

## RESEARCH ARTICLE

# An Optimal Transition-Based Recovery Policy for Controlling Deadlock Within Flexible Manufacturing Systems Using Graph Technique

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**ABSTRACT** Weighted Petri nets are common tools for modeling and validating discrete event systems involving resource allocation, such as flexible manufacturing systems (FMSs). A subclass of weighted Petri nets called a system of sequential systems with shared resources ( $S^4R$ ) has the power for modeling complex FMSs where the execution of an operation may require multiple resource types and multiple units of some resource types. Deadlock resolution is a crucial issue for the operation of an FMS. A direct and efficient policy is developed in this paper for detecting deadlock markings by extracting a weighted resource flow graph (WRFG) from an  $S^4R$  and recovering them by synthesizing a recovery-transition-based controller. This study contributes to the field with five folds: 1) with  $S^4R$ , an efficient integrated policy is put forward for robust supervisor synthesis; 2) it enhances an algorithm for extracting the WRFG from an  $S^4R$  to reveal the shared resource competitions by different processes; 3) to detect partial deadlock markings, a technique for finding weighted circular wait graphs (WCWGs) in WRFG is presented; 4) with WCWGs, an algorithm is designed for the design of recovery-transition-based controller such that the resulting controlled system becomes deadlock-free; and 5) it presents a comprehensive analysis to demonstrate the proposed method by using the Integrated Net Analyzer (INA). With the proposed policy, it is not necessary to generate a reachability graph, making the method efficient. Finally, the performance of the proposed policy is illustrated by some commonly used examples.

**INDEX TERMS** Multi-unit resource system, flexible manufacturing system, deadlock detection and recovery, liveness, weighted Petri nets, graph theory.

## I. INTRODUCTION

Multi-unit resource systems (MURSSs) are made up of multiple processes and resources. Flexible manufacturing systems (FMSs) [1], [2], [3], [4], [5], automated manufacturing systems (AMSs) [6], [7], [8], [9], [10], [11], [12], various resource allocation systems (RASs) [14], [15], and multi-processor system-on-chips (MPSoCs) [16] are examples of such systems, which fall into the category of discrete event systems (DESs) [13]. The resources in an FMS include

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robots, machines, fixtures, and buffers. As a kind of MURSSs, an FMS is capable of processing multiple types of parts in accordance with a predefined sequence of operations by sharing resources. Circular wait [17] caused by shared-resource competition is the indication of deadlock occurrence that lowers the throughput rates and resource utilization [18], [19], [20], and even leads to catastrophic outcomes. Thus, it is crucial to resolve the deadlock problem in FMSs.

Deadlock resolution issues for MURSSs have been extensively investigated by researchers and practitioners [21], [22], [23], [24], which is also important for production scheduling [89]. Depending on the number of

instances (or units) of each resource type, an FMS is categorized as a single- or multi-unit resource system. If there is one instance only for every resource type in an FMS, it is a single-unit resource system; otherwise, it is an MURS. In FMSs, the resource allocation states can be described by a graph. For a single-unit resource system, a circuit in such a graph necessarily implies the occurrence of a deadlock. The deadlock issue in an MURS is more complicated due to the fact that a circuit in such a graph may not result in a deadlock since a node on the circuit may represent an instance of a multi-capacity resource. It is worth noting that, as a special kind of graphs for modeling, Petri nets (PNs) have found widespread use as a general model of many real-world systems [25], [26], [27], [28], [29], [30], [31], [32]. This paper extends the policy developed in [33] for deadlock detection and recovery in FMSs.

Different from the work in [33], to make it possible to describe the requirements that multiple units of a resource type should be used to perform an operation, a generalized class of Petri nets ( $S^4R$ ) [34] is adopted in this paper. As a result, more complex real-world FMSs can be modeled well such that the well-known PN classes  $S^3PR$  [35], [36] and  $WS^3PR$  [11] are proper subclasses of  $S^4Rs$ .

For deadlock resolution in FMSs, several tools are available for system modeling, including Petri nets [42], [43], [44], [45], [46], automata [39], [40], [41], and graph theory [37], [38]. Because of their inherent characteristics, among them, PNs are popularly adopted [47], [48], [49], [50], [51], [52], [53], [54], [55], [56]. Based on PNs, three types of methods exist for deadlock control, and they are deadlock detection and recovery (DDR) [57], [58], deadlock avoidance [22], [59], and deadlock prevention [60], [61].

It is straightforward to apply the first one. By this type of method, it does not make efforts to avoid the occurrence of deadlocks; instead, it manages to detect a deadlock when it occurs. Once detected, some recovery policies are activated to bring the deadlocked state back to a normal state by reallocating the resources. In this way, it makes a net live. This paper develops a PN-based method.

Generally, with PNs, there are mainly two types of techniques for deadlock resolution in FMSs: reachability graph analysis [66], [67], [68] and structural analysis [62], [63], [64], [65]. With the latter, often it leads to deadlock prevention strategies.

Based on structural analysis techniques, typically as a structure in PNs, siphons are controlled to develop deadlock prevention policies for generalized PNs [69], [70], [71], [72], [73]. This is done by using monitors (control places) to prevent siphons from being insufficiently marked in generalized PNs. Nevertheless, when there are a large number of siphons in the PN model for a system one would face the high structural complexity issue for designing monitor-based supervisors using siphons. There are many research reports on deadlock resolution using deadlock prevention policies, while few works are done for that using deadlock detection and recovery (DDR) policies.

Usually, by reachability graph analysis, one can get a most behaviorally permissive (or optimal) supervisor, since such an analysis can discover the entire state space of a PN model. As various markings are clearly identified by a reachability graph for a PN model, such a graph is also useful for recovery policy development. However, it is always plagued by the infamous state explosion problem.

Although many methods are developed in the literature to synthesize controllers for preventing deadlocks, almost all of them design a controller that is formed by using additional control places and transitions. Nevertheless, a new method is put forward by Huang et al. [74]. Instead of adding control places, it introduces control transitions to the original PN model to make dead markings live. It is shown that the resulting controlled system is more permissive than the one obtained by using the place-adding-based ones. However, for this type of controller synthesis, no formalized algorithm is developed for designing control transitions and minimizing the number of such transitions.

To deal with this issue, a new recovery policy is proposed by Chen et al. [75] and two ways for realizing the policy are derived. One of the ways is to design the control transitions by an iterative strategy such that a control transition is obtained at each iteration. To do so, at each iteration, it formulates an integer linear programming problem (ILPP) and solves it. The other way is to develop an integrated ILPP and solve it to get all the control transitions at once. Although both methods are applicable to all classes of PN models for FMSs, the number of variables and constraints in the obtained ILPPs is very large such that the computational costs are enormous.

In [76], an iterative procedure is proposed to design controllers formed by control transitions. With iteration, it aims to avoid the state explosion problem. However, it needs to check the termination conditions at each iteration, slowing the computational speed down.

Based on the transitions that can access the entire reachable space by firing the recovery transitions, Row and Pan [77] propose a deadlock recovery policy. However, it can neither avoid generating the reachability graph for the PN model. They also propose an iterative technique to compute control transitions by following the crucial dead marking concept in [78].

An iterative vector intersection technique is developed in [79] to decide a recovery transition at each iteration. The obtained recovery transition can recover as many deadlock markings as possible. Although this approach does not need to solve ILPPs and can result in a live controlled system with all reachable markings, a reachability graph for enumerating all deadlock markings is still inevitable. Pan [80] describes an iterative policy for determining control transitions. Although his policy results in fewer control transitions than the previous methods, it is necessary to generate a reachability graph at every iteration. As a result, it cannot be used in large systems.

Latterly, Lu et al. [33] propose a novel DDR policy and develop an algorithm for the construction of a resource flow graph (RFG) of the PN model without generating a

reachability graph for the model. The obtained RFG can then be used to generate a set of loop graphs. With the relationships between partial deadlocks and loop graphs, it then synthesizes recovery transitions for the loop graphs to form a controller such that the resulting controlled system is deadlock-free. Indeed, this method can make the controlled system deadlock-free without generating a reachability graph. Nevertheless, it is applicable to ordinary PNs that cannot model complex real-world systems, which is a serious limitation.

This paper focuses on the DDR policy for those FMSs presented by Lu et al. in [33]. Different from [33], this paper adopts  $S^4R$ , a weighted class of PNs, to model more complex real-world systems, where an operation needs to be performed by multiple units from multiple resource types. Such systems are multi-unit resource ones that contain concurrently cyclic sequential processes, and disassembly and assembly operations. First, with  $S^4R$ , we design an algorithm to construct the weighted RFG (WRFG), revealing the shared resource competition by various processes. Then, from the WRFG, a set of weighted circular wait graphs (WCWGs) can be constructed. With the relationship between partial deadlocks and WCWGs, we can detect partial deadlocks. An algorithm is presented for designing recovery transitions for deadlocked markings in a set of WCWGs. A complete analysis of the resulting controlled system is performed to demonstrate deadlock-freeness of the controlled  $S^4R$  for all reachable markings. Based on the Integrated Net Analyzer (INA),  $S^4R$  is validated and tested via simulation. With the proposed DDR policy, the computation of a reachability graph for an  $S^4R$  model is avoided, which reduces the risk of state explosion.

The remainder of this work is constructed as follows. The next section presents the transition-based DDR policy within  $S^4R$  and an algorithm for the construction of a WRFG. This algorithm and the concept of WCWG are demonstrated via a simple example. Then, it shows how to detect partial deadlocks by using the relationship between WCWGs and partial deadlock markings. Section III introduces an algorithm for creating recovery transitions such that when there is a partial deadlock, these transitions are enabled. The resulting net is deadlock-free for all the reachable markings when the decided recovery transitions are added into the model. The proposed policy is demonstrated by using some commonly used examples in Section IV. Section V summarizes the work with conclusions and future research directions. The necessary background, such as the definitions and properties of a system of sequential systems with shared resources ( $S^4R$ ), the fundamentals of PNs, and the deadlock resolution issue in multi-unit resource systems are clarified in the Appendix.

## II. THE PROPOSED TRANSITION-BASED RECOVERY POLICY

This section presents a transition-based recovery policy for deadlock control for systems modeled by an  $S^4R$  PN. This

policy consists of three stages. At the first stage, it shows how to construct a WRFG from an  $S^4R$ . At the second stage, it gives how to find WCWGs from WRFG. The WCWGs represent a straightforward approach for detecting the competition among the processes and shared resources. By analyzing the relationship between WCWGs and partial deadlocks, one can detect all the partial deadlocks. The last stage explains how to construct the transitions that are enabled at the deadlocked markings and make the system back to live.

### A. WEIGHTED RESOURCE FLOW GRAPH (WRFG)

No preemption, hold and wait, mutual exclusion, and circular wait are necessary conditions for a deadlock to occur in a resource allocation system [17]. By the features of FMSs, the first three conditions are always held, and only the last one can be broken by properly allocating the resources in a system. To avoid the occurrence of a deadlock, one needs to make sure that there will be no circular wait.

*Definition 1:* Given an  $S^4R$  PN  $(N, M_0) = (P_A \cup P^0 \cup P_R, T, F, W, M_0)$ , a WRFG in  $N$  is a digraph  $WRFG = (V, A, W)$ , where

- 1)  $V = P_A \cup P_R$ .
- 2)  $A \subseteq V \times V$  with  $A = A1 \cup A2$ , satisfying the following statements:

- a)  $A1 = \{(p_a, p_r) | p_a \in P_A, p_r \in P_R, p_r \cap p_a \neq \emptyset\}$ .
- b)  $A2 = \{(p_r, p_a) | p_r \in P_R, p_a \in P_A, p_r \cap p_a \neq \emptyset\}$ .
- c)  $W = W_{A1} \cup W_{A2}$ , where  $W_{A1} : (p_r \times p_a) \rightarrow \mathbb{N}_n = \{1, 2, \dots, n\}$  gives the weight of an arc  $(p_r, p_a)$ , indicating the number of tokens granted from  $p_r$  to  $p_a$ , and  $W_{A2} : (p_a \times p_r) \rightarrow \mathbb{N}_n = \{1, 2, \dots, n\}$  gives the weight of an arc  $(p_a, p_r)$ , indicating the number of tokens requested from  $p_a$  to  $p_r$ .

*Definition 2:* Given an  $S^4R$  PN  $(N, M_0) = (P_A \cup P^0 \cup P_R, T, F, W, M_0)$ , its WRFG is defined as  $(V, A, W)$ . A marking of WRFG is denoted by  $M_G : V \rightarrow \mathbb{N} = \{0, 1, 2, \dots\}$ , where  $M_G(V) = \sum_{p \in V} M(p)$  is the number of tokens in  $V$ , its initial marking is denoted by  $M_{G0} = \sum_{p \in V} M_0(p)$ , and the initial number of tokens in  $p$  at marking  $M_{G0}$  is given by  $M_{G0}(p)$ .

It is clear from Definition 1 that the WRFG illustrates the relationship between operation and resource places, where  $W(p_a, p_r)$  represents the number of tokens that  $p_a$  should need from  $p_r$  for starting or completing a process, and  $W(p_r, p_a)$  represents the number of tokens that  $p_r$  should grant to  $p_a$  for starting or completing a process. By analyzing the WRFGs of an  $S^4R$  Petri net, the token flows can be observed directly.

The procedure for computing a WRFG in an  $S^4R$  is given in Algorithm 1.

To illustrate this algorithm, we use the  $S^4R$  given in Fig. 1 to find the WRFG. In this net,  $P_R = \{p_{12}, p_{13}, p_{14}, p_{15}\}$  and  $P_A = \{p_1, p_2, p_3, p_4, p_5, p_6, p_8, p_9, p_{10}\}$ .

Due to Algorithm 1, the WRFG for the  $S^4R$  in Fig. 1 is shown in Fig. 2, where  $A1 = \{(p_{12}, p_1), (p_{12}, p_{10}), (p_{13}, p_2), (p_{13}, p_5), (p_{13}, p_9), (p_{14}, p_3), (p_{14}, p_6), (p_{14}, p_8),$

**Algorithm 1** Construction of the WRFG in an  $S^4R$

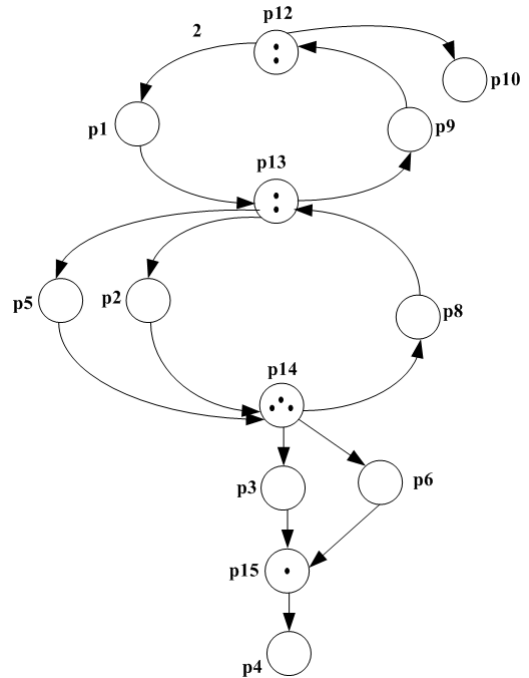
**Input:** An  $S^4R (N, M_0) = (P_A \cup P^0 \cup P_R, T, F, W, M_0)$  for an FMS.

**Output:** A WRFG  $(V, A, W)$  from  $N$ .

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1:  $V \leftarrow \emptyset, A2 \leftarrow \emptyset, A1 \leftarrow \emptyset, W_{A1} \leftarrow \emptyset, W_{A2} \leftarrow \emptyset.$ 
2: for all  $p_r \in P_R$  do
3:   for all  $t \in p_r'$  do
4:     if there is a  $p_a \in t' \cap P_A$  then
5:        $A1 \leftarrow \{(p_r, p_a)\} \cup A1.$ 
6:        $V \leftarrow \{p_r, p_a\} \cup V.$ 
7:        $W_{A1} \leftarrow (\{p_r\} \times T) \cup (T \times \{p_r\}) \leftarrow \mathbb{N}_n =$ 
          $\{1, 2, \dots, n\}.$ 
8:     end if
9:   end for
10: end for
11: for all  $p_a \in P_A$  do
12:   for all  $t \in p_a'$  do
13:     if there exists a  $p_r \in P_R \cap t$  then
14:        $A2 \leftarrow \{(p_a, p_r)\} \cup A2.$ 
15:        $V \leftarrow \{p_r, p_a\} \cup V.$ 
16:        $W_{A2} \leftarrow (\{p_a\} \times T) \cup (T \times \{p_a\}) \leftarrow \mathbb{N}_n =$ 
          $\{1, 2, \dots, n\}.$ 
17:     end if
18:   end for
19: end for
20:  $A \leftarrow A1 \cup A2.$ 
21:  $W \leftarrow W_{A1} \cup W_{A2}.$ 
22: output: WRFG  $= (V, A, W).$ 
23: End.

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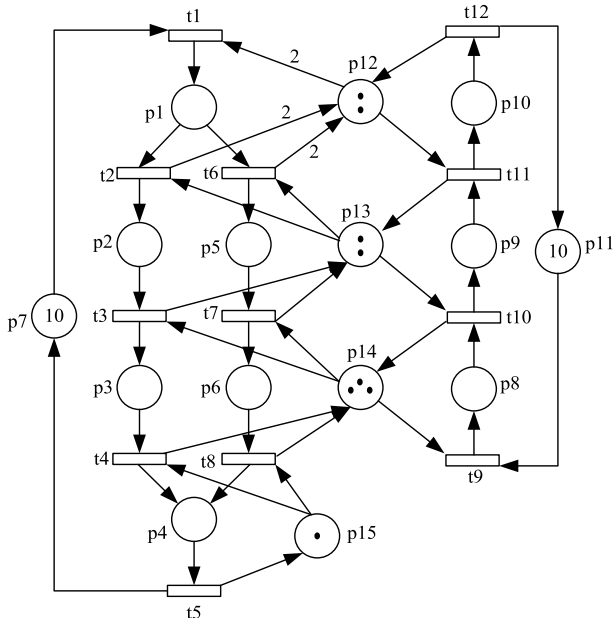


**FIGURE 2.** Weighted resource flow graph (WRFG) of an  $S^4R (N, M_0)$  in Fig. 1.

**B. WEIGHTED CIRCULAR WAIT GRAPH (WCWG)**

**Definition 3:** A WCWG is a circuit digraph  $C_W = (V', C, W)$  derived from a WRFG  $= (V, A, W)$  of an  $S^4R (N, M_0) = (P_A \cup P^0 \cup P_R, T, F, W, M_0)$ , where

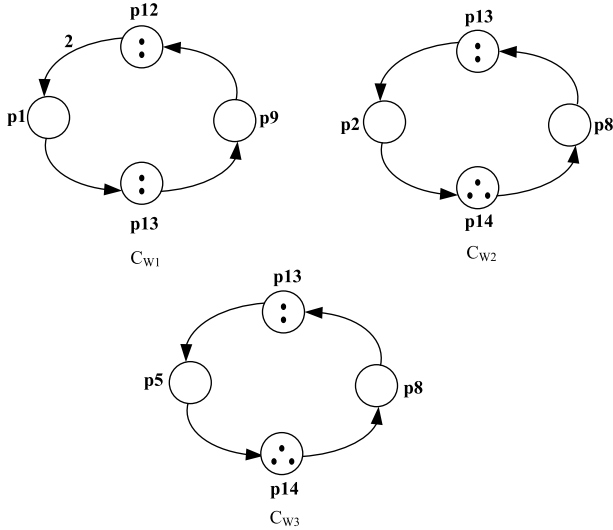
- 1)  $V' \subseteq V$  is a set of vertices such that  $V' = \{p_{r1}, p_{a1}, p_{r2}, p_{a2}, \dots, p_{rm}, p_{an}\}$  with  $p_{ai} \in P_A$  and  $p_{ri} \in P_R$
- 2)  $C \subseteq V' \times V'$  is a set of directed arcs and the following conditions hold.
  - a)  $\forall i \in \{1, 2, \dots, n\}$ , there is a place pair  $(p_{ri}, p_{ai}) \in C.$
  - b)  $\forall i \in \{1, 2, \dots, n-1\}$ , there is a place pair  $(p_{ai}, p_{ri+1}) \in C.$
  - c) there is a place pair  $(p_{an}, p_{r1}) \in C.$
- 3)  $W = W_{V'1} \cup W_{V'2}$ , where
  - a)  $W_{V'1} : (p_r \times p_a) \rightarrow \mathbb{N}_n = \{1, 2, \dots, n\}$  gives the weight of arcs  $(p_r \times p_a)$ , indicating the number of tokens granted from a resource place  $p_r$  to an operation place  $p_a.$
  - b)  $W_{V'2} : (p_a \times p_r) \rightarrow \mathbb{N}_n = \{1, 2, \dots, n\}$  gives the weight of arcs  $(p_a \times p_r)$ , indicating the number of tokens requested from an operation place  $p_a$  to a resource place  $p_r.$
- 4) For  $V' = \{p_{ri}, p_{ai}, p_{rj}, p_{aj}, p_{ri}\}$  with  $p_{ai} \in P_A$  and  $p_{ri} \in P_R, i \in \{1, 2, 3, \dots, n\}, p_{ri} \neq p_{rj} (p_{ai} \neq p_{aj})$  if  $i \neq j, (p_{ri}, p_{ai}) \in C$  is called an in-arc from  $p_{ri}$  to  $p_{ai}$ , and  $W_{V'1}(p_{ri}, p_{ai}) = M_0(p_{ri})$  presents the number of tokens granted from  $p_{ri}$  to  $p_{ai}$ ;  $(p_{ai}, p_{rj}) \in C$  is called the out-arc from  $p_{ai}$  to  $p_{rj}$ , and  $W_{V'2}(p_{ai}, p_{rj}) = M_0(p_{ri})$  presents the number of tokens requested from  $p_{ai}$  to  $p_{rj}.$



**FIGURE 1.** An  $S^4R (N, M_0).$

$(p_{15}, p_4)$ , and  $A2 = \{(p_1, p_{13}), (p_2, p_{14}), (p_3, p_{15}), (p_5, p_{14}), (p_6, p_{15}), (p_8, p_{13}), (p_9, p_{12})\}.$





**FIGURE 3.** Weighted circular wait graph (WCWG) of (WRFG) in Fig. 2.

Let  $C_1 = \{(p_r, p_a)\}$  and  $C_2 = \{(p_a, p_r)\}$  be the sets of in-arcs and out-arcs of operation places in  $V'$ , respectively. The set of WCWGs derived from a WRFG is denoted as  $\mathcal{N}_{cw} = \{C_{w1}, C_{w2}, \dots, C_{wi}\}$ , where  $C_{wi} = (V'_i, C_i, W_i)$ ,  $i \in \{1, 2, \dots, n\}$ . According to Definition 3, there are three weighted circular wait graphs in Fig. 2,  $C_{w1} = (V'_1, C_1, W_1)$ ,  $C_{w2} = (V'_2, C_2, W_2)$ , and  $C_{w3} = (V'_3, C_3, W_3)$ , where  $C_{w1} = \{p_{12}, p_1, p_{13}, p_9\}$ ,  $C_{w2} = \{p_{13}, p_2, p_{14}, p_8\}$ , and  $C_{w3} = \{p_{13}, p_5, p_{14}, p_8\}$ . Hence,  $\mathcal{N}_{cw} = \{C_{w1}, C_{w2}, C_{w3}\}$ , and the three weighted circular wait graphs are shown in Fig. 3.

### C. RELATIONSHIP BETWEEN WCWG AND PARTIAL DEADLOCKS

**Definition 4:** Given an  $S^4R(N, M_0) = (P_A \cup P^0 \cup P_R, T, F, W, M_0)$ , a marking  $M$  is defined as a partial deadlock if the following conditions hold:

- 1)  $\nexists t \in T'$  such that  $M[t]$ ,
- 2)  $\exists T' \neq \emptyset \wedge T' \subseteq T$ , and
- 3)  $\forall M' \in R(N, M)$ ,  $\nexists t \in T' \mid M'[t]$ .

**Proposition 1:** Any deadlock is also a partial deadlock.

**Proof:** From Definition 4, it is certain that  $M$  is a partial deadlock if any transition  $T' \subseteq T$  is dead at  $M$  and those that can be reached from  $M$ . That is to say, no transition  $t \in T'$  can be enabled at marking  $M$  and the markings that can be reached from  $M$ , i.e., it is at a deadlock state. Thus, with  $T' = T$ , a deadlock is a special partial deadlock. ■

In  $(N, M_0)$ , when a partial deadlock marking  $M$  is reached, without external intervention, any transition in  $T'$  can never be enabled. To make a net live (deadlock-free), one needs to detect all partial deadlock markings and recover them.

Note that a WCWG  $C_w = (V', C, W)$  contains two different types of arcs: in-arcs  $\{(p_r, p_a)\}$  and out-arcs  $\{(p_a, p_r)\}$ . It follows from the evolution rules of  $S^4R$ s, a transition  $t \in p_a$  should be enabled by tokens in  $p_r$ , i.e., there should be tokens in  $p_r$  for starting the operation modeled

by  $p_a$ . Furthermore, to make such a transition  $t$  enabled, the number of tokens in  $p_r$  should be greater than or equal to the weight of the  $c$ . Thus, the relationship between  $p_r$  and  $p_a$  is presented by arc  $(p_r, p_a)$ .

By an out-arc  $(p_a, p_r)$ , the enabling of  $t \in p'_a$  needs tokens in its input place  $p_r$ , i.e., there should be tokens in  $p_r$  for finishing the operation modeled by  $p_a$ . Furthermore, firing such a transition  $t$ , the number of tokens released from  $p_a$  to  $p_r$  is equal to the weight of  $(p_a, p_r)$ . Thus, the relationship between  $p_a$  and  $p_r$  is described by arc  $(p_a, p_r)$ .

Let  $\mathcal{N}_{cw} = \{C_{w1}, C_{w2}, \dots, C_{wn}\}$  be the set of WCWGs in a WRFG for an  $S^4R(N, M_0)$ , where  $C_{wi} = (V'_i, C_i, W_i)$ , and  $C_i = C_i^1 \cup C_i^2$  with  $C_i^1$  and  $C_i^2$  being the sets of in-arcs and out-arcs of operation places, respectively. Consider the place set in WCWGs denoted as  $V'_* = \bigcup_i^n V'_i$ , and the set of in-arcs (out-arcs) denoted as  $C_*^1 = \bigcup_i^n C_i^1$  ( $C_*^2 = \bigcup_i^n C_i^2$ ). Given a resource place  $p_r \in V'_*$ , we define the output operation place set of  $p_r$  as  $P_{r \rightarrow a} = \{p_a \mid (p_r, p_a) \in C_*^1\}$ .

**Definition 5:** A marking  $M_{cw}^d = \sum_{p \in V'} M_{cw}^d(p)p$  is said to be a deadlocked marking in a WCWG  $C_w = (V', C, W)$ , if for all resource places  $p_r \in V'$ , the following equations hold:

$$\sum_{p_a \in P_{r \rightarrow a}} M_{cw}^d(p_a) = M_{cw}^0(P_r) \quad (1)$$

$$M_{cw}^d(P_r) = 0 \quad (2)$$

$$M_{cw}^d(P) = \{1, 2, 3, \dots\}, \quad p \in P_{r \rightarrow a} \cap V' \quad (3)$$

$$M_{cw}^d(P) = \{0, 1, 2, 3, \dots\}, \quad p \in P_{r \rightarrow a} \setminus V' \quad (4)$$

where  $M_{cw}^0$  is the initial marking of the WRFG.

The set of all deadlocked markings in a WCWG  $C_w = (V', C, W)$  is denoted as  $\mathcal{M}_{cw}^d$ . Algorithm 2 presents the computation of the set of deadlocked markings of each WCWGs ( $C_w$ ) in WRFG.

Consider an  $S^4R(N, M_0)$  and its WRFG presented in Figs. 1 and 2, respectively, where there are three WCWGs as shown in Fig. 3. According to Algorithm 2, there are three deadlocked markings:  $M_{cw1}^d = p_1 + 2p_9 + 0p_{12} + 0p_{13}$  in  $C_{w1} = \{p_{12}, p_1, p_{13}, p_9\}$ ,  $M_{cw2}^d = p_2 + p_8 + 0p_{13} + 0p_{14}$  in  $C_{w2} = \{p_{13}, p_2, p_{14}, p_8\}$ , and  $M_{cw3}^d = p_5 + p_8 + 0p_{13} + 0p_{14}$  in  $C_{w3} = \{p_{13}, p_5, p_{14}, p_8\}$ .

**Theorem 1:** Let  $M_{cw}^d \in \mathcal{M}_{cw}^d$  be a deadlocked marking in a WCWGs  $C_w = (V', C, W)$ . Marking  $M \in R(N, M_0)$  is a partial deadlock one if  $M \in \mathcal{M}_{cw}^d$ .

**Proof:** As seen, in a WCWG  $C_w = (V', C, W)$ , let  $V' = \{p_{r1}, p_{a1}, p_{r2}, p_{a2}, \dots, p_{rn}, p_{an}, p_{r1}\}$ ,  $C = \{(p_{r1}, p_{a1}), (p_{a1}, p_{r2}), (p_{r2}, p_{a2}), \dots, (p_{rn}, p_{an}), (p_{an}, p_{r1})\}$ , and  $W = W_{V'1} \cup W_{V'2}$ , where  $W_{V'1} : (p_r \times p_a) \rightarrow \mathbb{N}_n = \{1, 2, \dots, n\}$ ,  $W_{V'2} : (p_a \times p_r) \rightarrow \mathbb{N}_n = \{1, 2, \dots, n\}$ ,  $p_{ri} \in P_R$ , and  $p_{ai} \in P_A$ ,  $i \in \{1, 2, \dots, n-1\}$ . According to Definition 5, a deadlocked marking  $M_{cw}^d$  of WCWG ( $C_w$ ) is a state at which the tokens in  $p_{r1}$  are granted to  $p_{a1}$ , those in  $p_{r2}$  are granted to  $p_{a2}$ , ..., and those in  $p_{rn}$  are granted to  $p_{an}$ . In this state, no token is in any resource place in  $V'$ , implying that the process presented by  $p_{ai}$  has started. Then, to finish these processes,  $p_{a1}$  requests the tokens in  $p_{r2}$  that is currently

**Algorithm 2** Computation of deadlocked markings of WCWGs in a WRFG

**Input:** A WRFG  $= (V, A, W)$  of an  $S^4R (N, M_0) = (P_A \cup P^0 \cup P_R, T, F, W, M_0)$ .

**Output:** Deadlocked markings of WCWG  $C_w = (V', C, W)$  in a WRFG.

- 1: Find all WCWGs ( $C_w$ ), denoted by  $\mathcal{N}_{C_w} = \{C_{w1}, C_{w2}, \dots, C_{wn}\}$ , where  $C_{wi} = (V'_i, C_i, W_i), i \in \{1, 2, \dots, n\}$ , according to Definition 3.
- 2: **for all**  $C_{wi} \leftarrow (V'_i, C_i, W_i) \in \mathcal{N}_{C_w}$  **do**
- 3:     **for all**  $p_r \in V'_i \cap P_R$  **do**
- 4:         Find the deadlocked markings that satisfy Eqs. (1), (2), (3), and 4.
- 5:         Let  $\mathcal{M}_{C_w}^d$  be the set of deadlocked markings of  $C_{wi}$ .
- 6:     **end for**
- 7: **end for**
- 8: **output:** The deadlocked markings of each WCWG  $C_w = (V', C, W)$  in weighted resource flow graph WRFG, i.e.,  $M_{C_w1}^d, M_{C_w2}^d, \dots, M_{C_wn}^d$ .
- 9: **End.**

held by  $p_{a2}$ . Similarly, for  $i \in \{1, 2, \dots, n-1\}$ ,  $p_{ai}$  requests the tokens in  $p_{ri+1}$  that is currently held by  $p_{ai+1}$ . Finally,  $p_{an}$  requests the tokens in  $p_{r1}$  that is currently held by  $p_{a1}$ . which leads to a deadlocked circular wait. As a result, a subnet  $N'$  of  $N$  formed by the places in  $V'$  is dead at  $M_{C_w}^d$ . Let  $T'$  be the set of transitions in  $N'$ . No transitions in  $T'$  is enabled at  $M_{C_w}^d$ . For all  $M$  in  $M_{C_w}^d$ , no transition  $t$  in  $T'$  is enabled at  $M$ . By Definition 4,  $M$  is a partial deadlock. ■

To break a weighted circular wait, one needs to enforce the operation places at  $M_{C_w}^d$  to let the tokens move back to the corresponding resource places. One way to do so is to construct recovery transitions such that they are enabled at partial deadlock markings and firing them can recover the system to live states. In this way, one needs only to consider a WCWGs  $C_w = (V', C, W)$  to detect the deadlocked markings  $M_{C_w}^d$ , and then design a recovery transition for them.

### III. TRANSITION-BASED RECOVERY POLICY

An optimal transition-based recovery policy for each WCWG ( $C_w$ ) is proposed in this section. Notice that, at a partial deadlock marking  $M_{C_w}^d$ , a designed recovery transition should be enabled such that its firing can function as resource reallocation to release the tokens from the operation places back to the corresponding resource places. When the controller formed by the designed recovery transitions is applied, the controlled system becomes deadlock-free with all reachable markings.

Let  $(N, M_0)$  be an  $S^4R$  and  $(N_r, M_0)$  be its controlled system under the control of a recovery-transition-based controller. Let  $t_r$  denote a recovery transition for a WCWG  $C_w$  with the incidence vector  $[N_r](P, t_r) = [x_1, x_2, x_3, \dots, x_n]^T$ , where  $n$  is the number of places. At  $M_{C_w}^d$  in a WCWG  $C_w$ ,

in  $V'$ , the tokens originally owned by the resource places are currently occupied by the operation places and no resource place holds a token. Hence, we design recovery transitions to return the tokens to resource places. Consider the following equations with  $t_r$  being a designed recovery transition:

$$[N_r](p_a, t_r) = -W(p_r, p_a) \forall p_a \in P_A \cap V',$$

$$p_r \in P_R \wedge p_r \rightarrow a \quad (5)$$

$$[N_r](p_r, t_r) = W(p_r, p_a) \forall p_r \in P_R \cap V',$$

$$p_a \in P_A \wedge p_r \rightarrow a \quad (6)$$

$$[N_r](p, t_r) = 0 \forall p \in P \setminus (P^0 \cup V') \quad (7)$$

Eq. (5) means that firing  $t_r$  reduces the number of tokens in the operation places in  $V'$  and the reduced number is equal to the weights of their input arcs (the arcs are from their input resource places). Eq. (6) means that firing  $t_r$  increases the number of tokens in the resource places in  $V'$  and the increased number is equal to the weights of their output arcs (the arcs are from their output operation places). Eq. (7) indicates that firing  $t_r$  does not change the number of tokens in places that do not belong to  $V' \cup P^0$ .

To get the fully incidence vector of  $t_r$ ,  $x_i$ 's for each place  $p^{0i} \in P^0$  must be computed. Let  $I$  denote a P-vector for an  $S^4R$ . It is known that  $I^T \cdot [N] = \mathbf{0}^T$  with positive integers for  $I$  ensures the conservativeness of a PN, which presents the necessary condition for structural boundedness and liveness of an  $S^4R$ . Therefore, one needs to guarantee conservativeness of the controlled system by designing a recovery-transition-based controller.

For an  $S^4R$ , we have  $\| I_{p^{0i}} \| = \{p^{0i}\} \cup P_A$ . We denote a minimal P-semiflow associated with  $p^{0i}$  as  $I_{p^{0i}}^T = [I_{p^{0i}}^T(p^{0i}), I_{p^{0i}}^T(P^0 \setminus \{p^{0i}\}), I_{p^{0i}}^T(P_A), I_{p^{0i}}^T(P_R)]$ , where  $I_{p^{0i}}^T(P^0 \setminus \{p^{0i}\}) = 0$  and  $I_{p^{0i}}^T(P_R) = 0$ . To ensure the conservativeness of the controlled  $N_r$ ,  $I_{p^{0i}}^T[N_r](P, t_r) = 0$  should hold, i.e.,  $I_{p^{0i}}^T[N_r](\{p^{0i}\} \cup (P^0 \setminus \{p^{0i}\}) \cup P_A \cup P_R, t_r) = 0$ . As known,  $I_{p^{0i}}^T(P^0 \setminus \{p^{0i}\}) = 0$  and  $I_{p^{0i}}^T(P_R) = 0$ , i.e.,  $I_{p^{0i}}^T(P_A) \cdot [N_r](P_A, t_r) + I_{p^{0i}}^T(p^{0i}) \cdot [N_r](p^{0i}, t_r) = 0$ . resulting in  $[N_r](p^{0i}, t_r) = -I_{p^{0i}}^T(P_A) \cdot [N_r](P_A, t_r) / I_{p^{0i}}^T(p^{0i})$ . By (5) and (7), we already get  $[N_r](p_a, t_r)$ . Then, for any  $p^{0i} \in P^0$ , we have

$$x_i = - \sum_{p^{ai} \in P_A} I_{p^{0i}}(p^{ai})x_{ai} / I_{p^{0i}}(p^{0i}), \forall p^{0i} \in P^0 \quad (8)$$

In this way, we can fully compute the incidence vector  $[N_r](p, t_r)$  for  $t_r$ . Also, in a WRFG, the number of required recovery transitions is equal to the number of WCWGs. By doing so, it is certain that a behaviorally optimal recovery-transition-based controller is designed to make the controlled net deadlock-free with all reachable markings. Algorithm 3 presents the procedure to design the recovery-transition-based policy.

*Theorem 2:* The controlled system  $(N_r, M_0)$  obtained from Algorithm 3 is deadlock-free.

**Algorithm 3** Computation of a controlled live system  $(N_r, M_0)$  by adding recovery transitions

**Input:** An S<sup>4</sup>R  $(N, M_0) = (P_A \cup P^0 \cup P_R, T, F, W, M_0)$  for an FMS.

**Output:** A controlled live system  $(N_r, M_0)$ .

- 1: Construct the WRFG  $= (V, A, W)$  of  $N$  by applying Algorithm 1.
- 2: Find all the WCWGs  $(C_w) = (V', C, W)$  in WRFG denoted by  $\mathcal{N}_{cw} = \{C_{w1}, C_{w2}, \dots, C_{wm}\}$ , where  $C_{wx} = (V'_x, C_x, W_x)$ ,  $x \in \{1, 2, \dots, n\}$ .
- 3: Find the deadlocked markings in WCWGs:  $\mathcal{M}_{cw1}^d, \mathcal{M}_{cw2}^d, \dots, \mathcal{M}_{cwm}^d$ , by applying Algorithm 2.
- 4:  $T_r \leftarrow \emptyset$ . /\* the set of designed recovery transitions \*/
- 5: **for all**  $M_{cwi}^d \in \mathcal{M}_{cw}^d$  **do**
- 6:     Compute the incidence vector  $[N_r](P, t_{ri})$  by Eqs. (5)–(8).
- 7:      $T_r \leftarrow T_r \cup \{t_{ri}\}$ . /\* add a recovery transition  $t_{ri}$  of  $M_{cwi}^d$ . \*/
- 8: **end for**
- 9: Get the controlled system  $(N_r, M_0)$  by applying the controller formed by  $T_r$ .
- 10: **Output:**  $(N_r, M_0)$
- 11: **End.**

*Proof:* Assume that there exists a deadlock marking  $M$  in  $(N_r, M_0)$ . Marking  $M$  must be a partial deadlock according to Proposition 1. It follows from Theorem 1 that a deadlocked marking  $M_{cwi}^d \in \mathcal{M}_{cw}^d$  exists. In this case, by applying Algorithm 3, one can design a recovery transition  $t_{ri}$  such that any partial deadlocks in  $\mathcal{M}_{cw}^d$  can be recovered. Therefore,  $(N_r, M_0)$  will not be deadlocked at  $M$ . ■

Now, consider the S<sup>4</sup>R given in Fig. 1. For this net, there are 1280 reachable markings with six dead states. Its WRFG  $= (V, A, W)$  is presented in Fig. 2 with three WCWGs as shown in Fig. 3,  $C_{w1} = (V'_1, C_1, W_1)$ ,  $C_{w2} = (V'_2, C_2, W_2)$ , and  $C_{w3} = (V'_3, C_3, W_3)$ , where  $C_{w1} = \{p_{12}, p_{11}, p_{13}, p_9\}$ ,  $C_{w2} = \{p_{13}, p_2, p_{14}, p_8\}$ , and  $C_{w3} = \{p_{13}, p_5, p_{14}, p_8\}$ . In  $C_{w1}$ , the set of in-arcs is  $C_1 = \{(p_{12}, p_1), (p_{13}, p_9)\}$  and the set of out-arcs is  $C_2 = \{(p_1, p_{13}), (p_9, p_{12})\}$ ; in  $C_{w2}$ , the set of in-arcs is  $C_1 = \{(p_{13}, p_2), (p_{14}, p_8)\}$  and the set of out-arcs is  $C_2 = \{(p_2, p_{14}), (p_8, p_{13})\}$ ; in  $C_{w3}$ , the set of in-arcs is  $C_1 = \{(p_{13}, p_5), (p_{14}, p_8)\}$  and the set of out-arcs is  $C_2 = \{(p_2, p_{14}), (p_8, p_{13})\}$ .  $M_{cw1}^d = p_1 + 2p_9 + 0p_{12} + 0p_{13}$  is only one deadlocked marking in  $C_{w1} = \{p_{12}, p_1, p_{13}, p_9\}$ ,  $M_{cw2}^d = p_2 + p_8 + 0p_{13} + 0p_{14}$  is only one deadlocked marking in  $C_{w2} = \{p_{13}, p_2, p_{14}, p_8\}$ , and  $M_{cw3}^d = p_5 + p_8 + 0p_{13} + 0p_{14}$  is only one deadlocked marking in  $C_{w3} = \{p_{13}, p_5, p_{14}, p_8\}$ .

The recovery transition  $t_{r1}$  of  $M_{cw1}^d$  is designed and we have  $[N_r](P, t_{r1}) = [x_1, x_2, x_3, \dots, x_{15}]^T$ . With Algorithm 3, we find  $x_{ri} \forall p_i \in V'_1$ , resulting in  $[x_1, x_9, x_{12}, x_{13}]^T = [-1, -1, 2, 1]^T$ . Also, for  $p_i \in P \setminus (P^0 \cup V'_1)$ , we get  $x_i = 0$  such that  $[x_2, x_3, x_4, x_5, x_6, x_8, x_{10}, x_{14}, x_{15}]^T = [0, 0, 0, 0, 0, 0, 0, 0, 0]^T$ . Then, we compute  $x_{0i}$ 's  $\forall p^{0i} \in P^0$  to get  $[N_r](P^0, t_{r1}) = [x_7, x_{11}]^T$ . There are associated

with idle places  $p_7$  and  $p_{11}$ ; two place invariants  $I_7$  and  $I_{11}$  are found as given below.

$$I_7 = [1, 1, 1, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0]^T$$

$$I_{11} = [0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 0, 0, 0, 0]^T$$

Furthermore, we have  $I_7(P_A) = [1, 1, 1, 1, 1, 1, 0, 0, 0]^T$ ,  $[N_r](P_A, t_{r1}) = [-1, 0, 0, 0, 0, 0, 0, -1, 0]^T$ , and  $I_7(p_7) = 1$ . Hence,  $x_7 = -I_7^T(P_A) \cdot [N_r](P_A, t_{r1}) / I_7(p_7) = 1$ . Similarly,  $x_{11} = -I_{11}^T(P_A) \cdot [N_r](P_A, t_{r1}) / I_{11}(p_{11}) = 1$ . The complete incidence vector of  $t_{r1}$  is found to be  $[N_r](P, t_{r1}) = [-1, 0, 0, 0, 0, 0, 1, 0, -1, 0, 1, 2, 1, 0, 0]^T$ .

The recovery transition  $t_{r2}$  of  $M_{cw2}^d$  is designed and we have  $[N_r](P, t_{r2}) = [x_1, x_2, x_3, \dots, x_{15}]^T$ . By applying Algorithm 3, we get  $x_{ri}, \forall p_i \in V'_2$ , to obtain  $[x_2, x_8, x_{13}, x_{14}]^T = [-1, -1, 1, 1]^T$ . Then, for  $p_i \in P \setminus (P^0 \cup V'_2)$ , we get  $x_i = 0$ , resulting in  $[x_1, x_3, x_4, x_5, x_6, x_9, x_{10}, x_{12}, x_{15}]^T = [0, 0, 0, 0, 0, 0, 0, 0, 0, 0]^T$ . Next, we compute  $x_{0i}$ 's,  $\forall p^{0i} \in P^0$ , for  $[N_r](P^0, t_{r2}) = [x_7, x_{11}]^T$ . Associated with idle places  $p_7$  and  $p_{11}$ , two place invariants  $I_7$  and  $I_{11}$  are got as given below.

$$I_7 = [1, 1, 1, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0]^T$$

$$I_{11} = [0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 0, 0, 0, 0]^T$$

Furthermore, we have  $I_7(P_A) = [1, 1, 1, 1, 1, 1, 0, 0, 0]^T$ ,  $[N_r](P_A, t_{r2}) = [0, -1, 0, 0, 0, 0, -1, 0, 0]^T$ , and  $I_7(p_7) = 1$ . Hence,  $x_7 = -I_7^T(P_A) \cdot [N_r](P_A, t_{r2}) / I_7(p_7) = 1$ . Similarly,  $x_{11} = -I_{11}^T(P_A) \cdot [N_r](P_A, t_{r2}) / I_{11}(p_{11}) = 1$ . We then get the complete incidence vector of  $t_{r2}$  to be  $[N_r](P, t_{r2}) = [0, -1, 0, 0, 0, 0, 1, -1, 0, 0, 1, 0, 1, 1, 0]^T$ .

The recovery transition  $t_{r3}$  of  $M_{cw3}^d$  is designed and we have  $[N_r](P, t_{r3}) = [x_1, x_2, x_3, \dots, x_{15}]^T$ . By applying Algorithm 3, we compute  $x_{ri} \forall p_i \in V'_3$  and find  $[x_5, x_8, x_{13}, x_{14}]^T = [-1, -1, 1, 1]^T$ . Then, for  $p_i \in P \setminus (P^0 \cup V'_3)$ , we get  $x_i = 0$ , resulting in  $[x_1, x_2, x_3, x_4, x_6, x_9, x_{10}, x_{12}, x_{15}]^T = [0, 0, 0, 0, 0, 0, 0, 0, 0, 0]^T$ . Next, we compute  $x_{0i}$ 's  $\forall p^{0i} \in P^0$  and get  $[N_r](P^0, t_{r3}) = [x_7, x_{11}]^T$ . Associated with idle places  $p_7$  and  $p_{11}$ , we get two place invariants  $I_7$  and  $I_{11}$  as given below.

$$I_7 = [1, 1, 1, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0]^T$$

$$I_{11} = [0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 0, 0, 0, 0]^T$$

Furthermore, we get  $I_7(P_A) = [1, 1, 1, 1, 1, 1, 0, 0, 0]^T$ ,  $[N_r](P_A, t_{r3}) = [0, 0, 0, 0, -1, 0, -1, 0, 0]^T$ , and  $I_7(p_7) = 1$ . Hence,  $x_7 = -I_7^T(P_A) \cdot [N_r](P_A, t_{r3}) / I_7(p_7) = 1$ . Similarly,  $x_{11} = -I_{11}^T(P_A) \cdot [N_r](P_A, t_{r3}) / I_{11}(p_{11}) = 1$ . Finally, we get the complete incidence vector of  $t_{r3}$  to be  $[N_r](P, t_{r3}) = [0, 0, 0, 0, -1, 0, 1, -1, 0, 0, 1, 0, 1, 1, 0]^T$ .

With the designed controller formed by the recovery transitions  $t_{r1}$ ,  $t_{r2}$  and  $t_{r3}$ , the controlled system  $(N_r, M_0)$  is obtained as shown in Fig. 4. Its deadlock-freeness is checked with all 1280 reachable markings.

For computational complexity, in the worst case, it takes time  $\mathcal{O}(n^2)$  and space  $\mathcal{O}(n)$  for Algorithm 1 to find the WRFG of an S<sup>4</sup>R, where  $n$  is the maximum number of

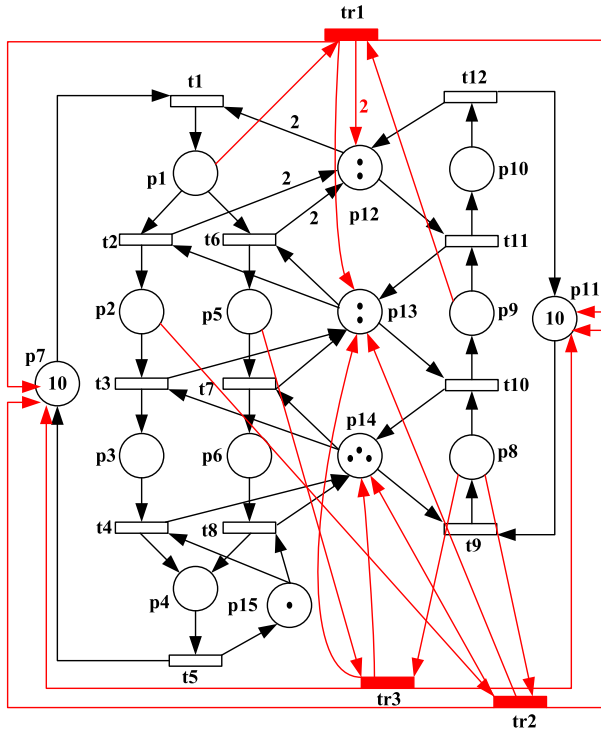


FIGURE 4. The Controlled System  $(N_r, M_0)$  With Three recovery transitions.

places and transitions in the model. The time to find the WCWGs ( $C_W$ ) from the WRFG by Algorithm 2 is with  $O((k+l)(m+1))$ , where  $k$ ,  $l$ , and  $m$  are the numbers of places in the WRFG, arcs, and WCWGs ( $C_W$ ), respectively. Then, recovery transitions are decided via applying Algorithm 3. Consequently, the developed method is of polynomial complexity. Especially, with the proposed policy, one does not have to compute all the siphons or full reachability graphs, which is the real and main cause of high computational complexity.

IV. ILLUSTRATION WITH WIDELY APPLIED EXAMPLES

To demonstrate the proposed DDR policy, a few widely used FMS examples are presented in this section. An  $S^4R$  is given in Fig. 5 with  $P_A = \{p_2 - p_6, p_8 - p_{11}\}$ ,  $P_R = \{p_{12} - p_{16}\}$ , and  $P^0 = \{p_1, p_7\}$ . For this net, there are 165 reachable markings with four dead states.

By Algorithm 1, the WRFG is obtained as shown in Fig. 6. It is clear that, for this WRFG, we have  $A1 = \{(p_{12}, p_2), (p_{12}, p_{11}), (p_{13}, p_3), (p_{13}, p_{10}), (p_{14}, p_5), (p_{14}, p_9), (p_{15}, p_6), (p_{15}, p_8), (p_{16}, p_4)\}$ , and  $A2 = \{(p_2, p_{13}), (p_3, p_{14}), (p_3, p_{16}), (p_4, p_{15}), (p_5, p_{15}), (p_8, p_{14}), (p_9, p_{13}), (p_{10}, p_{12})\}$ .

There are four WCWGs in the WRFG shown in Fig. 6, i.e.,  $N_{C_W} = \{C_{w1}, C_{w2}, C_{w3}, C_{w4}\}$ . These WCWGs and the deadlocked markings are detailed in Tables 1 and 2, respectively. Associated with the two idle places  $p_1$  and  $p_7$ , we get two place invariants  $I_1$  and  $I_7$ , given as follows:

$$I_1 = [1, 1, 1, 1, 1, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0]^T$$

$$I_7 = [0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 1, 0, 0, 0, 0]^T$$

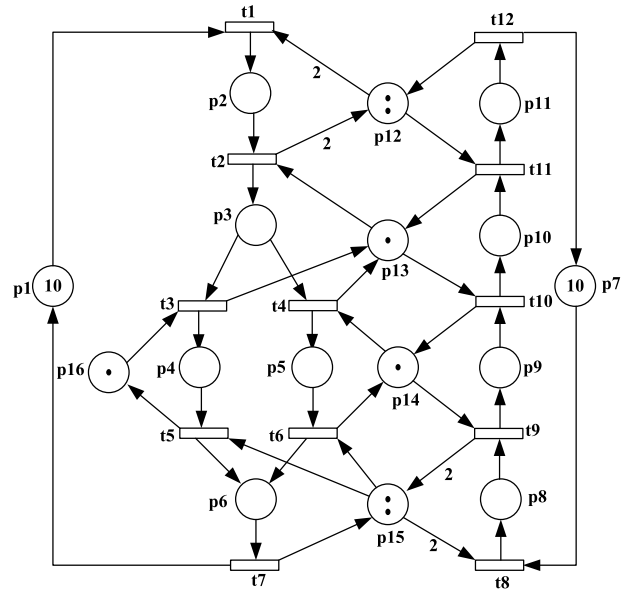


FIGURE 5. An  $S^4R (N_r, M_0)$ .

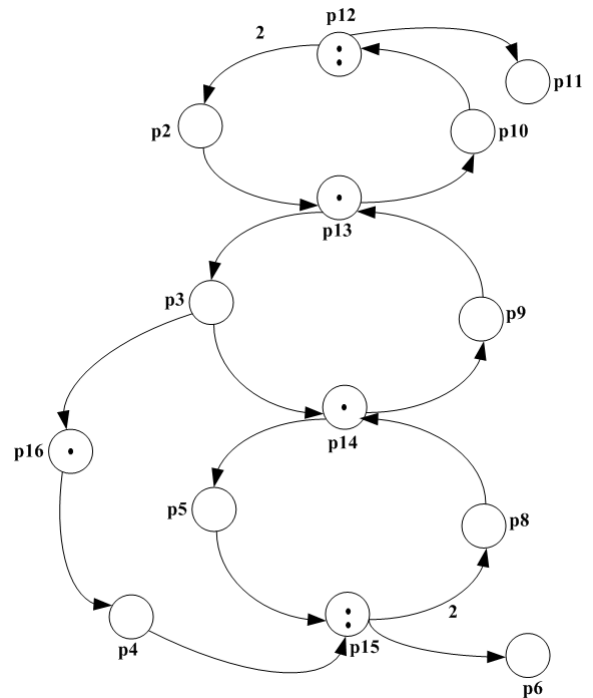


FIGURE 6. Weighted Resource Flow Graph (WRFG) of an  $S^4R (N, M_0)$  in Fig. 5.

By Algorithm 3, as given in Table 3, the incidence vectors of the recovery transitions are found. With the designed transitions  $t_{r1}, t_{r2}, t_{r3}$  and  $t_{r4}$ , the controlled system  $(N_r, M_0)$  is shown in Fig. 7. The deadlock-freeness is checked with all 165 reachable markings.

Consider an FMS with four machines  $M_1 - M_4$  and three robots  $R_1 - R_3$ . Its layout is given in Fig. 8, while the part processing routes are given in Fig. 9. While both  $R_1$  and



TABLE 1. Weighted circular wait graphs in the WRFG shown in Fig. 6.

$(C_i^W) = (V'_i, C_i, W_i)$	$V'_i$	$C_i$	$W_i$
$(C_1^W) = (V'_1, C_1, W_1)$	$V'_1 = \{p_{12}, p_2, p_{13}, p_{10}\}$	$C_1 = \{(p_{12}, p_2), (p_2, p_{13}), (p_{13}, p_{10}), (p_{10}, p_{12})\}$	$W_1(p_{12}, p_2) = 2$
$(C_2^W) = (V'_2, C_2, W_2)$	$V'_2 = \{p_{13}, p_3, p_{14}, p_9\}$	$C_2 = \{(p_{13}, p_3), (p_3, p_{14}), (p_{14}, p_9), (p_9, p_{13})\}$	
$(C_3^W) = (V'_3, C_3, W_3)$	$V'_3 = \{p_{14}, p_5, p_{15}, p_8\}$	$C_3 = \{(p_{14}, p_5), (p_5, p_{15}), (p_{15}, p_8), (p_8, p_{14})\}$	$W_2(p_{15}, p_8) = 2$
$(C_4^W) = (V'_4, C_4, W_4)$	$V'_4 = \{p_{13}, p_3, p_{16}, p_4, p_{15}, p_8, p_{14}, p_9\}$	$C_4 = \{(p_{13}, p_3), (p_3, p_{16}), (p_{16}, p_4), (p_4, p_{15}), (p_{15}, p_8), (p_8, p_{14}), (p_{14}, p_9), (p_9, p_{13})\}$	$W_3(p_{15}, p_8) = 2$

TABLE 2. Sets of deadlocked markings of weighted circular wait graphs listed in Table 1.

$(C_i^W) = (V'_i, C_i, W_i)$	$\mathcal{M}_{CW}^d$
$(C_1^W) = (V'_1, C_1, W_1)$	$M_{CW1}^d = \{p_2 + 2p_{10} + 0p_{12} + 0p_{13}\}$
$(C_2^W) = (V'_2, C_2, W_2)$	$M_{CW2}^d = \{p_3 + p_9 + 0p_{13} + 0p_{14}\}$
$(C_3^W) = (V'_3, C_3, W_3)$	$M_{CW3}^d = \{p_8 + 2p_5 + 0p_{14} + 0p_{15}\}$
$(C_4^W) = (V'_4, C_4, W_4)$	$M_{CW4}^d = \{p_3 + p_4 + p_8 + p_9 + 0p_{13} + 0p_{14} + 0p_{15} + 0p_{16}\}$

TABLE 3. Incidence vectors of recovery transitions for deadlocked markings listed in Table 2.

$C_i^W$	$[N_r](P_A, t_{r_i})$
$C_1^W$	$[N_r](P, t_{r1}) = [1, -1, 0, 0, 0, 0, 1, 0, 0, -1, 0, 2, 1, 0, 0, 0]^T$
$C_2^W$	$[N_r](P, t_{r2}) = [1, 0, -1, 0, 0, 0, 1, 0, -1, 0, 0, 0, 1, 1, 0, 0]^T$
$C_3^W$	$[N_r](P, t_{r3}) = [1, 0, 0, 0, -1, 0, 1, -1, 0, 0, 0, 0, 0, 1, 2, 0]^T$
$C_4^W$	$[N_r](P, t_{r4}) = [2, 0, -1, -1, 0, 0, 2, -1, -1, 0, 0, 0, 1, 1, 2, 1]^T$

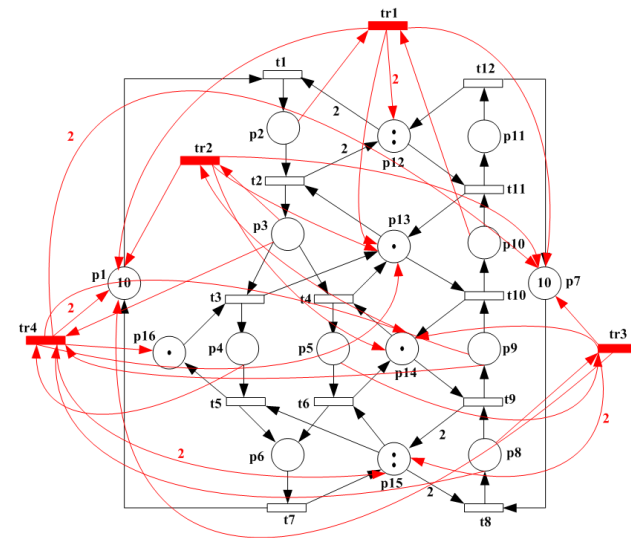


FIGURE 7. Petri net model  $(N_r, M_0)$  with four recovery transitions.

$R_2$  can hold two parts at a time, the capacity of  $R_3$  is one. For the machines, while  $M_1$  can process one product only at a time, two parts can be processed concurrently for any of  $M_2, M_3,$  and  $M_4$ . Three unloading buffers  $O_1-O_3$  and three loading buffers  $I_1-I_3$  are configured to hold completed and

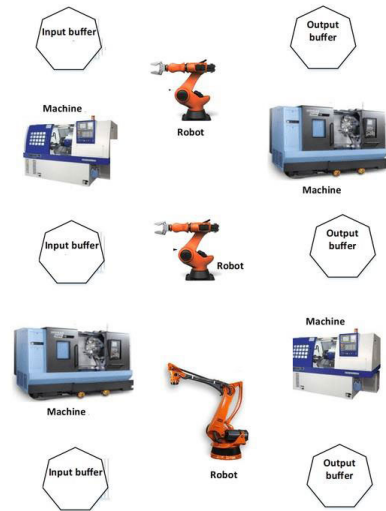


FIGURE 8. An FMS layout.

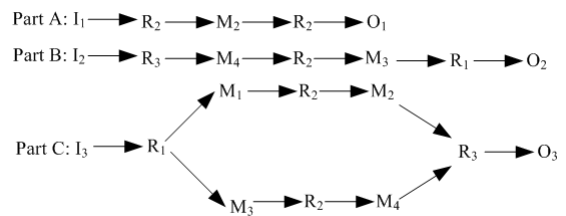


FIGURE 9. The production routings of an FMS layout.

raw parts. Three part types A, B, and C are to be processed with the production cycles given in Fig. 9.

Now, we consider each working process individually. With the cycles given in Fig. 9,  $R_2$  picks a raw part A up from  $I_1$  and loads to  $M_2$ . Then, after its completion by  $M_2$ ,  $R_2$  then unloads it to  $O_1$ .

A raw part B is picked up from  $I_2$  by  $R_3$  and loaded to  $M_4$  for processing. After its completion,  $R_2$  delivers it to  $M_3$ . After its completion at  $M_3$ ,  $R_1$  delivers it to  $O_2$ .

A raw part C is picked up from  $I_3$  by  $R_1$ . Then, it can be loaded into  $M_1$  and  $M_3$  for processing. If it is processed by  $M_1$ , then after its completion, it is delivered to  $M_2$  by  $R_2$ ; otherwise, if it is processed by  $M_3$ , then after its completion, it is delivered to  $M_4$  by  $R_2$ . Finally, after being processed by  $M_2$  or  $M_4$ ,  $R_3$  delivers it to  $O_3$ .

An  $S^4R$  for this FMS can be established and shown in Fig. 10, where  $P_A = \{p_2 - p_4, p_6 - p_{13}, p_{15} - p_{19}\}$ ,



TABLE 4. Weighted circular wait graphs in the WRFG shown in Fig. 11.

$(C_i^W) = (V'_i, C_i, W_i)$	$V'_i$	$C_i$	$W_i$
$(C_1^W) = (V'_1, C_1, W_1)$	$V'_1 = \{p_{20}, p_6, p_{25}, p_{16}\}$	$C_1 = \{(p_{20}, p_6), (p_6, p_{25}), (p_{25}, p_{16}), (p_{16}, p_{20})\}$	$W_1(p_{20}, p_6) = 2$
$(C_2^W) = (V'_2, C_2, W_2)$	$V'_2 = \{p_{25}, p_{11}, p_{21}, p_{17}\}$	$C_2 = \{(p_{25}, p_{11}), (p_{11}, p_{21}), (p_{21}, p_{17}), (p_{17}, p_{25})\}$	$W_2(p_{21}, p_2) = 2$
$(C_3^W) = (V'_3, C_3, W_3)$	$V'_3 = \{p_{21}, p_2, p_{24}, p_3\}$	$C_3 = \{(p_{21}, p_2), (p_2, p_{24}), (p_{24}, p_3), (p_3, p_{21})\}$	$W_3(p_{21}, p_8) = 2$
$(C_4^W) = (V'_4, C_4, W_4)$	$V'_4 = \{p_{21}, p_8, p_{24}, p_3\}$	$C_4 = \{(p_{21}, p_8), (p_8, p_{24}), (p_{24}, p_3), (p_3, p_{21})\}$	$W_4(p_{21}, p_{12}) = 2$
$(C_5^W) = (V'_5, C_5, W_5)$	$V'_5 = \{p_{21}, p_{12}, p_{26}, p_{18}\}$	$C_5 = \{(p_{21}, p_{12}), (p_{12}, p_{26}), (p_{26}, p_{18}), (p_{18}, p_{21})\}$	$W_5(p_{21}, p_2) = 2$
$(C_6^W) = (V'_6, C_6, W_6)$	$V'_6 = \{p_{26}, p_{13}, p_{22}, p_{19}\}$	$C_6 = \{(p_{26}, p_{13}), (p_{13}, p_{22}), (p_{22}, p_{19}), (p_{19}, p_{26})\}$	$W_6(p_{21}, p_8) = 2$
$(C_7^W) = (V'_7, C_7, W_7)$	$V'_7 = \{p_{24}, p_9, p_{22}, p_{19}, p_{26}, p_{18}, p_{21}, p_2\}$	$C_7 = \{(p_{24}, p_9), (p_9, p_{22}), (p_{22}, p_{19}), (p_{19}, p_{26}), (p_{26}, p_{18}), (p_{18}, p_{21}), (p_{21}, p_2)\}$	$W_7(p_{20}, p_6) = 2$
$(C_8^W) = (V'_8, C_8, W_8)$	$V'_8 = \{p_{24}, p_9, p_{22}, p_{19}, p_{26}, p_{18}, p_{21}, p_2\}$	$C_8 = \{(p_{24}, p_9), (p_9, p_{22}), (p_{22}, p_{19}), (p_{19}, p_{26}), (p_{26}, p_{18}), (p_{18}, p_{21}), (p_{21}, p_2)\}$	$W_7(p_{20}, p_6) = 2$
$(C_9^W) = (V'_9, C_9, W_9)$	$V'_9 = \{p_{20}, p_6, p_{23}, p_7, p_{21}, p_{17}, p_{25}, p_{16}\}$	$C_9 = \{(p_{20}, p_6), (p_6, p_{23}), (p_{23}, p_7), (p_7, p_{21}), (p_{21}, p_{17}), (p_{17}, p_{25}), (p_{25}, p_{16}), (p_{16}, p_{20})\}$	$W_7(p_{20}, p_6) = 2$

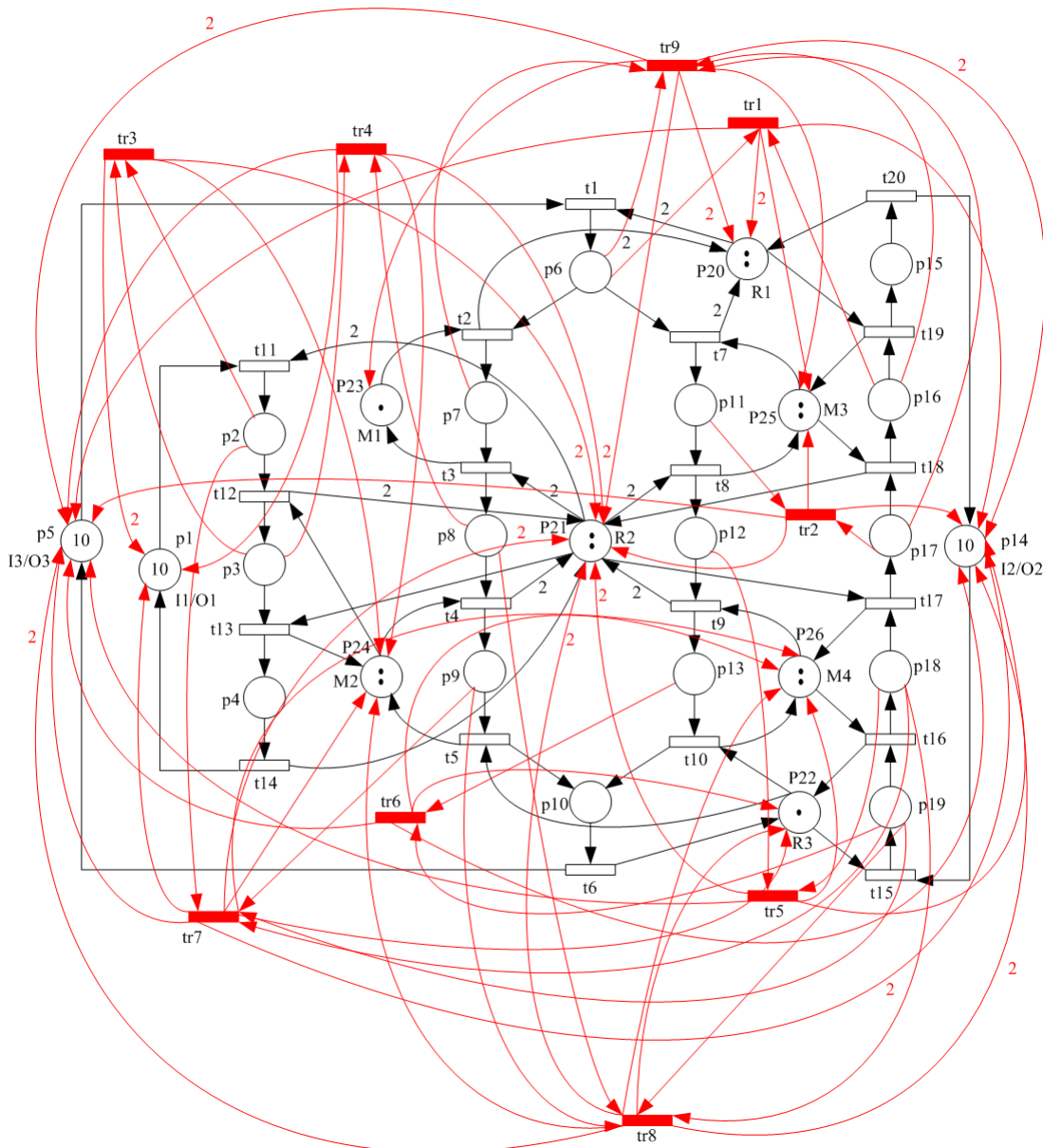


FIGURE 12. Petri net model  $(N_r, M_0)$  with nine recovery transitions.

TABLE 5. Sets of deadlocked markings of weighted circular wait graphs listed in Table 4.

$(C_i^W) = (V'_i, C_i, W_i)$	$\mathcal{M}_{C_i^W}^d$
$(C_1^W) = (V'_1, C_1, W_1)$	$\mathcal{M}_{C_1^W}^d = \{p_6 + 2p_{16} + 0p_{20} + 0p_{25}\}$
$(C_2^W) = (V'_2, C_2, W_2)$	$\mathcal{M}_{C_2^W}^d = \{p_{11} + p_{17} + 0p_{25} + 0p_{21}\}$
$(C_3^W) = (V'_3, C_3, W_3)$	$\mathcal{M}_{C_3^W}^d = \{p_2 + 2p_3 + 0p_{21} + 0p_{24}\}$
$(C_4^W) = (V'_4, C_4, W_4)$	$\mathcal{M}_{C_4^W}^d = \{p_8 + 2p_3 + 0p_{21} + 0p_{24}\}$
$(C_5^W) = (V'_5, C_5, W_5)$	$\mathcal{M}_{C_5^W}^d = \{p_{12} + 2p_{18} + 0p_{21} + 0p_{26}\}$
$(C_6^W) = (V'_6, C_6, W_6)$	$\mathcal{M}_{C_6^W}^d = \{p_{13} + p_{19} + 0p_{26} + 0p_{22}\}$
$(C_7^W) = (V'_7, C_7, W_7)$	$\mathcal{M}_{C_7^W}^d = \{p_9 + p_{19} + p_{18} + p_2 + 0p_{21} + 0p_{22} + 0p_{24} + 0p_{26}\}$
$(C_8^W) = (V'_8, C_8, W_8)$	$\mathcal{M}_{C_8^W}^d = \{p_9 + p_{19} + p_{18} + p_8 + 0p_{21} + 0p_{22} + 0p_{24} + 0p_{26}\}$
$(C_9^W) = (V'_9, C_9, W_9)$	$\mathcal{M}_{C_9^W}^d = \{p_6 + p_7 + p_{17} + 2p_{16} + 0p_{20} + 0p_{21} + 0p_{23} + 0p_{25}\}$

TABLE 6. Incidence vectors of recovery transitions for deadlocked markings listed in Table 5.

$C_i^W$	$[N_r](P_A, t_{ri})$
$C_1^W$	$[N_r](P, t_{r1}) = [0, 0, 0, 0, 1, -1, 0, 0, 0, 0, 0, 0, 0, 1, 0, -1, 0, 0, 0, 2, 0, 0, 0, 0, 1, 0]^T$
$C_2^W$	$[N_r](P, t_{r2}) = [0, 0, 0, 0, 1, 0, 0, 0, 0, 0, -1, 0, 0, 1, 0, 0, -1, 0, 0, 0, 1, 0, 0, 0, 1, 0]^T$
$C_3^W$	$[N_r](P, t_{r3}) = [2, -1, -1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 2, 0, 0, 1, 0, 0]^T$
$C_4^W$	$[N_r](P, t_{r4}) = [1, 0, -1, 0, 1, 0, 0, 0, -1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 2, 0, 0, 1, 0, 0]^T$
$C_5^W$	$[N_r](P, t_{r5}) = [0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, -1, 0, 1, 0, 0, 0, -1, 0, 0, 2, 0, 0, 0, 0, 1]^T$
$C_6^W$	$[N_r](P, t_{r6}) = [0, 0, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, -1, 1, 0, 0, 0, 0, -1, 0, 0, 1, 0, 0, 0, 1]^T$
$C_7^W$	$[N_r](P, t_{r7}) = [1, -1, 0, 0, 1, 0, 0, 0, -1, 0, 0, 0, 0, 2, 0, 0, 0, -1, -1, 0, 2, 1, 0, 1, 0, 1]^T$
$C_8^W$	$[N_r](P, t_{r8}) = [0, 0, 0, 0, 2, 0, 0, -1, -1, 0, 0, 0, 0, 2, 0, 0, 0, -1, -1, 0, 2, 1, 0, 1, 0, 1]^T$
$C_9^W$	$[N_r](P, t_{r9}) = [0, 0, 0, 0, 2, -1, -1, 0, 0, 0, 0, 0, 0, 2, 0, -1, -1, 0, 0, 2, 1, 0, 1, 0, 1, 0]^T$

V. CONCLUSION

This paper extends the policy of deadlock detection and recovery for flexible manufacturing systems (FMSs) presented in [33]. Different from the study in [33], this work is done on a weighted class of Petri nets called S<sup>4</sup>R that can model FMSs where a process stage may require multiple resource types and multiple units for a type to complete a task. Thus, the proposed method can be applied to more complex real-world FMSs and MURSS containing concurrently cyclic sequential processes, disassembly and assembly operations. We first design an algorithm to construct the WRFG of an S<sup>4</sup>R to describe the competition for shared resources by various processes. Then, from the WRFG, we find the weighted circular wait graphs (WCWGs). In this way, the relationship between the WCWGs and partial deadlocks is revealed such that it can detect partial deadlocks. Then, for the detected deadlocked markings, an algorithm is derived to design recovery transitions. By analysis, it demonstrates that the resulting controlled S<sup>4</sup>R net system is deadlock-free. The S<sup>4</sup>R is validated and tested using the Integrated Net Analyzer (INA) simulator.

Overall, we conclude that the proposed policy has the following advantages: (1) it is applicable to any generalized classes of Petri nets such as ES<sup>3</sup>PR, S\*PR, S<sup>2</sup>LSPR, S<sup>3</sup>PGR<sup>2</sup>, and S<sup>3</sup>PMR; (2) it is computational more efficient than the existing ones since it does not require calculating reachability graphs, which suffers from the state explosion problem; (3) we design a recovery-transition-based controller to make the

controlled S<sup>4</sup>R deadlock-free, which provides an efficient way for the operation of FMSs; (4) the method can be applied to systems with sequential and complex resource requirements.

The follow-up study is, with affordable efforts, to optimize the supervisors' behavioral permissiveness, reduce structural complexity, and extend the reported method in this research to the systems with unreliable resources.

APPENDIX A  
BACKGROUND

A. DEADLOCK IN MULTI-UNIT RESOURCE SYSTEMS (MRS)

A resource allocation graph (RAG) [16] is a directed graph  $(V, E)$  with  $V$  and  $E$  being the sets of nodes and directed edges, respectively. Let  $V = P \cup Q$  with  $P \cap Q = \emptyset$ , where  $P$  is a set of processes and  $Q$  is the set of resources. In this sense, an RAG is a bipartite graph. An edge  $d_{ij} = (x_i, y_j)$  is a request edge if  $x_i \in P$  and  $y_j \in Q$ . An edge  $d_{ji} = (y_j, x_i)$  is a grant edge if  $y_j \in Q$  and  $x_i \in P$ . Each edge in a path of a resource allocation graph  $(x_{i1}, y_{j1}), (y_{j1}, x_{i2}), \dots, (x_{ik}, y_{jk}), \dots, (y_{js}, x_{is+1})$  is distinct.

The reachable set of a node  $x$  is defined as a set of nodes to which the node can reach. A knot is a non-empty set  $K$  of nodes satisfying the fact that the reachable set of each node in  $K$  is exactly  $K$  [49].

Resource requests and allocation in a production process can be represented by a weighted resource allocation graph (WRAG). Fig. 13(a) depicts System-on-a-Chip



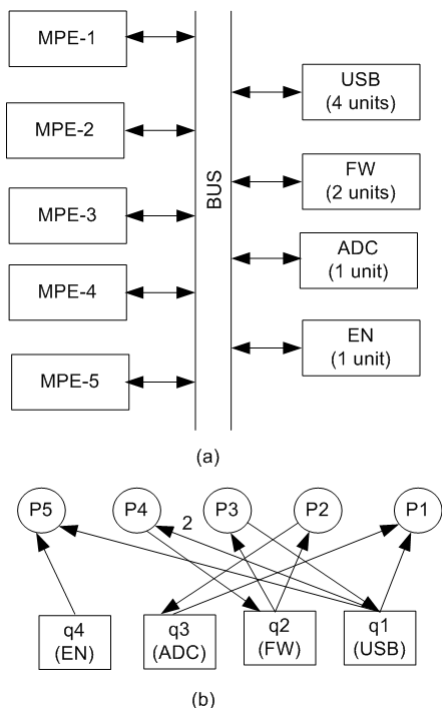


FIGURE 13. SoC example with its corresponding (WRAG).

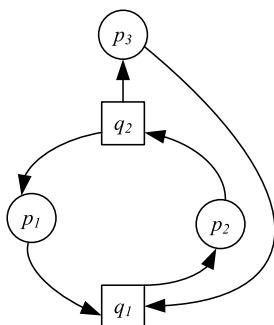


FIGURE 14. Resource allocation graph (RAG) with a knot.

(SoC) with five processors and four multi-unit resources. Fig. 13(b) visualizes a current resource allocation situation by WRAG. Fig. 14 illustrates an RAG with a knot  $K = \{p_1, p_2, p_3, q_1, q_2\}$  that represents a circuit wait, where the following statements are assumed to be true: (1) the capacity of a resource is fixed, i.e., it has a fixed number of processing units, and (2) a resource unit is granted without any time delay if it is available.

**B. BASICS OF PETRI NETS**

The basics of Petri nets used in this paper can be found in [81], [82], [83], [84], [85], and [88].

*Definition 6:* A Petri net is a four-tuple  $N = (P, T, F, W)$ , where  $P$  and  $T$  are finite, non-empty, and disjoint sets;  $P$  is a set of places and  $T$  is a set of transitions.  $F \subseteq (P \times T) \cup (T \times P)$  is its flow relation, represented by arcs with arrows from places to transitions or from transitions to places.  $W : (P \times T) \cup (T \times P) \rightarrow \mathbb{N}$  is a mapping that assigns a weight to an

arc:  $W(x, y) > 0$  if  $(x, y) \in F$ , and  $W(x, y) = 0$ , otherwise, where  $(x, y) \in (P \times T) \cup (T \times P)$  and  $\mathbb{N}$  is a set of non-negative integers.  $N$  is ordinary, denoted as  $N = (P, T, F)$ , if for all  $(x, y) \in F$ ,  $W(x, y) = 1$ .

*Definition 7:* A marking is a mapping  $M : P \rightarrow \mathbb{N}$ . Notation  $M(p)$  denotes the number of tokens in  $p$  at  $M$ . Usually, a multi-set  $\sum_{p \in P} M(p)p$  is used to describe  $M$ . A net  $N$  with an initial marking  $M_0$  is called a net system, denoted as  $(N, M_0)$ .

*Definition 8:*  $\cdot x = \{y \in P \cup T | (y, x) \in F\}$  is called the preset of  $x$  and  $x \cdot = \{y \in P \cup T | (x, y) \in F\}$  is called the postset of  $x$ . A net is pure (self-loop-free) if there do not exist  $x, y \in P \cup T$  such that  $(x, y) \in F$  and  $(y, x) \in F$  hold.

*Definition 9:* A pure net  $N = (P, T, F, W)$  can be represented by its incidence matrix  $[N]$ , a  $|P| \times |T|$  integer matrix with  $[N](p, t) = W(t, p) - W(p, t)$ . A transition  $t \in T$  is enabled at marking  $M$  if for all  $p \in \cdot t$ ,  $M(p) \geq W(p, t)$ . This fact is denoted by  $M[t]$ . Once  $t$  fires, it yields a new marking  $M'$ , denoted as  $M[t]M'$ , where  $M'(p) = M(p) - W(p, t) + W(t, p)$ , for all  $p \in P$ . A marking  $M'$  is said to be reachable from  $M$  if there exist a sequence of transactions  $\sigma = t_0 t_1 \dots t_n$  and a sequence of markings  $M_1, M_2, \dots, M_n$  such that  $M[t_0]M_1[t_1]M_2 \dots M_n[t_n]M'$  holds. The set of markings reachable from  $M$  in  $N$  is denoted as  $R(N, M)$ .

*Definition 10:* A transition  $t \in T$  is live at  $M_0$  if for all  $M \in R(N, M_0)$ , there exists  $M' \in R(N, M)$ ,  $M'[t]$ .  $(N, M_0)$  is live if for all  $t \in T$ ,  $t$  is live at  $M_0$ .  $(N, M_0)$  is dead at  $M_0$  if there does not exist  $t \in T$ ,  $M_0[t]$ .

*Definition 11:* A P-vector is a column vector  $I : P \rightarrow \mathbb{Z}$  indexed by  $P$  and a T-vector is a column vector  $J : T \rightarrow \mathbb{Z}$  indexed by  $T$ , where  $\mathbb{Z}$  is the set of integers. A P-vector  $I$  is called a P-invariant (place invariant) if  $I \neq \mathbf{0}$  and  $I^T \bullet [N] = \mathbf{0}^T$ . A T-vector  $J$  is called a T-invariant (transition invariant) if  $J \neq \mathbf{0}$  and  $[N] \bullet J = \mathbf{0}$ . P-invariant  $I$  is a P-semiflow if every element of  $I$  is non-negative.  $\| I \| = \{p | I(p) \neq 0\}$  is called the support of  $I$ .  $I$  is called a minimal P-invariant if  $\| I \|$  is not a superset of the support of any other one and its components are mutually prime..

*Definition 12:* Let  $N = (P, T, F)$  be a net.  $X \subseteq P \cup T$  generates a subnet  $N_X = (P_X, T_X, F_X)$ , where  $P_X = P \cap X$ ,  $T_X = T \cap X$ , and  $F_X = F \cap (X \times X)$ . A string  $x_1 \dots x_n$  is called a path of  $N$  if for all  $i \in \{1, 2, \dots, n - 1\}$ ,  $x_{i+1} \in x_i \cdot$  for all  $x \in \{x_1, \dots, x_n\}$ ,  $x \in P \cup T$ . A simple path is a path whose nodes are all different (except, perhaps,  $x_1$  and  $x_n$ ). A path  $x_1, \dots, x_n$  is called a circuit if it is a simple path and  $x_1 = x_n$ .

A Petri net  $N$  is called a state machine if for all  $t \in T$ ,  $|\cdot t| = |t \cdot| = 1$ . For more basic knowledge and applications of Petri nets, readers are referred to [86], [50], [51], and [52].

**C. SYSTEM OF SEQUENTIAL SYSTEMS WITH SHARED RESOURCES (S<sup>4</sup>R)**

This work considers a class of systems, namely a system of sequential systems with shared resources (S<sup>4</sup>R) [34], [87]. Given a place  $p$ , we denote  $\max_{t \in p \cdot} \{W(p, t)\}$  by  $\max_p \cdot$ .

**Definition 13** [88]: A Simple Sequential Process (S<sup>2</sup>P) is a Petri net  $N = (P \cup \{p^0\}, T, F)$ , where

- 1)  $P \neq \emptyset$  ( $p \in P$  is called an operation place),  $p^0 \notin P$  ( $p^0$  is called a process idle place);
- 2)  $N$  is a strongly connected state machine; and
- 3) Every circuit in  $N$  contains place  $p^0$ .

**Definition 14** [88]: A Simple Sequential Process with Resources (S<sup>2</sup>PR) is a net  $N = (P \cup \{p^0\} \cup P_R, T, F)$  such that

- 1) The subnet generated by  $(P \cup \{p^0\} \cup T)$  is an S<sup>2</sup>P;
- 2)  $P_R \neq \emptyset$  ( $r \in P_R$  is called a resource place) and  $(P \cup \{p^0\}) \cap P_R = \emptyset$ ;
- 3)  $\forall p \in P, \forall t \in T, \forall t' \in T, \exists r_p \in P_R, t \cap P_R = t' \cap P_R = \{r_p\}$ ;
- 4) The two following statements are verified:
  - a)  $\forall r \in P_R, r \cap P = r \cap P \neq \emptyset$
  - b)  $\forall r \in P_R, r \cap r' = \emptyset$ ; and
- 5)  $(p^0) \cap P_R = (p^0) \cap P_R = \emptyset$ ; for  $r \in P_R, H(r) = r \cap P$ , the operation places that use  $r$  are called the set of holders of  $r$ .

**Definition 15** [88]: Let  $N = (P \cup \{p^0\} \cup P_R, T, F)$  be an S<sup>2</sup>PR. An initial marking  $M_0$  is acceptable for  $N$  if  $M_0(p^0) \geq 1, M_0(p) = 0$  for all  $p \in P$ , and  $M_0(r) \geq 1$  for all  $r \in P_R$ . Recursively, a system S<sup>2</sup>PR is called an S<sup>3</sup>PR.

**Definition 16** [88]: A System of S<sup>2</sup>PR, S<sup>3</sup>PR, is defined recursively as follows:

- 1) An S<sup>2</sup>PR is an S<sup>3</sup>PR;
- 2) Let  $N_i = (P_i \cup P_i^0 \cup P_i^R, T_i, F_i), i \in \{1, 2\}$ , be two S<sup>3</sup>PRs such that  $(P_1 \cup P_1^0) \cap (P_2 \cup P_2^0) = \emptyset, P_1^R \cap P_2^R = P_c \neq \emptyset$ , and  $T_1 \cap T_2 = \emptyset$  (in this case we say that  $N_1$  and  $N_2$  are composable); then,  $N = (P \cup \{p^0\} \cup P_R, T, F)$  resulted from the composition of  $N_1$  and  $N_2$  via  $P_c$  (denoted as  $N_1 \circ N_2$ ) is defined as: 1)  $P = P_1 \cup P_2$ , 2)  $p^0 = p_1^0 \cup p_2^0$ , 3)  $P_R = P_1^R \cup P_2^R$ , 4)  $T = T_1 \cup T_2$ , and  $F = F_1 \cup F_2$ , is also an S<sup>3</sup>PR.

**Definition 17** [88]: Let  $N_i = (P_i \cup P_i^0 \cup P_i^R, T_i, F_i), i \in \{1, 2\}$ , be an S<sup>3</sup>PR. An initial marking  $M_0$  is called an acceptable initial marking for  $N$  if one of the two following statements is true:

- 1)  $(N, M_0)$  is an acceptably marked S<sup>3</sup>PR;
- 2)  $N = N_1 \circ N_2$  such that  $(N_i, M_0^i)$  is an acceptably marked S<sup>3</sup>PR and a) for all  $i \in \{1, 2\}$ , for all  $p \in P_i \cup P_i^0, M_0(p) = M_0^i(p)$ ; b) for all  $i \in \{1, 2\}$ , for all  $r \in P_i^R \setminus P_c, M_0(r) = M_0^i(r)$ ; c) for all  $r \in P_c, M_0(r) = \max\{M_0^1(r), M_0^2(r)\}$ .

**Definition 18** [88]: A Simple Sequential Process with Weighted Resources Allocation (WS<sup>2</sup>PR), is a generalized Petri net  $N = (P \cup \{p^0\} \cup P_R, T, F, W)$  such that

- 1) The subnet generated by  $X = P \cup \{p^0\} \cup T$  is an S<sup>2</sup>P;
- 2)  $P_R \neq \emptyset$  and  $(P \cup \{p^0\}) \cap P_R = \emptyset$ ;
- 3)  $\forall p \in P, \forall t \in T, \forall t' \in T, \exists r_p \in P_R, t \cap P_R = t' \cap P_R = \{r_p\}$ ;
- 4) The two following statements are verified:
  - a)  $\forall r \in P_R, r \cap P = r \cap P \neq \emptyset$  and
  - b)  $\forall r \in P_R, r \cap r' = \emptyset$ ;

- 5)  $(p^0) \cap P_R = (p^0) \cap P_R = \emptyset$ ; and
- 6) The three following statements are verified:
  - a)  $W(p, t) = 1$  and  $W(t, p) = 1, \forall p \in P \cap \{p^0\}, \forall t \in T$ ;
  - b)  $W(r, t) \geq 1$  and  $W(t, r) \geq 1, \forall r \in P_R, \forall t \in T$ ; and
  - c) Two arcs of any arc pair have the same weight.

**Definition 19** [88]: Let  $N = (P \cup \{p^0\} \cup P_R, T, F, W)$  be a WS<sup>2</sup>PR. An initial marking  $M_0$  is acceptable for  $N$  if  $M_0(p^0) \geq 1, M_0(p) = 0$  for all  $p \in P$ , and  $M_0(r) \geq W(r, t)$  for all  $r \in P_R, t \in T$ .

**Definition 20** [88]: A System of WS<sup>2</sup>PR called WS<sup>3</sup>PR for short, is defined recursively as follows:

- 1) An WS<sup>2</sup>PR is a WS<sup>3</sup>PR; and
- 2) Let  $N_i = (P_i \cup P_i^0 \cup P_i^R, T_i, F_i), i \in \{1, 2\}$ , be two WS<sup>2</sup>PRs such that  $(P_1 \cup P_1^0) \cap (P_2 \cup P_2^0) = \emptyset, P_1^R \cap P_2^R = P_c \neq \emptyset$ , and  $T_1 \cap T_2 = \emptyset$  (in which case we say that  $N_1$  and  $N_2$  are composable); then,  $N = (P \cup \{p^0\} \cup P_R, T, F)$  due to the composition of  $N_1$  and  $N_2$  via  $P_c$  (denoted as  $N_1 \circ N_2$ ) is defined as: 1)  $P = P_1 \cup P_2$ , 2)  $p^0 = p_1^0 \cup p_2^0$ , 3)  $P_R = P_1^R \cup P_2^R$ , 4)  $T = T_1 \cup T_2$ , and  $F = F_1 \cup F_2$ , is also an WS<sup>3</sup>PR.

**Definition 21** [34]: An S<sup>4</sup>R is a marked Petri net  $(N, M_0) = (P, T, F, W, M_0)$ , such that

- 1)  $P = P_A \cup P^0 \cup P_R$ , where  $P_A = \bigcup_{j=1}^n P_A^j$  is called the set of operation places such that  $P_A^i \cap P_A^j = \emptyset$ , for all  $i \neq j, P^0 = \bigcup_{i=1}^n \{p_i^0\}$  is called the set of idle places with  $P^0 \cap P_A = \emptyset$ , and  $P_R = \{r_1, r_2, \dots, r_m\}$  is called the set of resource places such that  $(P^0 \cup P_A) \cap P_R = \emptyset$ ;
- 2)  $T = \bigcup_{j=1}^n T_j$ , and for all  $i \neq j, T_i \cap T_j = \emptyset$ ;
- 3)  $W = W_A \cup W_R$ , where  $W_A : ((P_A \cup P^0) \times T) \cup (T \times (P_A \cup P^0)) \rightarrow \{0, 1\}$  such that for all  $j \neq i, ((P_A^j \cup \{p_j^0\}) \times T_i) \cup (T_i \times (P_A^j \cup \{p_j^0\})) \rightarrow \{0\}$ , and  $W_R : (P_R \times T) \cup (T \times P_R) \rightarrow \mathbb{N}$ ;
- 4)  $\forall j \in \mathbb{N}_n = \{1, 2, 3, \dots, n\}$ , the subnet  $N_j$  derived from  $P_A^j \cup \{p_j^0\} \cup T_j$  is a strongly connected state machine such that every circuit contains  $p_j^0$ ;
- 5)  $\forall r \in P_R$ , there exists a unique P-semiflow  $I_r$  such that  $\|I_r\| \cap P_R = \{r\}, \|I_r\| \cap P^0 = \emptyset, \|I_r\| \cap P_A \neq \emptyset$ , and  $I_r(r) = 1$ . Furthermore, it holds that  $P_A = (\bigcup_{r \in P_R} \|I_r\|) \setminus P_R$ ;
- 6)  $N$  is pure and strongly connected;
- 7)  $\forall p \in P_A, M_0(p) = 0; \forall r \in P_R, M_0(r) \geq \max_{p \in \|I_r\|} I_r(p)$ ; and  $\forall p_j^0 \in P^0, M_0(p_j^0) \geq 1$ .

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