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# Accurate Modeling of GaN HEMTs Oriented to Analysis of Kink Effects in $S_{22}$ and $h_{21}$ : An Effective Machine Learning Approach

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**ABSTRACT** In this work, for the first time, a machine learning behavioral modeling methodology based on gate recurrent unit (GRU) is developed and used to model and then analyze the kink effects (KEs) in the output reflection coefficient ( $S_{22}$ ) and the short-circuit current gain ( $h_{21}$ ) of an advanced microwave transistor. The device under test (DUT) is a 0.25- $\mu\text{m}$  gallium nitride (GaN) high electron mobility transistor (HEMT) on silicon carbide (SiC) substrate, which has a large gate periphery of 1.5 mm. The scattering ( $S$ -) parameters of the DUT are measured at a frequency up to 65 GHz and at an ambient temperature up to 200°C. The proposed model can accurately reproduce the KEs in  $S_{22}$  and in  $h_{21}$ , enabling an effective analysis of their dependence on the operating conditions, bias point and ambient temperature. It is worth noticing that the proposed transistor model shows also good performance in both interpolation and extrapolation test.

**INDEX TERMS** GaN HEMT, GRU, kink effect, machine learning methods, semiconductor device modeling, scattering parameter measurements, temperature.

## I. INTRODUCTION

In the last decade, the rapidly expanding of wireless communication market has stimulated the development of transistor technologies. The gallium nitride (GaN) high electron mobility transistor (HEMT) represents one of the most prevalent for the design of high-power amplifiers (HPAs) and low-noise amplifiers (LNAs) for application in the wireless communication industry [1], [2], since its outstanding qualities (e.g., high breakdown voltage and high electron saturation velocity) [3].

Accurate small-signal modeling of GaN HEMT technology is essential to build both large-signal and noise models [4], [5], [6], which are required for efficient HPA and LNA design [7], [8], [9]. Recently, some abnormal phenomena observed in its small-signal behavior have gained much attention, including the kink effects (KEs) [10], [11], [12], [13], [14], [15], [16], [17], [18], [19], [20], [21], [22]

in the output reflection coefficient ( $S_{22}$ ) and in the short-circuit current gain ( $h_{21}$ ) [14], [17], [23], [24], [25], [26], [27], [28].

In particular, the KE in  $S_{22}$  consists in a sudden change in the frequency-dependent behavior of  $S_{22}$  in the Smith chart, leading to significant adverse effects on output matching networks [10], [12]. On the other hand, the KE in  $h_{21}$  consists in a sudden increase in the magnitude of  $h_{21}$  in dB versus frequency on a logarithmic scale, that can allow achieving a sudden increase in the current gain and, then, is known as current-gain peak [23].

Different approaches have been explored to investigate the KEs. The most frequently used approach is based on providing a clear understanding of the KEs in  $S_{22}$  and in  $h_{21}$  in terms of the equivalent-circuit elements, thereby allowing one to establish the elements that play a dominant role, depending on the case under consideration. However, this

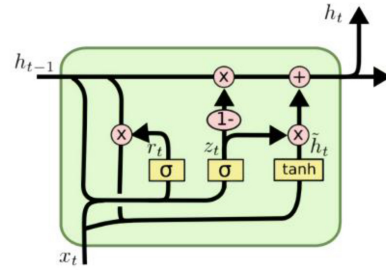
approach has the drawback of requiring the extraction of an equivalent-circuit model (ECM) and of being strictly dependent on the specific equivalent-circuit topology used for modeling the studied device [25].

In the recent years, a systematic and numerical methodology has been developed to characterize the size and the shape of the KEs in  $S_{22}$  [13], [14], [15] and in  $h_{21}$  [14] by using the second derivative (D2) of the functions  $\text{Im}(S_{22})$  versus  $\text{Re}(S_{22})$  and magnitude of  $h_{21}$  in dB versus the frequency, respectively. This approach allows defining a set of kink parameters to fully and mathematically quantify the KEs. However, owing to the high sensitivity to noise of the second derivative, trustworthy values of the kink parameters cannot be calculated directly from the measurements. Therefore, this approach needs the determination of fitting functions or model simulations (e.g., equivalent-circuit model and behavioral model) resembling the measured data in order to obtain a smooth behavior of D2 and, then, trustworthy values for the kink parameters. With the rapid development of machine learning (ML) [29], [30], [31], [32], [33], [34], it has become one of the most effective tools for behavioral modeling. Gate recurrent unit (GRU) is served as an efficient method for modeling as its exceeding capability of solving issues like vanishing and exploding gradients [35]. Compared with long short-term memory (LSTM) networks, GRU produces less parameters in model training process, thus making the modeling process more efficient.

In this paper, for the first time, a GRU-based model is developed to reproduce and, then, to study the small-signal behavior of the device under test (DUT) and in particular the KEs. The developed model is further compared with other three existing modeling approaches: LSTM-based, SVR-based, and polynomial-based models. The model quality is evaluated in terms of performance and computational costs. The achieved experimental-based findings demonstrate that the GRU-based model represents the optimal modeling choice and it allows achieving a great interpolation and extrapolation capability. The determined model is used to study the KEs in a systematic and numerical way without the need of extracting an equivalent-circuit representation. The application of the model for a straightforward analysis of the KEs is successfully validated with an experimental campaign of measurements, thereby enabling gaining a full assessment and deeper insights into the dependence of the KEs on the operating conditions. The rest of the paper is organized as follows. Section II introduces the modeling technique theory. Section III provides an extensive validation of the developed model. Section IV reports the analysis of the KEs in  $S_{22}$  and in  $h_{21}$  under different operating conditions by using the extracted model. Finally, conclusions are drawn in the final section.

## II. BASIC THEORY OF MODELING TECHNOLOGY

Fig. 1 depicts a basic cell of the GRU networks. There are two gates to process the data: reset gate and update gate. The current input  $x_t$  and the previous state  $h_{t-1}$  are



**FIGURE 1. Illustration of the internal architecture of GRU cells. The symbols of “ $\oplus$ ” and “ $\otimes$ ” represent the operation of addition and element-wise multiplication, respectively.**

processed together to calculate three gate parameters:  $r_t$ ,  $z_t$ , and  $\tilde{h}_t$ . Reset gate decides the  $\tilde{h}_t$  through combining the previous state  $h_{t-1}$  and input  $x_t$  and succeeds in memorizing present information. The update gate decides present state  $h_t$ , which leaves out unnecessary information and restores the information needed [36].

All calculation procedures can be depicted in the following equations:

a) Reset gate:

$$r_t = \sigma(W_r \cdot [x_t, h_{t-1}] + b_r) \quad (1)$$

$$h'_{t-1} = h_{t-1} \otimes r_t \quad (2)$$

$$\tilde{h}_t = \tanh(W \cdot [h'_{t-1}, x_t] + b) \quad (3)$$

b) Update gate:

$$z_t = \sigma(W_z \cdot [x_t, h_{t-1}] + b_z) \quad (4)$$

c) State update:

$$h_t = z_t \otimes \tilde{h}_t + (1 - z_t) \otimes h_{t-1} \quad (5)$$

where  $\sigma(\cdot)$  represents the activation function, and  $W_r$ ,  $W$ , and  $W_z$  represent weight matrices for  $r_t$ ,  $\tilde{h}_t$ , and  $z$ , respectively. Other parameters, like  $b_r$ ,  $b$ , and  $b_z$ , are bias terms [36].

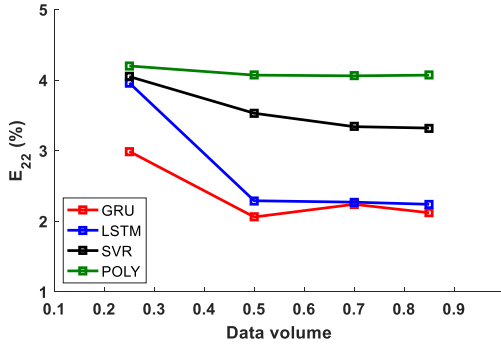
For the case of modeling  $S_{22}$ , the data are divided into two parts, namely the real and the imaginary parts. Therefore, the model training contains two separate processes. The input for the two cases of modeling  $S_{22}$  and  $h_{21}$  contains the information about bias point, frequency, and temperature. The model is built and trained using the Tensorflow platform, which is a very popular and powerful tool for constructing machine learning models. The transistor model is trained with the measured data, and optimized using the Nadam optimizer. With the training completed, the model can be used to predict the device performance. The task of comparing measurements with simulations is accomplished by implementing the developed model into the Keysight's ADS EDA tool.

## III. DISCUSSION AND VALIDATION OF THE PROPOSED MODEL

This section is devoted to the validation of GRU-based model for  $S_{22}$  and  $h_{21}$  by using the measured data from 0.2 GHz

**TABLE 1.** Operating conditions used for model extraction.

Variables	Different States
$V_{GS}$	-3.1 V and -3.5 V
$V_{DS}$	0 V, 10 V, 20 V, and 30 V
$T$	35°C, 90°C, and 145°C



**FIGURE 2.**  $E_{22}$  from different models versus training data usage.

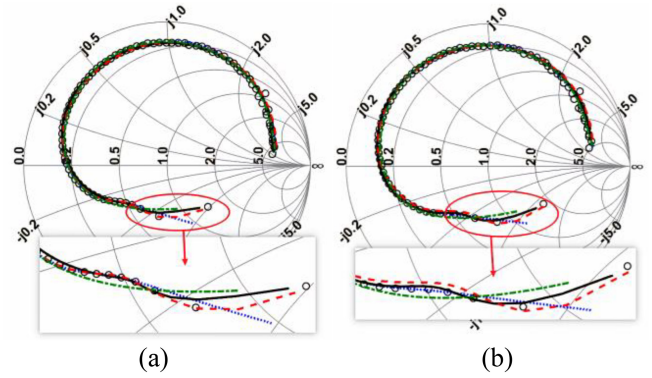
to 65 GHz with a step of 0.2 GHz for the tested  $0.25 \times 1500 \mu\text{m}^2$  GaN HEMT on SiC. In order to determine which model has the superior fitting performance in reproducing  $S_{22}$ , the relative error  $E_{xy}$  is adopted as a metric of the model accuracy [37].  $E_{xy}$  can be defined as follows:

$$E_{xy}|_{y=1,2}^{x=1,2} = \sqrt{\frac{\sum_m \sum_n |S_{xy}^{sim} - S_{xy}^{meas}|^2}{\sum_m \sum_n |S_{xy}^{meas}|^2}} \quad (6)$$

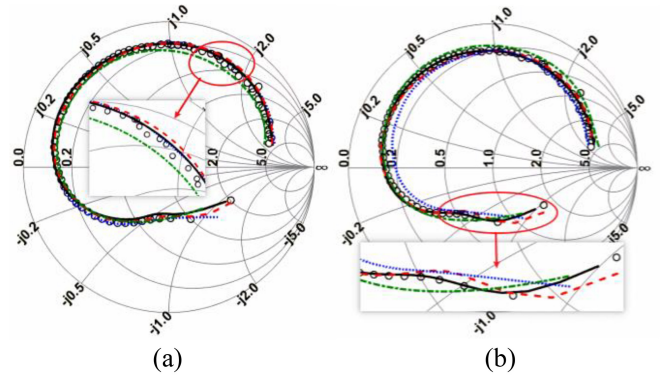
As part of the training process, two different bias conditions are used for  $V_{GS}$  (i.e., -3.1 V and -3.5 V) and each of them is combined with four different bias conditions for  $V_{DS}$  (i.e., 0 V, 10 V, 20 V, and 30 V). Each bias point is considered at three different ambient temperatures (i.e., 35°C, 90°C, and 145°C), resulting in 24 (i.e.,  $2 \times 4 \times 3 = 24$ ) operating states totally. The details are shown in Table 1.

The volume of data used for model extraction is an important factor that impacts model performance. In this regard, data usage for model extraction must be considered before we test the proposed modeling method. As illustrated in Fig. 2, the proposed model is compared with the LSTM-based model, the SVR-based model, and the fifth-order polynomial-based model considering a temperature of 25°C and a bias condition of -3.5 V for  $V_{GS}$  and 20 V for  $V_{DS}$ . From the results, it can be seen that the performance of all models is generally enhanced with an increase in data usage for model extraction. As indicated in the figure, a 50% data usage for model extraction is a reasonable trade-off. Therefore, the remaining examples set model extraction data usage to 50%.

A detailed analysis of the prediction performance of the proposed model for  $S_{22}$  is presented in the following test case. The performance comparison is carried out using the same aforementioned models. The reported analysis has been conducted under “local” conditions (i.e., the tested condition is included in the model training), “inter” conditions (i.e., the



**FIGURE 3.** Measured (symbols), GRU modeled (black solid line), LSTM modeled (red dash line), SVR modeled (blue dot line), and polynomial modeled (green chain line) behavior of  $S_{22}$  for the studied GaN HEMT device at two different operating points (i.e., local conditions): (a)  $V_{GS} = -3.1$  V and  $V_{DS} = 20$  V with a temperature of 90°C and (b)  $V_{GS} = -3.5$  V and  $V_{DS} = 20$  V with a temperature of 145°C. The frequency range goes from 0.2 GHz to 65 GHz.



**FIGURE 4.** Measured (symbols), GRU modeled (black solid line), LSTM modeled (red dash line), SVR modeled (blue dot line), and polynomial modeled (green chain line) behavior of  $S_{22}$  for the studied GaN HEMT device at device at two different operating points (i.e., interpolation and extrapolation cases): (a)  $V_{GS} = -3.13$  V and  $V_{DS} = 30$  V with a temperature of 145°C (i.e., interpolation case) and (b)  $V_{GS} = -3.5$  V and  $V_{DS} = 20$  V with a temperature of 200°C (i.e., extrapolation case). The frequency range goes from 0.2 GHz to 65 GHz.

tested condition is an interpolated operating condition), and “extra” conditions (i.e., the tested condition is an extrapolated operating condition). As shown in Fig. 3, four modelling techniques were examined under two local conditions for modeling the behavior of  $S_{22}$ . Additionally, Fig. 4 compares four techniques for modeling the behavior of  $S_{22}$  under both inter- and extra-conditions. During the test, the operating conditions of the  $V_{GS}$  are interpolated for the “inter” test case, while the operating conditions of the temperature are extrapolated for the “extra” test case. As can be seen from the achieved results, the GRU-based model exhibits superior performance when compared with the other three models.

As shown in Table 2, the detailed relative error,  $E_{22}$ , is provided for each of the four models at four different operational scenarios. It is obvious that the GRU-based model shows a slight advantage over the LSTM-based model,

**TABLE 2.** Relative error of  $S_{22}$  for different models.

Freq. (GHz)	Type	Operating Condition: ( $V_{GS}$ ; $V_{DS}$ ; T)	$E_{22}$ (%)			
			GRU	LSTM	SVR	Poly
0.2-65	Local	-3.10 V; 20 V; 90°C	1.70	2.07	2.12	4.03
		-3.50 V; 20 V; 145°C	1.91	2.12	2.35	3.76
	Inter	-3.13 V; 30 V; 145°C	3.14	3.69	3.86	5.56
	Extra	-3.50 V; 20 V; 200°C	2.67	4.47	6.68	8.79

**TABLE 3.** Cost of different models.

Model	Cost			
	Training Time (s)	Running Time (s)	Number of Parameters	Cell Number
GRU	240	0.078	111	5
LSTM	350	0.079	146	5
SVR	780	0.025	1502	/
Poly	0.034	0.011	6	/

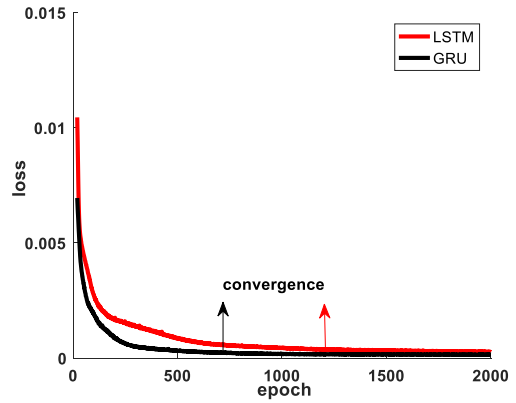
but has a greater advantage over the SVR-based model and the polynomial-based model.

Table 3 provides a summary of the costs associated with the four different modeling techniques used in this study. As can be observed from this table, the polynomial-based model is much more efficient than the other three models, since it requires much less training time and parameters. However, if we take the model performance into consideration, the GRU-based model becomes the optimal modeling option. Fig. 5 illustrates the training loss versus epochs of both the GRU and LSTM models. In the test, the training loss is defined as the mean square error (MSE) of the models. When using the same number of epochs, the proposed method appears to have a lower loss than the LSTM model, thereby indicating that it is more efficient than the latter.

Table 4 shows  $E_{22}$  of GRU-based model with different activation functions. Three normal functions are adopted and compared. The interpolation and extrapolation capability of the proposed model with different bias conditions has also been validated. The model based on function of tanh shows best performance at all cases with lower and more stable values of  $E_{22}$ , which are all below 4.3%. Therefore, it has been clearly demonstrated that the developed model has great ability in fitting and predicting the behavior of  $S_{22}$ .

Fig. 6 shows the behavior of  $S_{22}$  at four operating points that are local conditions. Fig. 7(a) shows two interpolation test cases and Fig. 7(b) shows two extrapolation test cases. From these results, one can tell that the GRU-based model has a very general accurate fitting performance across the whole working frequency range.

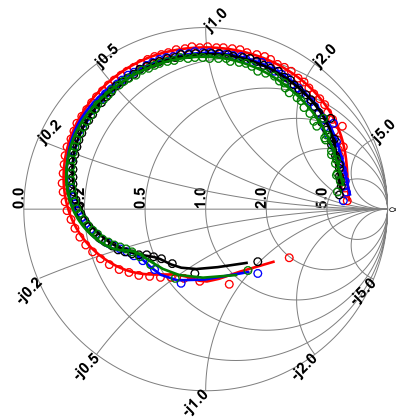
In the following part of this section, the GRU-based model is used to predict the behavior of magnitude of  $h_{21}$ . The



**FIGURE 5.** Training loss versus epochs for both the GRU (black) and LSTM (red) models.

**TABLE 4.** Relative error of  $S_{22}$  from GRU model based on different activation functions.

Freq. (GHz)	Type	Operating Condition: ( $V_{GS}$ ; $V_{DS}$ ; T)	$E_{22}$ (%)		
			tanh	sig	relu
0.2-65	Local	-3.50 V; 20 V; 35°C	2.22	2.50	3.30
		-3.50 V; 10 V; 90°C	2.66	2.35	4.97
		-3.10 V; 20 V; 90°C	1.70	2.10	3.12
		-3.50 V; 20 V; 145°C	2.63	2.54	6.03
	Inter	-3.13 V; 30 V; 145°C	3.14	3.25	4.77
		-3.20 V; 30 V; 145°C	6.33	6.43	11.18
	Extra	-3.50 V; 20 V; 200°C	2.67	6.20	9.04
		-3.10 V; 10 V; 200°C	4.24	5.11	8.91

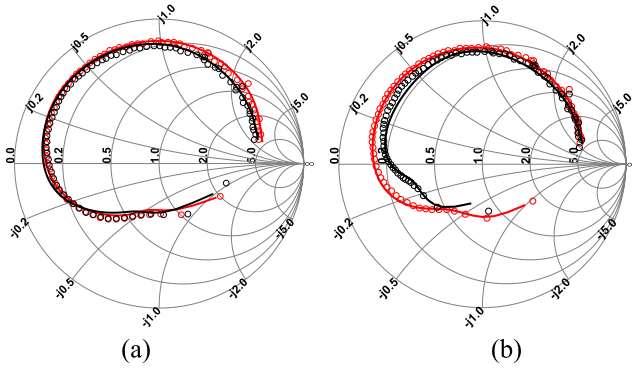


**FIGURE 6.** Measured (symbols) and modeled (lines) behavior of  $S_{22}$  for the studied GaN HEMT device at four different operating points (i.e., local conditions):  $V_{GS} = -3.5$  V and  $V_{DS} = 20$  V with a temperature of 35°C (red),  $V_{GS} = -3.5$  V and  $V_{DS} = 10$  V with a temperature of 90°C (blue),  $V_{GS} = -3.1$  V and  $V_{DS} = 20$  V with a temperature of 90°C (black), and  $V_{GS} = -3.5$  V and  $V_{DS} = 20$  V with a temperature of 145°C (green). The frequency range goes from 0.2 GHz to 65 GHz.

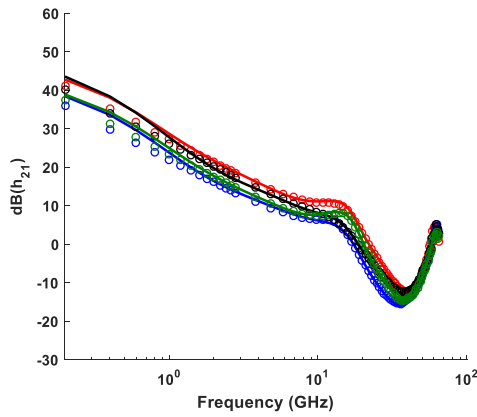
mean squared error (MSE) is adopted as a metric of the model accuracy, the equation is the following one:

$$MSE = \frac{1}{n} \sum_{i=1}^n (H_{meas} - H_{sim})^2 \quad (7)$$





**FIGURE 7.** Measured (symbols) and modeled (lines) behavior of  $S_{22}$  for the studied GaN HEMT device at four different operating points: (a)  $V_{GS} = -3.13$  V and  $V_{DS} = 30$  V with a temperature of  $145^{\circ}\text{C}$ ,  $-3.2$  V and  $V_{DS} = 30$  V with a temperature of  $145^{\circ}\text{C}$  (i.e., interpolation case) and (b)  $V_{GS} = -3.5$  V and  $V_{DS} = 20$  V with a temperature of  $200^{\circ}\text{C}$  (red) and  $V_{GS} = -3.1$  V and  $V_{DS} = 10$  V with a temperature of  $200^{\circ}\text{C}$  (black) (i.e., extrapolation case). The frequency range goes from 0.2 GHz to 65 GHz.



**FIGURE 8.** Measured (symbols) and modeled (lines) behavior of  $h_{21}$  for the studied GaN HEMT device at four different operating points (i.e., local conditions):  $V_{GS} = -3.1$  V and  $V_{DS} = 20$  V with a temperature of  $35^{\circ}\text{C}$  (red),  $V_{GS} = -3.5$  V and  $10$  V with a temperature of  $90^{\circ}\text{C}$  (blue),  $V_{GS} = -3.1$  V and  $V_{DS} = 10$  V with a temperature of  $90^{\circ}\text{C}$  (black), and  $V_{GS} = -3.5$  V and  $V_{DS} = 20$  V with a temperature of  $145^{\circ}\text{C}$  (green). The frequency range goes from 0.2 GHz to 65 GHz.

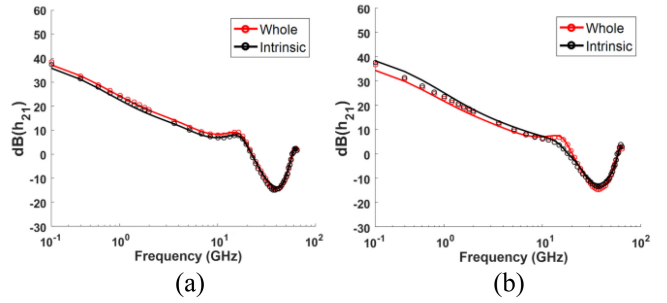
Fig. 8 shows the  $h_{21}$  at four operating points that are local conditions. Fig. 9(a) shows two interpolation test cases and Fig. 9(b) shows two extrapolation test cases. As can be seen, the model successfully predicts the general trend of  $h_{21}$  in both bias interpolation and extrapolation test cases.

Table 5 reports the MSE of GRU-based model for  $h_{21}$ . It can be learnt that such a kind of model provides the same level of accuracy for all the investigated conditions as indicated by the MSE values.

#### IV. ANALYSIS OF THE KES IN $S_{22}$ AND $H_{21}$

The methodology proposed in [13] is adopted in this study to characterize the size and shape of the KE in  $S_{22}$  by studying the D2 of the function  $\text{Im}(S_{22})$  versus  $\text{Re}(S_{22})$ . In particular, the following kink parameters can be determined [13]:

1) The kink frequency band (KFB), which represents the frequency band between the start and the disappearance of



**FIGURE 9.** Measured (symbols) and modeled (lines) behavior of  $h_{21}$  for the studied GaN HEMT device at four different operating points: (a)  $V_{GS} = -3.13$  V and  $V_{DS} = 30$  V with a temperature of  $145^{\circ}\text{C}$  (red) and  $V_{GS} = -3.20$  V and  $V_{DS} = 30$  V with a temperature of  $145^{\circ}\text{C}$  (black) (i.e., interpolation case) and (b)  $V_{GS} = -3.5$  V and  $V_{DS} = 20$  V with a temperature of  $200^{\circ}\text{C}$  (red) and  $V_{GS} = -3.1$  V and  $V_{DS} = 10$  V with a temperature of  $200^{\circ}\text{C}$  (black) (i.e., extrapolation case). The frequency range goes from 0.2 GHz to 65 GHz.

**TABLE 5.** Mean squared error of magnitude of  $h_{21}$  in different cases.

Freq. (GHz)	Type	Operating Condition: ( $V_{GS}$ ; $V_{DS}$ ; T)	MSE
0.2-65	Local	-3.10 V; 20 V; $35^{\circ}\text{C}$	2.7e-5
		-3.50 V; 10 V; $90^{\circ}\text{C}$	2.9e-5
		-3.10 V; 10 V; $90^{\circ}\text{C}$	2.0e-5
		-3.50 V; 20 V; $145^{\circ}\text{C}$	2.6e-5
	Inter	-3.20 V; 30 V; $145^{\circ}\text{C}$	7.9e-5
		-3.13 V; 30 V; $145^{\circ}\text{C}$	3.0e-5
	Extra	-3.50 V; 20 V; $200^{\circ}\text{C}$	5.7e-5
		-3.10 V; 10 V; $200^{\circ}\text{C}$	8.0e-5

**TABLE 6.** Extrinsic equivalent-circuit elements for the DUT.

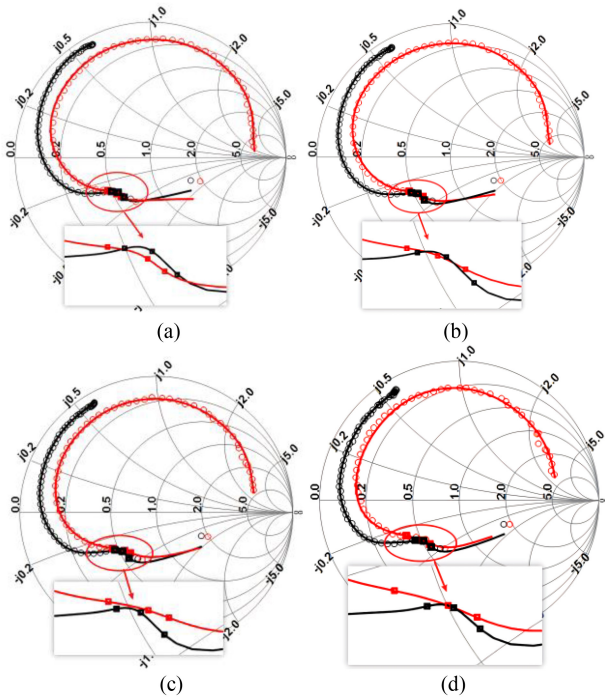
T ( $^{\circ}\text{C}$ )	35	90	145	200
$C_{pg}, C_{pd}$ (fF)	80	80	80	80
$L_g$ (pH)	76.7	76.0	75.9	75.4
$L_s$ (pH)	9.6	9.5	9.6	10.2
$L_d$ (pH)	79.1	78.0	77.1	76.6
$R_g$ ( $\Omega$ )	1.0	1.2	1.4	1.7
$R_s$ ( $\Omega$ )	0.4	0.4	0.4	0.4
$R_d$ ( $\Omega$ )	0.9	1.1	1.5	1.9

the KE, namely the band delimited by the two frequencies where the D2 becomes zero.

2) The kink frequency point (KFP), which represents the frequency where the KE is strongest, namely the frequency point where D2 has its negative peak value.

3) The kink size (KS), which represents the size of the KE, namely the value of the D2 at the KFP.

Table 6 shows the values of the extrinsic elements obtained from the previous work in [38], which have been successfully validated by the achieved good agreement between measurements and ECM stimulations. In the present study, the values of the extrinsic elements are used to access

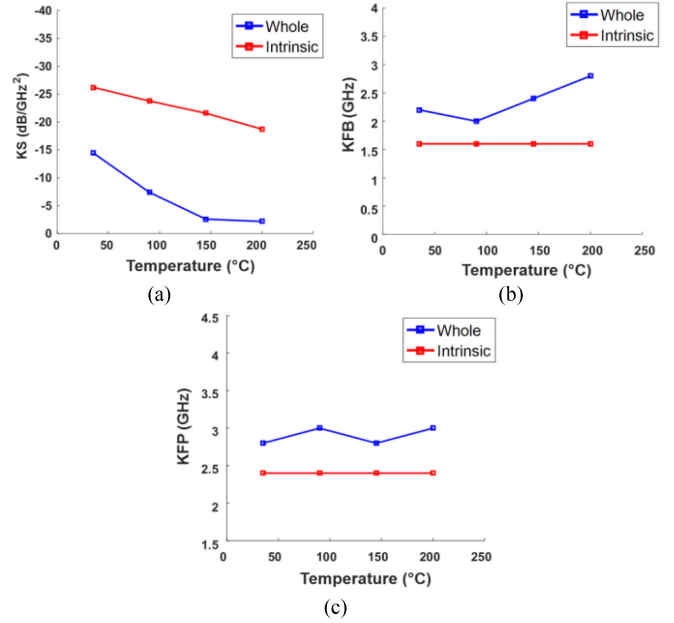


**FIGURE 10.** Measured (symbols) and modeled (lines) behavior of  $S_{22}$  for the whole (red) and intrinsic (black) GaN HEMT device at  $V_{GS} = -3.1$  V and  $V_{DS} = 20$  V under four different temperature conditions: (a) 35°C, (b) 90°C, (c) 145°C, and (d) 200°C. The frequency range goes from 0.2 GHz to 65 GHz. The four insets highlight the KE at each studied temperature by using three squares to represent the onset, peak, and disappearance of the KE in the modeled  $S_{22}$ .

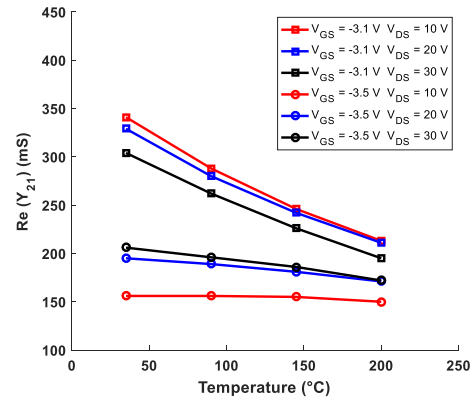
to the performance of the intrinsic device by applying the de-embedding procedure to both measurements and GRU-based simulations.

Fig. 10 illustrates the comparison between measured and modeled frequency-dependent behavior of  $S_{22}$  for the whole and intrinsic DUT. As can be clearly seen by the achieved good agreement between measurements and simulations, the GRU-based model has great fitting capability across the whole wide frequency range, thereby allowing its use for determining the D2 and then estimating the kink parameters. It should be underlined that Fig. 10 shows that the contributions of the extrinsic equivalent-circuit elements tend to mask the KE, which is more pronounced when considering only the intrinsic device as this effect is inherently rooted in the intrinsic section of the transistor.

Fig. 11 shows the temperature dependence of the kink parameters associated to the KE in  $S_{22}$  for both whole and intrinsic GaN HEMT devices. These kink parameters are calculated by using the simulations of GRU-based model that is able to accurately reproduce the measured  $S_{22}$ . From Fig. 11, it is clear that the ambient temperature is an important factor affecting the size and shape of the KE in  $S_{22}$ . With the variation of temperature, all kink parameters change correspondingly. In particular, Fig. 12 shows that, after de-embedding the extrinsic elements, the KE gets more pronounced, as can be quantified by the observed increase



**FIGURE 11.** Kink parameters for the KE in  $S_{22}$  versus ambient temperature for the whole (blue) and intrinsic (red) GaN HEMT device at  $V_{GS} = -3.1$  V and  $V_{DS} = 20$  V: (a) kink size, (b) kink frequency band, and (c) kink frequency point.

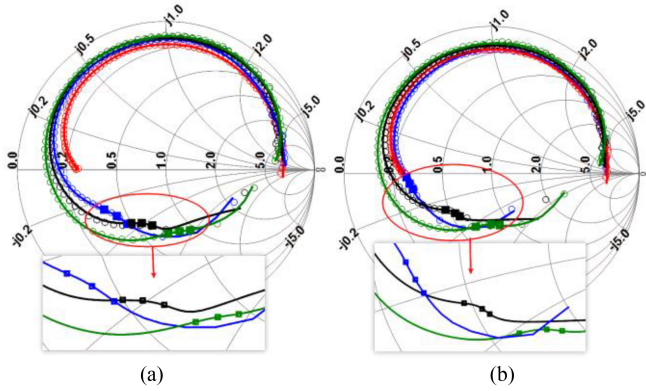


**FIGURE 12.** Modeled behavior of real part of  $Y_{21}$  at 0.2 GHz versus ambient temperature for the tested GaN HEMT device at different biases.

in the magnitude of the kink size (see Fig. 11(a)), and, in addition, the KE affects the behavior in  $S_{22}$  into a smaller frequency range, as can be quantified by the observed change in the kink frequency band (see Fig. 11(b)).

Fig. 12 depicts the real part of the short-circuit forward transfer admittance ( $Y_{21}$ ) at low frequency versus temperature at different biases and it can be seen that such parameter deteriorates with the increase of temperature.

The observed decrease of the low-frequency  $\text{Re}(Y_{21})$  with increasing temperature can be attributed to the fact that this parameter is strongly linked to intrinsic transconductance [26], which decreases at higher temperatures because of the degradation of the electron transport properties. This result should lead to a less severe KE at higher temperature and, then, to a reduction in the magnitude of the KS,

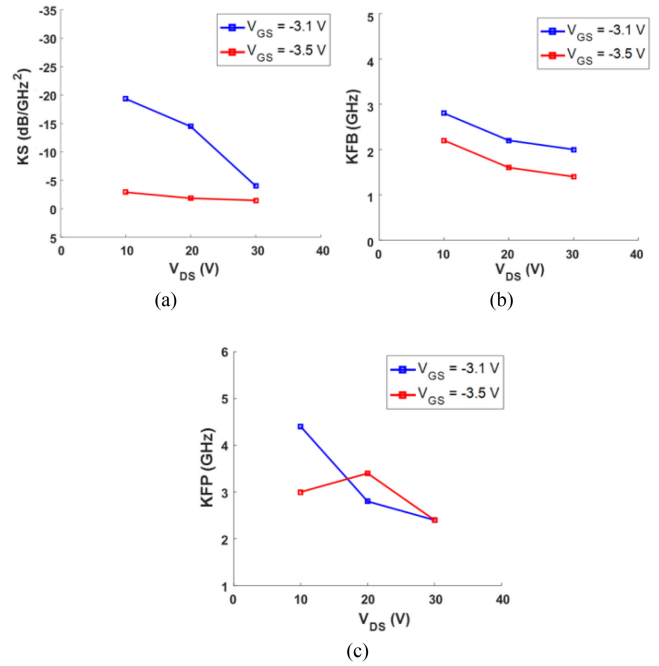


**FIGURE 13.** Measured (symbols) and modeled (lines) behavior of  $S_{22}$  at different bias conditions with a temperature of  $35^{\circ}\text{C}$ : (a) fixed  $V_{GS} = -3.1$  V and  $V_{DS} = 0$  V for bias1 (red),  $V_{DS} = 10$  V for bias2 (blue),  $V_{DS} = 20$  V for bias3 (black), and  $V_{DS} = 30$  V for bias4 (green), (b) fixed  $V_{GS} = -3.5$  V and  $V_{DS} = 0$  V for bias5 (red),  $V_{DS} = 10$  V for bias6 (blue),  $V_{DS} = 20$  V for bias7 (black), and  $V_{DS} = 30$  V for bias8 (green). The frequency range goes from 0.2 GHz to 65 GHz. The two insets highlight the KE by using three squares on each temperature case to represent the onset, peak, and disappearance of the KE in the modeled  $S_{22}$ .

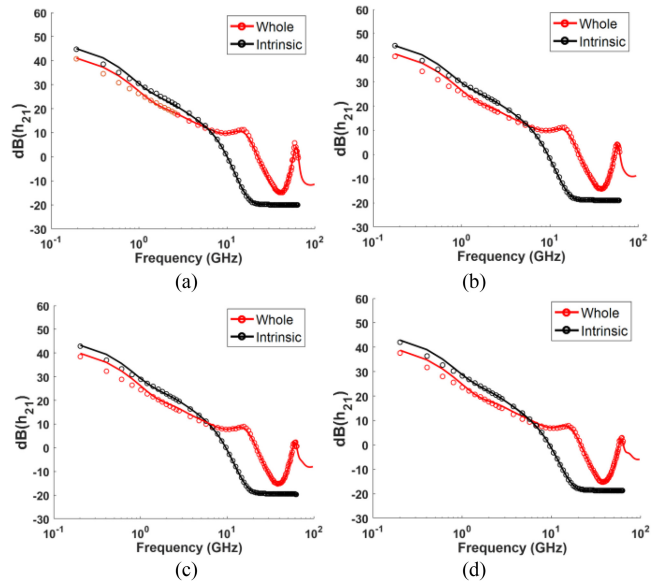
since the KE in  $S_{22}$  is mostly due to the relatively high intrinsic transconductance. In line with this observation, by focusing the attention on the kink size, it can be seen that the KE has a clear trend of becoming less pronounced with the temperature getting higher, as can be detected by the observed reduction in the magnitude of the KS (see Fig. 11(a)).

As can be clearly observed from Fig. 13, the GRU-based model exhibits great fitting performance for prediction the behavior of  $S_{22}$  under different bias conditions. It should be underlined that the kink effect disappears at  $V_{DS} = 0$  V (see Fig. 13). This is because of the zero  $g_m$ , since this parameter, as previously discussed, is the main reason for the appearance of the KE in  $S_{22}$ .

Fig. 14 shows the bias dependence of the kink parameters associated to the KE in  $S_{22}$  for whole GaN HEMT device. Fig. 15 tells that the chosen bias point is an important factor affecting the size and shape of the KE in  $S_{22}$ . In fact, by lowering  $V_{GS}$  from  $-3.1$  V to  $-3.5$  V, the KE becomes less pronounced, which is testified by the observed decrease in the magnitude of the kink size (see Fig. 14(a)). This can be attributed to the reduction of the intrinsic  $g_m$ , which can be seen in the reduction of the low-frequency  $\text{Re}(Y_{21})$  (see Fig. 12). Similarly, the magnitude of the KS is reduced by increasing  $V_{DS}$  from 10 V to 30 V with  $V_{GS} = -3.1$  V, which is in line with the observed reduction of the low-frequency  $\text{Re}(Y_{21})$  (see Fig. 12). On the other hand, the magnitude of the KS is reduced by increasing  $V_{DS}$  from 10 V to 30 V with  $V_{GS} = -3.5$  V even if an increase in the low-frequency  $\text{Re}(Y_{21})$  is observed (see Fig. 15). This finding might be due to the fact that, although  $g_m$  has a strong impact on the KE, this phenomenon is the result from the combined effect of the values of the all equivalent-circuit elements.

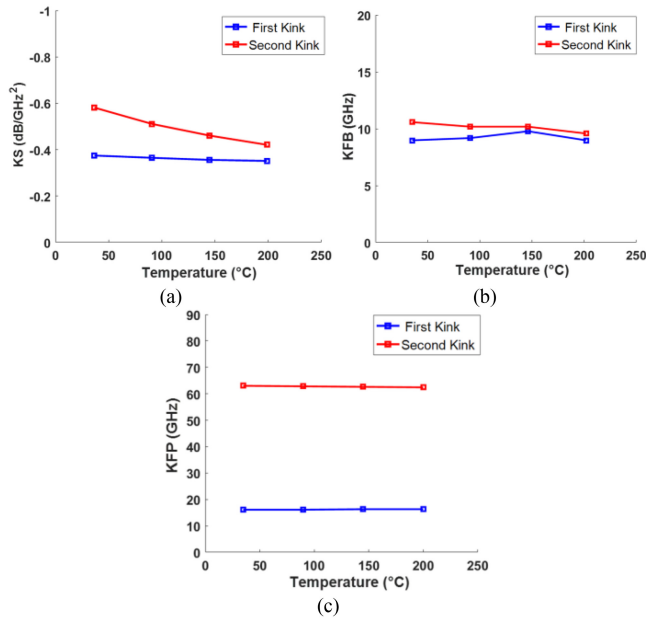


**FIGURE 14.** Kink parameters for the KE in  $S_{22}$  versus  $V_{DS}$  for the studied GaN HEMT device at  $V_{GS} = -3.1$  V (blue) and  $V_{GS} = -3.5$  V (red): (a) kink size, (b) kink frequency band, and (c) kink frequency point.



**FIGURE 15.** Measured (symbols) and modeled (lines) behavior of the magnitude of  $h_{21}$  for the whole (red) and intrinsic (black) GaN HEMT device at  $V_{GS} = -3.1$  V and  $V_{DS} = 30$  V under four different temperature conditions: (a)  $35^{\circ}\text{C}$ , (b)  $90^{\circ}\text{C}$ , (c)  $145^{\circ}\text{C}$ , and (d)  $200^{\circ}\text{C}$ . The frequency range goes from 0.2 GHz to 65 GHz, and that of modeled whole device is extended to 100 GHz.

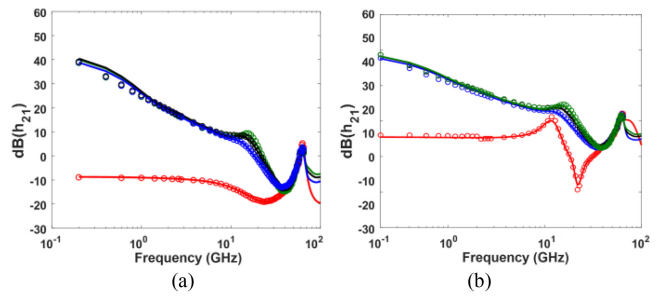
As can be clearly seen from Fig. 15, the GRU-based model exhibits a level of accuracy in the prediction of  $h_{21}$ . In fact, it can accurately capture the frequency-dependent behavior of  $h_{21}$ , including the observed peaks and their variations with the change of temperature. It should be noticed that, to get the kink parameters of the second peak, the proposed model



**FIGURE 16.** Kink parameters for the two KEs in  $h_{21}$  versus ambient temperature for the studied GaN HEMT device at  $V_{GS} = -3.1$  V and  $V_{DS} = 30$  V: (a) kink size, (b) kink frequency band, and (c) kink frequency point.

is extrapolated up to 100 GHz, since the frequency band of the second peak extends beyond the upper frequency limit of the available experiments (i.e., 65 GHz), thereby allowing an accurate determination of the kink parameters associated to the two observed peaks (see Fig. 16 and Fig. 18). Fig. 15 shows that, after applying the de-embedding of the extrinsic elements, both peaks in the magnitude of  $h_{21}$  disappear. This finding is consistent with the fact that the two peaks arise from the combined effects of both intrinsic and extrinsic elements. As a matter of fact, the first and the second peaks in  $h_{21}$  have been ascribed to the resonance between the extrinsic inductances and the intrinsic capacitances and to the resonance between the extrinsic inductances and the extrinsic capacitances, respectively [17], [26].

Likewise to the case of  $S_{22}$ , the three kink parameters KFB, KFP, and KS can be defined for  $h_{21}$  by using the D2 for the function of  $h_{21}$  expressed in dB versus frequency [26]. In the case of  $h_{21}$ , the kink parameters can be evaluated only for the whole device, since, as said, both peaks in the magnitude of  $h_{21}$  disappear after applying the de-embedding of the extrinsic contributions. These kink parameters are calculated by using the simulations of GRU-based model that is able to reproduce accurately the measured  $h_{21}$ . Fig. 16 shows the temperature dependence of the kink parameters associated to both KEs in  $h_{21}$  for the DUT. As can be observed, the temperature has a little impact on the two peaks in  $h_{21}$ . It should be highlighted that the two KFPs associated to the two peaks are substantially insensitive to the temperature (see Fig. 16 (c)), which is in line with the fact that the two resonant frequencies are associated to elements (i.e., extrinsic inductances, extrinsic



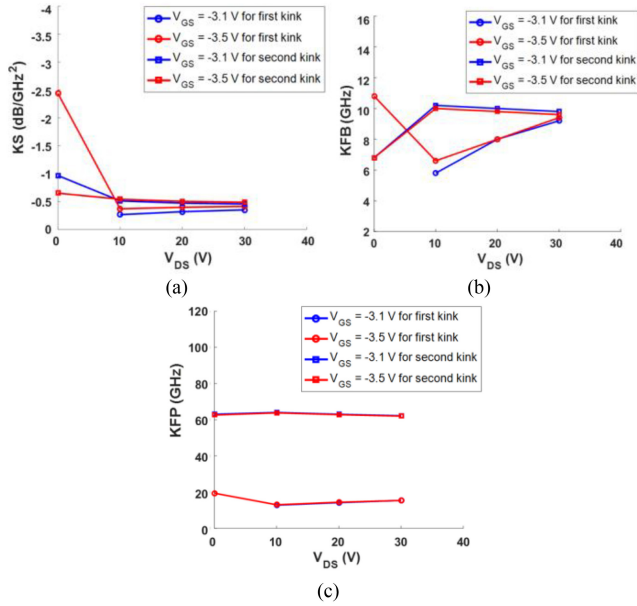
**FIGURE 17.** Measured (symbols) and modeled (lines) behavior of the magnitude of  $h_{21}$  with a temperature of 145 °C, at (a) fixed  $V_{GS} = -3.1$  V and  $V_{DS} = 0$  V for bias1 (red),  $V_{DS} = 10$  V for bias2 (blue),  $V_{DS} = 20$  V for bias3 (black) and  $V_{DS} = 30$  V for bias4 (green), (b) fixed  $V_{GS} = -3.5$  V and  $V_{DS} = 0$  V for bias5 (red),  $V_{DS} = 10$  V for bias6 (blue),  $V_{DS} = 20$  V for bias7 (black), and  $V_{DS} = 30$  V for bias8 (green). The frequency range goes from 0.2 GHz to 65 GHz and that of modeled whole device is extended up to 100 GHz.

capacitances, and intrinsic capacitances) that are mostly temperature insensitive.

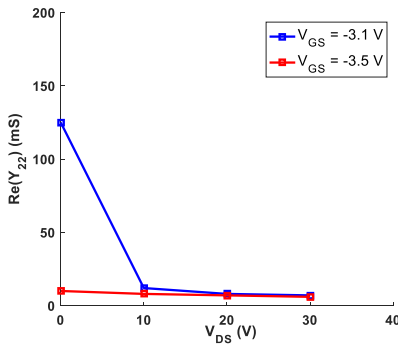
Fig. 17 shows that the developed model is able to reproduce accurately the behavior of the magnitude of  $h_{21}$  under different bias conditions. Likewise to what was done in Fig. 15, the frequency range of the simulations has been extended up to 100 GHz. By analyzing the condition  $V_{DS} = -3.1$  V and  $V_{DS} = 0$  V, it is observed that the first kink in  $h_{21}$  disappears, due to the high value of the intrinsic  $g_{ds}$  that tends to short circuit the contributions of the intrinsic capacitances on  $Z_{22}$ , while the second kink in  $h_{21}$  is still there, confirming that this effect is due to extrinsic contributions that are bias independent [27]. It is found that the first kink in  $h_{21}$  occurs when analyzing the condition  $V_{GS} = -3.5$  V and  $V_{DS} = 0$  V. This is because when moving towards the pinch-off condition by lowering  $V_{GS}$  from  $-3.1$  V to  $-3.5$  V, the value of the intrinsic  $g_{ds}$  is reduced significantly. As a matter of fact, Fig. 19 depicts the real part of the short-circuit output admittance ( $Y_{22}$ ) at low frequency versus  $V_{DS}$  at  $V_{GS} = -3.1$  V and  $V_{GS} = -3.5$  V with a temperature of 145 °C. As can be clearly seen, such parameter is remarkably decreased by lowering  $V_{GS}$  from  $-3.1$  V to  $-3.5$  V. This observed decrease of the low-frequency  $\text{Re}(Y_{22})$  can be attributed to the fact that this parameter is strongly linked to intrinsic output conductance [26], which decreases when moving towards to the pinch-off.

Compared to the ambient temperature that has a little impact on the KEs in  $h_{21}$ , the bias condition plays a more pronounced role in determining the values of the kink parameters associated to the KEs in  $h_{21}$  (see Fig. 18). However, as can be observed from Fig. 18(c), the KFP associated to the first peak, which arises from the resonance between the extrinsic inductances and the intrinsic capacitances, depends slightly on the bias condition, due to the weak variations of the intrinsic capacitances in the considered bias region, and the KFP associated to the second peak, which arises from the resonance between the extrinsic inductances and the extrinsic capacitances, is substantially insensitive to the bias condition, owing to the bias independence of the extrinsic reactive elements.





**FIGURE 18.** Kink parameters for the two KEs in  $h_{21}$  versus  $V_{DS}$  for the studied GaN HEMT device at  $V_{GS} = -3.1$  V (blue) and  $V_{GS} = -3.5$  V (red) with a temperature of  $145^\circ\text{C}$ : (a) kink size, (b) kink frequency band, and (c) kink frequency point.



**FIGURE 19.** Behavior of real part of  $Y_{22}$  at 0.2 GHz versus  $V_{DS}$  for the tested GaN HEMT device at  $V_{GS} = -3.1$  V and  $V_{GS} = -3.5$  V with a temperature of  $145^\circ\text{C}$ .

## V. CONCLUSION

Both anomalous kinks in  $S_{22}$  and in  $h_{21}$  for a GaN HEMT device are deeply analyzed using  $S$ -parameter measurements performed under different bias points with the operating frequency going up to 65 GHz and the ambient temperature increased up to  $200^\circ\text{C}$ . A modeling technique based on GRU, which consists of a modified RNN, is here exploited for the first time to accurately investigate the KEs, with the aim of definitely clarifying some assumptions discussed in literature. The test results show that GRU-based model with tanh served as activation function owns great fitting performance for the behavior modeling of  $S_{22}$  and  $h_{21}$ . Both the interpolation and extrapolation capability of the achieved model are tested, and it exhibits great prediction ability. The developed study has confirmed that the KE in  $S_{22}$  is inherently rooted in the intrinsic device and it becomes less pronounced when considering also the contributions

of the extrinsic circuit elements. On the other hand, both peaks in  $h_{21}$  expressed in dB disappear after de-embedding of the extrinsic elements as they play a key role in the appearance of the two peaks. In particular, the first and the second peaks in  $h_{21}$  arise from the resonance between the extrinsic inductances and the intrinsic capacitances and to the resonance between the extrinsic inductances and the extrinsic capacitances, respectively. In addition, it is shown that the temperature has a more pronounced effect in the KE in  $S_{22}$  rather than in the KEs in  $h_{21}$ , while all of the three KEs can be strongly affected by the selected bias condition.

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