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# A Method for Obtaining the Real Off-State Breakdown Voltage of AlGaIn/GaN MIS-HEMTs in On-Wafer Tests by Optimizing Protective Layer

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**ABSTRACT** We demonstrate a method for testing the real off-state breakdown voltage ( $V_{BD}$ ) of AlGaIn/GaN metal-insulator-semiconductor high electron mobility transistor (MIS-HEMTs) in on-wafer tests. The method prevents the arcing over air at high voltage by depositing the protective layer between the pad electrodes of source and drain. The influence of materials and thickness of the protective layer on the high voltage tests of MIS-HEMTs were investigated. We found that it is helpful to obtain the real  $V_{BD}$  of the devices by increasing the thickness of the protective layer and selecting a material with a higher critical breakdown field strength. The real  $V_{BD}$  of the device with a gate-to-drain spacing of 25  $\mu\text{m}$  is 1164 V when 1.5  $\mu\text{m}$   $\text{SiO}_2$  is deposited as the protective layer, which is 141% higher than that of the value tested in air.

**INDEX TERMS** MIS-HEMTs, on-wafer tests, protective layer, off-state breakdown voltage, critical breakdown field strength.

## I. INTRODUCTION

ALGAN/GAN high electron mobility transistors (HEMTs) on silicon (Si) substrates are expected to be using in high-temperature, high-voltage and high-power applications due to the excellent material properties, such as high electron mobility, low on-resistance, and high breakdown voltage [1]–[6]. It is vital to prepare high breakdown voltage HEMTs especially in some high-powered applications, such as radar, aerospace, and rail transportation. However, due to the early arc breakdown between the electrodes, conventional test methods sometimes fail to obtain the real off-state breakdown voltage ( $V_{BD}$ ) of the devices in an air atmosphere during on-wafer tests. It is found that both the electrode spacing and the material (or gas atmosphere) between the electrodes will affect the tested  $V_{BD}$  [7]–[10]. Slade and Taylor studied the effect of electrode spacing on electrical breakdown in air atmosphere. They found that when the

electrode spacing exceeds 6  $\mu\text{m}$ , the breakdown voltages followed Paschen's curve for the Townsend electron avalanche process in air [11]. As for different dielectric materials, McPherson *et al.* reported that the critical breakdown field strength decreases as the dielectric constant of the material increases. According to their studies, among the four materials of  $\text{SiO}_2$ ,  $\text{HfSiON}$ ,  $\text{Ta}_2\text{O}_5$ , and PZT (piezoelectric ceramic transducer) between the electrodes,  $\text{SiO}_2$  has the lowest dielectric constant but the highest breakdown voltage [12]. For different gas atmosphere between the electrodes, Husain and Nema measured the breakdown voltage under the same pressure and electrode gap in air,  $\text{N}_2$  and  $\text{SF}_6$ . They obtained the maximum breakdown voltage in  $\text{SF}_6$  gas atmosphere, which is compatible with the calculated Paschen's curve [13]. Some researchers also carried out the breakdown measurements of AlGaIn/GaN HEMTs with the samples immersed in an inert liquid (Fluorinert) [14], [15]. Besides,

the breakdown characteristics of the devices tested in compressed gases [16]–[18] or in the mixed gas [19], [20] have also been reported. But these methods increase complexity to the operation for on-wafer tests in probe station.

In this work, we investigate the electrical breakdown properties of AlGaN/GaN MIS-HEMTs with different materials of protective layer between the electrodes in order to quickly evaluate the real  $V_{BD}$  of devices during on-wafer tests. The materials include  $\text{SiO}_2$  and  $\text{SiN}_x$ , which are commonly used in industrialization and easy to obtain in the laboratory. Materials with different critical breakdown field strength and thickness were deposited as protective layer by plasma enhanced chemical vapor deposition (PECVD). As a result, the devices with suitable protective layer show great convenience in evaluating the real  $V_{BD}$  during on-wafer tests in probe station. Besides, the devices with different materials of protective layer between the electrodes do not show deterioration during testing even at 150 °C. Meanwhile, the test results for the devices with suitable protective layer between the electrodes are comparable to those tested in inert liquid (Fluorine FC-40). The MIS-HEMTs with an optimized  $\text{SiO}_2$  as protective layer reached a real  $V_{BD}$  of 1164 V in on-wafer tests, which is 141% higher than that of the value tested in air.

## II. MATERIAL AND METHODS

The AlGaN/GaN epilayer used in this work was grown by metal–organic chemical vapor deposition (MOCVD). The epitaxial structure consists of a 3.5  $\mu\text{m}$  carbon-doped GaN buffer layer, a 300 nm GaN channel, a 1 nm AlN interlayer, a 19 nm un-doped  $\text{Al}_{0.23}\text{Ga}_{0.77}\text{N}$  barrier layer and a 3 nm un-doped GaN cap layer. The electron sheet density and electron mobility are  $1 \times 10^{13} \text{ cm}^{-2}$  and 1800  $\text{cm}^2/\text{Vs}$ , respectively by Hall measurement.

The schematic cross-sectional view of the as-fabricated GaN-on-Si MIS-HEMTs is shown in Fig. 1. The fabrication process of devices started with mesa isolation by dry etching in inductive coupled plasma (ICP) equipment. Then the ohmic metal Ti/Al/Ni/Au (20 nm/100 nm/10 nm/100 nm) was deposited by electron beam (EB) deposition and annealed at 830 °C for 1 min in  $\text{N}_2$  ambience by rapid thermal processing (RTP). Subsequently, a 200 nm  $\text{SiO}_2$  was deposited as passivation layer in a PECVD system. A  $\text{SiN}_x$  with a thickness of 20 nm was deposited as gate dielectric layer. The gate metal was formed by depositing Ni/TiW (50 nm/250 nm) in magnetron sputtering and followed a lift-off process. After that, another 1.5  $\mu\text{m}$   $\text{SiO}_2$  (the isolation layer) was deposited to isolate the ohmic metal and pad metal. The contact window was opened by ICP and then the thick pad electrode was formed by depositing Ti/Al/Ti/Au (150 nm/600 nm/150 nm/250 nm). Next, the protective layer was deposited by PECVD in order to obtain the real  $V_{BD}$ . Finally, the thick pad electrode contact window was opened by ICP etching, and the size of the lithography opening pattern is smaller than the pad metal.

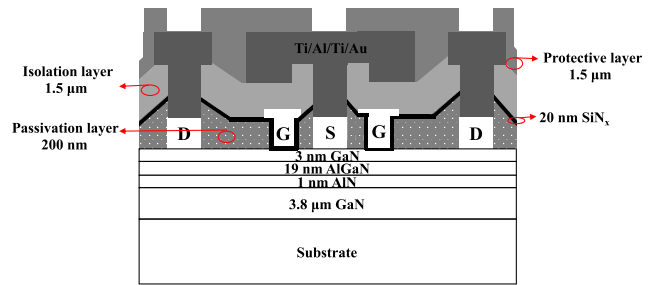


FIGURE 1. Schematic cross-section of AlGaN/GaN MIS-HEMTs.

TABLE 1. The materials and thickness of the protective layer deposited by PECVD.

Samples	A	B	C	D	E	F	G
Protective layer	Air	$\text{SiO}_2$	$\text{SiO}_2$	$\text{SiO}_2$	$\text{SiO}_2$	$\text{SiN}_x$	$\text{SiO}_2$
Thickness( $\mu\text{m}$ )	0	0.2	1	1.5	3	1.5	3
Dielectric constant	1	3.9	3.9	3.9	3.9	6.8	5.4
Critical breakdown field strength <sup>*</sup> (MV/cm)	0.03	10	10	10	10	6.7	8.5
$V_{BD}$ (V)	482	629	928	1164	1127	818	984
Standard deviation <sup>*</sup>	29	45	72	83	79	92	69

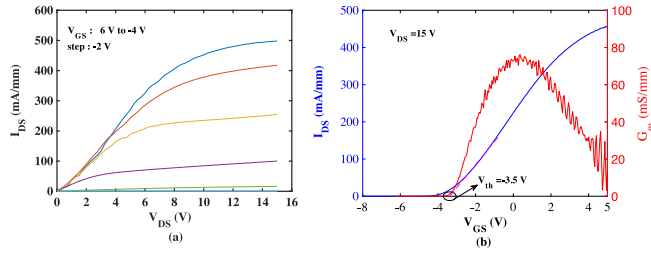
Note: The critical breakdown field strength<sup>\*</sup> was measured by plane-parallel capacitor and the  $V_{BD}$ <sup>\*</sup> in the table refers to the average value. The last line in the table is the standard deviation<sup>\*</sup> of  $V_{BD}$  for each sample. The total number of each sample is 30 and the  $L_{gd}$  of all samples in the table is 25  $\mu\text{m}$ .

In this work, we set up seven kinds of samples to study the influence of materials and thickness of the protective layer on  $V_{BD}$  of MIS-HEMTs during on-wafer tests. All samples have similar structure expect for the protective layer as shown in table 1. Different materials growth is achieved by controlling the flow ratio of the gases and the pressure of the chamber with a deposition temperature at 300 °C. The space of gate-to-source ( $L_{gs}$ ) of all samples is 5  $\mu\text{m}$  and each sample has three gate-to-drain spacing ( $L_{gd}$ ) of 15  $\mu\text{m}$ , 20  $\mu\text{m}$  and 25  $\mu\text{m}$ . The length of source-field-plate and gate-field-plate of each sample are 12.5  $\mu\text{m}$  and 1  $\mu\text{m}$ . Meanwhile, the gate width and length of each sample are 400  $\mu\text{m}$  and 3  $\mu\text{m}$ , respectively. Direct-Current (DC) characterization and high voltage on-wafer tests is carried out in Cascade T200 probe station and Agilent B1505A semiconductor device analyzer in air.

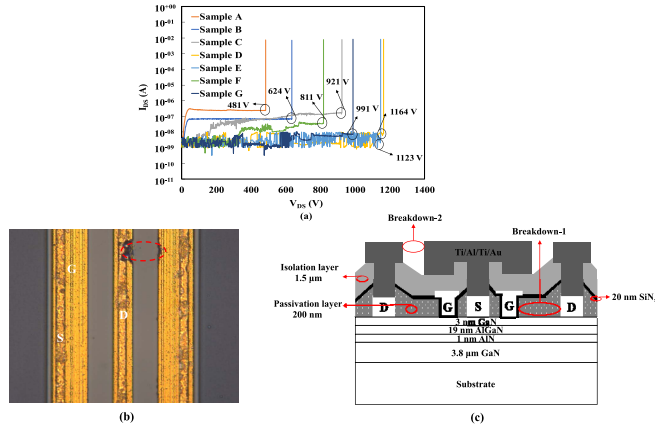
## III. RESULTS AND DISCUSSION

Fig. 2 shows the DC output characteristics and transfer characteristics of sample D. Besides, other samples have the same DC output characteristics and transfer characteristics as sample D, which is not shown here. The threshold voltage ( $V_{th}$ ) of all samples is  $-3.5 \text{ V}$  at the drain-source voltage ( $V_{DS}$ ) of 15 V. The current density ( $I_{DS}$ ) of the device is 450 mA/mm when the gate-source voltage ( $V_{GS}$ ) is 5 V.

Fig. 3a plots the breakdown characteristics of different samples. The three-terminal off-state breakdown measurements were carried out in air and the gate is biased in pinch-off ( $V_{GS} = -6 \text{ V}$ ). We define  $V_{BD}$  as the voltage at which the  $I_{DS}$  changes abruptly as shown in Fig. 3a and the off-state current ( $I_{dleakage}$ ) in this paper is defined as



**FIGURE 2.** (a) DC output characteristics and (b) transfer characteristics of sample D. The  $L_{gd}$  is 25  $\mu\text{m}$ .

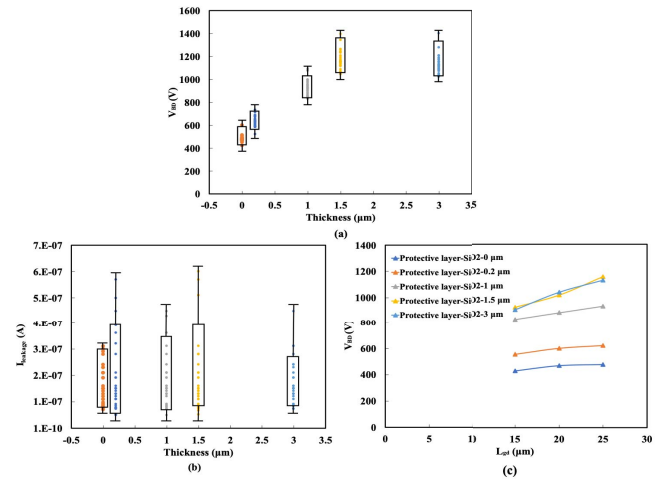


**FIGURE 3.** (a) Breakdown characteristics for different samples. (b) the microscope image after devices breakdown. (c) the breakdown path analysis of the devices. The  $L_{gd}$  is 25  $\mu\text{m}$ .

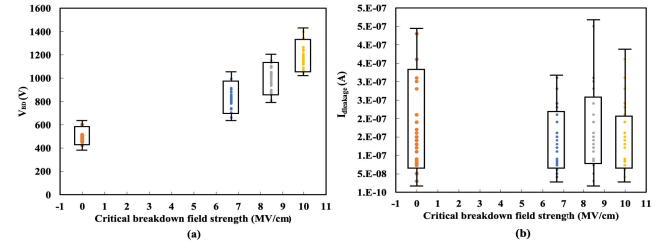
the corresponding  $I_{DS}$  at the turning point of the breakdown curve. It is found that samples with different protective layer show significant difference in tested  $V_{BD}$  of devices. This phenomenon means that both materials and thickness of the protective layer affect the tested value of  $V_{BD}$  in MIS-HEMTs.

Fig. 3b and Fig. 3c show the microscope image after devices breakdown and the breakdown path analysis of the devices. As shown in Fig. 3b, the breakdown area in all samples occurs between the pad electrodes of source and drain but not between the gate and drain, which is different from conventional HEMTs in breakdown area [21]–[24]. From the perspective of electric field, the introduction of the field plate structure changes the electric field distribution at off-state in MIS-HEMTs and suppresses the electric field on the surface of the AlGaIn barrier layer. Therefore, the breakdown area shifts from Breakdown-1 to Breakdown-2 in these samples as shown in Fig. 3c. As a result, samples with different protective layer have different tested  $V_{BD}$ . When the protective layer is not deposited, the tested  $V_{BD}$  of the device is relatively low with only 482 V.

We first compared the tested  $V_{BD}$  of samples with different thickness of the protective layer and different  $L_{gd}$ . As shown in Fig. 4a, the tested  $V_{BD}$  increase first and then saturate as the thickness of protective layer increases under the same  $L_{gd}$ . Besides, when the thickness of protective layer



**FIGURE 4.** (a) The tested  $V_{BD}$  and (b) the  $I_{Dleakage}$  versus the thickness of the protective layer for sample A - E with  $L_{gd}$  of 25  $\mu\text{m}$ . (c) the tested  $V_{BD}$  versus the  $L_{gd}$  and the thickness of the protective layer for sample A - E.

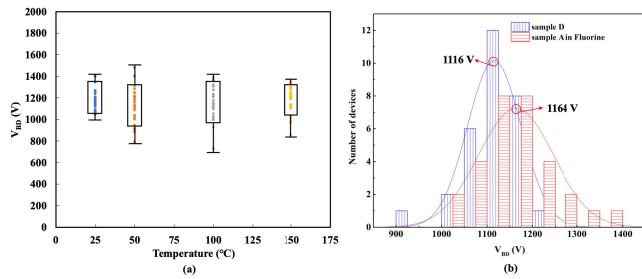


**FIGURE 5.** (a) The tested  $V_{BD}$  and (b) the  $I_{Dleakage}$  versus critical breakdown field strength of different materials for sample A, D, F, G. The  $L_{gd}$  is 25  $\mu\text{m}$ .

is lower than a certain value, the tested  $V_{BD}$  nearly do not change with  $L_{GD}$ , as shown in Fig. 4c. However, the tested  $V_{BD}$  increases with the increasing of  $L_{GD}$  as the thickness exceeding 1.5  $\mu\text{m}$ , which means that the protective layer plays a role in preventing the arcing over air. According to Fig. 4b, we also observed that the thickness of the protective layer has little effect on the  $I_{Dleakage}$  and the value of the  $I_{Dleakage}$  is basically kept at an order of magnitude.

In the second step, we studied the effect of materials of protective layer on the tested  $V_{BD}$ . The tested  $V_{BD}$  of sample A, sample D, sample F and sample G are shown in Fig. 5a. According to Fig. 5a, the samples with a larger critical breakdown field strength in protective layer have a higher tested  $V_{BD}$ . Moreover, the difference in materials of protective layer do not affect the  $I_{Dleakage}$  as shown in Fig. 5b.

To initially evaluate the impact of the protective layer used on devices reliability, we compared the breakdown characteristics of sample D at different temperatures. As show in Fig. 6a, we observed that the devices maintain good breakdown characteristics without significant deterioration even at 150  $^{\circ}\text{C}$ . These deposition processes of the protective layers are the basic processes in devices fabrication and the reliability of the devices will not be deteriorated. Besides, we



**FIGURE 6. (a) The breakdown characteristics of sample D at different temperatures, (b) the tested  $V_{BD}$  of sample D with 1.5  $\mu\text{m}$  protective layer and sample A in Fluorine. The  $L_{gd}$  is 25  $\mu\text{m}$ .**

tested sample A in inert liquid (Fluorine FC-40) solution, which is widely used in high-voltage devices testing. As shown in Fig. 6b, sample D with 1.5  $\mu\text{m}$  protective layer show an average value of tested  $V_{BD}$  of 1164 V, which is comparable to that of sample A of 1116 V tested in Fluorine. In a word, samples with suitable protective layer not only show good breakdown characteristics in the comparison of samples tested in Fluorine, but also hardly have significant degradation during testing even at 150 °C.

In order to further analyze the principle of obtaining the real  $V_{BD}$  by depositing a protective layer, we propose a model to explain it. As shown in Fig. 7, the distance between the electrodes is defined as  $t_{act}$ . When a protective layer, whose thickness is smaller than that of electrodes, is deposited between the two electrodes, the  $t_{act}$  can be expressed as follow:

$$t_{act} = 2 \times t_1 + t_2 \quad (1)$$

where  $t_1$  is the thickness of protective layer covered on the sidewall of electrodes, and  $t_2$  is the remaining distance in air.

For the breakdown between electrodes, it is often analyzed from two aspects: the distance and the dielectric material between the electrodes. Several studies have shown that materials with smaller dielectric constant can gain higher critical breakdown field strength [7], [8], [11], [25]. For air, however, the critical breakdown field strength is more susceptible to other factors, such as air humidity and gas pressure [26]. Therefore, the tested  $V_{BD}$  of sample A obtained in air is low. Bychkov *et al.* devoted to the investigation of the critical breakdown field strength in the air, which measured about 0.03 MV/cm and even lower when considering other factors [27]. The critical breakdown field strength of  $\text{SiO}_2$  and  $\text{SiN}_x$  is related to the process of depositing thin films [28], [29]. By adjusting the deposition process, we obtained different critical breakdown field strength, 10 MV/cm and 8.5 MV/cm of  $\text{SiO}_2$ , 6.7 MV/cm of  $\text{SiN}_x$  in our laboratory.

As a result, both the distance and critical breakdown field strength of the protective layer can affect the tested  $V_{BD}$  between electrodes.

We define that the effective distance  $t_{eff}$  is the equivalent distance in air of  $t_{act}$ . The  $t_{act}$  can be converted to the  $t_{eff}$

according to the difference of the critical breakdown field strength between the protective layer and air. The specific expression of  $t_{eff}$  is as follows:

$$t'_1 \times V_{Air} = t_1 \times V_{SiO_2} \quad (2)$$

$$t_{eff} = 2 \times t'_1 + t_2 \quad (3)$$

where  $t'_1$  is the equivalent distance of  $t_1$ ,  $V_{SiO_2}$  and  $V_{Air}$  is the critical breakdown field strength in  $\text{SiO}_2$  and air.

When a protective layer is deposited, the electrodes are cover by a material with high critical breakdown field strength. As the thickness of the protective layer increases, the electrodes are cover by thicker protective layer and the  $t_1$  becomes larger. Therefore, the  $t_{eff}$  also increases. Taking sample B as an example, when the deposition thickness of  $\text{SiO}_2$  is 0.2  $\mu\text{m}$ , the  $t_{eff}$  is 152.4  $\mu\text{m}$ . Then, the  $t_{eff}$  of sample B is far greater than that of sample A, which is only 19.5  $\mu\text{m}$ . As a result, sample B (629 V) has a higher tested  $V_{BD}$  than sample A (482 V), which is met the classical Paschen's law. The law holds that the  $V_{BD}$  is proportional to the distance between the electrodes when the air pressure is a constant [7]–[11]. As the thickness of the protective layer increases, the  $t_{eff}$  between the electrodes becomes larger, and therefore the tested  $V_{BD}$  is improved. When the thickness of the protective layer continues to increase and exceeds the thickness of the electrodes, the space between electrodes is filled with protective layer. After that, the  $t_{eff}$  reaches the maximum value and keeps in a constant. Consequently, the tested  $V_{BD}$  reaches saturation and do not change with the increase of the thickness of the protective layer, which means that the tested  $V_{BD}$  in this case is the real  $V_{BD}$  of the devices. The results of this model are in coincident with the results in Fig. 4 (a).

On the other hand, different materials with the same thickness have different  $t_{eff}$  because of the difference in critical breakdown field strength. The  $t_{eff}$  increases with the critical breakdown field strength increasing, leading to increases of the tested  $V_{BD}$ , as the results shown in Fig. 5 (a).

#### IV. CONCLUSION

In this work, we prevent the arcing over air at high voltage on-wafer tests and obtained a real  $V_{BD}$  of 1164 V in AlGaN/GaN MIS-HEMTs by depositing a 1.5  $\mu\text{m}$   $\text{SiO}_2$  on the pad electrodes of source and drain. Both the distance between the electrodes and the critical breakdown field strength of protective layer can affect the acquisition of the real  $V_{BD}$  for devices. As the thickness of the protective layer increases, the tested  $V_{BD}$  first increases and then saturates. For different materials of protective layer, materials with larger critical breakdown field strength can achieve higher tested  $V_{BD}$ . The tested  $V_{BD}$  is related to the effective distance between the electrodes, which is consistent with the theory of Paschen's law. Meanwhile, the devices with different materials of protective layer between the electrodes did not show deterioration during testing even at 150 °C. Furthermore, the test results for the devices with different materials of

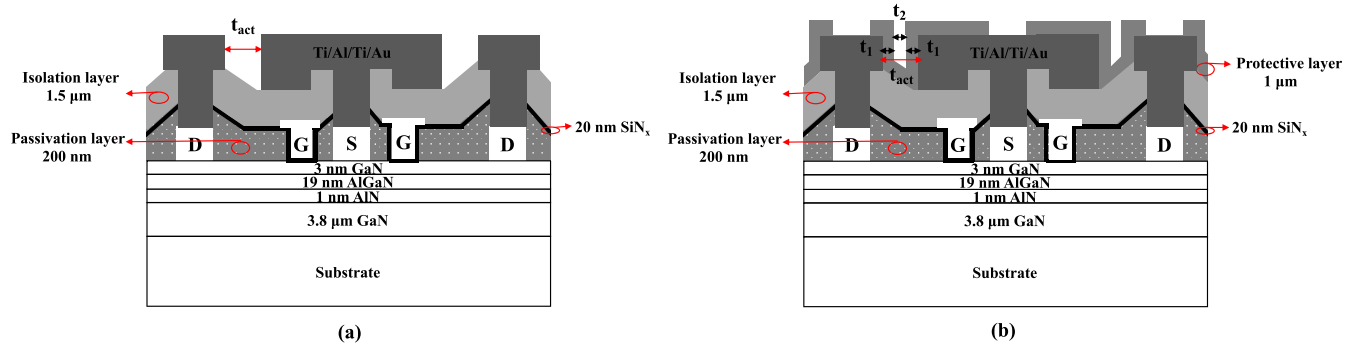


FIGURE 7. Electrodes (a) without and (b) with deposited protective layer.

protective layer between the electrodes are comparable to those tested in inert liquid (Fluorine FC-40). Our result contributes to a certain direction for obtaining the real  $V_{BD}$  of the device in high voltage on-wafer tests.

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