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# Low Interface Trapped Charge Density for $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$ (001) Metal-Insulator-Semiconductor Capacitor

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**ABSTRACT** In this letter, high-performance  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) metal-insulator-semiconductor (MIS) capacitor has been demonstrated. The capacitance-voltage ( $C$ - $V$ ) curves of the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) MIS capacitor remain stable under different measurement frequencies. The leakage current density is lower than  $2.0 \times 10^{-8}$  A/cm<sup>2</sup> when the gate voltage is in the range of  $-5 \sim 13$  V. The fixed charge and trapped charge densities in  $\text{Al}_2\text{O}_3$  film are  $4.4 \times 10^{12}$  and  $6.0 \times 10^{11}$  cm<sup>-2</sup>, respectively. Average and minimum interface trapped charge density ( $D_{it}$ ) for  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) interface has been extracted to be as low as  $3.3 \times 10^{11}$  and  $2.3 \times 10^{11}$  cm<sup>-2</sup> eV<sup>-1</sup> via the Terman method, respectively. The low  $D_{it}$  is probably attributed to the modification of vacancy defects and the introduction of hydroxyl groups at the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) interface after piranha solution pretreatment for  $\beta\text{-Ga}_2\text{O}_3$ .

**INDEX TERMS**  $\beta\text{-Ga}_2\text{O}_3$ ,  $\text{Al}_2\text{O}_3$ , MIS capacitor, interface trapped charge density.

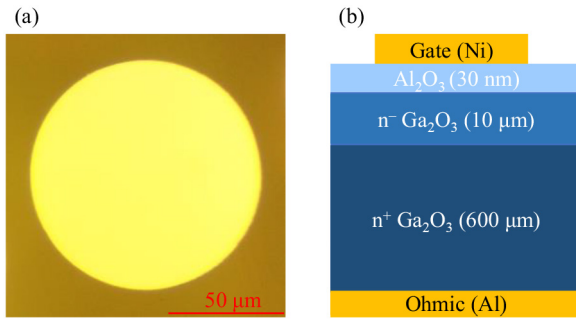
## I. INTRODUCTION

Over the last couple of years, wide bandgap semiconductor materials such as SiC, GaN, and  $\text{Ga}_2\text{O}_3$  have attracted lots of attention for power device applications due to their outstanding material properties [1], [2], [3]. Among them,  $\text{Ga}_2\text{O}_3$  with an ultra-wide bandgap of 4.6-4.9 eV, high critical breakdown field of 8 MV/cm, and high Baliga's Figure-of-Merit of 3214 has been considered as a more desirable semiconductor material for the next-generation power devices [3]. Furthermore, wide-range controllable  $n$ -type doping ( $10^{16}$ - $10^{19}$  cm<sup>-3</sup>) and mass production large size  $\beta\text{-Ga}_2\text{O}_3$  single crystal wafers have been commercially available [3]. Due to these superiorities,  $\beta\text{-Ga}_2\text{O}_3$  has been applied to power Schottky diodes, metal-semiconductor field-effect transistors (FETs) and metal-insulator-semiconductor FETs (MISFETs) [3], [4], [5], [6], [7], [8], [9].

Recently, the planar and vertical  $\beta\text{-Ga}_2\text{O}_3$ -based MISFETs with high breakdown voltages and low on-resistance have

been demonstrated [5], [6], [7], [8], [9]. The high-quality dielectric/ $\beta\text{-Ga}_2\text{O}_3$  heterostructure is significantly important for fabricating high-performance MISFETs. Among all the dielectric materials,  $\text{Al}_2\text{O}_3$  is used most widely in CMOS devices owing to its high dielectric constant, high thermal stability, and high breakdown electric field [10], [11], [12]. Additionally, it has a large conduction band offset ( $\Delta E_C$ ) with  $\beta\text{-Ga}_2\text{O}_3$  [13], [14]. Continuous efforts focusing on investigating the interface trapped charge density ( $D_{it}$ ) for  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  MIS capacitors have been reported. Due to the significant asymmetry of monoclinic  $\beta\text{-Ga}_2\text{O}_3$  [3], [4], the  $D_{it}$  values are apparently different for the  $\text{Al}_2\text{O}_3$  on the various planes of  $\beta\text{-Ga}_2\text{O}_3$ .

Hirose et al. improved the  $D_{it}$  for the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) to be  $\sim 1.6 \times 10^{12}$  cm<sup>-2</sup> eV<sup>-1</sup> by the low-temperature post-deposition annealing (PDA) process [15]. In consideration of the effect for bulk traps, Jian et al. clarified the  $D_{it}$  of  $1.3 \times 10^{12}$  cm<sup>-2</sup> eV<sup>-1</sup> for  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$



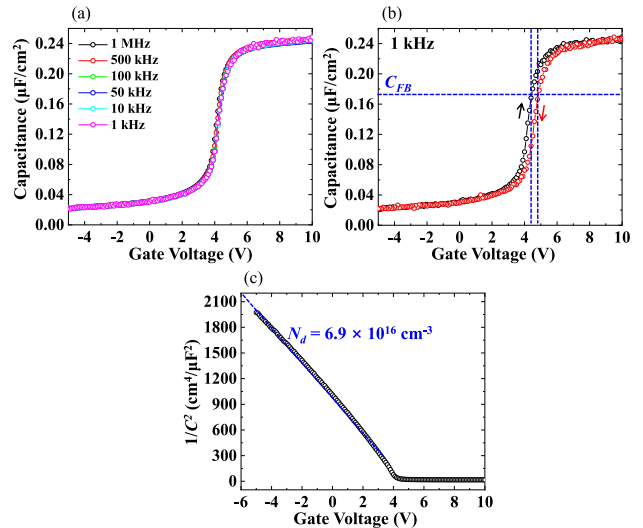
**FIGURE 1.** (a) Surface morphology and (b) cross-sectional schematic diagram of the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) MIS capacitor, respectively.

(001) using the deep ultraviolet (DUV)-assisted method [16]. Feng et al. adopted a novel Ga flux etching surface treatment to decrease the  $D_{it}$  of  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) to be  $7.3 \times 10^{11} \text{ cm}^{-2} \text{ eV}^{-1}$  [17]. By an in-situ deposition technique for the  $\text{Al}_2\text{O}_3$ , Roy et al. obtained a low  $D_{it}$  of  $6.4 \times 10^{11} \text{ cm}^{-2} \text{ eV}^{-1}$  for the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) MIS capacitor [18]. Jayawardena et al. clarified the effects of different gate dielectrics ( $\text{SiO}_2$  and  $\text{Al}_2\text{O}_3$ ) on the  $D_{it}$  of the  $\beta\text{-Ga}_2\text{O}_3$  ( $-201$ ) MIS capacitors and concluded that the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  ( $-201$ ) interface had a lower  $D_{it}$  of  $8.4 \times 10^{11} \text{ cm}^{-2} \text{ eV}^{-1}$  [19]. By combining piranha solution pretreatment and the PDA process, Zhou et al. obtained the  $D_{it}$  for  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  ( $-201$ ) as low as  $2.3 \times 10^{11} \text{ cm}^{-2} \text{ eV}^{-1}$  [20].

The  $\text{Al}_2\text{O}_3$  on the  $\beta\text{-Ga}_2\text{O}_3$  (001) exhibited higher  $D_{it}$  near conduction band ( $E_C$ ) than those on  $\beta\text{-Ga}_2\text{O}_3$  ( $-201$ ) and (010). This is undesirable to enable high-performance  $\beta\text{-Ga}_2\text{O}_3$  (001)-based MISFETs. This work focuses on decreasing the  $D_{it}$  for the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) with the piranha solution pretreatment for the  $\beta\text{-Ga}_2\text{O}_3$ . The frequency-dependent current-voltage ( $C$ - $V$ ) property, gate leakage current density ( $J$ ), and band configuration for  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) will also be investigated and discussed.

## II. EXPERIMENTAL DETAILS

The MIS capacitors were fabricated on an  $n$ -type  $\beta\text{-Ga}_2\text{O}_3$  (001) substrate with a  $10 \mu\text{m}$  lightly Si-doped epitaxial layer. The bulk substrate and epitaxial layer were grown by edge-defined film-fed growth and hydride vapor phase epitaxy technologies, respectively. Their doping concentrations were around  $5 \times 10^{18} \text{ cm}^{-3}$  and  $7 \times 10^{16} \text{ cm}^{-3}$ , respectively. After cleaning the  $\beta\text{-Ga}_2\text{O}_3$  epitaxial wafer in acetone for 3 min and alcohol for 3 min, the sample was treated in piranha solution for 5 min. The piranha solution volume ratio for the  $\text{H}_2\text{O}_2$ ,  $\text{H}_2\text{SO}_4$ , and deionized water was 1: 4: 1. A 30 nm-thick  $\text{Al}_2\text{O}_3$  film was deposited as the gate dielectric on the  $\beta\text{-Ga}_2\text{O}_3$  (001) epitaxial layer via an atomic layer deposition (ALD) system. The precursors were tri-methyl-aluminum (TMA) and water vapor. The ALD deposition temperature and chamber pressure were  $300 \text{ }^\circ\text{C}$  and 60 Pa, respectively. The aluminum (Al) metal



**FIGURE 2.** (a) Frequency dependent  $C$ - $V$  characteristics, (b) hysteresis  $C$ - $V$  curves at 1 kHz, and (c)  $1/C^2$  as a function of gate voltage for the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) MIS capacitor, respectively.

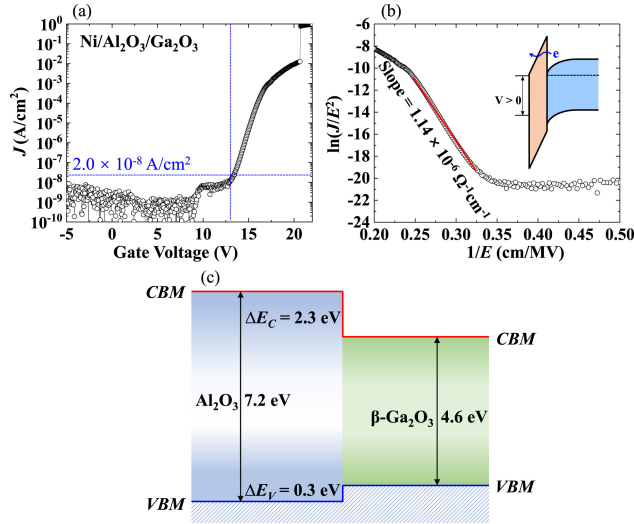
was thermally evaporated on the backside of the substrate to form Ohmic contact. Gate contact of nickel (Ni) was evaporated on the top of the  $\text{Al}_2\text{O}_3$  film to finish the fabrication process. The frequency-dependent  $C$ - $V$  and  $J$ - $V$  characterizations of the MIS capacitor were carried out via an Agilent B1500A semiconductor device analyzer.

## III. RESULTS AND DISCUSSION

Fig. 1(a) and 1(b) show the surface morphology and cross-sectional schematic diagram of the  $\beta\text{-Ga}_2\text{O}_3$  MIS capacitor, respectively. The circular radius and area of the gate electrode are  $50 \mu\text{m}$  and  $7.85 \times 10^{-5} \text{ cm}^2$ , respectively.

Fig. 2(a) shows the frequency-dependent  $C$ - $V$  curves of the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) MIS capacitor. The gate voltage sweeps from  $-5 \text{ V}$  to  $10 \text{ V}$  and the frequency varies from 1 kHz to 1 MHz. The measurement capacitance values remain stable with the variation of measurement frequency. An insignificant frequency dispersion phenomenon is observed. The oxide capacitance ( $C_{OX}$ ) of the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) MIS capacitor is determined to be  $0.24 \mu\text{F}/\text{cm}^2$ . By considering the thickness of  $\text{Al}_2\text{O}_3$  (30 nm), the dielectric constant of the  $\text{Al}_2\text{O}_3$  is calculated to be 8.1, which is in agreement with the previous reports [21], [22].

Fig. 2(b) shows the hysteresis characteristic of  $C$ - $V$  curves for the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) MIS capacitor at 1 kHz. The measurement voltages change from  $-5 \text{ V}$  to  $10 \text{ V}$  (black line) and then sweep in the opposite direction (red line). The flat band voltage ( $V_{FB}$ ) is determined to be  $4.4 \text{ V}$  according to the position of flat-band capacitance ( $C_{FB}$ ) [23]. The large positive shift of  $V_{FB}$  is ascribed to the presence of negative fixed charges in the  $\text{Al}_2\text{O}_3$  film, which is confirmed in the previous report [19]. The change of the ALD- $\text{Al}_2\text{O}_3$  precursor with ozone or PDA process may alleviate the shift of  $V_{FB}$  [20].



**FIGURE 3.** (a) The  $J$ - $V$  characteristic, (b)  $\ln(J/E^2)$  vs  $1/E$  for  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  MIS capacitor, and (c) the energy band diagram for  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  heterojunction, respectively.

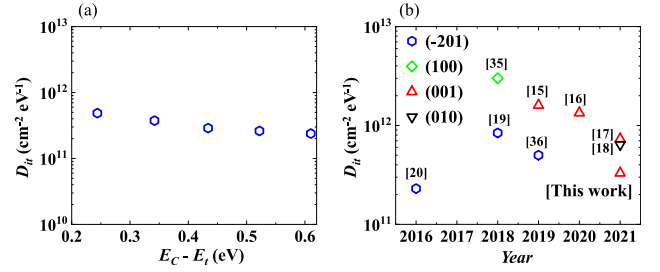
The negative fixed charges density ( $Q_f$ ) is computed to be  $4.4 \times 10^{12} \text{ cm}^{-2}$  by the following equation (1):

$$Q_f = C_{OX} \times \left( V_{FB} - \frac{\Phi_{MS}}{q} \right), \quad (1)$$

where  $\Phi_{MS}$  denotes the difference in work functions between Ni (5.15 eV) and  $\beta\text{-Ga}_2\text{O}_3$  (3.71 eV) [24], [25]. A typical  $C$ - $V$  hysteresis phenomenon exists in the  $C$ - $V$  curves of the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) MIS capacitor. The hysteresis voltage is extracted to be 0.4 V, indicating the presence of trapped charge or border traps in the  $\text{Al}_2\text{O}_3$  film. The trapped charge density is computed to be  $6.0 \times 10^{11} \text{ cm}^{-2}$ , which is competitive with the previous reports [17], [18], [19]. The  $1/C^2$  as a function of gate voltage is shown in Fig. 2(c). The donor concentration ( $N_d$ ) of the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) is extracted to be  $6.9 \times 10^{16} \text{ cm}^{-3}$ .

The  $J$ - $V$  characteristic of the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) MIS capacitor is shown in Fig. 3(a). The  $J$  values are lower than  $2 \times 10^{-8} \text{ A/cm}^2$  when the gate voltage sweeps from  $-5 \text{ V}$  to  $13 \text{ V}$ . This indicates that the high-quality  $\text{Al}_2\text{O}_3$  film and good  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) interface are formed. When the gate voltage reaches  $20 \text{ V}$ , there is an obvious hard breakdown phenomenon for the Ni/ $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  MIS capacitor. To further investigate the leakage current mechanism of the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) MIS capacitor, the Fowler-Nordheim (FN) tunneling model was taken into account after excluding other models such as Poole-Frenkel model, trap-assisted tunneling, Schottky emission [26], [27], [28], [29]. Fig. 3(b) shows the  $\ln(J/E^2)$  versus  $1/E$  curve of the  $\beta\text{-Ga}_2\text{O}_3$  MIS capacitor. The linear relationship is observed under high electrical fields ( $1/E < 0.33 \text{ cm/MV}$ ), which is in agreement with the FN tunneling model based on the following equation (2):

$$J = \frac{q^3 m_{sc} E^2}{8\pi h m^* \Phi_B} E^2 \exp\left(-\frac{8\pi \sqrt{2m^* \Phi_B^3}}{3hq} \frac{1}{E}\right), \quad (2)$$



**FIGURE 4.** (a) The extracted  $D_{it}$  vs  $E_C - E_t$  determined by Terman method for the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) interface. (b) Benchmarking plot of  $D_{it}$  values for  $\text{Al}_2\text{O}_3$  on  $\beta\text{-Ga}_2\text{O}_3$  with different planes.

where  $m^*$  is the effective electron mass in  $\text{Al}_2\text{O}_3$  film ( $0.23 m_0$ ,  $m_0$  is the electron effective mass) [30],  $m_{sc}$  is the effective electron mass in  $\beta\text{-Ga}_2\text{O}_3$ ,  $\Phi_B$  is the tunneling barrier height for electrons or the  $\Delta E_C$  for the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) heterojunction,  $h$  is the Planck's constant,  $E$  denotes the electrical field across the gate oxide, and  $q$  is the electron charge, respectively. By fitting linear regions of  $\ln(J/E^2) - 1/E$  characteristic, the slope is extracted to be  $1.14 \times 10^{-6} \Omega^{-1} \text{ cm}^{-1}$ . Therefore, the  $\Phi_B$  is determined to be 2.3 eV for the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) heterojunction based on equation (2). It agrees with the previously reported value deduced via an X-ray photoelectron spectroscopy technique [31]. The large  $\Delta E_C$  for the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) could explain the low  $J$  for the MIS capacitor. The energy band diagram of the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) heterostructure is shown in Fig. 3(c). The  $VBM$ ,  $CBM$ , and  $E_g$  are valence band maximum, conduction band minimum, and bandgap energy, respectively. The valence band offset ( $\Delta E_V$ ) is determined to be 0.3 eV in consideration of the  $E_g$  for  $\text{Al}_2\text{O}_3$  (7.2 eV) and  $\beta\text{-Ga}_2\text{O}_3$  (4.6 eV) [32], [33]. Band configuration for the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) heterojunction exhibits a type I (straddling gap) structure.

The  $D_{it}$  can be extracted via the Terman method, which is recognized to evaluate accurately  $D_{it}$  information of over  $10^{10} \text{ cm}^{-2} \text{ eV}^{-1}$  [34]. The gate voltage of the ideal high-frequency  $C$ - $V$  curve obtained by the numerical method is compared with that of the actual curve to achieve the  $D_{it}$  values via the following equation (3):

$$D_{it} = \frac{C_{OX}}{q^2} \left( \frac{dV}{d\varphi_s} - 1 \right) - \frac{C_s}{q} = \frac{C_{OX}}{q^2} \frac{d\Delta V}{d\varphi_s}, \quad (3)$$

where the  $\varphi_s$  is the surface potential of the  $\beta\text{-Ga}_2\text{O}_3$  (001),  $\Delta V$  is the difference between real gate voltage and ideal ones, and  $C_s$  is semiconductor capacitance. Because of the limitations of direct current sweep rate and alternating current frequency, the  $D_{it}$  is detected in the trap energy level ( $E_t$ ) range of  $E_C - 0.2 < E_t < E_C - 0.6 \text{ eV}$ . Fig. 4 (a) shows the extracted  $D_{it}$  distribution versus  $E_C - E_t$ . With the increase of  $E_C - E_t$ , the  $D_{it}$  decreases. The average and minimum  $D_{it}$  values are  $3.3 \times 10^{11}$  and  $2.3 \times 10^{11} \text{ cm}^{-2} \text{ eV}^{-1}$ , respectively.

Fig. 4 (b) summarizes previously reported  $D_{it}$  values for  $\text{Al}_2\text{O}_3$  on the different planes of  $\beta\text{-Ga}_2\text{O}_3$ . The

average  $D_{it}$  in this work is much lower than those of the  $\text{Al}_2\text{O}_3$  on  $\beta\text{-Ga}_2\text{O}_3$  (001), (010), and (100) of the previous reports [15], [16], [17], [18], [35]. It is also comparable with the minimum value of the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  ( $-201$ ) [19], [20], [36]. This indicates that the high-quality  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) interface has been formed by the piranha solution for the  $\beta\text{-Ga}_2\text{O}_3$  (001). The low  $D_{it}$  for the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) is possibly attributed to the following two factors. The vacancy (e.g., oxygen vacancies) defects, expected to act as the trapped charge at the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  interface, may be modified after the piranha pretreatment [37], [38]. On the other hand, the piranha pretreatment probably introduces hydroxyl groups on the surface of  $\beta\text{-Ga}_2\text{O}_3$ , which could promote the chemical reaction of TMA with water vapor during the first growth stage of the ALD- $\text{Al}_2\text{O}_3$  film [39], [40]. This could increase the quality of the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) interface and make the  $D_{it}$  decrease.

#### IV. CONCLUSION

In this study, we have fabricated the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  (001) MIS capacitor with the low  $D_{it}$ . The absence of frequency dispersion for the  $\beta\text{-Ga}_2\text{O}_3$  MIS capacitor was observed from frequency-dependent  $C$ - $V$  curves. The positive  $C$ - $V$  curves shift indicates the existence of the negative fixed charges in the  $\text{Al}_2\text{O}_3$  film. The  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  heterojunction shows a high  $\Delta E_C$  of 2.3 eV based on the FN tunneling model. The average  $D_{it}$  near  $E_C$  was extracted to be as low as  $3.3 \times 10^{11} \text{ cm}^{-2} \text{ eV}^{-1}$  by the Terman method. The low  $D_{it}$  may be ascribed to the modification of vacancy defects and the promotion of chemical reaction of TMA with water vapor due to the introduction of hydroxyl groups after the piranha pretreatment.

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