

TOPICAL REVIEW

Design and Implementation of Hybrid DC-DC Converter: A Review

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ABSTRACT The advancement in Power Management Integrated Circuit (PMIC) has driven the dc-dc conversion technology into a System-on-Chip (SoC) solutions, leveraging CMOS technology scaling from 180nm to 22nm and on-chip passive element integration. Concurrently, as the applications demand smaller form factor solution towards device portability with optimized power qualities, switched-capacitor-inductor hybrid architectures with fully-integrated passives have become a popular choice for a compact and high efficiency converter solution, in contrast to bulky and discrete component based alternatives. This article reviews the latest advancements in hybrid dc-dc topologies, specifically for low-power applications to address the downsides such as charge sharing loss, high current ripple, limited conversion ratio, low-power density, and efficiency. An overview of capacitor and inductor technology is discussed in terms of on-chip parasitic losses, and miniaturization. A comprehensive comparison in the state-of-the-art hybrid dc-dc converter work is tabulated with power density and efficiency as the primary performance metrics, highlighting their operability in low-power applications. Moreover, a discussion is included with quantified benchmarks to justify the viability of converters, along with the future recommendation of realizing ultra-high switching frequency for smaller footprint inductor and design approach to resolve the current tradeoff bottlenecks.

INDEX TERMS Power management integrated circuit (PMIC), system-on-chip (SoC), hybrid DC-DC converters, power efficiency, power density, transient response.

I. INTRODUCTION

Power Management technology is advancing exponentially due to the elevated demand of diverse applications ranging from high-power electric vehicles (EVs) to ultra-low-power wearable electronic devices. This suggests the power electronic technology needs a versatility of Power Management Integrated Circuit (PMIC) implementation in the context of multiple configuration such as fully discrete, partial-integrated, and fully-integrated solution. However, integrated

solution have drawn favorable attention due to the advantage of small form factor by embedding on-chip integration to reduce the implementation cost and area in a System-on-Chip (SoC) modules [1]. Nevertheless, capacitors are more favorable in a miniaturized fully-integrated technology for diversified applications such as Internet of Things (IoT) [2]. Alternatively, applications toward high switching frequency to relieve the area penalty is dominated by on-chip inductor integration. The co-design of voltage regulator and dc-dc converter improves the performance significantly with the respective size scaled down adopting strategic design techniques and topologies. Generally, functional circuits such as

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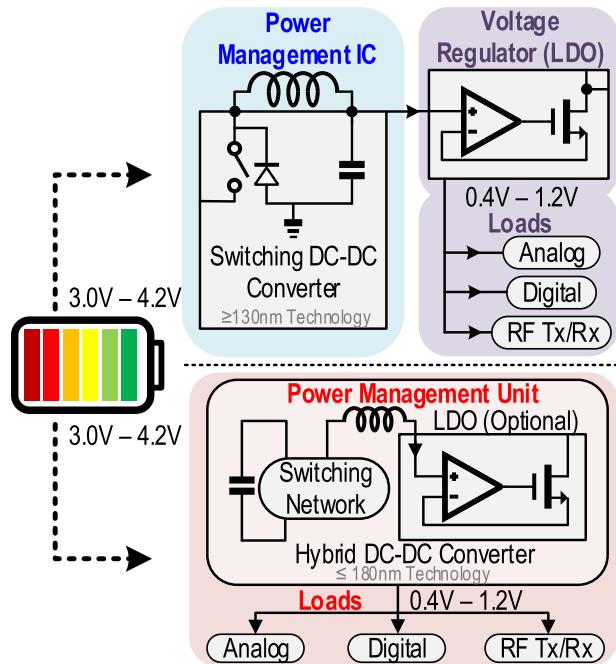


FIGURE 1. Conventional PMIC & PMU architecture in SoC technology.

Radio-Frequency (RF) front end, processors, memory, and control unit operate in the range of 0.4V to 1.2V in a SoC enabled from a 3.0V to 4.2V lithium battery (Fig. 1). The dc-dc converter steps up or down the supply voltage level based on various application prior in delivering the power directly to the loads. Most of the SoC design consists of point-of-load voltage regulators to optimize each voltage domain independently. Hence, the research and development of a fully-integrated power converter has drawn enormous attention in terms of topological design, control scheme and performance enhancement.

The key performance index in the power converter design consists three major parameters, which is the efficiency, transient response, and power density [3]. In compromise of performance tradeoffs, fully-integrated dc-dc converter plays a vital role in SoC with a small form factor in providing a regulated voltage for point-of-load application [4], [5]. Among the dc-dc converter architectures, hybrid dc-dc converter topology shows superior balance in high efficiency and power density with an optimized tradeoff in favorable degree among the linear and switching topology. The hybrid converter is associated with the inductor and flying capacitor to realize the soft-charging, hence alleviating charge sharing loss, commonly suffered by Switched-capacitor (SC) and resonant SC converters. In addition, the flying capacitor transfers the charge from the input source while the inductor extends the voltage conversion ratio (VCR) with a proper duty-cycle. This realizes a smaller footprint inductor with lower dc resistance (DCR) [4].

Typically, a low-dropout (LDO) regulator is widely used as a point-of-load regulator due to fast transient response and

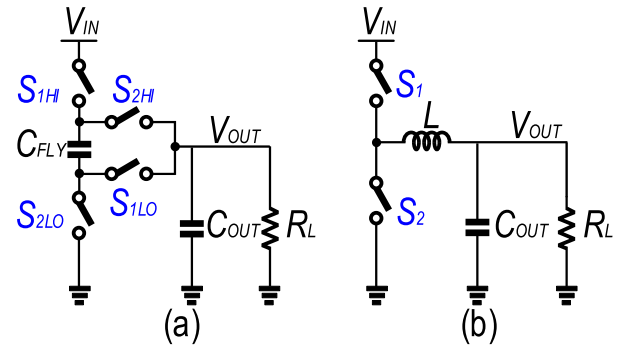


FIGURE 2. Fundamental switching DC-DC converters. (a) switched-capacitor (SC) converter (b) Switched-Inductor (SI) converter.

excellent noise rejection with compact area implementation at the cost of low efficiency, especially when the VCR is low [6]. Meanwhile, switching regulators exploit energy storing devices such as capacitors, inductors, or transformers to store the input source periodically and transfer the desired voltage level to the output based on the design specification. SC converter (Fig. 2(a)) inherits the property of multiple VCRs but deteriorates in power density and efficiency due to the increase in component counts and charge sharing loss [7]. Alternately, the switched-inductor (SI) converter (Fig. 2(b)), commonly known as buck-boost converter is capable of operating with high efficiency over a dynamic range of VCR; unlike the SC converter which only exhibits high efficiency at a particular VCR. Pulse-width modulation (PWM) controls switches by modifying the switching frequency, duty-cycle, D and defining the operation states. Specifically, the VCR can be fully controlled by varying the duty-cycle in continuous conduction mode (CCM). However, off-chip inductors used in SI converters with high Q-factor degrade power density due to area constraint. Therefore, the SC converter shows high popularity in fully-integrated solutions due to its small form factor, but the extension of VCR requires additional flying capacitors. In short, dc-dc converters suffer from an inherent tradeoff between power density and efficiency.

Hybrid topology of dc-dc power converters exploit the benefits of both the SC and SI converters have been gaining popularity in recent years [4]. Specifically, the configuration of flying capacitor in SC topology reduces voltage stress on the switching transistors while transferring the charge to the output node. Meanwhile, inductor in SI topology generates a low output voltage ripple and high efficiency with wide scalable VCR by modifying the duty-cycle of PWM. As the effective switching frequency generated from the inductor-capacitor (LC) circuit configuration achieves soft switching, the charge sharing loss in the flying capacitor can be alleviated. Therefore, the size of the integrated on-chip inductor can be scaled down under a soft charging mechanism.

This article provides an overview of hybrid dc-dc converters especially for fully-integrated implementation in CMOS technology. Section II introduces several mainstream hybrid dc-dc converters with their respective design

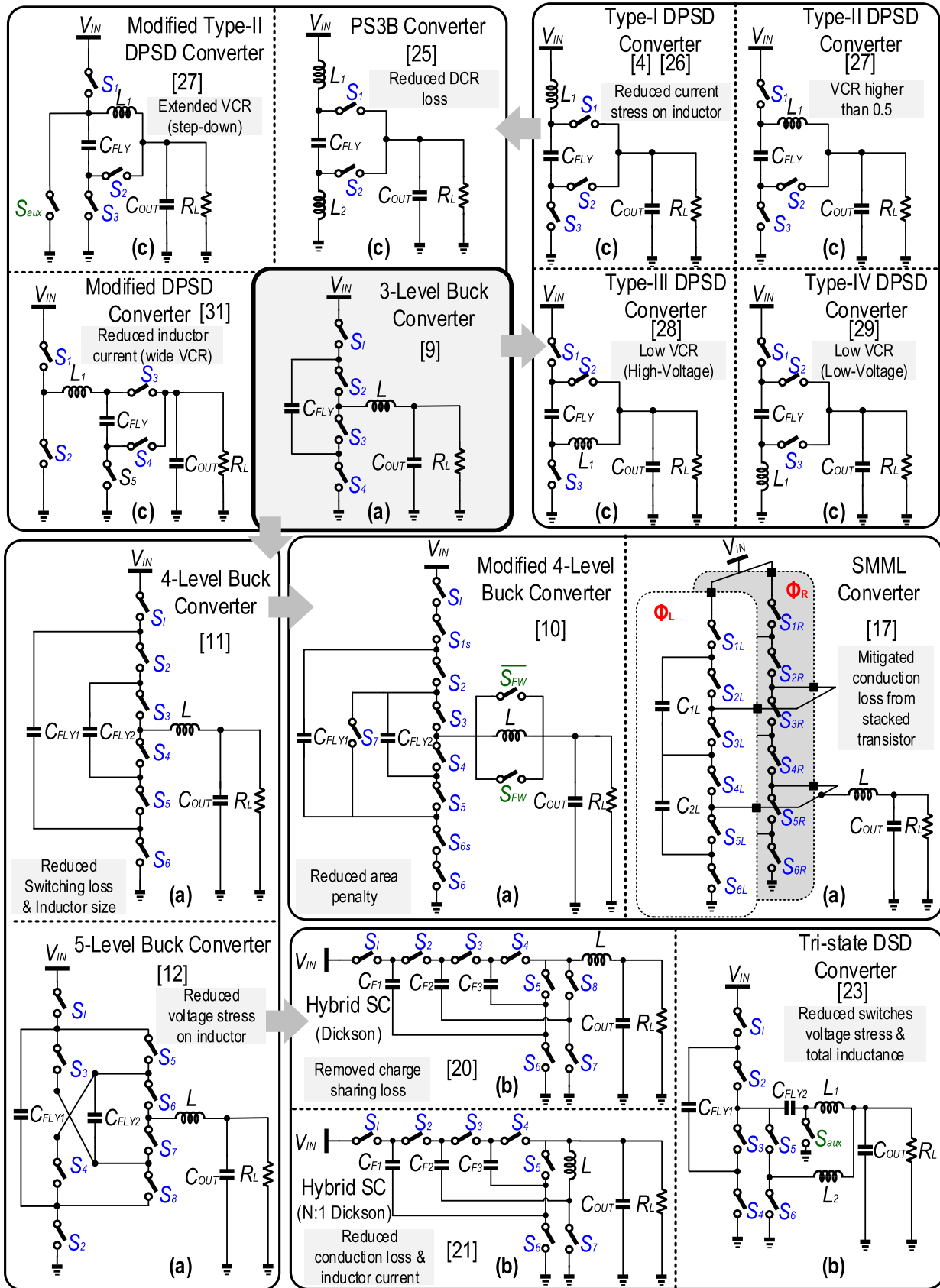


FIGURE 3. State-of-the-art hybrid DC-DC converter topologies: (a) FCML topology (b) Hybrid SC topology (c) dual-path topology. The flow depicts the advancement of the topology.

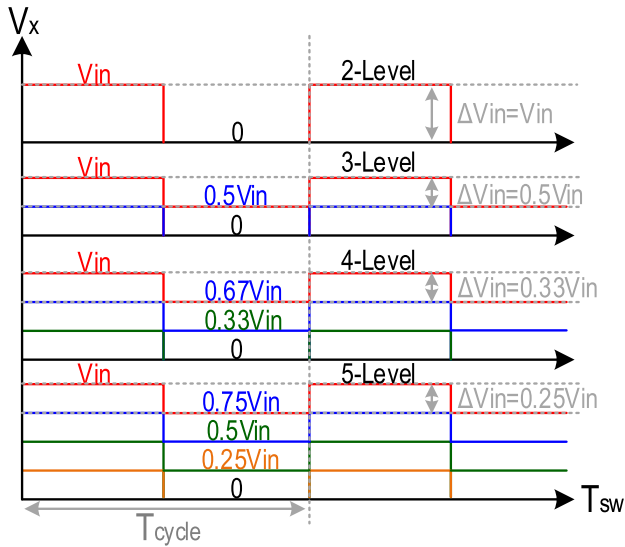


FIGURE 4. Switching node voltage waveforms of FCML.

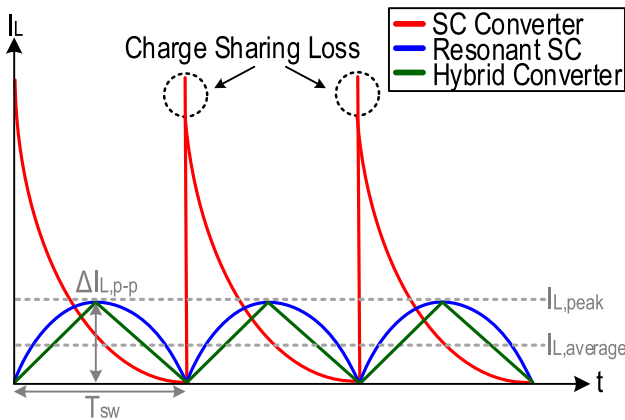


FIGURE 5. Inductor current at various topologies.

motivation, power loss analysis, and passive element technology. Section III discusses the transient response and regulation in improving the load response and charge (discharge) balancing. Section IV presents the comparison of recently published state-of-the-art hybrid dc-dc converter. Lastly, section V presents the conclusion of the review.

II. HYBRID DC-DC CONVERTER ARCHITECTURES

The trend in merged architecture of hybrid dc-dc converter has broadened the research direction for power management and conversion, which enables the development of IoT, energy harvesting, telecommunication industry for 4G/5G that supports bandwidth as wide as hundreds of MHz, and compatible battery storage. These applications necessitate high power density and efficiency across a wide dynamic range of VCRs to ensure a well-functioning system throughout the power conversion under different load conditions [8]. As illustrated in Fig. 3, the hybrid dc-dc architecture offers a favorable tradeoff strategy to overcome the research gap associated with the corresponding topology. Furthermore, the

three classified topologies shown in Fig. 3 are flying capacitor multilevel (FCML), hybrid SC, and dual-path (DP) topologies. Typically, FCML topology (Fig. 3(a)) reduces the voltage stress of the devices, while hybrid SC topology (Fig. 3(b)) realizes soft charging of capacitor, and DP topology (Fig. 3(c)) reduces current stress on inductor. In this section, these topologies will be further discussed along with the brief concept, contributions, and the advancements of the topology.

In the FCML topology, the flying capacitor in SI converter reduces the voltage stress of the switching transistors. It establishes numerous switching paths to realize multilevel voltage domains. Furthermore, it ensures a smaller amplitude of voltage swing at the switching node as the level increases as shown in Fig. 4. The scaled-down voltage at the switching node is associated with an LC low-pass filter, which generates a small output ripple. Therefore, the inductor size can be reduced for higher power density while maintaining the system efficiency. In [9], a typical 3-level buck converter with a small on-chip spiral inductor of 1nH is proposed at the cost of the increased effective switching frequency. With a flying capacitor and 4-phase interleaved switching, the load current ripple is reduced, thus improving the power density and efficiency. However, there is a trend to further increase the level of FCML with higher VCR by stacking transistors and adding flying capacitors. In recently published work, a modified hybrid 4-level converter in [10] has improved the area penalty by 47% respective to a 4-level converter in [11] by reducing 33% of voltage stress on flying capacitor with the aid of auxiliary switches to enable additional switching mode. However, the increased number of power transistors results in higher junction capacitance, but the reduced flying capacitance offsets the parasitic capacitance loss.

Similarly, a 5-level buck converter proposed in [12] has ripple current. However, a higher level translates into higher parasitic resistance, which offsets the advantage due to higher switching loss. In short, FCML converters enable a lower voltage amplitude than V_{IN} at the switching node, minimizing the voltage stress of transistors, making the FCML topology viable in low-power applications. Multi-phasing techniques are employed to resolve the issue of low current density [13], [14], [15]. However, this technique requires more passive components and results in a significant conduction loss due to metal trace of the inductors [16]. Nevertheless, a symmetric modified multilevel (SMML) converter proposed in [17] mitigates conduction loss from the stacked transistor during the power stage by sharing the same current path between right and left channels with the symmetrical flying capacitors to charge and discharge alternately, allowing it to balance naturally at a minimum voltage rating of $0.33V_{IN}$. Alternatively, [18], [19] utilized cross-connected flying capacitor configuration to resolve the imbalanced C_{FLY} , avoiding the implementation of current sensing technique.

A hybrid switched-capacitor based dc-dc converter is a merged structure of SC architecture and inductors. The hybrid SC converter is inspired by FCML topology, where the

additional inductor enables soft charging of capacitor in SC architecture such as in Dickson, series-parallel and ladder network. A hybrid Dickson SC converter in [20] achieves high power density and efficiency by split-phase control technique to remove the charge sharing loss. However, the additional flying capacitors and switches increase the complexity of the capacitor-voltage balancing controller and the gate driver, respectively. Consequently, [21] proposed an N:1 hybrid Dickson SC converter, which replaces one of the switches with an inductor respective to [20], establishing a shared path for the SC stage to reduce the inductor current and hence the conduction loss is effectively minimized by 41%. Furthermore, the proposed solution does not require pre-charge or capacitor-voltage balancing. In [22], a reconfigurable capacitive-sigma Dickson buck converter is proposed to further enhance the efficiency over wide VCR by shunting the current from high and low-side converters. However, hybrid switched-capacitor based converter requires more passive components and high-voltage rating devices to withstand a high-voltage supply over a large conversion ratio. Typically, double step-down (DSD) converter functions similarly to a hybrid SC converter that processes high voltage at large conversion ratios such as 48V/24V/12V to 1V. However, the DSD generally utilizes two inductors to reduce the current stress and voltage ripple, consequently enhancing the conversion efficiency. In [23], a tri-state DSD converter with a capacitor-voltage balancing scheme is proposed to merge the structural merit of 3-level and conventional DSD converters. It further reduces the voltage stress on switches to $0.25V_{IN}$ at discharging path by the secondary flying capacitor, C_{FLY2} . It improves power density due to reduced total inductance, eventually extending the on-time duty-cycle. This mechanism also reduces conduction loss and enables high switching operation with low-voltage rating devices. Accordingly, [24] proposed a symmetrical DSD converter to improve the limitation of DSD converters where the VCR range is capped at 0.25. The proposed converter exploits an additional $1/3 \times$ mode, interchanging with $1/4 \times$ mode to extend the VCR range to 0.33.

Conventionally, the size of the power inductor in SI converter is usually bulky and implemented as an off-chip component. This also implies that the inductor has low DCR, $R_{L,DCR}$, and parasitic coupling, providing a higher degree of freedom in the design. However, on-chip inductors have attracted favorable attention in fully-integrated systems achieving smaller form factor but suffering from limited Q-factor, increased parasitic loss, and high DCR. Therefore, several published works have emphasized an alternative solution to relieve inductor stress. For instance, dual-path step-down (DPSD) topology has an additional power path to reduce the current stress of the inductor by relocating the inductor to the input path. The work [25] proposed a passive-stacked third-order buck (PS3B) converter with one inductor connected between the input source and flying capacitor, and the other between flying capacitor and ground

to reduce the inductor stress and DCR conduction loss. Thus, the inductor size at the input and ground nodes can be optimized to 240nH. The solution achieves a high power density of $0.7\text{W}/\text{mm}^2$ with the input capacitor removed. Similarly, in [4], a Type-I dual-path step-down switching inductor-capacitor (SIC) converter is proposed, realizing a high power density of $0.73\text{W}/\text{mm}^2$ while maintaining a favorable efficiency of 74.6% by reducing the current stress on the inductor. The relocation of the inductor has inspired the transformation of DPSD topology, in which the relocated inductor at different nodes have been classified into Type-I [4], [26], Type-II [27], Type-III [28], and Type-IV [29], respectively. The evolution has become the baseline in DPSD converters for the designers. A typical Type-II DPSD converter inherits higher VCR of more than 0.5. Hence [27] added an auxiliary switch was to extend the VCR for further step-down ratio at the cost of efficiency. In contrast, Type-III and Type-IV exhibit low VCR, with Type-III being suitable for high-voltage and Type-IV for low-voltage applications, respectively. However, the mitigation of high DCR due to a smaller on-chip inductor, as emphasized in [30], [31], and [32] have proposed a modified DPSD, reducing the inductor current across a wide range conversion ratio. In short, DPSD topology enables a smaller size of on-chip inductor but suffers from switching loss due to hard switching, and the significance of DCR loss has to be considered.

A. POWER LOSSES ANALYSIS

The power efficiency of a power converter is defined by the ratio of output power to input power as described in equation (1).

$$\eta = \frac{P_{out}}{P_{out} + P_{TotalLoss}} \quad (1)$$

In the preliminary structure of hybrid dc-dc converter, the inductor is required to soft charge the flying capacitor to reduce the charge sharing loss. However, on-chip inductor integration has increased the complexity of the circuit [33]. Stacked transistors are commonly used circuit techniques to withstand high voltage stress at the expense of increased conduction loss due to the turn-on resistance of the transistor. In short, most of the aforementioned solutions and techniques significantly enhance the circuit performance, but the trade-offs in power consumption and power losses are inevitable. Therefore, several significant power loss factors in hybrid power converters are analyzed as follows:

1) CONDUCTION LOSS

Conduction loss arises from the on-resistance of switching transistors, inductors, and flying capacitors. However, the resulting parasitic resistance expression varies according to circuit topologies and operation modes. Generally, the inductor geometry in hybrid topology directly reflects the converter performance, as the parasitic resistance limits the efficiency [4]. Furthermore, the inductance has a direct

impact on the inductor current or load current ripple, where a higher inductance leads to a lower current ripple. As a result, the inductor in hybrid converter plays a vital role in conduction loss. Hence, the conduction loss of the inductor and the load current ripple can be expressed as follows:

$$P_{conduction} = \left(I_{Load}^2 + \frac{\Delta I_{Load}^2}{12} \right) R_{parasitic} \quad (2)$$

$$\Delta I_{Load} = \frac{V_{out} [1 - (N - 1) VCR]}{f_{sw} L_{out} (N - 1)} \quad (3)$$

The expression in equation (2) is valid under continuous-conduction mode (CCM) operation in which the output inductor current or load current, I_{Load} is represented as triangular waveform (Fig. 5). Besides, the load current ripple, ΔI_{Load} shown in equation (3) is highly dependent on N-level of the FCML topology and also the inductance, where N is the number of level of the FCML topology, f_{sw} is the switching frequency, L_{out} is the output inductance, and VCR is the voltage conversion ratio. Also, the relationship between switching frequency and DCR loss of the inductor concludes that switching at a lower frequency leads to higher resistive loss and vice versa. The conduction loss of on-resistance is due to the source-drain channel of the transistor during turn-on period, where it is highly dependent on the process parameters of the adopted CMOS technology, which is expressed in equation (4).

$$R_{on} = \frac{1}{\mu_n C_{ox} \frac{W}{L} (V_{GS} - V_{TH})} \quad (4)$$

The technology constant μ_n and C_{ox} is the mobility of charge and capacitance of gate oxide, respectively, W/L is the ratio of channel width over length, and $V_{GS} - V_{TH}$ is the overdrive voltage. Body-biasing techniques are widely employed to scale the threshold voltage dynamically, V_{TH} [34], [35] to minimize conduction loss at low-voltage applications.

2) SWITCHING LOSS

Switching loss occurs during the switching transition of the transistor due to the charging and discharging of parasitic capacitance. Hence, the switching loss can be expressed as follows:

$$P_{switch} = V_{in}^2 f_{sw} C_{Eff} \quad (5)$$

$$C_{Eff} = k (\alpha C_{gsw} + \beta C_{par}) \quad (6)$$

In equation (5), C_{Eff} is the total effective gate switching capacitance per unit width C_{gsw} , and bottom-plate capacitance of the flying capacitor C_{par} . The sensitivity of switching loss is directly proportional to the switching frequency. At the same time, the effective parasitic capacitance in the power stage is correlated to the sizing of transistors and flying capacitor. Furthermore, the parasitic capacitance model can be computed based on Miller Coupling Factor (MCF) [36]. In equation (6), the coefficient factors, α , β , and k represent the number of switching cycles, bottom-plate capacitance

loss and multilevel improvement factor, respectively. Regardless, the switching loss is inversely proportional to conduction loss, as shown in equation (7). Total power dissipation within the power level can be described as the sum of switching loss and conduction loss as described in equation (8).

$$P_{switch} \propto \frac{1}{P_{conduction}} \quad (7)$$

$$P_{TotalLoss} = P_{switch} + P_{Conduction} \quad (8)$$

B. POWER LOSSES REDUCTION AND OPTIMIZATION

In recent state-of-the-art hybrid dc-dc converters, various methodologies and design strategies are adopted to reduce power loss. As highlighted in equations (2) and (5), the conduction and switching loss are functions of switching frequency. Thus, the selection of switching frequency with an appropriate topology is crucial. Several literature review exploits different design strategy in reducing the power loss either by establishing a parallel path for load current flow [37], multi-phase interleaved technique [38], [39], relocating inductor to the low current path [26], [27], [28], [29], and more. Moreover, inductors with low DCR are an alternative to minimize conduction loss. In a tradeoff of low DCR, the inductor size is bulky, which translates to the degradation of power density [25].

Besides, closed-loop regulation is the simplest method for load regulation at different loading conditions to avoid unnecessary power loss. Conventionally, voltage-mode control, such as PWM is commonly used to control the switching period of the transistors, but it limits the bandwidth and is prone to stability issues. The current sensing technique is suitable for high switching frequency operation but highly sensitive to the RC network. Hysteretic control enables high transient response, but the large output voltage ripple contributes to conduction loss. In [40], a real-time voltage-mode control V_{CF} calibration scheme was proposed to regulate V_{CF} to $0.5V_{IN}$ continuously. This work enables bandwidth extension and effectively reduces the output ripple resulting in minimized conduction loss.

Furthermore, the charging and discharging of the gate terminal cause switching loss due to the gate bias voltage required in driving the transistor, resulting in the adoption of thin-oxide transistor, which is commonly used for minimal gate parasitic capacitance [1], [41]. Additionally, the intrinsic loss in hybrid topology due to control timing mismatch and parasitic resistance affects the imbalance charging (discharging) of flying capacitor [42]. Hence, adjusting the transistor width achieves an identical on-resistance r_{on} for both PMOS and NMOS [10]. Furthermore, the work [5] presented a duty-cycling scheme with dynamic load current using two NMOS footer transistors to minimize the power loss at various loads (i.e. light or heavy) by alternately activating and deactivating the oscillators. The transistor sizing optimization is essential to reduce the conduction loss by the on-resistance of the cross-coupled switch. The switching loss is negligible when the switching frequency of the footer transistor is lower than

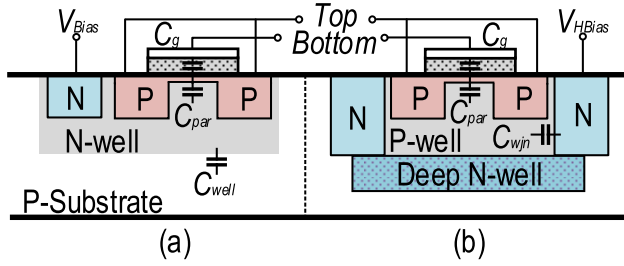


FIGURE 6. Parasitic capacitance within MOS capacitor (a) PMOS capacitor and (b) PMOS capacitor with high N-well bias [52].

the resonant frequency [5]. Also, appropriate sizing of PMOS and NMOS alleviates the tradeoff between switching and conduction loss at a ratio of 2:1 [43]. Proper gate biasing techniques assist in forward conduction and leakage loss [44], [45]. The power loss reduction technique discussed are summarized as follows:

1. Inductor stress can be reduced by adding current path or relocating to low current path. However, it may require additional switching control and balancing technique.
2. On-chip inductor DCR can be reduced at the cost of increased silicon area.
3. Transistors size can be optimized with an appropriate ratio; its gate is biased using advanced dynamic gate biasing technique.
4. A closed-loop feedback system can be employed to minimize signal mismatches, charge balance etc.
5. Low parasitic technology or technique can be adopted, which will be further discussed in the subsequent section.

C. PARASITIC CAPACITOR TECHNOLOGY

Flying capacitor technology is indispensable for a fully-integrated solution in hybrid architecture of dc-dc converters. It establishes a scalable VCR with gate controller design and reduces voltage stress on switches to prevent internal breakdown. An ideal characteristic for an on-chip capacitor is a negligible parasitic loss and high capacitance density. As a result, Metal-Oxide-Metal (MOM) capacitors and Metal-Insulator-Metal (MIM) capacitors are notable capacitor technology for their low parasitic properties. Generally, the MOM capacitor is often used in advanced process with multi-metal layers stacking to enhance the capacitance [46]. MIM capacitor is formed between metal layers, and the capacitance density is dependent on the dielectric thickness [47].

Additionally, capacitance density (nF/mm²) affects the on-chip size. Metal-Oxide-Semiconductor (MOS) based capacitors are well-suited to achieve high capacitance density [48], [49]. The fundamental configuration of the MOS capacitor is modified from the CMOS process with shorted drain and source terminal and with the gate terminal configured as the connecting node.

The equivalent capacitance within the MOS capacitor can be computed as the sum of the junction capacitance between each layer (Fig. 6). C_{par} is the capacitance between the

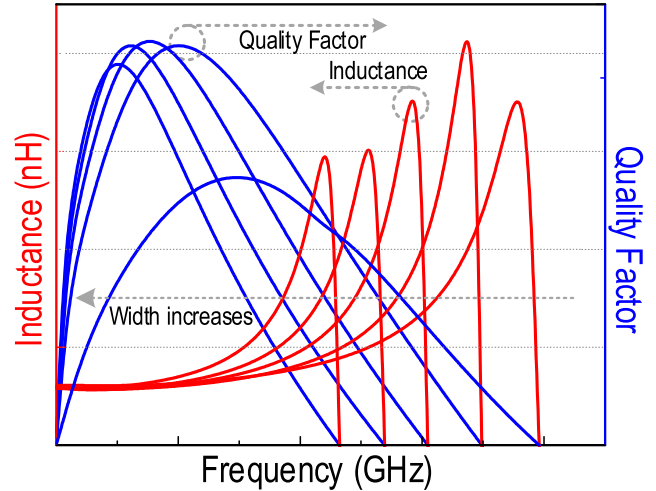


FIGURE 7. Inductance and quality factor versus frequency under width variation.

drain-source and well, C_{well} is the capacitance between the well and substrate. The parasitic capacitance is affected by the doping concentration [1]. Besides that, Silicon-On-Insulator (SOI) technology is an alternative approach to reduce parasitic capacitance, such as in [50], where a deep trench capacitor in the SOI CMOS process is implemented, with the penalty of additional fabrication cost. Alternatively, another methodology for parasitic improvement is proposed in [51], [52], and [53], in which a high value of the resistor is adapted to bias the node at N-well with a high voltage V_{NM} , so that the C_{par} and C_{well} are virtually open to AC ground. As an example, [51] adopts the conventional N-well and the series parasitic capacitance is higher than top plate gate capacitance C_g , while the work [53] adopts a deep N-well in which parasitic capacitance is lower than gate capacitance C_g . For the method proposed in [52], a high bias voltage V_{HBias} is employed to the N-well by an on-chip voltage doubler to reduce the well-junction parasitic capacitance C_{wjn} .

Practical implementation includes MOM and MOS combination capacitors or MIM and MOS combination capacitors, further strengthening the popularity and benefits of MOS capacitors. References [53] and [54] employed MOM and MOS capacitors with high capacitance density. It reduces switching loss due to parasitic capacitance at 450MHz [4], where the thick oxide property of the MOS capacitor also minimizes parasitic coupling by pushing the bias voltage, as highlighted in [55]. However, the thick oxide MOS capacitor occupies a larger silicon area than the MOM capacitors [56]. The implementation of MIM and MOS capacitors can also be referred to [10], [57], [58], and [59]. The work [57] demonstrates a high density stacked MIM and MOS capacitor, effectively reducing 52% of the capacitance by grounding the bottom plate of the synchronous bootstrap capacitor. Also, the spatial area is reduced due to high capacitance density, which exhibits

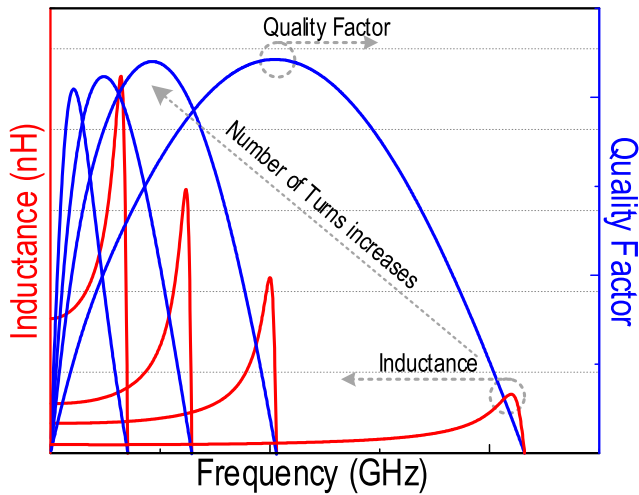


FIGURE 8. Inductance and quality factor versus frequency under number of turns variation.

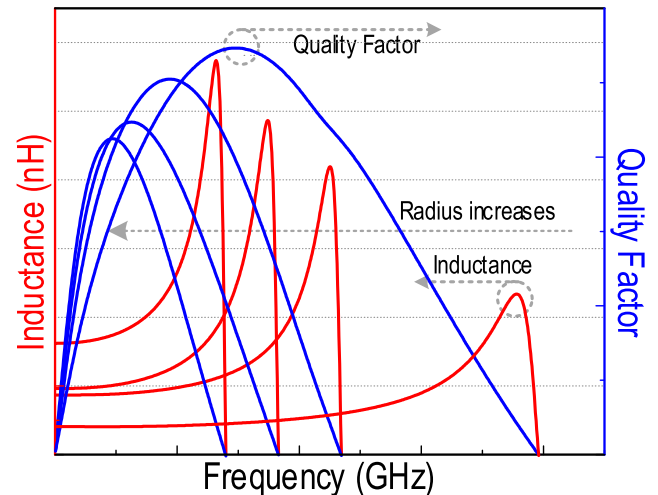


FIGURE 9. Inductance and quality factor versus frequency under radius variation.

low slow switching limit resistance (R_{SSL}) and parasitic loss [59].

D. INTEGRATION OF INDUCTOR AND OPTIMIZATION

For an on-chip inductor, balancing the desired Q-factor and the silicon area consumed is crucial. In the advances of high power density and efficiency application, the integration of on-chip inductor plays a crucial role in performance improvement [60]. Generally, on-chip inductors suffer from inherent low Q-factor due to the DC resistance of metal at low frequency. However, the current crowding effect becomes significant as the frequency increases to more than 1GHz. In addition, the inductor current resonates with the lossy substrate, generating eddy currents due to electromagnetic coupling to the substrate [61]. Particularly in the scaled CMOS process, the top metal is closer to the substrate incurring extra loss, thereby exacerbating the Q-factor and channel effect [62]. Therefore, an innovative strategy is necessary to enhance the quality of on-chip architecture.

There are several proposed techniques for improving the inductor performance and concurrently suppressing the parasitic coupling effect to push the Q-factor higher and decrease the AC losses. Patterned ground shield (PGS) is commonly adopted to isolate the parasitic coupling, minimizing the induced eddy current from the lossy substrate [63], [64]. However, the shielding technique increases the substrate capacitance as an offshoot, producing higher switching loss and degrading power efficiency. The work [3] utilized a co-planar ground shield to improve the Q-factor of the coupled on-chip transformer by 0.32, achieved by reducing the substrate loss from the induced eddy current between the edge of the metal trace. Subsequently, the work in [58] proposed a new direction of merged LC resonators for passive integration. Compared to the conventional use of spiral inductor, a single MIM capacitor is embedded as a single LC structure which establishes capacitive ballasting to reduce

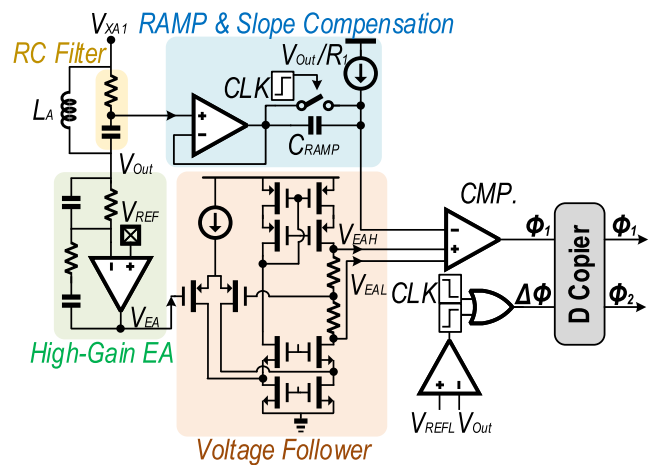


FIGURE 10. Schematic of synchronized hysteretic controller [69].

current crowding by pulling current to the outer trace due to concentrated magnetic flux at the inner trace. As a result, the current crowding effect is reduced.

Moreover, the geometrical design of an on-chip inductor or transformer regulates several fundamental design rules to achieve a reasonable inductance, Q-factor, frequency, and coupling coefficient. The width of the metal trace should be widened according to the design perspective [65], increasing area consumption and a proportional DCR decreases leading to a higher Q-factor [66]. However, the skin and proximity effect become significant at a specific frequency, and the DCR gradually worsens (Fig. 7). Furthermore, narrow conductor spacing provides higher effective inductance due to a stronger concentrated magnetic field. As the number of turns increases, especially in the transformer, the coupling effect is further strengthened, enhancing the capability of energy transferring with higher mutual inductance in the context of the coupling coefficient [67]. Also, the increase in inductance respective to the higher number of turns results in a reduced

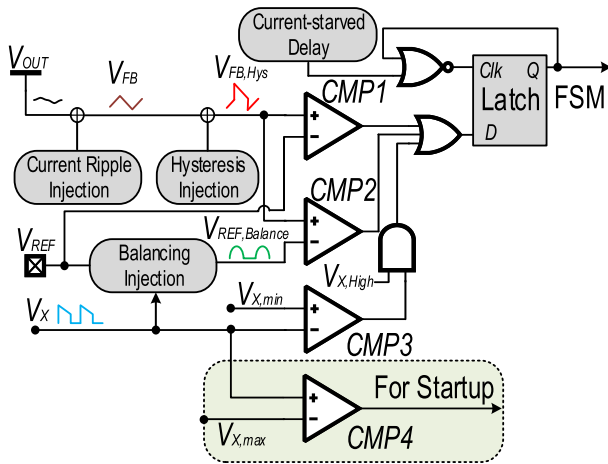


FIGURE 11. Ripple-injection control [68] with phase-skipping technique [71].

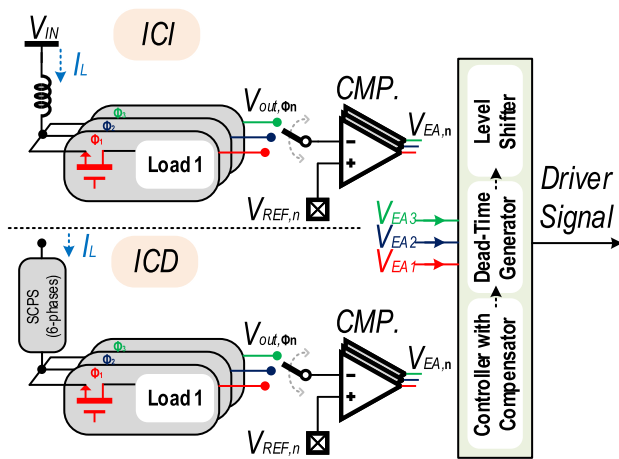


FIGURE 12. ICI and ICD modes control in steady-state operation [72].

self-resonant frequency (Fig. 8). The Q-factor also increases proportionally to the inner radius. Therefore, as the self-inductance increases, but at the cost of increased eddy current, parasitic coupling, and higher area consumption, resulting in reduced bandwidth of resonant frequency along with the degradation of Q-factor (Fig. 9). In short, the desired design objectives can be optimized by varying the number of turns, metal width and inner radius. Each parameter has its respective sensitivity towards the overall performance.

III. TRANSIENT RESPONSE AND REGULATIONS

Transient specifies the high computation performance in the point-of-load voltage regulator for microprocessors. In power converter, it is important to generate the desired output with high conversion efficiency, especially for dynamic voltage scaling over an extended operating time. An erratic or unstable behavior can occur due to a poor transient response [68]. Conventionally, PWM is often implemented to reduce the overshoot or undershoot during transient, while filter such as Type-II or Type-III compensator is used to sustain the loop

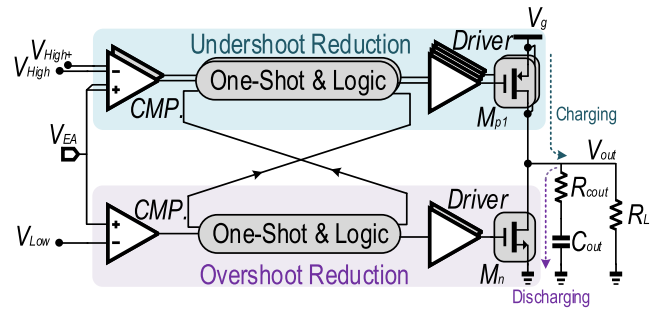


FIGURE 13. Undershoot and overshoot reduction hybrid scheme [73].

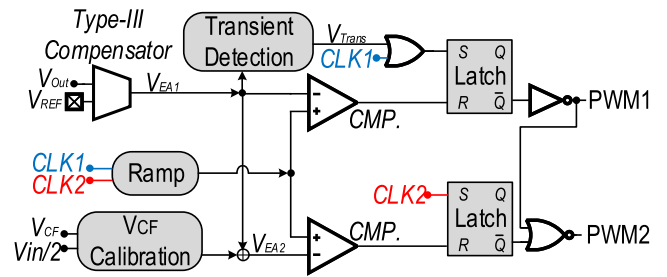


FIGURE 14. Delay-insensitive technique with Type-III compensator [75].

stability by extending the loop gain bandwidth. Therefore, various works have improvised and extended the regulation techniques based on the conventional method. For instance, the work [69] proposed a synchronized hysteretic controller to charge a capacitor faster between the two phases during load transient (Fig. 10). The duty-cycle is more than 0.5 for better transient performance and to alleviate ringing in the form of accumulated error. In addition, [69] proposed a D-copier to balance the capacitor voltage by duplicating the output PWM signal with desired phase shift for complementary switching to generate an identical duty-cycle without overlapping. The proposed Flying Capacitor Cross-Connected (CCC) converter is an improved topology from the DSD but with additional charging and discharging path, which increases of component count with compromised performance. Besides, a shared bootstrap capacitor scheme is proposed to reduce the area constraint. It achieves a minimum efficiency of 84.6% at $V_o=0.9V$ and a peak efficiency of 89.3% at $V_o=1.8V$.

In [70], a fast transient response of $1V/10\mu s$ line regulation was achieved while maintaining more than 80% of peak efficiency by implementing augmented ripple-injection control (Fig. 11). The control system consists of three comparators, which provide real-time (RT) cycle by cycle control for capacitor voltage imbalance issue by adjusting the duration of switching states while regulating the output voltage simultaneously. Further, self-start up is achieved when switching node voltage, V_X reaches a minimum threshold voltage, $V_{X,min}$ to trigger the comparator, thus terminating the charging or discharging, preventing pre-charge and fault conditions at start up.

TABLE 1. Transient response of hybrid DC-DC converters.

| Ref. | [69] | [70] | [71] | [72] | [73] | [74] | [75] |
|------------------------------------|--------------------|---|--|--------------------------------|-------------------------------------|------------------------------------|--------------------------|
| Topology | DSD | 5Level FCML | Hybrid Cascaded SC | SIMO Hybrid | Hybrid Buck | Hybrid SIBO | CCC |
| CMOS Process | 180nm BCD | 180nm | 180nm | 180nm | 130nm | 350nm | 180nm BCD |
| Input Voltage | 12V/24V | 5.5V | 4V -6V | 1.8V | 3.3V | 2.7V - 4.5V | 12V |
| Output Voltage | 1V | 0.4V - 1.2V | 0.4V - 1.2V | 0.4V - 1.6V | 1.8V | 5.3V -4.7V | 0.9V - 1.8V |
| Max Current | 4A | 1.4A | 1A | 0.45mA | 1.25A | 350mA | 4A |
| Inductance | 2x 1.8 μ H | 240nH | 240nH | 4.7 μ H | 90nH | 10 μ H | 2x 0.74 μ H |
| Flying Capacitance | 4.7 μ F | 3x 4.7 μ F | 2x 4.7 μ F + 10 μ F | 2x 1.5 μ F + 3 μ F | 2x 0.47 μ F | 4.7 μ F | 2x 2.2 μ F |
| Control Technique | Voltage Mode (PWM) | Augmented Ripple Injection (Integrated) | Ripple Injection with phase skipping technique | Dual Switching Frequency (PWM) | Voltage Mode + Type-III Compensator | Voltage Mode + Type-II compensator | Synchronized Hysteretic |
| Peak Efficiency (CR) | 88.3%/83.5% | 92.4% (4.58) | 96.9% (4.2) | 87.50% | 90.70% | 89.30% | 89.30% |
| Max CR (Peak Eff) | NA | 13.75 (80.2%) | 12.5 (85.5%) | NA | NA | NA | NA |
| Power Density | NA | 0.103W/mm ² | 0.10W/mm ² | 0.369W/mm ² * | 1.875W/mm ² * | 0.951W/mm ² | 0.857W/mm ² * |
| Transient Response (Settling Time) | 56mV (0.9 μ s) | 58mV (2.5 μ s) | 32mV (1 μ s) | 20mV (2 μ s) | 36mV (125ns) | 3mV (55 μ s) | 110mV (1.5 μ s) |
| | 3A (20ns) | 1A (250ns) | 1A (1 μ s) | 140mA (2 μ s) | 1.25A (2ns) | 320mA (1.3 μ s)* | 4A (20ns) |

* Estimated from given specification and data.

In [71], a cascaded hybrid SC converter with a faster self-startup time of 10 μ s was proposed compared to [70]. The modified ripple-injection control (MRIC) achieves capacitor voltage balancing and output voltage regulation similar to [70] (Fig.11). However, an addition of a phase-skipping technique is adopted to the controlling scheme. The phase-skipping scheme triggers during the startup mode for rapid charging and balancing transient. This ensures the secondary flying capacitor undergoes charging only by generating a gate driving signal to skip the discharge phase from the finite state machine (FSM). The proposed circuit can achieve at least 85.5% efficiency (VCR=12.5) and a full-load transient of 1A/ μ s.

In [72], a dual switching frequency scheme between 500 kHz and 100 MHz is proposed to obtain a fast response speed and low cross-regulation. The controlling scheme is implemented with PWM control to regulate the inductor current. Hence it switches between the inductor current increasing (ICI) and inductor current decreasing (ICD)

modes (Fig. 12). ICD mode occurs when V_{out} is higher than V_{REF} , and the power stage is switching in six-interleaves of 100 MHz each. The switching node is charged up to 3.8V during ICD mode to generate a smaller duty-cycle based on a higher slew rate of the inductor current. Similarly, ICD and ICI modes reduce switching loss at 500 kHz during ICI mode. Additionally, both ICI and ICD mode shortens the response time between high and low load variation.

In [73], a voltage-mode controller with type-III compensator and delay-compensated ramp with hybrid scheme was proposed to enhance load transient response (Fig. 13). Firstly, near-optimal transient response is achieved by prompting the compensator to saturate the duty-cycle to 1 and optimize the PWM control with unity-gain bandwidth (UGF) when the load varies spontaneously. Furthermore, the hybrid scheme bounded by the threshold voltage of ramp signal as the detector window optimizes the load transient at different load step by using the output voltage, V_{EA} from the type-III compensator. As the V_{EA} swing exceeds V_{High} , the

TABLE 2. Comparison of State-of-the-arts hybrid DC-DC converters.

| Ref | Year | Process (nm) | Topology | Freq. (MHz) | Vin (V) | Vout (V) | Ind. (nH) | Flying Cap. (nF) | Max Load Current (mA) | Area (mm ²) | Power Density (W/mm ²) | Eff. @ Peak (%) |
|------|------|--------------|------------------|-------------|-----------|--------------------|-------------|------------------------|-----------------------|-------------------------|------------------------------------|----------------------------|
| [4] | 2021 | 65 | Dual-Path | 450 | 1.2 | 0.6 - 0.9 | 0.85 | 4.82 | 533 | 0.65 | 0.73 | 74.6 @ 78 |
| [5] | 2021 | 180 | Coupled Class-D | 1250 | 1 - 3.6 | 0.4 - 1.6 | 7.8 coupled | 2 x 0.229 | 138 | 1.61 | 0.21 | 65.2 @ 67 |
| | | | | 2500 | 1 - 3 | 0.4 - 1.3 | 3.1 coupled | 2 x 0.076 | NA | 0.37 | 0.88 | 57.5 @ 58.1 |
| [10] | 2019 | 28 | FCML | 200 | 2.8 - 4.2 | 0.6 - 1.2 | 3 | 2 x 5 | 12 | 1.5 | 0.267 | 50.5 @ 78 |
| [16] | 2019 | 130 | SIC | 1.8 - 2.3 | 9 | 3 - 4.2 6 - 8.4 | 522 | 2 x 22000 4 x 10000 | 3400 (4200) | 7.37% | 0.00166* 0.00433* | 90.8 @ 94.3 96.5 @ 97.4 |
| [17] | 2020 | 180 | SMML | 3 - 5 | 3 - 5 | 0.3 - 1.2 | 220 | 4 x 1000 | 2500 | 5.5 | 0.52 | 89.5 @ 90 |
| [19] | 2020 | 350 | DSD | 2 | 2 - 4.4 | 9-20 | 2 x 3300 | 2 x 470 | 250 | 0.86 | 2.325* | 91.7 @ 93.5 |
| [22] | 2022 | 180 | Hybrid Reconfig. | 1-2 | 4 - 5.5 | 0.4 - 1.2 | 400 | 3 x 2200 2 x 10000 | 2000 | 5.8* | 0.414* | 79 [#] @ 98.4 |
| [23] | 2021 | 180 | DSD | 0.2 - 5 | 12 (24) | 1 | 2x 560 | 2x 1000 | 3000 | 6.3 | 0.476 | 86.7 @ 92.1 83 @ 88.3 |
| [24] | 2022 | 65 | SDSD | 3 | 2.7 - 4.2 | 0.5 - 0.8 | 2 x 4700 | 2 x 470 | 500 | 1.53 | 0.268* | 86.5 @ 86.5 |
| [25] | 2019 | 180 | Dual-Path | 6.5 | 1.8 | 0.5 - 1.5 | 2 x 240 | 470 | 2500 | 1.85 | 0.7 | 86.6 @ 94 |
| [27] | 2019 | 180 | Dual-Path | 1 | 4.5 | 0.8 - 4 | 4700 | 2 x 10000 | 1600 | 3.24% | 0.395* | 91 [#] @ 96.2 |
| [32] | 2022 | 65 | CPL Buck | 2 | 3 - 4.2 | 1.2 | 470 | 2 x 4700 | 1200 | 2.72 | 0.3* | 90 [#] @ 92.9 |
| [37] | 2022 | 180 | Hybrid SCR | 2 - 5 | 1.8 - 4.2 | 5 - 24 | 4700 | 8 x 4700 | 250 | 135% | 0.044* | 86 [#] @ 93.2 |
| [38] | 2018 | 65 | FCML | 10 - 40 | 1.2 | 0.1 - 1.1 | 5.8 | 2 x 2.2 | 100 | 2.34 | 0.047 | 88 @ 93.2 |
| [39] | 2022 | 28 | MP-Buck | 400 | 1.2 | 0.45 - 0.9 | 6 x 1 | 6 | 1800 | 1.31 | 1.23 | 77 [#] @ 83.7 |
| [40] | 2018 | 65 | FCML | 50 | 5 | 0.6 - 4.2 | 100 | 5 | 700 | 2.313% | 1.297 | NA @ 90 |
| [48] | 2021 | 180 | Hybrid SC | 120 | 1.2 - 2.7 | 1.45 (3.2) | 20 + 30 | 0.66 | 25 (50) | 0.84 | 0.195* | >52 @ 77 |
| [57] | 2021 | 180 | FCML | 1.4 - 1.6 | 4 - 6 | 0.4 - 1.2 | 240 | 2 x 4700 | 1000 | 7.82 | 0.1 | 90 [#] @ 96.9 |
| [58] | 2020 | 180 | ReSC | 47.5 | 2.4 - 4.4 | 1 - 2.2 | 7.7 coupled | 2 x 1.7 | 520 | 8.93 | 0.097 | 74.5 @ 85.5 |
| [69] | 2022 | 180 | CCC | 2 | 12 | 0.9 - 1.8 | 2 x 740 | 2 x 2200 | 4000 | 2.8% | 0.857* | 81 [#] @ 86.8 |
| [75] | 2022 | 180 | DSD | 1 | 12 (24) | 1 | 2 x 1800 | 4700 | 4000 | 9.6% | 0.323* | NA @ 83.5 NA @ 88.3 |

* Calculated from given specification and data. # Estimated from measured waveforms and graphs.

% The system is integrated on PCB and observed from the measured die micrograph.

undershoot reduction scheme will be activated, whereas when V_{EA} swings below V_{Low} , the overshoot reduction scheme will be activated. The work achieves a minimum efficiency of 83.6% and a peak efficiency of 90.7% at an output of 1.2V and 2.4V, respectively.

In [74], a hybrid SIBO converter with floating negative output and shunt regulators was proposed to drive a quality active-matrix organic light-emitting diode (AMOLED) with fast load transient response, low output ripple and low-power consumption. The proposed shunt regulator is used to tune the positive output voltage, V_p with the ripple of near-zero

and the conduction loss is negligible. The fine-tuned V_p is fed into the type-II PWM controller for negative output voltage, V_n regulation. The proposed circuit has a peak efficiency of 89.3% at 1.1W output power with the maximum power can go up to 3.5W. The achieved load transient response is around 250mA/ μ s, with negligible undershoot and overshoot voltage.

Alternatively, [75] presents a DSD topology with a similar charging concept in [69] but with an additional auxiliary switch, enabling dual-phase charging to enhance the inductor current slew rate. Nevertheless, the proposed voltage-mode

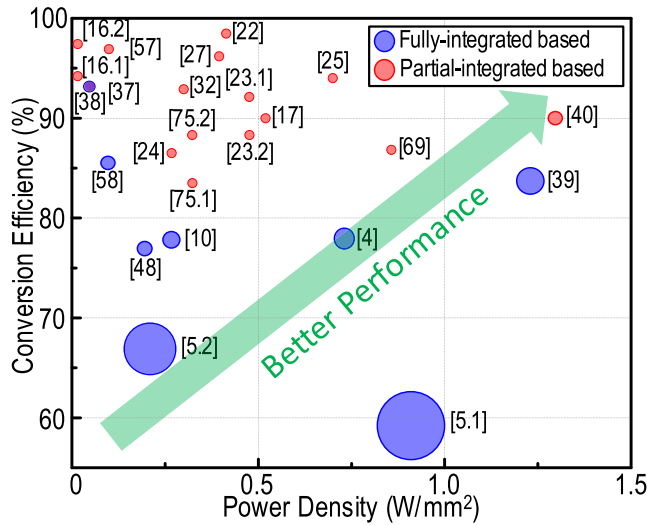


FIGURE 15. Power efficiency versus power density of state-of-the-art hybrid DC-DC converters. The size of the circle depicts the operating range of switching frequency.

control with delay-insensitive technique, as shown in Fig. 14 is optimized to enhance the transient response by employing two feedback loops. The feedback loops are configured as PWM controllers, generating two duty-cycle signals for the respective phase. Therefore, the loop responds if the transient event occurs within on-time of phase-1. Otherwise, the massive delay will cause a large undershoot. Hence, the delay-insensitive technique is used to detect and respond to the load transient without the need of waiting for the next clock cycle.

In summary, different topologies to achieve fast transient response and voltage regulation are described in Table 1. Several techniques are presented to increase the slew rate of the inductor current, including multi-phasing charging, switching frequency modulation etc. In addition, voltage-mode controlled converters are commonly used and optimized with a compensator to accelerate the transient response. The uprising trend of fast transient is shown in numerous results due to the collective contribution of previous works.

IV. DISCUSSION OF STATE-OF-ARTS HYBRID CONVERTER

The hybrid dc-dc converters topology has shown potential for a smaller form factor realization and to adopt the favorable trends towards system on-chip solution while maintaining a power density above 1W/mm² and more than 80% efficiency, making it well-suited for low-power conversion with high performance. Also, it counterbalances the performance tradeoffs, offering extended opportunity in advanced power converter design especially for low-voltage application. The recent state-of-the-art hybrid dc-dc converters are tabulated in Table 2. Also, Fig. 15 illustrates the power efficiency versus power density of recent reported work on hybrid dc-dc converters, with the size of the circles signifying the operating switching frequency.

Generally, most hybrid power converter architecture have capped the switching frequency under 10 MHz to steer clear

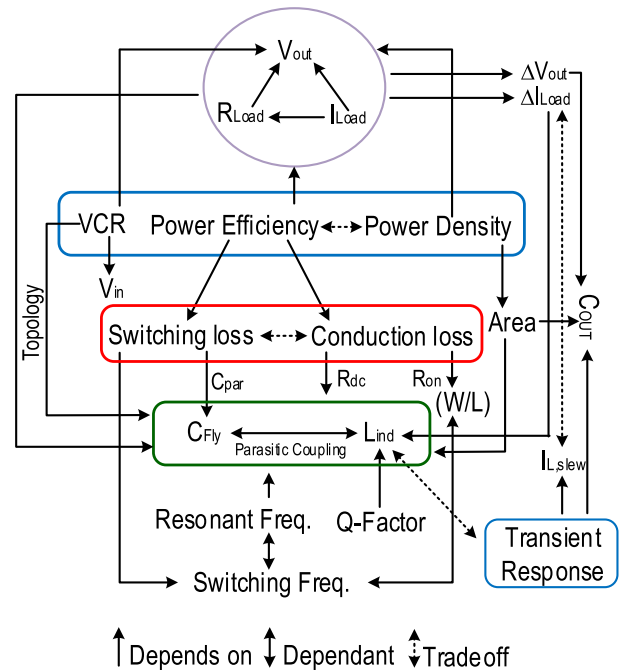


FIGURE 16. Hybrid DC-DC converters design considerations.

the switching loss from dominating the power matrix as described in Table 2. However, work published in [4], [5], [10], [39], and [48] propels the operating switching frequency up to hundreds of megahertz and gigahertz. This allows reduced inductance in proportion to an on-chip inductor footprint to achieve high power density. However, corresponding strategy for loss reduction is required by exploiting topological advantage to alleviate the intrinsic loss. For an example, [5] implemented stacked Class-D voltage-controlled oscillator (VCO) to exhibit the nature of gigahertz oscillation, while the voltage swing is boosted up to 3.3 times of V_{DD} . However, the solution requires two complementary on-chip transformers which adversely impact the active chip area. Correspondingly, the oscillation frequency is doubled up to 2.5 GHz which results in high power density of 0.88 W/mm², with the tradeoff in efficiency degradation. Nonetheless, this has unveiled a design approach by adopting VCO in advanced future dc-dc power converter design.

On the other hand, partial-integrated converter exhibits higher power efficiency due to off-chip passive component which eases the constraint on core design, however the PCB footprint is inevitable. Nevertheless, fully-integrated converters have been gradually adopted by designers in recent years, while the discrete implementation methodology edges up for maximum efficiency. Although in [40] a highly compromised result in high power density of 1.297 W/mm² with peak efficiency of 90% is demonstrated, but only the core area is considered (air core inductor is excluded). It is more challenging to achieve high power density than efficiency as the power density of recent reported works is more diverged (Fig. 15), with the power efficiency is often the

preliminary consideration in benchmarking the performance. Therefore, a fully-integrated design outlays room for improvement by exploiting the advantage of hybrid topology and packaging technology. For instance, a fully-integrated based converter deviates across the lower boundary (Fig. 15) due to the limitation of on-chip passive elements. Consequently, in [39] an exceptional tradeoff is shown by implementing bond-wires as inductance which is an alternative substitution for a monolithic inductor.

In the design interest, CMOS technology of 180 nm is widely used based on the benchmarking in Table 2. As the CMOS technology scales down, it offers faster speed, higher capacitance density, and lower gate parasitic [76]. Nevertheless, scaled CMOS processes with shorter channel length tend to have low-voltage rating, which implies the necessity of stacking transistors for high-voltage input. Thus, scaled CMOS process is recommended for ultra-low-voltage application. A hybrid dc-dc converter design consideration with the associated tradeoff is shown in Fig. 16. The performance index is highly dependent on the core passive elements, which induces soft-charging, parasitic coupling, as well as power loss. For example, higher inductance reduces current ripple, but the transient response and the area consumption is limited. Fig. 16 provides a design considerations chart to determine the design objective with the associated tradeoff. In summary, the development of integrated hybrid dc-dc converters is evolving rapidly as it inherits the characteristics of reduced inductor current ripple, soft-switching, and diverged voltage stress on switches. These demonstrate the need of an exemplary solution for current technology with challenging criteria such as dynamic VCR, fast transient, high efficiency, high power density, and small form factor. Regardless, partial-integrated based hybrid converter is still dominating the current design trend, providing great research opportunity in fully-integrated architecture.

V. CONCLUSION

In conclusion, the revolution of SoC specifies that low-power applications are striving for high power efficiency, high power density, and fast transient response. This article classifies the types of hybrid dc-dc converters from the existing hybrid architecture such as FCML, hybrid SC, and dual-path topology. The power loss analysis and reduction technique are discussed with the design strategy and equations proposed in recently reported works. In addition, the capacitor technology and integration of inductors are discussed from the viewpoint of a fully-integrated solution for a thorough understanding of the passive component selection and implementation for hybrid dc-dc converter design. A comparison to the state-of-the-art hybrid dc-dc converters in terms of power specification, design parameter, on-chip process, and area consumption is reviewed. In the benchmarking of power density and efficiency as the primary performance metrics, the FCML topology shows the most promising outcomes, as it not only employs higher switching frequency to reduce the size of inductor but also maintains a high level of

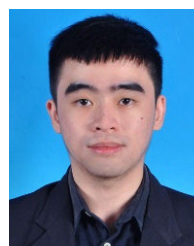
conversion efficiency. For instance, the reported work in [40] has achieved a better performance by employing a switching frequency of 50MHz compared to other hybrid dc-dc converter designs with a power density of 1.297 W/mm² and a peak efficiency of 90%. Although there are several proposed work that have shown higher peak efficiency of more than 90%, but the tradeoff in power density has hindered the architecture from being desirable. Furthermore, a new research area has been reviewed and discussed to realize the novel concept of hybrid dc-dc converter architecture for future works. Evidently, the tradeoff between power density and power efficiency is inevitable, thus optimization is always required to determine the desired design quality from different hybrid architectures.

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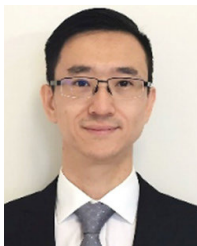


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Prof. Martins has been a member of the Advisory Board of the *Journal of Semiconductors* of the Chinese Institute of Electronics (CIE), Institute of Semiconductors, Chinese Academy of Sciences, since January 2021, and a fellow of the Asia-Pacific Artificial Intelligent Association, since October 2021. He was also a member of the IEEE CASS Fellow Evaluation Committee (a member, in 2013, 2014, and 2019, the Chair, in 2018, and the Vice-Chair, in 2021 and 2022), the IEEE Nominating Committee of Division I Director (CASS/EDS/SSCS), in 2014; and the IEEE CASS Nominations Committee Member (2016–2017). He was the Founding Chair of the IEEE Macau Section (2003–2005) and the IEEE Macau Joint-Chapter on Circuits and Systems (CAS)/Communications (COM) (2005–2008) [2009 World Chapter of the Year of IEEE CAS Society (CASS)], the General Chair of the IEEE Asia-Pacific Conference on CAS—APCCAS 2008, the Vice-President (VP) Region 10 (Asia, Australia, and Pacific) (2009–2011), and VP-World Regional Activities and Membership of IEEE CASS (2012–2013), an Associate Editor of IEEE TRANSACTIONS ON CIRCUITS AND SYSTEMS—II: EXPRESS BRIEFS (2010–2013), nominated as a Best Associate Editor (2012–2013). In addition, he was the General Chair of ACM/IEEE Asia South Pacific Design Automation Conference—ASP-DAC 2016, receiving the IEEE Council on Electronic Design Automation (CEDA) Outstanding Service Award, in 2016, and also the General Chair of the IEEE Asian Solid-State Circuits Conference—A-SSCC 2019. He was the Vice President (2005–2014), the President (2014–2017), and the Vice-President (2021–2024) of the Association of Portuguese Speaking Universities (AULP), in September 2021, was a nominated Honorary Member of AULP (an honor only bestowed on five people in the world). He also received three Macao Government decorations: the Medal of Professional Merit (Portuguese-1999), the Honorary Title of Value (Chinese-2001), and the Medal of Merit in Education (Chinese-2021). On July 2010, he was elected, unanimously, to the Lisbon Academy of Sciences, as a Corresponding (2010–2022) and Effective Member (2022), being the only Portuguese Academician working and living in Asia.

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