

RESEARCH ARTICLE

Deep Learning of Sparse Patterns in Medical IoT for Efficient Big Data Harnessing

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ABSTRACT The long-term and continuous streaming of big data from medical Internet of Things (IoT), poses a great challenge for the battery-limited tiny devices. To address this challenge, we propose a novel framework for medical IoT data sparsification, leveraging both deep learning and optimal space searching. More specifically, the deep sparsification networks are designed to learn to extract key sparse patterns in the medical IoT data, by projecting the original data stream to a sparsified data representation. Further, the principles for designing deep encoding networks have been analyzed by an optimal space searching strategy, aiming to determine the best deep sparsification architecture that meets the energy constraint or sparsification error constraint. Compared with state-of-the-art approaches, our deep learning-based and space search-optimized framework shows a dramatic capability to tackle the power hungriness problem on medical IoT big data. This novel study, by enabling energy-efficient medical IoT big data sparsification, is expected to boost the continuous and long-term medical IoT applications, such as cardiac monitoring, thereby advancing precision medicine.

INDEX TERMS Big data, deep learning, IoT big data, space search.

I. INTRODUCTION

Medical Internet of Things (IoT) [1], [2], [3], [4], [5], [6], [7], [8], [9], [10] are advancing various smart health applications [11], [12], [13], [14]. The long-term and continuous streaming of big data from medical IoT is expected to broadly ignite emerging big data-driven precision medicine. We take a special interest in wearable Electrocardiogram (ECG)-based cardiac health monitoring [15], [16], [17], and will demonstrate a generalizable deep learning framework [18], [19] that can learn and extract the critical patterns in the data, for energy consumption minimization.

The medical IoT devices are expected to play an increasingly important role in human or environmental health monitoring. Nevertheless, the challenges arise when these devices need to continuously transmit the data wirelessly to the smart phones, relays, or cloud. Frequent recharging the monitor is troublesome and impacts the long-term usage of the device. How to lower the energy need is a critical question for IoT monitoring applications.

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Major components of an IoT device usually include the controller, the sensing module, the communication module, and the power management module. The former two can now be implemented with a very low energy consumption, nevertheless, the wireless module consumes significant, usually the majority of the energy. Therefore, one promising strategy is to lower the energy consumption of the wireless module with acceptable energy overhead. In this study, targeting (ECG) monitoring [20], [21], we will demonstrate how deep learning [22], [26], [25] enables efficient IoT big data streaming. ECG is a vital sign of human health, and a critical biomarker of heart diseases, which is the leading cause of death in the world. There have been some previously reported studies on lowering the energy consumption of ECG monitors. Discrete Wavelet Transformation (DWT) has been a common practice in many studies [26], [27], [28], [29], which firstly transforms the original signal to the time-frequency domain, and then selects out significant wavelet coefficients for transmission. The signal can then be reconstructed on the receiver side from the coefficient. Compressed Sensing (CS) [29], [30], [31], [32] has also been applied and reported in various data compression studies. The conversion matrix is

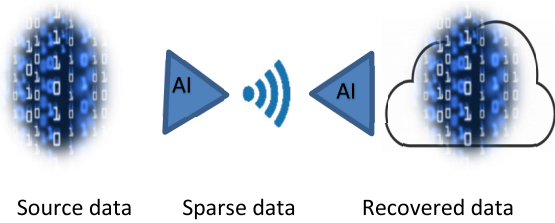


FIGURE 1. Deep learning of sparse patterns in medical IoT for efficient big data harnessing.

used to project the original signal to a sparse space. Discrete Cosine Transform (DCT) [33], [34], [35] is another widely used method for data compression. It uses the cosine waves to decompose the original signal.

Interesting findings have been obtained in these previous studies. At the same time, current methods like DWT, DCT, and CS, are mainly using either predefined basis functions, or random conversion matrices, to perform the data projection. Nevertheless, the complex and nonlinear characteristics in the medical signals will benefit from more advanced and nonlinear methods in sparse pattern extraction. Another question that lack of comprehensive study is the overhead of the compression algorithm, which is crucial since the energy overhead may cancel out or even exceeds the energy saving on the big data transmission tasks.

In this study, we propose a novel and systematic AI-enabled framework, aiming to provide a data-driven, intelligent, and comprehensive methodology towards energy-efficient IoT applications as shown in Fig. 1. Our framework is leveraging both deep learning and optimal space searching. More specifically, the deep sparsification networks are designed to be able to learn the critical patterns in the IoT data, thereby projecting the original data stream to a sparsified data representation. Further, the principles for designing deep sparsification networks have been analyzed by an optimal space searching strategy, aiming to determine the best deep sparsification architecture with the energy constraint or sparsification error constraint.

Our major contributions include:

- (a) Proposing a ‘deep learning-based’ and ‘space search-optimized’ framework for energy-efficient IoT big data streaming;
- (b) Designing deep sparsification neural networks that can intelligently learn complex, critical dynamics in IoT data for effective pattern extraction;
- (c) Developing optimal space search algorithms to determine optimal deep sparsification architectures under the energy constraint or sparsification error constraint;
- (d) Validating the novel data-driven framework in the ECG-based cardiac health monitoring application, to demonstrate the feasibility and effectiveness.

This novel study, by enabling energy-efficient IoT big data streaming, is expected to boost the continuous and long-term IoT monitoring practices, thereby greatly advancing the big data-driven smart health and smart world.

II. APPROACHES

We here detail the proposed data-driven, intelligence systematic framework for energy-efficient IoT big data streaming. We will firstly give the system overview, then introduce the deep learning-based sparsification approach and the design variabilities, afterwards give the Optimal Deep Architecture Search (ODAS) algorithms with two typical kinds of constraints, and end this section with the system evaluation strategy.

A. SYSTEM OVERVIEW

The proposed novel system in given Fig. 2, where the top shows the deep learning-enabled dynamics sparsification approach, and the bottom illustrates the deep architecture search algorithms. More specifically, in Fig. 2(a), the IoT big data, i.e., ECG in this study, is projected to a sparsified representation, which is then wirelessly transmitted to the smart phone. The phone then recovers the original ECG signal from the sparse vector received, with an acceptable error. In such a way, the ECG data, after being sparsified, can consume much less energy compared with the case without sparsification or traditional approaches.

In Fig. 2(b), the ODAS algorithms search from the design variabilities the optimal deep sparsification architecture, with either energy constraint or error constraint. The resulted architectures will have minimum energy consumption or minimum error, respectively.

B. DEEP SPARSIFICATION

The proposed deep sparsification approach for ECG streaming is 1D Convolutional Autoencoder (CAE) [1], [2], [3]. CAE has two building blocks: the encoder and the decoder. The former one has multiple stages of convolutional filters to extract the key patterns in the ECG data X , as well as max pooling layers to reduce the dimensionality of the feature map. In such a way, the ECG signal is sparsified into a short vector S with critical patterns. The decoding process is given in (1), where X is the original signal with a length of N , and S is the sparse representation with a length of M . One thing to note is that we have designed the CAE to be 1D, meaning that the input image is 1D and the feature map is also 1D for temporal pattern extraction.

$$S = \text{Encoder}(X)$$

$$X = \{x_i | i = 1, \dots, N\}, S = \{s_j | j = 1, \dots, M\} \quad (1)$$

Afterwards, on the smart phone, the sparse representation S is decoded as the estimate of the original signal, \hat{X} . The process is given in (2), where \hat{X} also has a length of N .

$$\hat{X} = \text{Decoder}(S), \quad \hat{X} = \{\hat{x}_i | i = 1, \dots, N\} \quad (2)$$

The promising advantage of the proposed 1D CAE is that it can effectively learn the patterns in the ECG data through deep neural learning and efficiently sparsify ECG with 1D operators. But CAE design has a high degree of design freedom, meaning that there are many variables which are related to sparsification error, energy reduction and energy overhead.

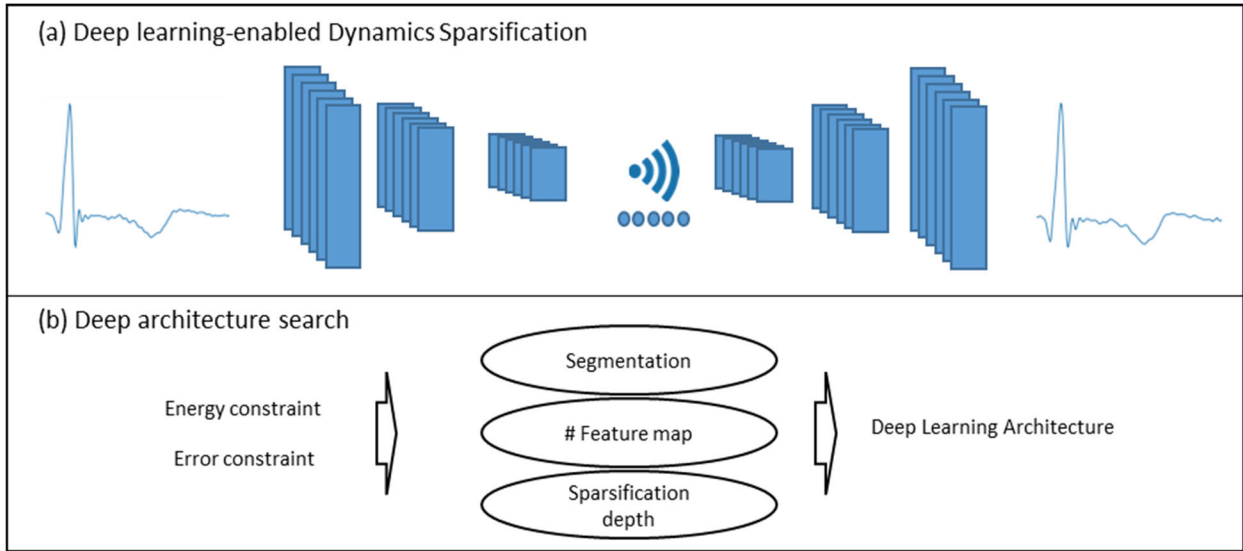


FIGURE 2. The proposed novel framework for IoT big data streaming, leveraging (a) deep learning-enabled dynamics sparsification, and (b) deep architecture search of design variables with energy or error constraint.

C. DEEP ARCHITECTURE VARIABILITIES

To further investigate how design factors of 1D CAE impact the sparsification error, energy reduction and energy overhead, we here select four critical design variabilities for the CAE architecture α , which is a function of input dimension I , feature map F , convolutional filter size C , and the depth D , as given in (3).

The sparsification ratio is determined by the depth D , i.e., the number of stages, since here we have fixed the max-pooling size of each stage to be two for convenient comparison. The sparsification error is related to all four variables. The total energy is related to the transmission energy reduction, the energy overhead of sparsification, and other system energy consumptions. Therefore, it is a nonlinear problem and thus the ODAS algorithms are proposed to solve this problem for determining the optimal architecture.

$$\alpha = \psi (I, F, C, D) \tag{3}$$

D. OPTIMAL DEEP ARCHITECTURE SEARCH (ODAS)

The ODAS algorithms are proposed to solve the nonlinear problem of searching an optimal CAE architecture, given the complex design variables and their relationships to both error and total energy.

Two strategies are considered here: ODAS with energy constraint (ODAS-energy), and ODAS with sparsification error constraint (ODAS-error), given in (4) and (5), respectively. The former one, as shown in (4), searches an optimal CAE architecture α^{ϵ^*} with minimum error Z , while making sure the total energy ϵ is no more than a threshold ϵ_{th} .

$$\alpha^{\epsilon^*} = argmin_{\alpha} Z(\alpha), \quad s.t. \epsilon \leq \epsilon_{th} \tag{4}$$

The second algorithm, i.e., ODAS-error as shown in (5), searches an optimal CAE architecture α^{ϵ^*} with minimum

energy E , while making sure the sparsification error ϵ is no more than a threshold ϵ_{th} .

$$\alpha^{\epsilon^*} = argmin_{\alpha} E(\alpha), \quad s.t. \epsilon \leq \epsilon_{th} \tag{5}$$

We will then detail two ODAS algorithms, which can be used for different application scenarios, i.e., energy-constrained or error-constrained applications.

E. ODAS WITH SPARSIFICATION ENERGY CONSTRAINT

ODAS-energy handles the scenario which has an energy constraint, meaning that the total energy should not exceed a threshold.

ODAS-energy is detailed in Algorithm 1, which reads in the architecture variables and constraint, searches through the solution space, and returns the optimal CAE architecture. More specifically, the algorithm will first search through different CAE depths, since the depth directly determines the sparsification ratio and thus has major contributions to the energy reduction. However, when increasing the depth, the error usually increases. Therefore, the optimal architecture should correspond to appropriate depth that can meet the energy constraint while resulting in a small error. This will be further demonstrated in the results section, which will indicate that depth is the first degree of freedom where the ODAS-energy algorithm needs to explore.

Then, the ODAS-energy algorithm will search through the input dimension, i.e., the second degree of freedom, followed by the feature map and then the convolutional filter size. Considering the ECG database that we will use contains lots of arrhythmia heartbeats, the signal is highly diverse, which makes long-signal-segment sparsification challenging. So the algorithm searches the input dimension from low to high. Further, more feature maps and greater filter sizes usually

Algorithm 1 ODAS-Energy

Input:

input dimension $\Phi^I = \{I|I = 1, \dots, I_{max}\}$
 feature map $\Phi^F = \{F|F = 1, \dots, F_{max}\}$
 convolutional filter size $\Phi^C = \{C|C = 1, \dots, C_{max}\}$
 depth $\Phi^D = \{D|D = 1, \dots, D_{max}\}$
 energy threshold ϵ_{th}

Output:

optimal architecture α^{ϵ^*}
 error for the optimal architecture ϵ^{ϵ^*}
 energy for the optimal architecture ϵ^*

Procedure:

```

 $\alpha^{\epsilon^*} = NULL$  //initialization
 $\epsilon^{\epsilon^*} = INFINITY$  //initialization
for  $D = 1, \dots, D_{max}$  do //low to high
    for  $I = 1, \dots, I_{max}$  do //low to high
        for  $F = F_{max}, \dots, 1$  do //high to low
            for  $C = C_{max}, \dots, 1$  do //high to low
                 $\epsilon = E_{Tr} + E_{DI} + E_{Sys}$  //energy from wireless
                transmission, CAE overhead, and other system compo-
                nents
                if  $\epsilon \leq \epsilon_{th}$  //energy threshold
                    if  $Z(\alpha) \leq \epsilon^{\epsilon^*}$  //minimize error
                         $\alpha^{\epsilon^*} \leftarrow \psi(I, F, C, D)$ 
                         $\epsilon^{\epsilon^*} \leftarrow Z(\alpha)$ 
                         $\epsilon^* \leftarrow \epsilon$ 
                    end if
                end if
            end for
        end for
    end for
end for
if  $\alpha^{\epsilon^*}$  is not  $NULL$  //stop searching depth
    break
end if
end for
return  $\alpha^{\epsilon^*}, \epsilon^{\epsilon^*}, \epsilon^*$  //optimal architecture
    
```

yield lower reconstruction errors, we will search them from high to low.

By leveraging the proposed ODAS-energy algorithm we expect that the optimal CAE architecture can be effectively determined. The optimal architecture will not only meet the energy constraint but also own minimum sparsification error.

F. ODAS WITH ERROR CONSTRAINT

The ODAS-error algorithm aims to determine the optimal architecture that meets the sparsification error constraint while owns minimum energy. Here we mainly introduce the strategy of ODAS-error algorithm, which firstly search depth from high to low, i.e., the error is from high to low. Then the algorithm searches the input width, the feature map, and the convolutional filter size. More demonstrations will be given in the results section.

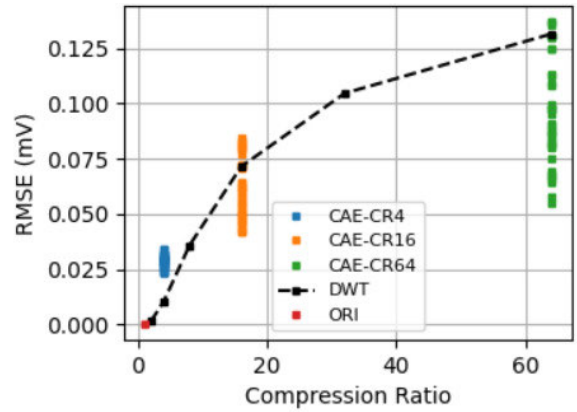


FIGURE 3. Sparsification (compression) Ratio versus Sparsification Error. Notes. CAE: convolutional autoencoder; DWT: discrete wavelet transform; ORI: original data without sparsification; CR: compression ratio; root mean square error.

G. SYSTEM EVALUATION

To evaluate the proposed novel framework, we will study different CAE design parameters. The set of depth values Φ^D includes 2, 4 and 6 (sparsification ratio = 4/16/64, respectively); the set of input dimension values Φ^I includes 128, 256 and 512; the set of feature map values Φ^F includes 2, 4 and 6; the set of convolutional filter sizes Φ^C includes 2, 4, 8 and 12. Through this through evaluation, we aims to demonstrate the design principles of effective CAE and the ODAS algorithms proposed.

III. RESULTS

A. EXPERIMENTAL SETUP

To evaluate the proposed framework, we here use the popular MIT-BIH Arrhythmia ECG Database [4], [5], [6] and select ten subjects without severe motion artifacts. This database include not only arrhythmia but also other kinds of heart diseases, making the data sparsification very challenging. The sampling rate of ECG is 360Hz, and the signal is preprocessed by a band-pass filter (0.5 to 49.5 Hz) to remove the baseline wander and powerline interference. The ECG stream is segmented with a window size equal to the input width of CAE, depending on the simulation requirements. To minimize the engineering effort and maximize the generalization ability of the algorithm, the ECG heartbeat locations are not identified, meaning that the segmentation is random. We have conducted subject-wise evaluation, to investigate whether a subject's own data is sufficient for training the deep learning framework. In future, we will further consider other evaluation method like leave-one/more-subject out cross validation. 75% of each ECG stream is used for training and 25% for testing.

B. SPARSIFICATION RATIO VERSUS SPARSIFICATION ERROR

With different CAE depths, we can achieve various sparsification ratios, or compression ratios. Meanwhile, the

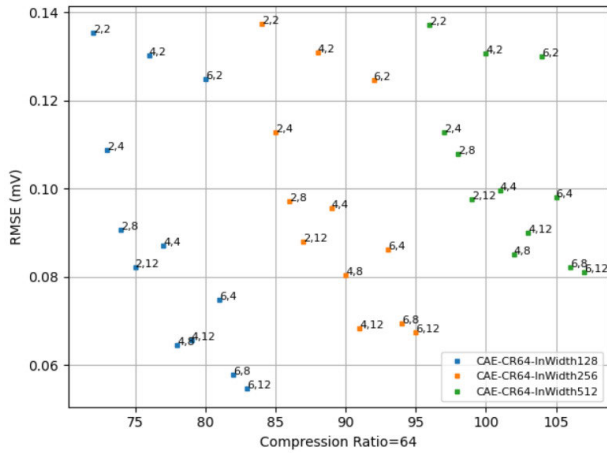


FIGURE 4. Changes of Sparsification Error, under different input dimensions (128, 256, 512). Notes. The top left dot 2, 2: #feature map, filter size; horizontal axis: scenario index; InWidth: input dimension.

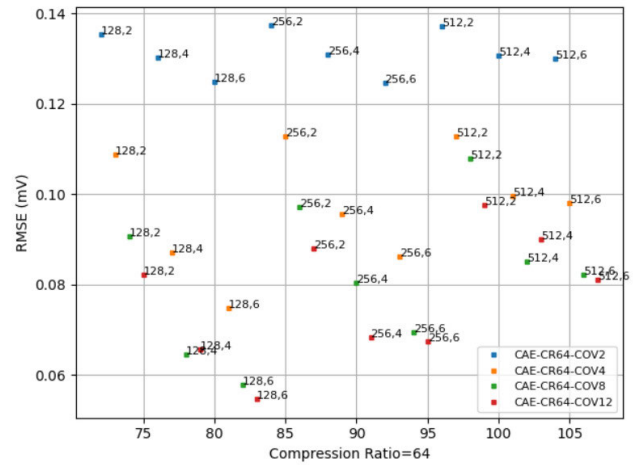


FIGURE 6. Changes of Sparsification Error, under different filter sizes (2, 4, 8, 12). Notes. The top left dot 128, 2: input dimension, #feature map; horizontal axis: scenario index; COV: convolutional filter size.

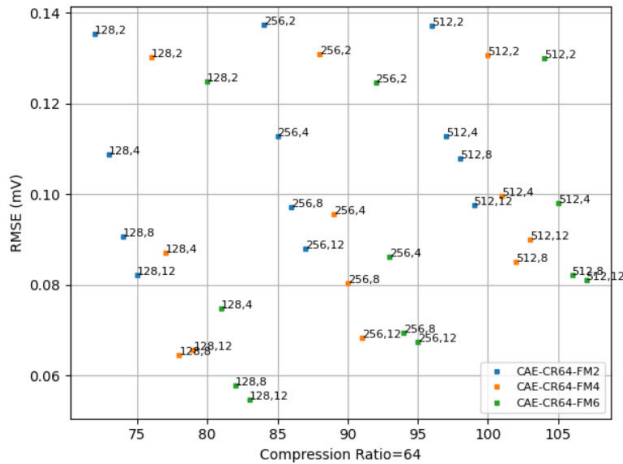


FIGURE 5. Changes of Sparsification Error, under different #feature map (2, 4, 6). Notes. The top left dot 128, 2: input dimension, filter size; horizontal axis: scenario index; FM: feature map.

corresponding sparsification errors also differ. Fig. 3 illustrates sparsification ratio versus sparsification Error, where CAE, DWT, ORI, CR and RMSE stand for convolutional autoencoder, discrete wavelet transform, original data without sparsification, compression ratio and root mean square error, respectively.

When increasing CR, for both CAE and DWT, RMSE increases since more non-critical patterns are filtered out for sparsification purpose. Under low CR, say 16, DWT show better performance, but when increasing CR to 32 or 64, CAE shows much better performance, thanks to CAE’s intelligent removal of non-critical information in the ECG signal. This will be further illustrated later by the waveforms of the reconstructed signals.

Further, for CAE, there are multiple dots since there are combinations of different design variables. Take CR=64 as

an example, the design variables, if chosen appropriately, can reduce RMSE dramatically.

Overall, the CAE approaches provide many solution candidates that have a less RMSE, given high compression ratios.

Next, we will analyze the contribution of different design variables to RMSE in detail.

C. DESIGN PRINCIPLE – INPUT DIMENSION

Fig. 4 shows the changes of sparsification error, under different input dimensions (128, 256, 512). The top left dot (2, 2) corresponds to (#feature map, filter size), and the horizontal axis gives the scenario indices. CR64 is selected to illustrate the details.

We can observe that the input dimension has a positive correlation with RMSE. It is because the disease ECG database is highly diverse, and if the input dimension is too high, it is much more challenging to reconstruct the diverse signals.

The complexity when considering the feature map and the convolutional figure size is further given below.

D. DESIGN PRINCIPLE – FEATURE MAP

Fig. 5 gives the changes of sparsification error, under different #feature map (2, 4, 6). The top left dot (128, 2) corresponds to (input dimension, filter size).

The #feature map, relatively speaking, has a negative correlation with RMSE, meaning that more feature maps can capture richer patterns from the original signal, thereby decreasing the error. Meanwhile, other design variables are also related to RMSE, resulting in a complex distribution of solution space.

E. DESIGN PRINCIPLE – CONVOLUTIONAL FILTER SIZE

Fig. 6 gives the sparsification error, under different filter sizes (2, 4, 8, 12). The top left dot (128, 2) corresponds to (input dimension, #feature map).

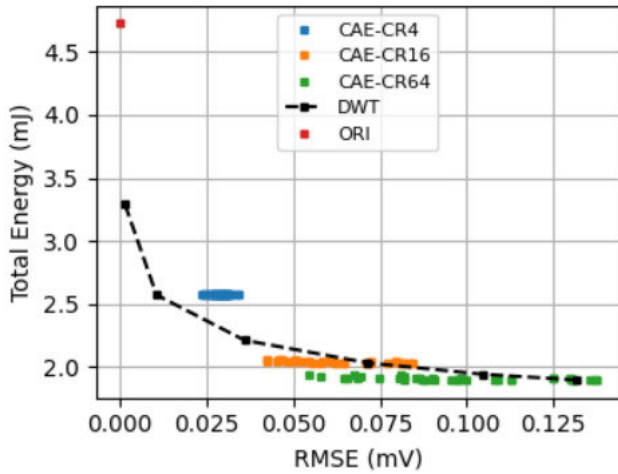


FIGURE 7. Total Energy versus Sparsification Error. Notes. CAE: convolutional autoencoder; DWT: discrete wavelet transform; ORI: original data without sparsification; CR: compression ratio.

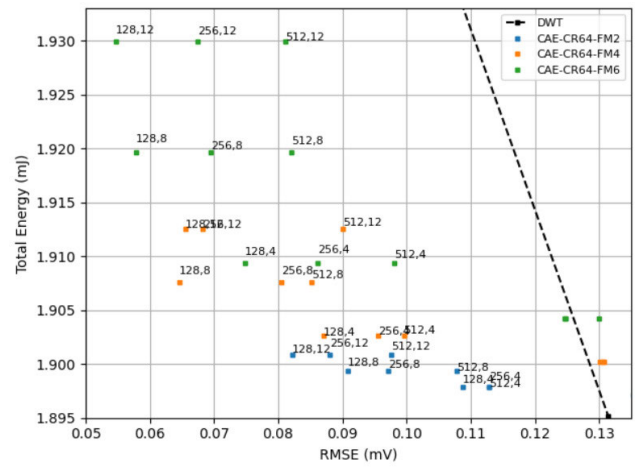


FIGURE 9. Total Energy versus Sparsification Error, under different #feature map (2, 4, 6). Notes. The top left dot 128, 2: input dimension, filter size; horizontal axis: scenario index; FM: feature map.

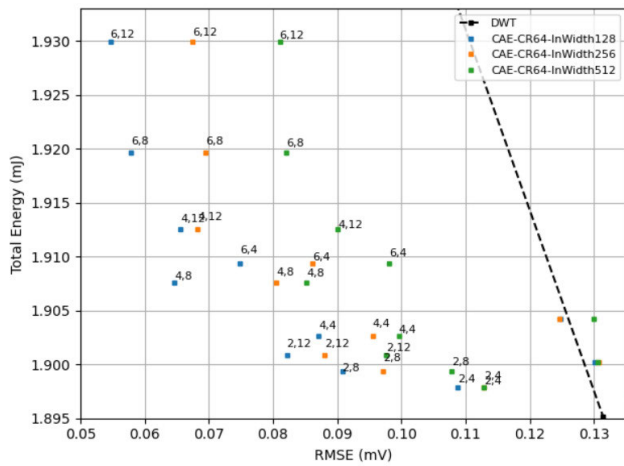


FIGURE 8. Total Energy versus Sparsification Error, under different input dimensions (128, 256, 512). Notes. The top left dot 6, 12: #feature map, filter size; horizontal axis: scenario index; InWidth: input dimension.

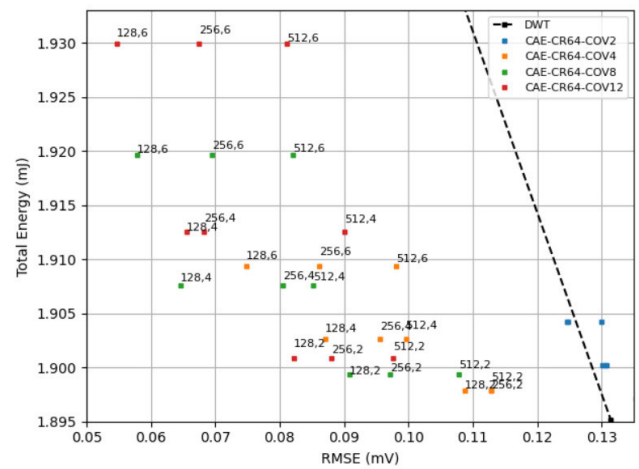


FIGURE 10. Total Energy versus Sparsification Error, under different filter sizes (2, 4, 8, 12). Notes. The top left dot 128, 2: input dimension, #feature map; horizontal axis: scenario index; COV: convolutional filter size.

When increasing the convolutional filter size, RMSE basically decreases, indicating that a stronger ability to capture more spatial information contributes to signal reconstruction. Again, the complex distribution of solution space is related to all design variables. Besides, the design variables also generate different energy overhead.

F. TOTAL ENERGY VERSUS SPARSIFICATION ERROR

Different CAE architectures make various contributions to the reconstruction error, however, for a specific sparsification ratio, we should not simply select the CAE architecture with the smallest error. This is because CAE also generated energy consumption and we need to consider the overhead induced by CAE to the total energy.

In Fig. 7, total energy versus sparsification error is given. Encouragingly, for CAE-CR16 and CAE-CR-64, there are many CAE options that are better than the DWT options. These CAE solutions are under the DWT curve, indicating

these solutions can offer lower RMSE with the same energy constraint, or offer lower energy with the same error constraint.

G. DESIGN PRINCIPLES WHEN CONSIDERING OVERHEAD

The zoomed in versions of these better CAE solutions are given in Fig. 8, 9 and 10, which illustrate the distribution of the solutions under different input dimensions, different #feature map, and different filter sizes, respectively. One thing to note is that these three figures show the same measurement distribution but with different annotation methods to highlight the contribution of different design parameters. In Fig. 8, we want to compare three input dimensions labeled with different colors, in Fig. 9, different #feature map is highlighted with different colors, and Fig. 10 highlights different filter sizes. These visualizations further show that the solution space is highly complex. But at the same time, some princi

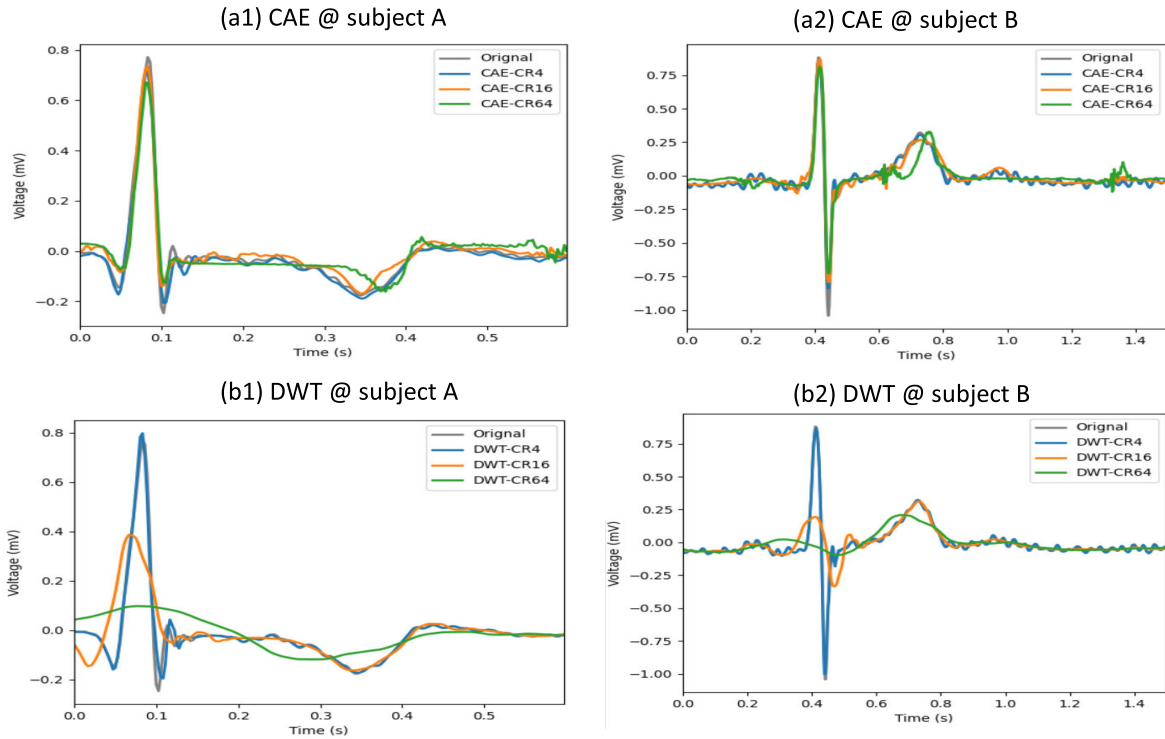


FIGURE 11. The recovered signals for different subjects and different approaches (CAE and DWT), suggesting that CAE significantly outperforms DTW. FM2/4/6 corresponds to CR4/16/64, respectively.

ples still hold: the smaller input dimensions, more feature maps, and larger filter sizes relatively give better solutions.

Therefore, we will later demonstrate that, by leveraging these principles, the proposed ODAS algorithm can effectively select the optimal CAE architectures.

To further demonstrate the performance of CAE and DTW, in Fig. 11, the recovered signals for different subjects and different approaches (CAE and DWT) are given. FM2/4/6 corresponds to CR4/16/64, respectively. We can observe that CAE significantly outperforms DWT.

H. ODAS WITH SPARSIFICATION ENERGY CONSTRAINT

The ODAS-energy algorithm searches the solution space to determine the optimal CAE architecture that meets the energy constraint and owns the smallest sparsification error.

The comparison on the optimal CAE architecture with DWT is given in Fig. 12(a), which the energy constrain is set as 1.90 mJ. The sparsification error (RMSE) for DWT and CAE is 0.13 mV and 0.09 mV, respectively. The optimal CAE architecture is (128, 2, 8, 6), corresponds to (input dimension, #feature map, filter size, depth). So the sparsification ratio is 64. The optimal DWT architecture is CR64. This is in align with the solution space shown in Fig. 7, and detailed in Fig. 8, 9, and 10.

The algorithm first searches the CAE depth from low to high until the depth meets the requirements of the energy constraint. The algorithm then searches the in put dimension from low to high, the #feature map from high to low, and the filter

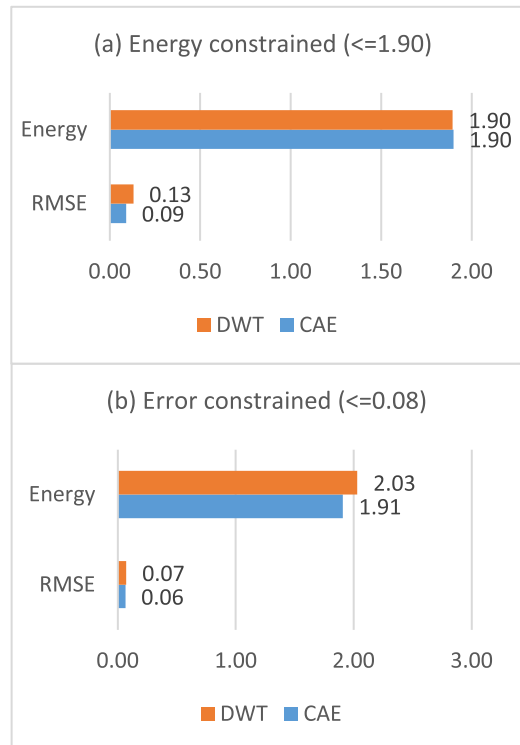


FIGURE 12. The energy and error for the selected optimal architectures, under energy constraint (a) and error constriction, respectively.

size from high to low, respectively. Overall, ODAS-energy searches better solutions first based on the design principles,

and if the current CAE depth provides at least one solution, it stops. For each depth, before search termination.

In such a way, the ODA-energy algorithm can determine an optimal solution that, not only meets the energy budget, but also has best combination of the design variables for error minimization.

I. ODAS WITH ERROR CONSTRAINT

The ODAS-error algorithm, similar to ODAS-energy, also searches the solution space to determine the CAE architecture. But different constraints usually yield different optimal solutions.

Fig. 12(b), where the error (RMSE) constraint is set as 0.08 mV, the optimal architecture for DWT and CAE has an energy consumption of 2.03 mJ and 1.91 mJ, respectively. The optimal CAE architecture is (128, 4, 8, 6), corresponds to (input dimension, #feature map, filter size, depth). So the sparsification ratio is 64. The optimal DWT architecture is CR16, indicating that DWT, to meet the error constraint, can only decrease the sparsification ratio and increases the energy.

IV. CONCLUSION

IoT big data streaming is essential for data-driven smart health and smart world applications. Targeting the challenges induced by the power hungriness of IoT long-term streaming, we have proposed a deep learning-enabled big data sparsification framework. The novel framework can, not only sparsify the IoT data using intelligent autoencoder neural networks, but also determines the optimal deep learning architecture under the constraint. Compared with the traditional DWT data sparsification, the deep learning architecture determined by our framework provides better solutions, meaning that our framework can either offer the smallest error under the energy constraint, or offer the lowest energy under the error constraint. This research, validated on the ECG-based cardiac monitoring application, is expected to greatly advance IoT big data applications.

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