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# Noise Performance Investigation of AlGaN/GaN HEMT With Tall Gate Stem for Millimeter-Wave LNA Application

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**ABSTRACT** In this research,  $\Gamma$ -gated AlGaN/GaN HEMTs with different layout designs and heights of gate stems were fabricated to investigate their impacts on the noise performance in the Ka-band. First, devices with 4 types of gate peripheries were prepared to optimize the layout structure for best noise performance since the values of parasitic capacitance and resistance, which are detrimental to the noise characteristic, vary as the gate widths and the number of fingers change. The device with gate width of  $4 \times 50$   $\mu\text{m}$  achieved the optimal noise performance, minimum noise figure ( $\text{NF}_{\text{min}}$ ) of 1.5 dB and associated gain of 6.2 dB at 28 GHz. Next, devices with different gate stem heights were fabricated following the  $4 \times 50 \mu\text{m}$  layout pattern. The raised gate structure was applied to reduce the parasitic capacitance of the device for RF power performance enhancement, but a taller gate stem unfortunately results in the increment of gate resistance. Therefore, the impact of stem height on  $\text{NF}_{\text{min}}$  remains unknown. According to the experiment results, the device with a stem height of 200 nm stands out to be a viable compromise for the noise and output power performance in the Ka-band, thus providing a positive outlook for the feasibilities of single-chip circuit integration of both LNA and PA at millimeter-wave spectrum.

**INDEX TERMS** AlGaN/GaN HEMT, noise figure, LNA.

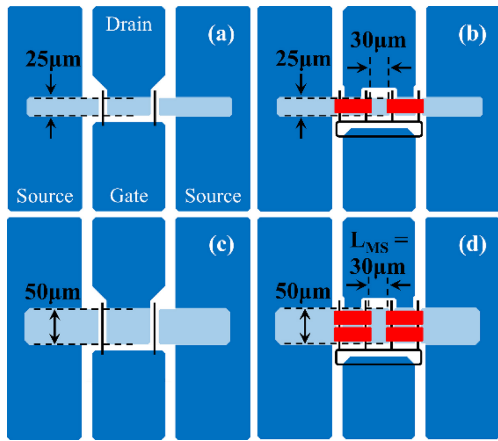
## I. INTRODUCTION

The fifth-generation (5G) wireless communication technology exploits millimeter-wave semiconductor devices to meet the demands of rapid and massive data transmission. Transceiver is a critical component of such systems required to sustain high power and low-noise operations at higher frequencies. Low-noise amplifiers (LNA) made of high electron mobility transistors (HEMTs) are often placed in the front end of a transceiver circuit to take advantage of the nature of a 2-dimensional electron gas (2DEG) inherent to HEMT.

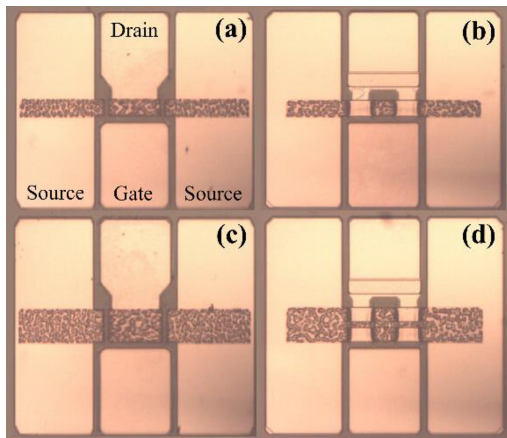
GaAs-based LNA device technology has come a long way to reach its current maturity for radio-frequency monolithic-microwave-integrated-circuit (MMIC) applications [1], [2], [3], [4], [5]. However, because of its relatively narrow bandgap of 1.42eV, GaAs cannot sustain high input power in some needs. It's therefore often necessary to insert an off-chip limiter upfront to avoid overloading or damaging, albeit at the sacrifice of added complexities of circuit designs and raising the cost to produce it.

GaN-HEMT based LNAs, by contrast, can endure much higher operational voltages or powers without breakdown.





**FIGURE 3.** Layout designs of AlGaN/GaN HEMTs with gate widths of (a)  $2 \times 25 \mu\text{m}$  (b)  $4 \times 25 \mu\text{m}$  (c)  $2 \times 50 \mu\text{m}$  (d)  $4 \times 50 \mu\text{m}$  in this study.



**FIGURE 4.** Top view images of AlGaN/GaN HEMTs with gate widths of (a)  $2 \times 25 \mu\text{m}$  (b)  $4 \times 25 \mu\text{m}$  (c)  $2 \times 50 \mu\text{m}$  (d)  $4 \times 50 \mu\text{m}$  in this study.

air-bridge structures are used to connect the source pads and are highlighted as the red area in Fig. 3; on the other hand, the length of the middle source pad ( $L_{MS}$ ) for 4-finger devices is 30  $\mu\text{m}$ .

In the second set of experiments, the AlGaN/GaN HEMTs were fabricated with different gate stem heights of 150, 200, and 250 nm, and their layouts follow the  $4 \times 50 \mu\text{m}$  pattern shown in Fig. 3(d).

To characterize the noise and RF large-signal power performance, the devices were measured by Auriga noise parameter measurement system from 18 to 40 GHz and by the load-pull measurement system at 28 GHz. To further understand the characteristics of the parasitic capacitance and resistance, the cold-FET method was applied to extract their values based on the results of S-parameters using a small-signal equivalent circuit model shown in Fig. 1.

### III. DEVICE FABRICATION

The fabrication process for AlGaN/GaN HEMTs in this study is similar to the process reported in reference [24]. The fabrication started with Ohmic contact formation. The Ohmic

metal of Ti/Al/Ni/Au (20/120/25/100 nm) was deposited by E-gun evaporator and then was annealed in  $N_2$  ambient at 835  $^\circ\text{C}$  by RTA. Boron implantation then followed to achieve device isolation. Before the gate formation, the first SiN film was deposited by PECVD and its thickness was used to determine the height of gate stem. Then, the gate shift technology was performed by the stepper photolithography system to form a  $\Gamma$ -shaped gate with a gate length of 150 nm. The gate metal of Ni/Au (50/500 nm) was deposited by E-gun evaporator. The film thinning step used in [24] was adopted in this work as well. The via hole opening was then performed by ICP-RIE. In the end, the deposition of thick Au was conducted for the metallization and the air-bridge structures were fabricated for the 4-finger devices.

### IV. RESULTS AND DISCUSSION

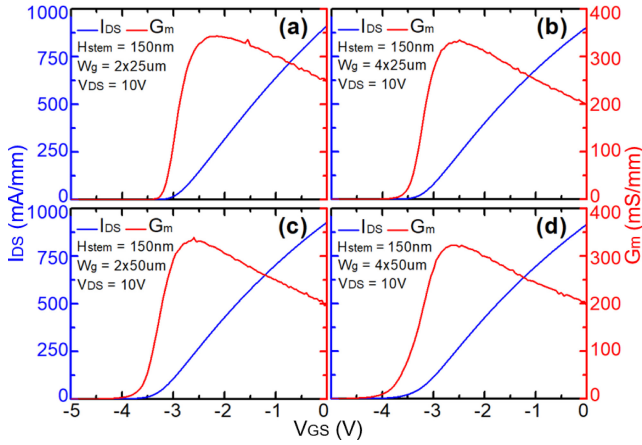
To optimize the layout design and to investigate the impact of raising gate stem on noise performance, AlGaN/GaN HEMTs with different gate peripheries and with different heights of gate stems were fabricated and evaluated in this study. In this section, transfer characteristics of the devices are provided at first. Afterward, the noise characteristics of  $NF_{\min}$  and the associated gain ( $G_{\text{asso}}$ ) will be displayed. Then, the S-parameters and extracted values of parameters will be shown to explain the trends of the measured  $NF_{\min}$ . Finally, the large-signal power characteristics of the devices will be displayed.

#### A. IMPACT OF DIFFERENT GATE PERIPHERIES ON NOISE AND POWER PERFORMANCE OF ALGAN/GAN HEMTs

To optimize the device layout for best noise performance, AlGaN/GaN HEMTs, whose gate stem heights are 150 nm, with 4 types of gate peripheries ( $2 \times 25/4 \times 25/2 \times 50/4 \times 50 \mu\text{m}$ ) were prepared. A device with a wider channel width is expected to have a lower source resistance ( $R_S$ ) value but larger capacitance such as  $C_{gs}$  and  $C_{gd}$ , while one with more gate fingers in parallel tends to have reduced gate resistance ( $R_G$ ) value. On the other hand, varying the gate periphery also involves the changes the intrinsic transconductance ( $g_m$ ) and output conductance ( $g_o$ ), which are also critical to determine  $NF_{\min}$  according to Equation (1). Therefore, it is important to investigate the change in these parameters when finding out the optimal gate periphery.

Fig. 5 shows the transfer characteristics of the devices with different gate peripheries, which were conducted under 10 V drain bias voltage ( $V_{DS}$ ). The measured steady-state current densities ( $I_{DSS}$ ) and the maximum transconductances ( $G_{m,\max}$ ) are summarized in Table 1. There is no significant difference in the transfer curves or  $G_{m,\max}$  for various gate peripheries.

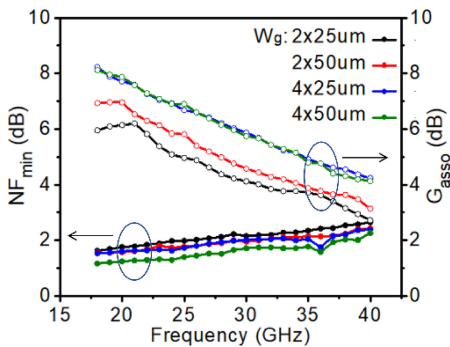
Next, the noise characteristics were measured from 18 to 40 GHz under  $V_{DS} = 10 \text{ V}$  and gate voltage ( $V_{GS}$ ) biased for current density ( $I_{DS}$ ) equals 25% of  $I_{DSS}$ .  $NF_{\min}$  and  $G_{\text{asso}}$  of the devices with respect to frequency are shown in Fig. 6, and their values at 28 GHz are summarized in Table 2. The measured results show the  $4 \times 50 \mu\text{m}$  device owns the lowest



**FIGURE 5.** IDVG curves of AlGaN/GaN HEMTs with gate width ( $W_g$ ) of (a)  $2 \times 25 \mu\text{m}$  (b)  $4 \times 25 \mu\text{m}$  (c)  $2 \times 50 \mu\text{m}$  and (d)  $4 \times 50 \mu\text{m}$ .

**TABLE 1.** Transfer characteristics of AlGaN/GaNs with different gate peripheries.

$W_g$	$2 \times 25 \mu\text{m}$	$4 \times 25 \mu\text{m}$	$2 \times 50 \mu\text{m}$	$4 \times 50 \mu\text{m}$
$I_{DSS}$ (mA/mm)	912	904	926	915
$G_{m,max}$ (mS/mm)	342	332	334	322



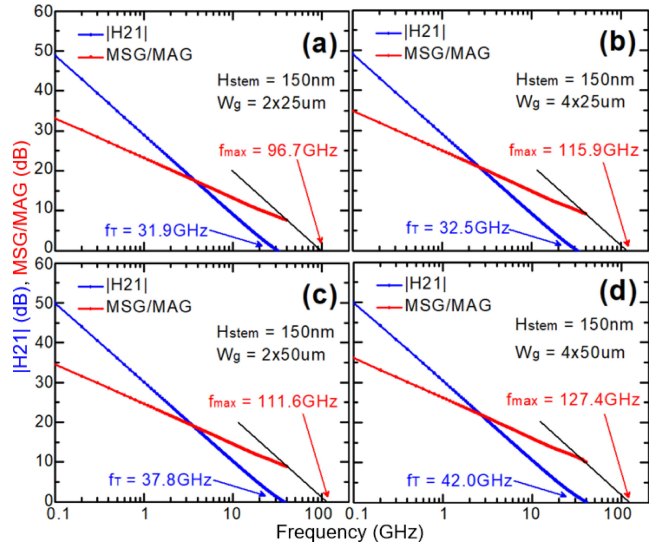
**FIGURE 6.**  $NF_{min}$  and  $G_{asso}$  of AlGaN/GaN HEMTs with different gate peripheries as a function of frequency.

**TABLE 2.**  $NF_{min}$  and  $G_{asso}$  at 28 GHz of AlGaN/GaN HEMTs with different gate peripheries.

$W_g$	$2 \times 25 \mu\text{m}$	$4 \times 25 \mu\text{m}$	$2 \times 50 \mu\text{m}$	$4 \times 50 \mu\text{m}$
$NF_{min}@28\text{GHz}$	2.12dB	1.93dB	1.89dB	1.51dB
$G_{asso}@28\text{GHz}$	4.37dB	6.21dB	4.98dB	6.15dB

$NF_{min}$  with the level of  $G_{asso}$  as high as the  $4 \times 25 \mu\text{m}$  device, while the  $2 \times 25 \mu\text{m}$  device has the greatest  $NF_{min}$  and lowest  $G_{asso}$  characteristics. On the other hand, the devices with same gate periphery ( $4 \times 25 \mu\text{m}$  and  $2 \times 50 \mu\text{m}$ ) own similar characteristic of  $NF_{min}$ .

The S-parameters were measured using same bias voltages as the noise measurement. Fig. 7 (a) to (d) show the  $|H_{21}|$  gain and MSG/MAG of the devices, and the estimated values of cut-off frequency ( $f_T$ ) and maximum oscillation frequency ( $f_{max}$ ) are labelled. The formulas of  $f_T$  and  $f_{max}$  can be



**FIGURE 7.** Measured  $|H_{21}|$  and MSG/MAG of AlGaN/GaN HEMTs with gate width of (a)  $2 \times 25 \mu\text{m}$  (b)  $4 \times 25 \mu\text{m}$  (c)  $2 \times 50 \mu\text{m}$  and (d)  $4 \times 50 \mu\text{m}$ .

**TABLE 3.** Extracted values of elements of AlGaN/GaN HEMTs with different gate peripheries.

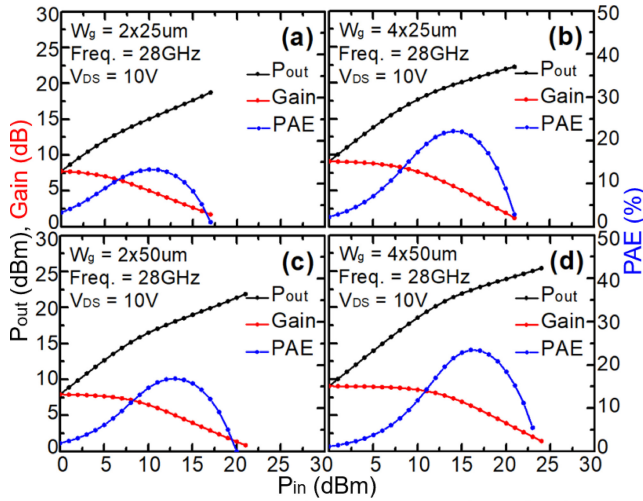
$W_g$	$2 \times 25 \mu\text{m}$	$4 \times 25 \mu\text{m}$	$2 \times 50 \mu\text{m}$	$4 \times 50 \mu\text{m}$
$C_{gs}$	60.5fF	110.6fF	94.8fF	176.3fF
$C_{gd}$	10.8fF	18.8fF	16.3fF	26.7fF
$R_S$	8.9Ω	5.5Ω	5.4Ω	3.2Ω
$R_G$	6.8Ω	3.6Ω	7.1Ω	3.8Ω
$R_D$	13.6Ω	8.5Ω	8.4Ω	5.5Ω
$g_m$	20.5mS	40.5mS	41.1mS	82.9mS
$g_o$	0.6mS	1.1mS	1.0mS	2.1mS
$\Delta$	1973.2fF·Ω	1939.7fF·Ω	1945.2fF·Ω	1865.4fF·Ω

expressed as Equations (2) and (3) below [25], [26]:

$$f_T = \frac{\frac{g_m}{2\pi}}{(C_{gs} + C_{gd}) \times [1 + (R_S + R_D)g_o] + g_m C_{gd} (R_S + R_D)} \quad (2)$$

$$f_{max} = \frac{f_T}{\sqrt{g_o(R_G + R_S) + 2f_T C_{gd} R_G}} \quad (3)$$

Based on the measured S-parameters, the values of parameters involved in  $f_T$ ,  $f_{max}$ , and Fukui's equation are extracted by cold-FET method and then summarized in Table 3. It is obtained that device with wider gate periphery owns a lower source resistance ( $R_S$ ) value with increased  $C_{gs}$  and  $C_{gd}$ , and 4-finger devices have reduced  $R_G$  values compared with 2-finger ones; moreover,  $g_m$  and  $g_o$  almost have proportional relationship with the gate periphery. For  $4 \times 25 \mu\text{m}$  and  $2 \times 50 \mu\text{m}$  devices with the same gate periphery, the  $4 \times 25 \mu\text{m}$  device owns slightly greater  $C_{gs}$  and  $C_{gd}$ , which may result from a more significant fringing effect of using more fingers, and reduced  $R_G$  due to more fingers in parallel, leading to its inferior  $f_T$  but superior  $f_{max}$  values and so do the similar  $NF_{min}$  characteristics. Then by substituting the values



**FIGURE 8.** Large-signal power performance at 28 GHz of AlGaN/GaN HEMTs with gate width of (a) 2×25 um (b) 4×25 um (c) 2×50 um and (d) 4×50 um.

**TABLE 4.** Large-signal power characteristics at 28 GHz of AlGaN/GaN HEMTs with different gate peripheries.

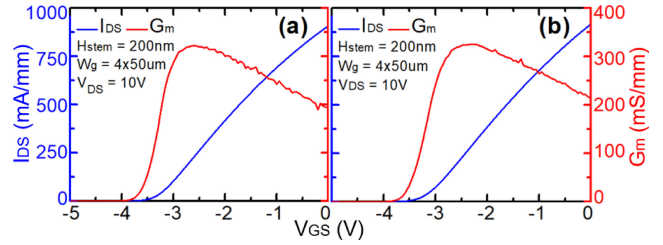
$W_g$	2×25um	4×25um	2×50um	4×50um
$OP_{1dB}$	0.37W/mm	0.51W/mm	0.39W/mm	0.61W/mm
$P_{out,max}$	1.49W/mm	1.67W/mm	1.54W/mm	1.75W/mm
$G_p$	7.84dB	9.13dB	7.95dB	9.08dB
$PAE_{max}$	13.3%	22.2%	16.9%	23.5%
MSG/MAG @28GHz	9.01dB	10.73dB	10.33dB	11.90dB

of extracted parameters into Fukui equation, the trends of  $NF_{min}$  can be examined. A symbol  $\Delta$  is used to represent the contribution of extracted parameters to  $NF_{min}$  as:

$$\Delta = (C_{gs} + C_{gd}) \sqrt{\frac{R_G + R_S}{g_m}} \quad (4)$$

According to the quantitative results, the 4×50um device with the widest gate periphery is estimated to achieve the lowest  $NF_{min}$ , while the 2×25um device may tend to own the greatest  $NF_{min}$ . Unlike  $g_m$ , the capacitance does not proportionally increase as the gate periphery becomes wider.  $NF_{min}$  is thereby benefited from a wider gate periphery before the increment in  $C_{gs} + C_{gd}$  exceeds root of  $g_m$ .

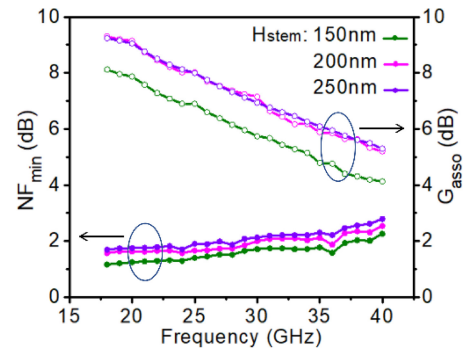
The load-pull measurements at 28 GHz are shown in Fig. 8. Note the  $V_{GS}$  was set at class AB mode and  $V_{DS}$  was 10 V, like the bias conditions for the noise measurements. The impedances of both the source port and load port were tuned for the maximum output power of the devices. The large-signal power characteristics of devices and their MSG/MAG values at 28 GHz are summarized in Table 4. The focused device with 4×50um gate periphery again owns superior power characteristics, which may result from the highest MSG/MAG at 28 GHz. It achieved output power density at 1 dB compression ( $OP_{1dB}$ ) of 0.61 W/mm, linear power gain ( $G_p$ ) of 9.08 dB, and power-added efficiency



**FIGURE 9.** IDVG curves of AlGaN/GaN HEMTs with gate stem height ( $H_{stem}$ ) of (a) 200 nm and (b) 250 nm.

**TABLE 5.** Transfer characteristics of AlGaN/GaN HEMTs with different gate stem heights.

$H_{stem}$	150nm	200nm	250nm
$I_{DSS}$ (mA/mm)	915	906	916
$G_{m,max}$ (mS/mm)	322	322	324



**FIGURE 10.** Minimum noise figure and associated gain of devices with different gate stem heights as a function of frequency.

(PAE) of 23.5% at 28 GHz. Because of its superior noise and power performance, the layout of 4×50um device was picked for further explore possible effects of gate stem heights.

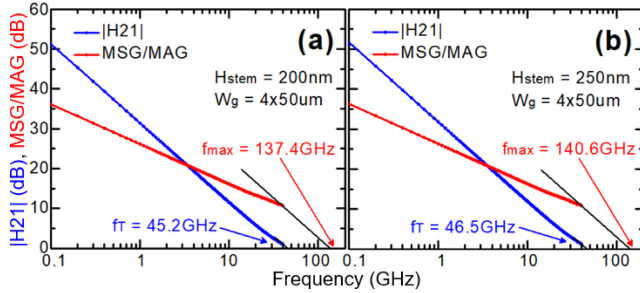
## B. IMPACT OF DIFFERENT GATE STEM HEIGHTS ON NOISE AND POWER PERFORMANCE OF ALGAN/GAN HEMTS

AlGaN/GaN HEMTs with properly raised  $\Gamma$ -shaped gate has proven to have lower parasitic capacitance and thus better power performance for its tall stem structure [24]; however, it may lead to greater  $R_G$ . According to Equation (1),  $NF_{min}$  is positively correlated not only to  $C_{gs} + C_{gd}$  but also to the square root of  $R_g + R_s$ . Therefore, the tall gate stem structure may weigh on the noise behaviors, as will be further discuss later. In this discussion, AlGaN/GaN HEMTs, whose gate widths are 4×50 um, with different heights of gate stems (150/200/250 nm) were prepared.

The transfer characteristics of the devices with stem heights 200 and 250 nm are shown in Fig. 9 in reference to what is shown for the 150 nm stem shown in Fig. 5(d). Their  $I_{DSS}$  and  $G_{m,max}$  values are summarized in Table 5 for convenience of comparison. Apparently, the gate stem height has no significant effects on the DC performance.

**TABLE 6.**  $NF_{min}$  and  $G_{asso}$  at 28 GHz of AlGaN/GaN HEMTs with different gate stem heights.

$H_{stem}$	150nm	200nm	250nm
$NF_{min}@28GHz$	1.51dB	1.73dB	1.87dB
$G_{asso}@28GHz$	6.15dB	7.37dB	7.32dB



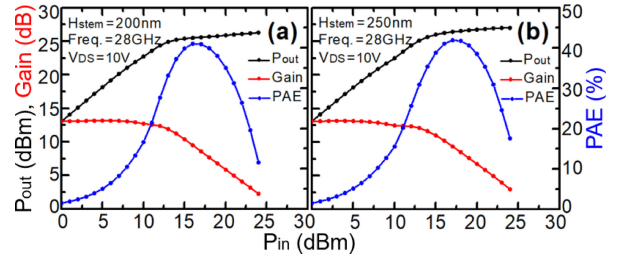
**FIGURE 11.** Measured |H21| and MSG/MAG of AlGaN/GaN HEMTs with gate stem height of (a) 200 nm and (b) 250 nm.

**TABLE 7.** Extracted values of parasitic elements of  $4 \times 50\mu m$  AlGaN/GaN HEMTs with different stem heights.

$H_{stem}$	150nm	200nm	250nm
$C_{gs}$	176.3fF	171.9fF	169.5fF
$C_{gd}$	26.7fF	20.1fF	17.1fF
$R_S$	3.2Ω	3.3Ω	3.3Ω
$R_G$	3.8Ω	5.0Ω	5.6Ω
$R_D$	5.5Ω	5.3Ω	5.4Ω
$g_m$	82.9mS	83.2mS	83.1mS
$g_o$	2.1mS	2.1mS	2.1mS
$\Delta$	1865.4fF·Ω	1917.7fF·Ω	1931.1fF·Ω

Their noise characteristics were also measured from 18 to 40 GHz under  $V_{DS} = 10$  V and gate voltage ( $V_{GS}$ ) biased for current density ( $I_{DS}$ ) equals 25% of  $I_{DSS}$ . Fig. 10 shows the  $NF_{min}$  and  $G_{asso}$  characteristics with respect to frequency, and their values at 28 GHz are summarized in Table 6. It is observed that the level of  $NF_{min}$  becomes higher as the stem height increases, and there is no obvious difference between associated gains of 200nm-stem and 250nm-stem devices.

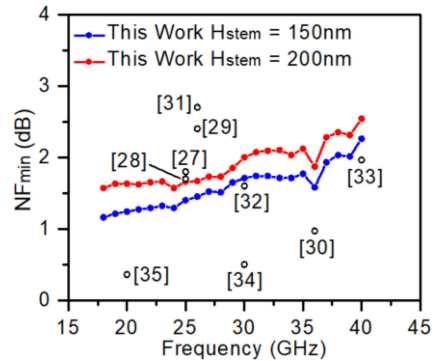
The S-parameters were measured using same bias voltages as the noise measurement as well. Fig. 11 shows the |H21| gain and MSG/MAG of 200nm-stem and 250nm-stem devices in reference to what is shown for the 150 nm stem shown in Fig. 7(d). The estimated  $f_T/f_{max}$  values of the 200nm-stem device (45.2/137.4 GHz) and the 250nm-stem device (46.5/140.6 GHz) are much better than the 150nm-stem device (42.0/127.4 GHz) due to the reduction in  $C_{gs}$  and  $C_{gd}$ . Again, the values of parameters involved in  $f_T$ ,  $f_{max}$ , and Fukui's equation are extracted and summarized in Table 7. It is obtained that as the height of stem increases,  $C_{gs}$  and  $C_{gd}$  reduces but  $R_g$  greatly increases. According to results of  $\Delta$ , a taller gate stem leads to the greatly increase in gate resistance, making the increment of the square root of  $R_G+R_S$  exceeds the reduction in  $C_{gs}+C_{gd}$ . Therefore, even



**FIGURE 12.** Large-signal power performance at 28 GHz of AlGaN/GaN HEMTs with gate stem height of (a) 200 nm and (b) 250 nm.

**TABLE 8.** Large-signal power characteristics at 28 GHz of devices with different gate stem heights.

$H_{stem}$	150nm	200nm	250nm
$OP_{1dB}$	0.61W/mm	1.55W/mm	1.62W/mm
$P_{out,max}$	1.75W/mm	2.13W/mm	2.50W/mm
$G_p$	9.08dB	13.18dB	13.16dB
$PAE_{max}$	23.5%	41.1%	42.0%
MSG/MAG @28GHz	11.90dB	12.18dB	12.32dB



**FIGURE 13.** Comparison of the minimum noise figure of GaN devices at Ka-band.

though the tall gate stem structure improves the parasitic capacitance of a device,  $NF_{min}$  still degrades.

The load-pull measurements at 28 GHz for the stem heights of 200 and 250 nm devices are shown in Fig. 12 for comparison with what has been shown in Fig. 8 (d) for their 150 nm counterpart. Note the  $V_{GS}$  was also set at class AB mode with  $V_{DS} = 10$  V. The large-signal power characteristics of the devices and their MSG/MAG values at 28 GHz are summarized in Table 8. According to the results, the power characteristics of the 200nm-stem and 250nm-stem devices are similar and are far superior to the 150nm-stem counterpart. At 28 GHz, the 200nm-stem device achieves  $OP_{1dB}$  of 1.55 W/mm,  $P_{out,max}$  of 2.13 W/mm,  $G_p$  of 13.18 dB, and PAE of 41.1%. Thus, all things considered, with outstanding power performance and adequate noise performance, the device with  $H_{stem}$  of 200 nm stands out as a competitive possibility for both LNA and PA millimeter-wave applications.

In this spirit, Table 9 and Fig. 13 compare the noise and RF power performances of the 150nm and 200nm devices

**TABLE 9. Comparison of noise and power performances of GaN devices at Ka-band.**

	Noise Performance				Large-Signal Power Performance				
	Freq.	V <sub>DS</sub>	NF <sub>min</sub>	G <sub>asso</sub>	Freq.	V <sub>DS</sub>	P <sub>out,max</sub>	G <sub>p</sub>	PAE <sub>max</sub>
This work H <sub>stem</sub> = 200nm	28GHz	10V	1.73dB	7.37dB	28GHz	10V	2.13W/mm	13.18dB	41.1%
This work H <sub>stem</sub> = 150nm	28GHz	10V	1.51dB	6.15dB	28GHz	10V	1.75W/mm	9.08dB	23.5%
[27]	25GHz	6V	~1.8dB	~8.5dB	30GHz	20V	2.5W/mm	~8.0dB	25%
[28]	25GHz	5V	~1.7dB	~11.0dB	14GHz	15V	2.1W/mm	14.5dB	36.0%
[29]	26GHz	5V	~2.4dB	~7.0dB	-	-	-	-	-
[30]	36GHz	5V	0.97dB	7.5dB	-	-	-	-	-
[31]	26GHz	16V	~2.7dB	~5.5dB	-	-	-	-	-
[32]	30GHz	10V	1.6dB	5.0dB	-	-	-	-	-
[33]	40GHz	5V	1.96dB	7.29dB	-	-	-	-	-
[34]	30GHz	5V	0.5dB	13.5dB	-	-	-	-	-
[35]	20GHz	2V	0.36dB	16.5dB	-	-	-	-	-

from this work with the data in the Ka-band reported in literature. In all, the AlGaN/GaN HEMT with H<sub>stem</sub> = 200 nm turns out to compete well with peers for both LNA and PA applications in Ka-band.

## V. CONCLUSION

The impacts of layout designs with different gate peripheries and heights of gate stems on the noise performance of the  $\Gamma$ -gate AlGaN/GaN HEMTs were investigated. Among the devices with different gate peripheries, the 4×50um device owns best measured noise performance: minimum noise figure of 1.5 dB and associated gain of 6.2 dB at 28 GHz. The raised gate stem structure is applied to alleviate the parasitic capacitances and to enhance the RF power performance; however, it increases the gate resistance. As the stem height increases, even though the capacitance is reduced, the minimum noise figure becomes higher due to the great increment in gate resistance. Based on the experiment results, the 200nm-stem device maintains competitiveness in noise and RF power performance at Ka-band, and thus can be applied for LNA and PA integration as a single chip solution for the RF circuit design.

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