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# Modeling of Charge and Quantum Capacitance in Low Effective Mass III-V FinFETs

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**ABSTRACT** In this paper, we present a compact model for semiconductor charge and quantum capacitance in III-V channel FETs. With III-V being viewed as the most promising candidate for future technology node, a compact model is needed for their circuit simulation. The model presented in this paper addresses this need and is completely explicit and computationally efficient which makes it highly suitable for SPICE implementation. The proposed model is verified against the numerical solution of coupled Schrödinger–Poisson equation for FinFET with various channel thickness and effective mass.

**INDEX TERMS** III-V, density of states (DOS), SPICE, FinFET, quantum capacitance.

# I. INTRODUCTION

As the current CMOS technology with conventional silicon channel is reaching to it's scaling limits, several new materials and device architectures are being actively explored for future generation [1]–[5]. Among them the III-V channel material with ultrathin-body and multigate architecture is probably the most promising candidate, especially for nMOSFETs [6]–[10]. The III-V materials because of their lower effective mass offer higher channel mobility along with the possibility of integration with the conventional silicon CMOS technology [11]–[13]. The ultrathin-body and multigate architecture offer superior electrostatic control [14]. If III-V materials had to replace silicon, especially for logic applications, it is necessary to analyze their performance at circuit level. This requires a computationally efficient compact model for circuit simulators.

Several performance metrics for circuits such as switching delay (CV/I), transconductance, and dynamic power consumption (CV<sup>2</sup>) depend directly on the gate capacitance ( $C_g$ ). Therefore, the analysis of  $C_g$  is very important for any circuit simulation and development of future technology generation. Typically in inversion regime, the total  $C_g$  of a metal-insulator-semiconductor (MIS) system

can be modeled as a series combination of the insulator capacitance ( $C_{ins} = \epsilon_{ins}/t_{ins}$ ) and inversion layer capacitance ( $C_{inv}$ ) [15]. The  $C_{inv}$  for an undoped fully depleted ultrathin-body device comprises of the centroid capacitance ( $C_{cen}$ ) [16] in series with quantum capacitance ( $C_Q$ ) [17], [18]. Of these, the  $C_Q$  is proportional to the density of state (DOS) and valley degeneracy. The III-V materials have lower DOS (due to their lower effective mass) and valley degeneracy as compared to the silicon. This results in a much lower  $C_Q$  and the overall  $C_g$  is then limited by the  $C_Q$  [18]. Therefore it is necessary to accurately model the impact of low DOS on the total  $C_g$  in III-V channel FETs.

Several different models have been presented in literature which include the effect of  $C_Q$  in total  $C_g$ . The formulation presented in [19] is computationally expensive and is not fit for the SPICE simulators. The models presented in [20] and [21] are physical but require iterations and result into a complicated formulation. Also these models do not consider the effect of band non-parabolicity on  $C_g$ . In this paper, we have presented a physics based model for  $C_Q$ . We have then developed a compact formulation of  $C_Q$  by utilizing this form of equation with suitable approximation.

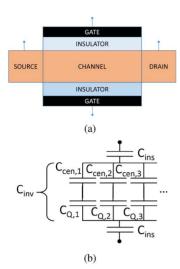


FIGURE 1. (a) Schematic diagram of the FinFET structure used in this work, (b) Equivalent capacitance network for the device shown in fig (a).  $C_{ins}$  is the gate insulator capacitance and  $C_{inv}$  is inversion capacitance, which is represented in terms of the contribution form centroid capacitance ( $C_{cen,i}$ ) and quantum capacitance ( $C_{Q,i}$ ) in each subband. The subscript i represents the subband number.

The developed model is computationally efficient, simple yet accurate without compromising much of the physics. The model is also extended to include the effect of conduction band non-parabolicity on  $C_g$  without compromising the computational efficiency. The proposed model is completely explicit in terms of the applied gate voltage  $V_G$  thereby making it highly suitable for SPICE implementation.

This paper is organized as follows: Section II presents the formulation and model description. Section III includes the model verification and discussion regarding the same, followed by conclusion in Section IV.

## II. MODEL DESCRIPTION

Fig. 1 shows the device geometry considered in this work along with the total  $C_g$ . The  $C_{inv}$  consists of parallel combination of the contributions from each of the occupied electron subband in the channel. For each subband, the  $C_{inv}$  in turn consists of a series combination of  $C_{cen}$  and  $C_Q$  as shown in fig. 1(b) [18]. Therefore, the  $C_{inv}$  can be written as,

$$C_{inv} = \sum_{i} \left( \frac{1}{C_{Q,i}} + \frac{1}{C_{cen,i}} \right)^{-1} \tag{1}$$

where  $C_{Q,i}$  and  $C_{cent,i}$  is the quantum capacitance and centroid capacitance associated with each subband respectively. Here, we have neglected the effect of charge centroid which can later be added using conventional method of introducing a correction factor to the oxide thickness [22], [23]. Therefore the total gate capacitance  $(C_g)$  is given by

$$C_g = \left(\frac{1}{2C_{ins}} + \frac{1}{\sum_i C_{O,i}}\right)^{-1} \tag{2}$$

Following the methodology described in [18] the quantum capacitance for each subband can be written as,

$$C_{Q,i} = q \frac{\partial \left(-Q_i\right)}{\partial \left(E_f - E_i\right)} \tag{3}$$

where  $Q_i$  is the contribution of  $i^{th}$  subband to the total semiconductor charge  $(Q_s)$ ,  $E_i$  is the energy level of  $i^{th}$  subband and  $E_f$  is the fermi energy. In order to get  $Q_s$  for a two dimensional (2D) system such as the FinFET structure discussed in this work, 2D DOS should be considered. Considering 2D DOS,  $Q_s$  can be formulated as,

$$Q_s = \sum_i Q_i = \sum_i \int_{E_i}^{\infty} \frac{\frac{m_{\parallel}^* q}{\pi \hbar^2}}{1 + \exp\left(\frac{E - E_f}{kT}\right)} dE \tag{4}$$

where  $m_{\parallel}^*$  is the in plane electron effective mass. Substituting (4) in (3) and differentiating (3) we get,

$$C_{Q,i} = \frac{\frac{m_{\parallel}^* q^2}{\pi \hbar^2}}{1 + \exp\left(\frac{E_i - E_f}{kT}\right)}.$$
 (5)

Eq. (5) along with (2) gives us the total  $C_g$ . But in order to get the  $C_g$  as a function of the applied  $V_G$ , it is necessary to get the variation of  $C_{Q,i}$  with respect to  $V_G$ . Note that both the subband energy level  $E_i$  and fermi level  $E_f$  appearing in expression of  $C_{Q,i}$  change with  $V_G$  (considering bottom of the conduction band  $E_c$  as the reference). Therefore, to get the variation of  $C_{Q,i}$  with  $V_G$  we need to express  $E_f$  and  $E_i$  as a function of  $V_G$ . This has been derived analytically in [20] and [21] which results in complex expression of total  $C_g$ .

From (5) it can be seen that for a particular subband when  $E_f \ll E_i$  the denominator is very large and  $C_{Q,i}$  is negligible. When  $E_f \gg E_i$ , the denominator can be approximated to unity and  $C_{Q,i}$  becomes constant and independent of  $V_G$ . Therefore, for both this extreme the exact relation of  $E_f$  vs  $V_G$  becomes unimportant for modeling of  $C_{Q,i}$ . An accurate form of this relation is only needed for a very narrow range around  $E_i$ . Leveraging this fact, instead of modeling  $E_f$  as a function  $V_G$  for entire bias range, we treat each subband separately and approximate the relation between  $E_f$  and  $V_G$  by a straight line  $(E_f \propto V_G)$  around the subband energy  $E_i$ . Therefore, the above expression of  $C_{Q,i}$  can be written in a compact form as a function of  $V_G$  as,

$$C_{Q,i} = \frac{A_i \frac{m_{\parallel}^* q^2}{\pi \hbar^2}}{1 + \exp\left(\frac{E_i - (B_i q V_G)}{C_i k T}\right)}$$
(6)

Here  $A_i$ ,  $B_i$ ,  $C_i$  are fitting parameters included in order to provide the flexibility to fit various real data.

The value of subband energy required to find the quantum capacitance can be derived as in [20]. However this approach still requires an implicit equation to be solved iteratively.

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Since our model has a parameter to control the capacitance inflection points, we can use the subband energy calculated from an explicit expression for infinite quantum well [24]

$$E_i = \frac{i^2 \pi^2 \hbar^2}{2m_{\parallel}^* t_{ch}^2} \tag{7}$$

where  $t_{ch}$  is the channel thickness and i is the eigennumber of the subband.

Expression of  $C_{Q,i}$  given by (6) along with (7) can be used in (2) to obtain an explicit expression of  $C_g$  in terms of  $V_G$ . However due to the traditional problem of charge conservation it is preferable to have a charge based implementation instead of a capacitance based which requires a continuous model of semiconductor charge [25]. Solving (4),  $Q_s$  as a function of  $E_f$  can be written as,

$$Q_{s} = \sum_{i} \frac{m_{\parallel}^{*} qkT}{\pi \hbar^{2}} ln \left[ 1 + exp\left(\frac{E_{f} - Ei}{kT}\right) \right]$$
 (8)

Using the same form of approximations as for  $C_Q$  discussed above, a new compact expression of  $Q_s$  is derived as,

$$Q_s = \sum_{i} D_i \frac{m_{\parallel}^* q k T}{\pi \hbar^2} ln \left[ 1 + exp \left( \frac{(B_i q V_G) - E_i}{C_i k T} \right) \right]$$
(9)

Eq. (9) gives a continuous model of charge valid for all the regions of operation. The form of the model also ensures that the derivatives are continuous. Differentiation of (9) gives C<sub>Q</sub> and has the same form as derived earlier.

The model is derived assuming parabolic conduction band structure, hence a constant value of effective mass is used. But, the non-parabolicity of conduction band causes effective mass to vary with energy. This is particularly important in case of III-V materials and changes the  $C_g$  in inversion [26], [27]. The effect of non-parabolic band structure could be modeled by modifying the effective mass as follows:

$$m_{\parallel}^* = m_b^* (1 + 2\alpha E) \tag{10}$$

where  $m_b^*$  is the effective mass at bottom of the conduction band, and  $\alpha$  is known as non-parabolicity factor [28]. But, modifying the effective mass will cause difficulty in analytical derivation of  $Q_s$  and an explicit expression could not be derived. This in turn will affect the computational efficiency of the model. However, the presented model could still be modified to include this effect. For a parabolic band structure approximation, the  $C_Q$  doesn't change with  $V_G$  once the Fermi level moves above a particular subband. This is due to constant effective mass and thus constant DOS for 2D materials. But for non-parabolic bands, increase in  $V_G$  causes an increase in  $C_Q$  even after the Fermi level moves above the subband [29]. This effect could be modeled by

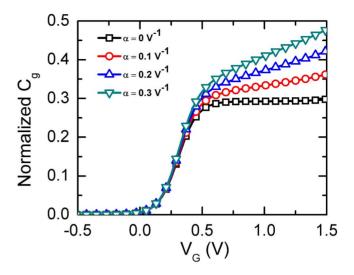


FIGURE 2. Normalized gate capacitance,  $C_g$  for different  $V_G$  at  $V_{DS}=0$  V with insulator thickness,  $t_{ins}=1$  nm and  $m_b^*=0.048m_0$ , where  $m_0$  is the free electron mass. Different curves are for different value of factor  $\alpha$ . The normalization of  $C_g$  is done with the insulator capacitance. It can be seen that the effect of band non-parabolicity on  $C_g$  is similar to what described by Ali *et al.* [29]. Note that only one subband is considered here.

modifying (9) as follow:

$$Q_{s} = \sum_{i} D_{i} \frac{m_{b}^{*} (1 + \alpha V_{G}) qkT}{\pi \hbar^{2}}$$

$$ln \left[ 1 + exp \left( \frac{(B_{i}qV_{G}) - E_{i}}{C_{i}kT} \right) \right]$$
(11)

here the factor  $\alpha$  is similar to the non-parabolicity factor in (10) with unit  $V^{-1}$  and could be used to tune the effect of non-parabolicity.

Equation (11) captures the effect of non-parabolicity in band structure by making effective mass a function of  $V_G$ . The effect of including non-parabolicity in the model is shown in fig. 2. It can be seen that the  $C_G$  varies with  $V_G$  for non zero value of constant  $\alpha$ , where as  $\alpha=0$  gives a constant plateau.

In the subsequent section we will verify the presented model against the numerical simulation data. The simulation assumes the parabolic band structure and hence the model with value of  $\alpha=0$  is used to match the results. However, it is shown that the model could include the effect of non-parabolicity through the factor  $\alpha$ .

### III. MODEL VERIFICATION

In this section, the  $C_g$  and  $Q_s$ , derived from the model described in Section II, are verified with the data obtained from numerical simulation of the FinFET device (shown in fig. 1). The simulation data are obtained from self-consistent solution of coupled Schrödinger-Poisson equation [30]. The simulation takes into account the finite barrier height at the insulator/semiconductor interface. A barrier height of 3.4 eV is considered in the simulation. The simulation therefore, considers the wavefunction penetration into the insulator. It also takes into account the difference in carrier effective

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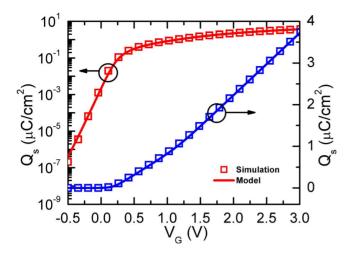


FIGURE 3. Semiconductor charge,  $Q_S$  vs  $V_G$  at  $V_{DS}=0$  V for device shown in fig. 1(a) with insulator thickness,  $t_{ins}=1$  nm, in plane effective mass,  $m_i^*=0.048m_0$  and channel thickness,  $t_{ch}=7$  nm.

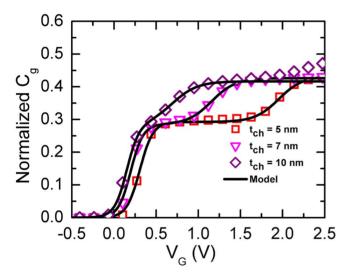


FIGURE 4. Comparison of the presented model with the simulated gate capacitance,  $C_g$  for different  $V_G$  at  $V_{DS}=0$  V with insulator thickness,  $t_{ins}=1$  nm and in plane effective mass,  $m_\parallel^*=0.048m_0$ . Different curves are for different channel thickness. The normalization of  $C_g$  is done with the insulator capacitance. Different inflection points in  $C_g$  corresponds to different electron subbands. Note that as only lowest two subbands are considered while plotting the capacitance using the model, the third peak in  $C_g$  for  $t_{ch}=10$  nm which corresponds to the  $3^{rd}$  electron subband is not captured using the model. Reduction in the channel thickness causes an increase in the subband energy which results in the shifting of the inflection point toward higher  $V_G$  values.

mass of the oxide and channel material. The  $SiO_2$  insulator material with an effective mass of  $m_{ox}=0.55m_0$ , where  $m_0$  is the free electron mass and an undoped channel is considered.

Fig. 3 compares the semiconductor charge obtained from the model to the numerical simulation data. The data corresponds to  $In_{0.53}Ga_{0.47}As$  channel with  $m_{\parallel}^*=0.048m_0$ . It can be seen from fig. 3 that the proposed model provides an excellent match to the simulation data.

Fig. 4 shows  $C_g$  versus  $V_G$  for different channel thickness with  $t_{ins}=1$  nm and  $m_{\parallel}^*=0.048m_0$ . Here only the

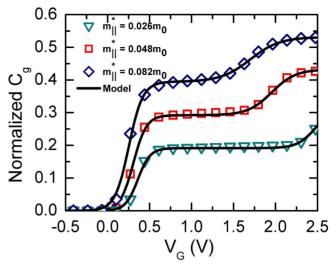


FIGURE 5. Gate capacitance,  $C_g$  versus  $V_G$  at  $V_{DS}=0$  V for devices with channel thickness,  $t_{ch}=5$  nm and insulator thickness,  $t_{ins}=1$  nm for different in-plane effective mass  $m_\parallel^*$ . The normalization of  $C_g$  is done with insulator capacitance. In-plane effective mass of  $0.026m_0$ ,  $0.048m_0$  and  $0.082m_0$  corresponds to InAs,  $In_{0.53}Ga_{0.47}As$  and GaAs channel materials respectively. Lower in-plane effective mass gives lower  $C_Q$  and a greater impact of  $C_Q$  on  $C_g$  due to a series combination of  $C_{ins}$  and  $C_Q$ .

contribution from first two subbands is considered. Note that, this is not a limitation of the model and the effect from any number of subbands can be included. The step like behavior of  $C_g$  is due to the 2D DOS appearing in  $C_Q$ . This also shows that for low effective mass material  $C_g$  is mainly dictated by  $C_Q$  and hence the  $C_Q$  should be modeled accurately.

Fig. 5 shows the impact of  $m_{\parallel}^*$  on  $C_g$  for  $t_{ch}=5$ nm and  $t_{ins}=1$  nm. With decrease in the effective mass, the subband energy increases which shifts the capacitance inflection points towards the higher  $V_g$  values. Moreover with lower effective mass,  $C_Q$  decreases and its impact on total  $C_g$  is more pronounced (due to series combination of  $C_{ins}$  and  $C_Q$ ) and hence overall  $C_g$  also decreases. Therefore, it is extremely important that the model should be efficient enough to capture the effect of quantum capacitance in low effective mass regime.

#### **IV. CONCLUSION**

To summarize, we have presented a compact model of semiconductor charge and quantum capacitance for transistors with low DOS III-V channel materials. It is shown that for future III-V FETs quantum capacitance plays an important role in deciding the total gate capacitance and the proposed model accurately captures this effect. The proposed model also has the flexibility to include the effect of non-parabolicity in the band structure. The model is simple, explicit and computationally efficient which is desired for SPICE simulators. The accuracy of the model is also verified by comparing it with the numerical simulation data for different channel thickness and in-plane effective mass.

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