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# Effect of Al<sub>2</sub>O<sub>3</sub> Passivation on Electrical Properties of $\beta$ -Ga<sub>2</sub>O<sub>3</sub> Field-Effect Transistor

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**ABSTRACT** We report on the effect of Al<sub>2</sub>O<sub>3</sub> surface passivation on electrical properties of beta-gallium oxide ( $\beta$ -Ga<sub>2</sub>O<sub>3</sub>) nanomembrane field-effect transistor (FET). The fabricated bottom-gate  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>(100) FET exhibits enhanced channel conductance and reduced hysteresis after the conformal atomic layer deposited Al<sub>2</sub>O<sub>3</sub> passivation investigated by high-resolution transmission electron microscope (HR-TEM) analysis. Moreover, abnormal positive threshold voltage ( $V_{TH}$ ) shifts under negative bias stress are turned into negative  $V_{TH}$  shifts, and off-state breakdown characteristics is improved as well. A modeling work using physics-based TCAD shows reduced surface depletion effect after the surface passivation. The results demonstrate that high-quality ALD-Al<sub>2</sub>O<sub>3</sub> surface passivation is an effective method to improve electrical properties of the bottom-gate  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> FET and its device applications.

**INDEX TERMS**  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, passivation, surface depletion.

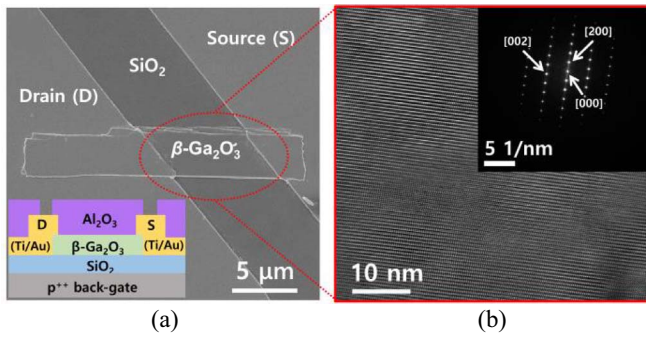
## I. INTRODUCTION

Beta-gallium oxide ( $\beta$ -Ga<sub>2</sub>O<sub>3</sub>) has attracted great attention very recently as a promising candidate for next generation high power device application due to its superior electrical properties. Its wide bandgap is about 4.6 ~ 4.9 eV, allowing high-temperature and high-voltage operation estimated to be a breakdown field ( $E_{br}$ ) of up to 8 MV/cm [1]–[3]. Consequently, even with nominal electron mobility of 100 cm<sup>2</sup>/Vs at room temperature,  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> is estimated to possess several times higher Baliga's figure-of-merit (FOM) than that of current viable solutions such as silicon carbide (SiC) and gallium nitride (GaN) [3], [4]. The highest measured mobility is so far reported to be ~180 cm<sup>2</sup>/Vs [5], and its theoretical limit in a bulk crystal is estimated below 200 cm<sup>2</sup>/Vs at 300 K [6]. Furthermore, a high-quality native Ga<sub>2</sub>O<sub>3</sub> substrate from bulk single crystal obtained from melt-growth methods, such as Czochralski and edge-defined film-fed growth (EFG), provide a competitive cost over other competing wide bandgap materials [7]–[10].

In order to take advantage of the superior FOMs and fabricate high performance devices for various applications, a high-quality interface with  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> is of primary concern since it generally affects field-effect mobility, stress

instability, breakdown characteristics, etc. Thus, several improvement methods have been reported using high-k oxides as a gate-dielectric layer and even heterostructures [5], [11]–[13]. Furthermore, surface depletion effect on  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> is reported to affect threshold voltage as well as bias-stress stability in a bottom-gate configuration, and so dielectric passivation is suggested [14]–[17]. From a practical point of view, the stress induced instability issue associated with high surface states requires a proper passivation scheme to be incorporated.

In this work, we investigate the effect of atomic layer deposited (ALD) aluminum oxide (Al<sub>2</sub>O<sub>3</sub>) surface passivation on electrical properties of bottom-gate  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>(100) FET. The  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> nanomembrane is obtained from an unintentionally n-doped (UID) bulk crystal using a mechanical exfoliation method. Electrical properties of the fabricated bottom-gate  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>(100) FET are extracted and compared before and after the Al<sub>2</sub>O<sub>3</sub> surface passivation. High-resolution transmission electron microscope (HR-TEM) analysis is carried out to investigate the Al<sub>2</sub>O<sub>3</sub>/ $\beta$ -Ga<sub>2</sub>O<sub>3</sub> interface. Moreover, negative bias stress instability as well as off-state breakdown characteristics are also studied and compared. Finally, detailed analysis using energy band model



**FIGURE 1.** (a) A representative SEM image of the fabricated  $\beta\text{-Ga}_2\text{O}_3$  FET ( $W/L = 4.5 \mu\text{m}/5.6 \mu\text{m}$ ) with bottom gate configuration using a mechanical exfoliation method (Inset) Its cross-sectional schematic illustration. (b) Cross-sectional HR-TEM image of the exfoliated  $\beta\text{-Ga}_2\text{O}_3$  channel (Inset) Its corresponding electron diffraction pattern with the unit of 5 1/nm indicating 5 reserved nanometers, confirming the (100) surface orientation of the exfoliated  $\beta\text{-Ga}_2\text{O}_3$  nanomembrane.

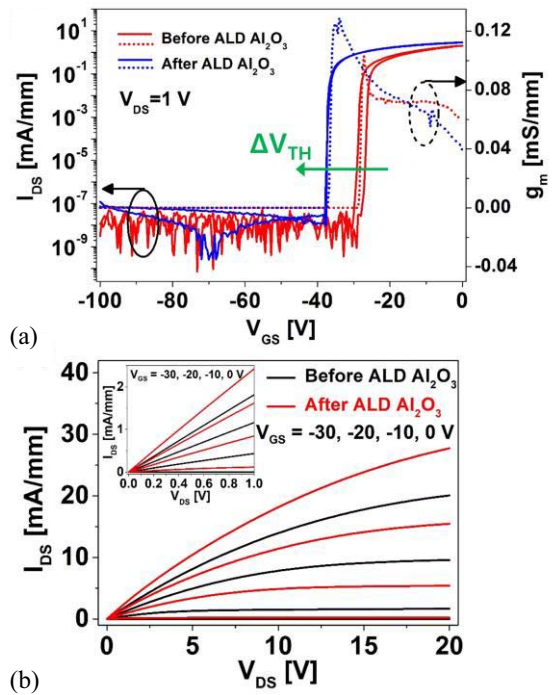
and TCAD simulations has been conducted to discuss the effect of ALD- $\text{Al}_2\text{O}_3$  surface passivation.

## II. EXPERIMENTS

Based on a conventional scotch-tape method,  $\beta\text{-Ga}_2\text{O}_3$  flakes were mechanically exfoliated from a  $(-201)$  surface  $\beta\text{-Ga}_2\text{O}_3$  bulk substrate (15 mm x 10 mm) with unintentional n-type doping (UID) concentration of  $4.8 \times 10^{17} \text{ cm}^{-3}$ , and then transferred onto  $\text{SiO}_2/\text{p}^{++}\text{Si}$  (300nm/500 $\mu\text{m}$ ) substrate. The heavily doped  $\text{p}^{++}$  Si substrate is used as a bottom gate. The sample was immediately cleaned in Acetone and IPA. Then source and drain (S/D) electrodes of Ti/Au (20/120 nm) were deposited by thermal evaporation and patterned using a conventional photolithography and lift-off process. Post-deposition anneal process was not performed. After that, the other side (top surface) of  $\beta\text{-Ga}_2\text{O}_3$  flakes was passivated with about 20 nm thick  $\text{Al}_2\text{O}_3$  layer deposited by ALD at 200 °C. Trimethyl Aluminum (TMA) and water as Al precursor and oxygen source, respectively. Finally, source/drain (S/D) contacts were open via reactive-ion etching (RIE) using  $\text{CF}_4/\text{O}_2$  gases (150 W). Focused ion-beam (FIB)-scanning electron microscopy (SEM, Nova 600) and high resolution transmission electron microscopy (HR-TEM, Talos F200X) equipped with an energy-dispersive X-ray spectrometer (EDX) was used. Electrical characterization was carried out using Keithley 4200A semiconductor parameter analyzer in ambient conditions. The commercial Silvaco Atlas is employed for TCAD simulation.

## III. RESULTS AND DISCUSSION

Fig. 1(a) shows a representative scanning electron microscopy (SEM) image of the fabricated  $\beta\text{-Ga}_2\text{O}_3$  nanomembrane FET with its cross-sectional schematic illustration in the inset. The monoclinic structure of  $\beta\text{-Ga}_2\text{O}_3$ , having a relatively large lattice constant along the [100] direction, allows a simple cleavage into flakes or nanomembranes similar to two-dimensional layered materials [14]–[19]. Fig. 1(b) shows a cross-sectional HR-TEM



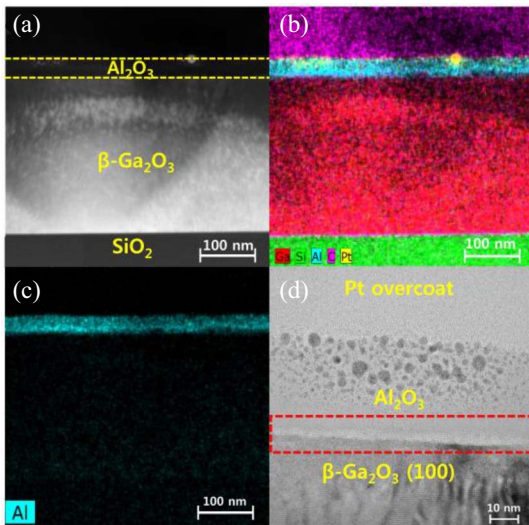
**FIGURE 2.** (a) Transfer curves ( $I_{\text{DS}}\text{-}V_{\text{GS}}$ ) by double-sweep for  $V_{\text{DS}} = 1 \text{ V}$  before and after ALD- $\text{Al}_2\text{O}_3$  passivation. Transconductance ( $g_m$ ) vs.  $V_{\text{GS}}$  for the same  $V_{\text{DS}}$  is shown. (b) Output characteristics ( $I_{\text{DS}}\text{-}V_{\text{DS}}$ ) for  $V_{\text{GS}} = -30, -20, -10, 0 \text{ V}$  before and after the passivation (Inset)  $I_{\text{DS}}\text{-}V_{\text{DS}}$  of the device for low  $V_{\text{DS}}$  regime of 0 ~ 1.0 V before and after passivation.

image of the exfoliated  $\beta\text{-Ga}_2\text{O}_3$  preserving high crystal quality of bulk  $\beta\text{-Ga}_2\text{O}_3$  crystal with no damage or strain, and its selected-area electron diffraction (SAED) pattern shown in the inset of Fig. 1(b) confirms the lattice parameters and directions of the exfoliated flake. A clean and facile cleavage along the [100] direction is achieved, and so the  $\beta\text{-Ga}_2\text{O}_3$  with (100) surface orientation forms the channel. Although the approach is not scalable, it allows a simple device fabrication to investigate electrical material properties of  $\beta\text{-Ga}_2\text{O}_3$  with the specific surface orientation.

Fig. 2(a) shows measured transfer curves of  $I_{\text{DS}}\text{-}V_{\text{GS}}$  for  $V_{\text{DS}} = 1 \text{ V}$  before and after the  $\text{Al}_2\text{O}_3$  passivation by double-sweep method applying  $V_{\text{GS}}$  from  $-100 \text{ V}$  to  $0 \text{ V}$  (forward sweep) and then back to  $-100 \text{ V}$  (backward sweep). The characterized device has a channel width ( $W$ )/length ( $L$ ) of  $2 \mu\text{m}/16 \mu\text{m}$  with channel thickness of about 260 nm. The peak transconductance ( $g_m$ ), which is calculated from  $g_m = dI_{\text{DS}}/dV_{\text{GS}}$ , increases from 0.10 to 0.13 mS/mm, and the subthreshold slope (SS) calculated from  $SS = [d(\log_{10} I_{\text{DS}})/dV_{\text{GS}}]^{-1}$  improves from 0.30 to 0.25 V/dec. The relatively low  $g_m$  compared with the values from other groups can be associated with a low doping concentration of the  $\beta\text{-Ga}_2\text{O}_3$  and un-optimized S/D metal contact process. Through successful elimination of water and oxygen molecules at the channel surface, a negligible hysteresis ( $\Delta V$ ) is observed after the passivation in comparison

with an initial hysteresis of 2.6 V. A negative  $V_{\text{TH}}$  shift of  $-8.3$  V (from  $-28.2$  V to  $-36.5$  V) is due to positively fixed charges inside the  $\text{Al}_2\text{O}_3$  layer inducing further electrons into the channel (i.e., n-doping effect) and/or suppressed surface depletion effects around the  $\beta\text{-Ga}_2\text{O}_3$  flake. Fig. 2(b) compares measured output curves of IDS-VDS for  $V_{\text{GS}}$  = (term missing) (set as ms)  $-30$ ,  $-20$ ,  $-10$ , and  $0$  V, and the inset shows ohmic-like contact behaviors of both before and after the passivation in low  $V_{\text{DS}}$  regions.

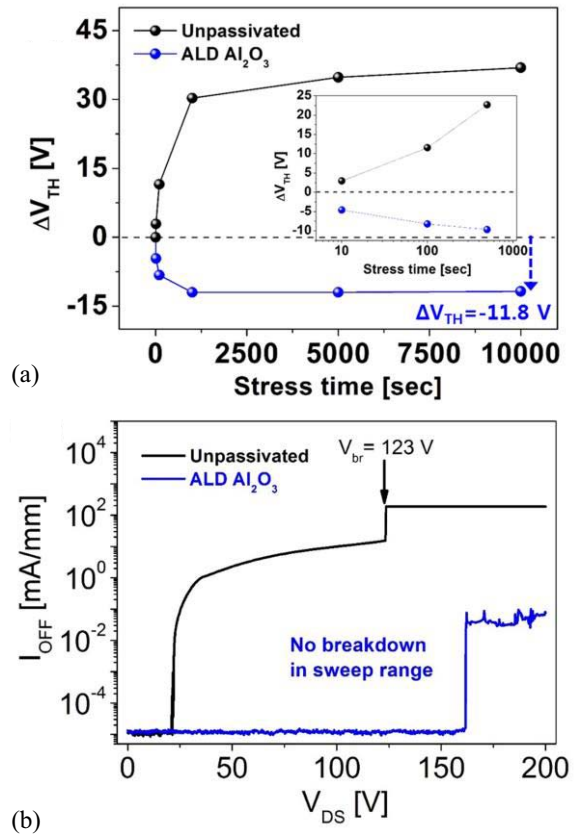
The interface of ALD- $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3(100)$  is investigated by HR-TEM analysis. Fig. 3(a) shows the STEM image, and Fig. 3(b) and (c) show EDX mapping of different layers, confirming the elements of layers. Platinum(Pt) particles on top of the  $\text{Al}_2\text{O}_3$  layer are due to the Pt coating process during sample preparation for TEM. Fig. 3(d) shows a cross-sectional HR-TEM image of the interface with red dashed box, confirming a uniform amorphous  $\text{Al}_2\text{O}_3$  layer with a few nm thick conformal  $\text{Al}_2\text{O}_3$  interlayer in a different phase on the  $\beta\text{-Ga}_2\text{O}_3$  surface. After being crystallized at the initial stage of ALD deposition attributed to atomic arrangement similarities between the  $\text{Al}_2\text{O}_3$  and  $\beta\text{-Ga}_2\text{O}_3$  [20], the conformal  $\text{Al}_2\text{O}_3$  layer successfully passivates dangling bonds and surface states on the  $\beta\text{-Ga}_2\text{O}_3$  surface, consequently improving the overall device performance.



**FIGURE 3.** (a) HAADF-STEM image of the  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3$  structure (yellow dashed lines for eye guidance) and (b) its EDX compositional mapping of the different layers; element (c) Al. (d) A cross sectional HR-TEM image with an indication (red dashed box) of conformal  $\text{Al}_2\text{O}_3$  layer at the interface  $\text{Al}_2\text{O}_3/\beta\text{-Ga}_2\text{O}_3(100)$ .

Furthermore, the  $\text{Al}_2\text{O}_3$  surface passivation improves bias-stress stability. In order to investigate electrical instability of the  $\beta\text{-Ga}_2\text{O}_3$  FET, we performed transfer characteristics measurements under negative bias stress (NBS,  $V_{\text{GS}} - V_{\text{TH}} < 0$ ) during the given stress time (10,000 sec). We only interrupted the applied stress for the measurement at predetermined steps by sweeping  $V_{\text{GS}}$  from  $-100$  V to  $0$  V at  $V_{\text{DS}} = 1$  V, and extracted  $\Delta V_{\text{TH}}$  from  $\Delta V_{\text{TH}} = V_{\text{TH}}(t = t_{\text{STR}}) - V_{\text{TH}}(t=0)$ . Fig. 4(a) shows the change of

extracted  $\Delta V_{\text{TH}}$  as a function of stress time for the as-fabricated (i.e., unpassivated) and  $\text{Al}_2\text{O}_3$ -passivated device (i.e., ALD- $\text{Al}_2\text{O}_3$ ). Abnormal positive  $\Delta V_{\text{TH}}$  of  $\sim 37$  V observed in the unpassivated device is turned into negative  $\Delta V_{\text{TH}}$  of about  $-12$  V in the  $\text{Al}_2\text{O}_3$ -passivated  $\beta\text{-Ga}_2\text{O}_3$  FET, which is a typical shift behavior under NBS.

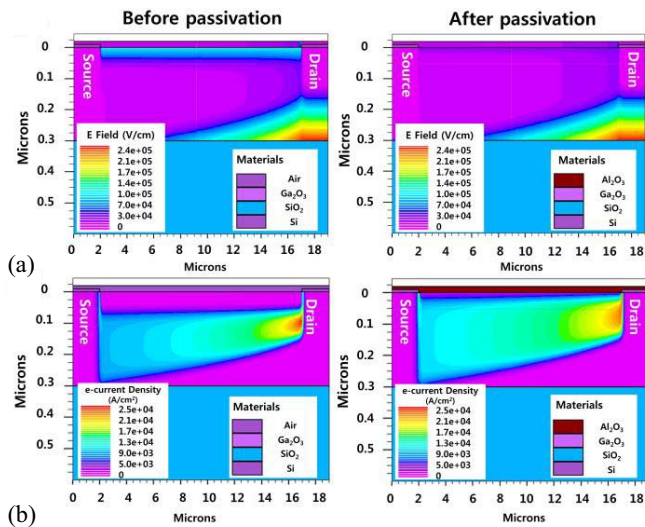


**FIGURE 4.** (a) Threshold voltage shifts ( $\Delta V_{\text{TH}}$ ) of the unpassivated (i.e., as-fabricated) and  $\text{Al}_2\text{O}_3$ -passivated  $\beta\text{-Ga}_2\text{O}_3(100)$  FET as a function of stress time ( $t_{\text{STR}}$ , 10,000 sec) under negative bias stress ( $V_{\text{GS}} - V_{\text{TH}} < 0$  V) measured at  $V_{\text{DS}} = 1$  V. (Inset)  $\Delta V_{\text{TH}}$  in logarithmic scale of stress time. (b) Three terminal off-state breakdown measurement of the unpassivated and  $\text{Al}_2\text{O}_3$ -passivated  $\beta\text{-Ga}_2\text{O}_3$  FET.

In addition, we performed three-terminal off-state breakdown measurement in order to evaluate the passivation effect on breakdown characteristics. Same effective gate-bias ( $V_{\text{GS}} - V_{\text{TH}}$ ) was applied to maintain off-state, and  $V_{\text{DS}}$  was swept until destructive breakdown happened by reaching a preset compliance current value. Although the abrupt increase in  $I_{\text{OFF}}$  at  $V_{\text{DS}} = 25$  V is unclear, a breakdown voltage (BV) of 123 V is observed for the unpassivated device as shown in Fig. 4(b). However, no destructive BV is measured in the sweep range after the passivation because the  $\text{Al}_2\text{O}_3$  layer has not only reduced dangling bonds and defects on the surface leading to a reduced current collapse effect but also provided smoother field distribution in the  $\beta\text{-Ga}_2\text{O}_3$  channel [26]. The drain current fluctuation for  $V_{\text{DS}} > 160$  V can be attributed to traps-to-band tunneling current and

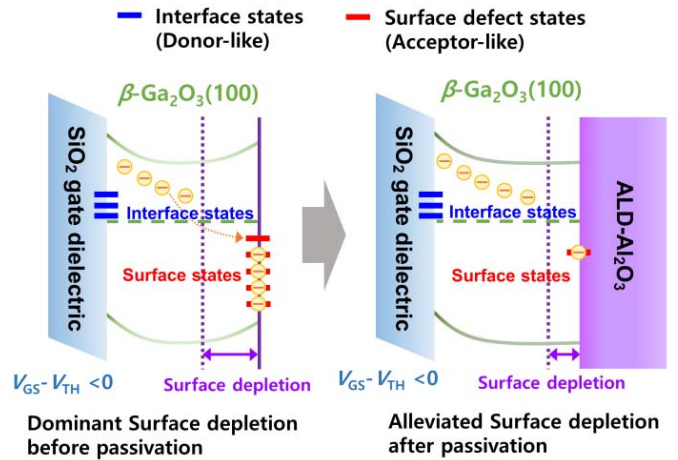
charging/discharging of the traps. Further optimization of the ALD surface passivation on  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>(100) is suggested.

In order to validate the experimental results, TCAD simulations are carried out [21]. Firstly, TCAD parameters are calibrated to the measured I-V characteristics before and after the passivation. We further incorporate Shockley-Read-Hall, Fermi-Dirac statistics, and Auger recombination models, and added a parameter (INTTRAP) in order to set shallow and deep trap levels taken from other groups' DLTS and DLOS analysis, respectively [22], [23]. Fig. 5(a) shows electric-field (E Field) distributions of fabricated  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> FET in the on-state before and after the Al<sub>2</sub>O<sub>3</sub> passivation, indicating reduced peak electric field at the drain edge and an even field distribution along the channel. Electron current (e-current) densities of the device are also present in Fig. 5(b). It is clearly shown that the depleted width at the channel surface is reduced after the passivation and the passivated device has more conducting carriers under the same gate bias. The reduced surface depletion effect is discussed in detail using energy band diagrams hereinafter.



**FIGURE 5. Simulated on-state (a) electric-field distribution (E Field) and (b) electron current density (e-current Density) of the fabricated device before and after the ALD-Al<sub>2</sub>O<sub>3</sub> surface passivation.**

Fig. 6 illustrates the surface depletion effect by surface defect states (accept-like) which is larger than the amount of released-charges (i.e., electrons) from the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>/SiO<sub>2</sub> interface states (donor-like) under NBS condition [15], [16], [24], [25]. Depleted charges from the channel surface exceed released charges into the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> channel because of conceivable surface oxygen vacancies, defect states, and adsorbates. After the Al<sub>2</sub>O<sub>3</sub> passivation, however, the negative  $\Delta V_{TH}$  of about  $-12$  V, which is a typical shift behavior under NBS, was achieved. As validated by the simulation, the surface depletion width was decreased and so surface depletion effect was suppressed by the Al<sub>2</sub>O<sub>3</sub> passivation.



**FIGURE 6. Schematic illustration of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>(100) surface states before and after ALD-Al<sub>2</sub>O<sub>3</sub> passivation.**

#### IV. CONCLUSION

In conclusion, we have investigated the effect of ALD-Al<sub>2</sub>O<sub>3</sub> surface passivation on electrical properties and device instability of the bottom gate  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>(100) FET;  $g_m$  increases from 0.1 to 0.13 mS/mm, SS is reduced from 0.30 to 0.25 V/dec, and a negligible hysteresis ( $\Delta V$ ) is achieved in comparison with the initial hysteresis of 2.6 V. HR-TEM and EDX analysis confirm that a conformal Al<sub>2</sub>O<sub>3</sub> layer has been deposited on the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>(100) surface, resulting in successful reduction of surface states and adsorbates at the channel surface. Consequently, abnormal positive  $\Delta V_{TH}$  under NBS due to the predominant surface depletion effect is altered into conventional negative  $\Delta V_{TH}$ , and an increased BV is obtained in three-terminal off-state breakdown measurement. Further improvements in both device performance and stability are expected with ALD process optimization, and therefore the high-quality ALD-Al<sub>2</sub>O<sub>3</sub> passivation is proposed to achieve high performance  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> FET.

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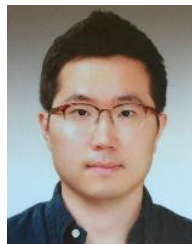


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