\alpha}} \frac{\partial y_{\alpha_k}}{\partial y_{\alpha_k}} \frac{\partial y_{\alpha_k}}{\partial M_{q1\alpha_0}^i} \right] \tag{45}
$$

which is equivalent to:

$$
\frac{\partial E}{\partial M_{q1\alpha_0}^i} = \left[\frac{\partial E}{\partial y_{\alpha}} \frac{\partial y_{\alpha}}{\partial y_{\alpha_1}} \frac{\partial y_{\alpha_1}}{\partial M_{q1\alpha_0}^i} + \frac{\partial E}{\partial y_{\alpha}} \frac{\partial y_{\alpha}}{\partial y_{\alpha_2}} \frac{\partial y_{\alpha_2}}{\partial M_{q1\alpha_0}^i} + \dots + \frac{\partial E}{\partial y_{\alpha}} \frac{\partial y_{\alpha}}{\partial y_{\alpha_k}} \frac{\partial y_{\alpha_k}}{\partial M_{q1\alpha_0}^i} + \dots + \frac{\partial E}{\partial y_{\alpha}} \frac{\partial y_{\alpha}}{\partial y_{\alpha_N}} \frac{\partial y_{\alpha_k}}{\partial M_{q1\alpha_0}^i} + \dots + \frac{\partial E}{\partial y_{\alpha}} \frac{\partial y_{\alpha_k}}{\partial y_{\alpha_N}} \frac{\partial y_{\alpha_k}}{\partial M_{q1\alpha_0}^i} \right]
$$
\n
$$
+ \dots \frac{\partial E}{\partial y_{\alpha}} \frac{\partial y_{\alpha}}{\partial y_{\alpha_{2N}}} \frac{\partial y_{\alpha_{2N}}}{\partial M_{q1\alpha_0}^i} \right] \tag{46}
$$

Each level- $\alpha_k \in [\underline{\alpha}_k, \overline{\alpha}_k]$ previously defined during the construction process, contributes only by updating the parameters of the permanent level- α_0 . No parameters of the level- α_k have training only have it the level- α_0 parameters.

A similar procedure can be used to calculate the equations for training: $M_{q2\alpha_0}^i$, $\sigma_{q\alpha_0}^i$, $c_{l\alpha_0}^i$, and $\bar{c}_{r\alpha_0}^i$ of the IT2 α_0 NSFLS-2.

As shown in Table [4,](#page-7-0) the final equations for training the parameters of the antecedent and the consequent depend on

the relative position of x'_q with respect to $M_{q1\alpha_0}^i$ and $M_{q2\alpha_0}^i$ positions. Table [5](#page-10-0) shows the complete set of equations for parameters $M_{q1\alpha_0}^i$, $M_{q2\alpha_0}^i$ and $\sigma_{q\alpha_0}^i$, with training under *y_l* contribution. Table [6](#page-11-0) also shows the complete set of equations for training these three antecedent parameters under *y^r* con-tribution. Tables [7](#page-12-0) and [8](#page-12-1) show the equations for training $c^i_{l\alpha_0}$ and $\bar{c}^i_{r\alpha_0}$ consequent parameters by adding the contribution of each horizontal level- α_k .

V. CONVERGENCE ANALISYS

The fuzzy-logic identification approach works for the trajectory tracking for a conventional dynamic system. The HSM is a complex system with a complex mathematical description. The objective is to design an IT3 NSFLS-2 identifier to achieve that the output of the fuzzy model converges to the output of the real system as $t \to \infty$, without any knowledge of the plant except the assumptions that its inputs and outputs are measured by sensors and its values are bounded by the limits of the process operation. In [\[69\] a](#page-16-12)nd [\[70\] it](#page-16-13) is established that, by choosing a $\sigma_{q\alpha_0}^i$ as small as σ_q^* the fuzzy system can match all the *L* input-output data pairs (x', y) to an arbitrary accuracy.

Lemma 1: For arbitrary ϵ > 0*, the fuzzy system fIT* ³*NSFLS*−² *x* ′ *with the initial parameters* $M_q^i \in \left[M_{q_1}^i, M_{q_2}^i \right]$ and common standard deviation $\sigma_q^i =$ σ_q^* , *has* the property that $\left|f_{IT3NSFLS-2}\left(\mathbf{x}^{(t)}\right) - y^{(t)}\right|$ < ϵ where $f_{IT3NSFLS-2}(x')$ is the output y_{α} in the training *phase,* $x^{(t)}$ is the input training vector, $y^{(t)}$ is the output *training value, with t* = 1, 2, ..., *L*.

Because the proposed IT3 NSFLS-2 is a universal fuzzy identifier, the training algorithm based on gradient descent guarantees that the total error from [\(43\)](#page-8-8) converges to a value ϵ at every step of training. This can be proved using the general results of the gradient descent algorithm as defined in [\[69\]](#page-16-12) and applied to the proposed IT3 NSFLS-2 training.

Let $\left[\mathbf{f}\right]$ and $\left[\mathbf{\bar{f}}\right]$ be a sequence of real-valued vectors generated respectively by one of the gradient-descent algorithms:

$$
\frac{f}{\overline{f}}(\text{new}) = \frac{f}{\overline{f}}(\text{now}) - \eta \nabla g(f(\text{now}))
$$

$$
\frac{f}{\overline{f}}(\text{new}) = \frac{f}{\overline{f}}(\text{now}) - \eta \nabla g(\overline{f}(\text{now}))
$$

where η is the training rate and $g : R^n \to R$ is a cost function, $g \in C^2$. Assume that all \underline{f} and $\overline{f} \in D \subset R^n$ for some compact *D*. Then, to train the parameters $M_q^i \in$ $\left[M_{q_1}^i, M_{q_2}^i\right]$ and σ_q^i , to minimize the squared errors given by (42) , and to test for convergence, it is necessary to apply *Lemma [1](#page-9-0)* [\[69\] to](#page-16-12) each of the lower and upper firing interval functions [\(23\)](#page-6-3). Both are piecewise differentiable, *i.e.*, each branch is differentiable [\[60\] o](#page-16-4)ver its segment domain, and using the Taylor series expansion, it is possible to prove the next points:

1)
$$
g(f(new)) < g(f(new))
$$
 if $\nabla g(f(new)) \neq 0$
 $g(\overline{f}(new)) < g(\overline{f}(now))$ if $\nabla g(\overline{f}(now)) \neq 0$

2)
$$
f(new) \rightarrow f^* \text{ as } t \rightarrow \infty
$$

$$
\overline{f}(new) \rightarrow \overline{f}^* \text{ as } t \rightarrow \infty
$$

If $g(*)$ is bounded from below.

3) f^* is a local minimum of $g(f)$
 \overline{f}

$$
\overline{f}^*
$$
 is a local minimum of $g(\overline{f})$

As for the convergence tuning of the centroid parameters $\left[c_{l\alpha_{k}}^{i}, \overline{c}_{r\alpha_{k}}^{i}\right]$ the same Lemma [1](#page-9-0) applies.

VI. SIMULATIONS

This section presents the experimental testing of the proposal, the prediction of the transfer bar surface temperature in an industrial hot strip mill facility located in Monterrey, México.

A. INPUT-OUTPUT DATA PAIRS

From an industrial HSM process, one hundred and seventy-five noisy input-output data pairs of three different types of coils, Table [9,](#page-12-2) were obtained and used as offline training data, (x'_1, x'_2, y) . The inputs were x'_1 , the transfer bar surface temperature measured by the pyrometer is located at the RM exit zone, and x'_2 , the real time to move from the RM exit zone to the SB entry zone. The output *y* was the transfer bar surface temperature measured by the pyrometers located at the SB entry zone.

B. ANTECEDENT MEMBERSHIP FUNCTIONS

The primary membership functions for each antecedent of the base IT2 α_0 NSFLS-2 were Gaussian functions with uncertain means $M^i_{q1\alpha_0}, M^i_{q2\alpha_0}$ and with the standard deviation $\sigma^i_{q\alpha_0}$, as shown in Tables [10](#page-12-3) and [11.](#page-12-4) An array of two inputs, with five MF each, produces $M = 25$ rules.

C. FUZZY RULE BASE

The IT3 NSFLS-2 fuzzy rule base consists of a set of IF-THEN rules that represent the model of the complete system. The IT2 α_0 NSFLS-2, that is the base of the 3D construction of the proposed fuzzy system, has two inputs x_1' and x'_2 and one output y_α . The rule base has $M = 25$ rules of the type shown in Table [12.](#page-12-5)

D. FEEDBACK AND SIMULATION PROCESS

Three different sets of data for three different coil types were taken from a real mill. Each of these data sets was split into two sets: One for the initial adjustment and tuning process, and the other for the setup validation process. Eighty-three of type A, sixty-five of type B and twenty-seven of type C input-output data pairs were used for the initial offline training process, and seven input-output data pairs were used for testing. The production gage and width coil targets of the training data with the steel grade are shown in Table [9.](#page-12-2) In this initial offline process, computational time was not an issue. Table [13](#page-13-0) shows the predicted temperature by the proposed

TABLE 5. Gradient descent equations for antecedent training under y_I contribution.

TABLE 6. Gradient descent equations for antecedent training under yr contribution.

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TABLE 7. Gradient descent equations for $\underline{\epsilon}_{I\alpha_0}^{j}$ consequent training.

TABLE 8. Gradient descent equations for $\overline{\mathsf{c}}_{r\alpha_0}^i$ consequent training.

TABLE 9. Type of coils.

 \overline{a}

 \overline{a}

TABLE 10. Parameters for MF of x'_1 **input.**

TABLE 11. Parameters for MF of x'_2 input.

IT3 NSFLS-2 and as compared to type-1 (T1), IT2 NSFLS-2 and GT2 NSFLS-2 fuzzy systems. A Dell PC i7, 16 GB

TABLE 12. Fuzzy rule base.

RAM memory and 2.8 GHz using Win 11 HSL OS was used to execute the fuzzy systems programed in MS VS 2022 C++ Language.

TABLE 13. Estimated vs. objective transfer bar surface temperature (◦C).

No. of data pair	1	$\overline{2}$	3	$\overline{\mathbf{4}}$	5	6	7
Objective	994.5	984.4	993.1	991.7	986.7	981.6	976.6
T1 SFLS	979.8	977.1	979.2	978.3	976.9	974.7	972.4
T1 RBFNN	978.4	977.0	978.1	977.6	976.9	975.9	974.7
IT ₂	992.2	987.4	991.5	990.8	986.7	981.6	976.3
GT21	992.2	987.2	991.4	990.7	986.7	981.6	976.8
level- α_{ν} GT2 10 levels- α_{ν}	992.6	987.3	991.8	990.8	986.7	981.6	976.5
GT2 100	992.6	987.3	993.1	990.9	986.6	981.5	976.5
levels- α_{ν} GT2 1000	992.3	987.2	993.1	990.8	986.5	981.5	976.6
levels- α_k IT3 1	993.2	987.2	991.4	990.7	986.7	981.6	976.8
level- $\alpha_{\rm k}$ IT3 10	993.7	987.3	991.8	990.8	986.7	981.6	976.5
levels- α_{ν} IT3 100	993.8	987.3	993.1	990.9	986.6	981.5	976.5
levels- α_k IT3 1000 levels- α_k	993.7	987.2	993.1	990.8	986.5	981.5	976.6

TABLE 14. RMSE (◦C) of 7 input-output data pairs for temperature estimation and for antecedent and consequent parameters update. 20 epochs of training.

	0 levels-	level-	10 levels-	100 levels-	1000 levels-
	α_k	α_k	α_k	α_{k}	a_k
T1 SFLS	3.1667				
T1 RBFNN					
	3.1622				
IT2 NSFLS-2					
	1.0757				
			1.0071		
GT2 NSFLS-		1.0690		0.9180	0.9411
\overline{c}					
IT3 NSFLS-2			0.9258	0.8194	0.8280

TABLE 15. Computational time (s) used to update the antecedent and consequent parameters.

Seven input-output data pairs were used to test the offline SB entry temperature estimation. The prediction results obtained with T1 systems, and IT2 benchmark models are shown in Fig. [8,](#page-13-1) while the prediction of the GT2 and the proposed IT3 systems using different levels-α are shown in Fig. [9.](#page-13-2) Table [14,](#page-13-3) Fig. [10,](#page-14-11) and Fig. [11](#page-14-12) show the root mean square error (RMSE) behavior of the GT2 systems vs. the performance of the proposed IT3 systems using 1, 10, 100 and [10](#page-14-11)00 levels- α . Fig. 10 shows the performance using only 1 level- α , while Fig. [11](#page-14-12) shows the performance using 1,

FIGURE 8. Benchmark results of prediction of T1 and IT2 models.

FIGURE 9. Benchmark results of prediction of GT2 NSFLS-2 and IT3 NSFLS-2.

10, 100 and 1000 levels- α . The time used to calculate the temperature and to update its parameters is shown in Tabl. [15](#page-13-4) and Fig. [12.](#page-14-13)

Twenty epochs of training were chosen for offline tuning. The exclusive usage of validated and bounded input-output data pairs guarantees the convergence of the proposed IT3 NSFLS-2, as proved experimentally in this research. The proposed training method gave the IT3 NSFLS-2 presented the better performance, but computational time was higher than that of the IT2 NSFLS-2 and the GT2 NSFLS-2, as shown in Fig. [12.](#page-14-13)

The results show that the best estimation is obtained by the IT3 NSFLS-2 model using 100 levels- α with a $RMSE = 0.8194$ °. Both the IT3 NSFLS-2 using 10 and 1000 levels- α presented the values of RMSE=0.9258 \degree C and RMSE=0.8280◦C, respectively. An unexpected result is shown with the RMSE produced using 100 levels- α : It is better than that produced by the IT2 NSFLS-2, GT2 NSFLS-2, and the result obtained by the T1 radial basis function neural network (T1 RBFNN) and by the type-1 singleton fuzzy logic system (T1 SFLS).

FIGURE 10. IT3 NSFLS-2 RMSE (◦C) compared with T1, IT2 NSFLS-2, and GT2 NSFLS-2 models.

FIGURE 11. IT3 NSFLS-2 RMSE (◦C) compared with GT2 NSFLS-2 models with different levels- α .

FIGURE 12. Computational time (s) of IT2 NSFLS-2, GT2 NSFL-2 and IT3 NSFLS-2 models.

VII. CONCLUSION

Based on mathematical foundations for classical fuzzy systems, this paper presents a new method to construct and train IT3 NSFLS-2 systems whose inputs are modeled as type-2 non-singleton numbers. A real-world problem of predicting the surface temperature of a transfer bar in a hot strip mill as a case study was introduced and compared with similar methods.

Benchmark results showed that our method presented superior performance capabilities when compared to conventional IT2, GT2 and IT3 NSFLS-2 systems. It was shown that our method used few training epochs, showed stable convergence and lower RMSE values. Best results for the proposed system occurred when using 100 levels- α . The lower error was obtained when using the first level- α_0 to estimate the firing intervals and the centroids instead of the traditional calculation process used in conventional models.

Experimental results also showed that computational times for the IT2 NSFLS-2, GT2 NSFLS-2, and the proposed IT3 NSFLS-2 were less than 1 second, which is considered appropriate for controlling industrial processes.

The knowledge gap of using type-2 non-singleton numbers to model the system's inputs, and the use of Gaussians with variable mean and fixed standard deviation to model the MFs of antecedents and consequent has been covered with our method. As future work, we have envisaged the application of our method to other industrial cases as well as further mathematical analysis in control theory regarding its robustness and stability proof using Lyapunov theory.

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