Signal Propagation: The Framework for Learning and Inference in a Forward Pass

Adam Kohan^(D), Edward A. Rietman, and Hava T. Siegelmann, *Fellow, IEEE*

Abstract-We propose a new learning framework, signal propagation (sigprop), for propagating a learning signal and updating neural network parameters via a forward pass, as an alternative to backpropagation (BP). In sigprop, there is only the forward path for inference and learning. So, there are no structural or computational constraints necessary for learning to take place, beyond the inference model itself, such as feedback connectivity, weight transport, or a backward pass, which exist under BP-based approaches. That is, sigprop enables global supervised learning with only a forward path. This is ideal for parallel training of layers or modules. In biology, this explains how neurons without feedback connections can still receive a global learning signal. In hardware, this provides an approach for global supervised learning without backward connectivity. Sigprop by construction has compatibility with models of learning in the brain and in hardware than BP, including alternative approaches relaxing learning constraints. We also demonstrate that sigprop is more efficient in time and memory than they are. To further explain the behavior of sigprop, we provide evidence that sigprop provides useful learning signals in context to BP. To further support relevance to biological and hardware learning, we use sigprop to train continuous time neural networks with the Hebbian updates and train spiking neural networks (SNNs) with only the voltage or with biologically and hardware-compatible surrogate functions.

Index Terms—Biological learning, local learning (LL), neural networks, neuromorphics, optimization, parallel learning.

I. INTRODUCTION

THE success of deep learning is attributed to the backpropagation (BP) of errors' algorithm [1] for training artificial neural networks (ANNs). However, the constraints necessary for BP to take place are (1) incompatible with learning in the brain and in hardware and (2) computationally inefficient, bottlenecking memory, time, and parallel learning. These learning constraints under BP come from calculating the contribution of each neuron to the network's output error. This calculation during training occurs in two phases. First, in the forward pass phase, the input is fed completely through the network, storing the neurons activations for the second phase and producing an output. Second, in the backward pass phase, the error between the input's target and the network's

Color versions of one or more figures in this article are available at https://doi.org/10.1109/TNNLS.2022.3230914.

Data is available online at https://github.com/amassivek/signalpropagation. Digital Object Identifier 10.1109/TNNLS.2022.3230914

output is fed in reverse order of the forward pass through the network to compute parameter updates using the stored neurons activations.

These two phases of learning have the following learning constraints. The forward pass stores the activation of every neuron for the backward pass, increasing memory overhead. The forward and backward passes need to complete before receiving the next inputs, thereby pausing resources. Network learning parameters can only be updated after and in reverse order of the forward pass, which is sequential and synchronous. The backward pass requires its own feedback connectivity to every neuron, increasing structural complexity. The feedback connectivity needs to have weight symmetry with forward connectivity, known as the weight transport problem. The backward pass uses a different type of computation than the forward pass, adding computational complexity. In total, these constraints prohibit parallelization of computations during learning; increase memory usage, run time, and the number of computations; and bound the network structure.

These learning constraints under BP are difficult to reconcile with learning in the brain [2], [3]. Particularly, the backward pass is considered to be problematic [2], [3], [4], [5], [6] as: 1) the brain does not have the comprehensive feedback connectivity necessary for every neuron; 2) neither is neural feedback known to be a distinct type of computation, separate from feedforward activity; and 3) the feedback and feedforward connectivity would need to have weight symmetry.

These learning constraints also hinder efficient implementations of BP and error-based learning algorithms on hardware [7], [8]: 1) weight symmetry is incompatible with elementary computing units which are not bidirectional; 2) the transportation of nonlocal weight and error information requires special communication channels in hardware; and 3) spiking equations are nonderivable, noncontinuous. Hardware implementations of learning algorithms may provide insight into learning in the brain. An efficient, empirically competitive algorithm to BP on hardware will likely parallel learning in the brain.

All these constraints can be categorized by their overall effect on learning for a network as follows: 1) backwardpass unlocking would allow for all the parameters to be updated in parallel after the forward pass has completed and 2) forwardpass unlocking would allow for the individual parameters to be asynchronously updated once the forward pass has reached them, without waiting for the forward pass to complete. These categories directly reference parallel computation, but also have implications on network structure, memory, and run

Manuscript received 11 November 2021; revised 10 May 2022, 17 November 2022, and 1 December 2022; accepted 12 December 2022. (*Corresponding author: Adam Kohan.*)

The authors are with the Biologically Inspired Neural and Dynamical Systems Laboratory, College of Information and Computer Sciences, University of Massachusetts Amherst, Amherst, MA 01003 USA (e-mail: akohanumass@gmail.com).

This work is licensed under a Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 License. For more information, see https://creativecommons.org/licenses/by-nc-nd/4.0/

time. For example, backwardpass locking implies top-down feedback connectivity. A similar terminology was used in [9], where 1) is backward locking and 2) is update locking. Alternative learning approaches to address backwardpass and forwardpass unlocking have been proposed, refer to Section II and Fig. 1, but do not solve all these constraints and are based on relaxing learning constraints under BP.

We propose a new learning framework, signal propagation (SP or sigprop), for propagating a learning signal and updating neural network parameters via a forward pass. Sigprop has no constraints on learning, beyond the inference model itself, and is completely forwardpass unlocked. At its core, sigprop generates targets from learning signals and then reuses the forward path to propagate those targets to hidden layers and update parameters. Sigprop has the following desirable features. First, inputs and learning signals use the same forward path, so there are no additional structural or computational requirements for learning, such as feedback connectivity, weight transport, or a backward pass. Second, without a backward pass, the network parameters are updated as soon as they are reached by a forward pass containing the learning signal. Sigprop does not block the next input or store activations. So, sigprop is ideal for parallel training of layers or modules. Third, since the same forwardpass used for inputs is used for updating parameters, there is only one type of computation. Compared with alternative approaches, sigprop addresses all the above constraints and does so with a global learning signal.

Our work suggests that learning signals can be fed through the forward path to train neurons. Feedback connectivity is not necessary for learning. In biology, this means that neurons which do not have feedback connections can still receive a global learning signal. In hardware, this means that global learning (e.g., supervised or reinforcement) is possible even though there is no backward connectivity.

This article is organized as follows. In Section II, we detail the improvements on relaxing learning constraints of sigprop over alternative approaches. In Section III, we introduce the signal propagation framework and learning algorithm. In Section IV, we describe experiments evaluating the accuracy, run time, and memory usage of sigprop. We also demonstrate that sigprop can be trained with a sparse learning signal. In Section V, we demonstrate that sigprop provides a useful learning signal that becomes increasingly similar to BP as training progresses. We also demonstrate that sigprop can train continuous time neural networks, and with a Hebbian plasticity mechanism to update parameters in hidden layers, as further support of its relevance to biological learning. In Section VI, we demonstrate that sigprop directly trains spiking neural networks (SNNs), with or without surrogate functions, as further support of its relevance to hardware learning.

II. RELAXING CONSTRAINTS ON LEARNING

Signal propagation (sigprop) is a new approach that imposes no learning constraints, beyond the inference model itself, while providing a global learning signal. Alternative approaches, in contrast, are based on relaxing the learning constraints under BP. Under this view of relaxing constraints, we can also arrive at sigprop: once the learning constraints under BP are done away with, the simplest explanation to provide a global learning signal is to use the forward path, the path constructing the inference model. That is, we project the learning signal through the same path as the inputs. In this section, we discuss alternative approaches; compare the variations in constraints they relax; and see the difference in removing constraints entirely, which results in the improvement shown under sigprop. Refer to Fig. 1 for a visual comparison.

Feedback alignment (FA), Fig. 1(b), uses fixed random weights to transport error gradient information back to hidden layers, instead of using symmetric weights [10]. It showed that the sign concordance between the forward and feedback weights is enough to deliver effective error signals [7], [11], [12]. During learning, the forward weights move to align with the random feedback weights and have approximate symmetry, forming an angle below 90°. FA addresses the weight transport problem, but remains forwardpass and backwardpass locked. Direct FA (DFA), Fig. 1(c), propagates the error directly to each hidden layer and is additionally backwardpass unlocked [13]. Sigprop improves on DFA and is forwardpass unlocked. DFA performs similar to BP on CIFAR-10 for small fully connected networks with dropout, but performs more poorly for convolutional neural networks. Sigprop performs better than DFA and FA for convolutional neural networks.

The FA-based algorithms also rely on systematic feedback connections to layers and neurons. Though it is possible [6], [10], [12], there is no evidence in the neocortex of the comprehensive level of connectivity necessary for every neuron (or layer) to receive feedback (reciprocal connectivity). With sigprop, we introduce an algorithm capable of explaining how neurons without feedback connections learn. That is, neurons without feedback connectivity receive feedback through their feedforward connectivity.

An alternative approach that minimizes feedback connectivity is local learning (LL), Fig. 1(f). In the LL algorithms [14], [15], [16], [17], [18], the layers are trained independently by calculating a separate loss for each layer using an auxiliary classifier per layer. The LL algorithm has achieved performance close to BP on CIFAR-10 and is making progress on ImageNet. It trains each layer and auxiliary classifier with BP. At the layer level, it has the weight transport problem and is forwardpass and backwardpass locked. In [14], FA is used to backwardpass unlock the layers. It does not use a global learning signal, but learns greedily. In another approach, synthetic gradients (SGs), Fig. 1(g), are used to train layers independently [9], [19]. The SG algorithms train auxiliary networks to predict the gradient of the backward pass from the input, the synthetic gradient. Similar to LL, the SG methods train the auxiliary networks using BP. Until the auxiliary networks are trained, it has the weight transport problem and is forwardpass and backwardpass locked at the network level. In contrast, sigprop is completely forwardpass unlocked, combines a global learning signal with LL, is compatible with learning in hardware where there is no backward connectivity, and is compatible with models of learning in the brain where

comprehensive feedback connectivity is not seen, including projections of the targets to hidden layers.

The forwardpass unlocked algorithms do not necessarily address the limitations in the biological and hardware learning models, as they have different types of computations for inference and learning. In sigprop, the approach to having a single type of computation for inference and learning is similar to target propagation. Target propagation (TP), Fig. 1(d) [20], [21], generates a target activation for each layer instead of gradients by propagating backward through the network. It requires reciprocal connectivity and is forwardpass and backwardpass locked. In contrast, sigprop generates a target activation at each layer by going forward through the network. An alternative approach, equilibrium propagation (EP), is an energy-based model using a local contrastive Hebbian learning with the same computation in the inference and learning phases [6], [22], [23]. The model is a continuous recurrent neural network that minimizes the difference between two fixed points: when receiving an input only and when receiving the target for error correction. EP is closer to a framework, wherein symmetric and random feedback (FA) weights work [24]. These models of EP still require comprehensive connectivity for each layer and are forwardpass locked. We demonstrate that sigprop works in the EP framework without these problems, more closely modeling neural networks in the brain.

Another approach that reuses the forward connectivity for learning, as we do in sigprop, is error forward propagation, Fig. 1(e) [25], [26], [27], [28], [29], [30]. Error forward propagation is for closed-loop control systems or autoencoders. In either case, the output of the network is in the same space as the input of the network. These works calculate an error between the output and the input of the network and then propagate the error forward through the network, instead of backward, calculating the gradient as in error BP. Error forward propagation is backwardpass and forwardpass locked. It also requires different types of computation for learning and inference. In contrast, sigprop uses only a single type of computation and is backwardpass and forwardpass unlocked.

III. SIGNAL PROPAGATION

The premise of signal propagation (sigprop) is to reuse the forward path to map an initial learning signal into targets at each layer for updating parameters. The network is shown in Fig. 2(a); note that training uses the same forward path as inference, except that instead of only feeding the network the input x, we also feed it c the learning signal. The learning signal is some context c, e.g., the label in supervised learning. The learning signal and the input can have different shapes, e.g., a supervised label is a single integer and the input is an image. The target generator projects the learning signal c and the first hidden layer projects the input x to have the same shape (dense signal) or concordant shapes (sparse signal, Section III-E) to be processed by the network, e.g., the target generator projects the label to have the same shape as the input or even the first hidden layer. After which, the forward pass during training proceeds the same way as inference, except with x and c as the new inputs instead of only the original input x.

We provide a framework for any given input x or learning signal c, not only for supervised learning with labels. For example, in regression tasks, the inputs x and outputs ycommonly have the same type and shape; so, using the output training targets y^* as the learning signal c, the target generator and the first hidden layer can be the same (weight sharing). Nonetheless, the focus here is supervised learning.

In the following sections, we start with the general training procedure in Section III-A, then prediction for both training and inference in Section III-B, the loss for training in Section III-C, and details of target generators in Section III-D.

A. Training

Given a network, the forward pass starts with the input x, a learning signal c, and the target generator. Assume the network has two hidden layers, as shown in Fig. 2(a), where W_i and b_i are the weight and bias for layer i, respectively. Let S_1 and d_1 be the weight and bias for the target generator, respectively. The activation function f() is a nonlinearity. Let (x, y^*) be a minibatch of inputs and labels of m possible classes. We feed x into the first hidden layer to get h_1 . We create a one-hot vector of each class c_m , this is our learning signal, and feed it into the target generator to get t_1 . Note that x and c_m have different shapes. Now, h_1 and t_1 have the same shape

$$h_1, t_1 = f(W_1 x + b_1), f(S_1 c_m + d_1)$$
(1)

$$[h_2, t_2] = f(W_2[h_1, t_1 + b_2])$$
⁽²⁾

$$[h_3, t_3] = f(W_3h_2, t_2 + b_2).$$
(3)

The outputted t_1 is a target for the output of the first hidden layer h_1 . This target is used to compute the loss $L_1(h_1, t_1)$ for training the first hidden layer and the target generator. Then, the target t_1 and the output h_1 are fed to the next hidden layer. The forward pass continues this way until the final layer. The final layer and each hidden layer have their own losses

$$J = L(h_1, t_1) + L(h_2, t_2) + L(h_3, t_3)$$
(4)

where J is the total loss for the network. For hidden layers, the loss L can be a supervised loss, such as L_{pred} (8) which is used in Section IV. It can also be a Hebbian update rule, such as (13) which is used in Section V. For the final layer, the loss L is a supervised loss, such as L_{pred} (8).

In total, each layer processes its input and input-target to create an output and output-target. The layer compares its output with its output-target to update its parameters. In this way, the layer locally computes its update from a global learning signal. The layer then sends its output and output-target to the next layer which will compute its own update. This process continues until the final layer has computed its update and produces the network's output (prediction). From this procedure collectively, the network learns to process the input to produce an output, and at the same time, it learns to make an initial learning signal into a useful training target at each hidden layer and final layer. In other words, the network itself, which is the forward path, takes on the role of the feedback connectivity in producing a learning signal for each layer. This makes sigprop compatible with models of learning where 4

IEEE TRANSACTIONS ON NEURAL NETWORKS AND LEARNING SYSTEMS

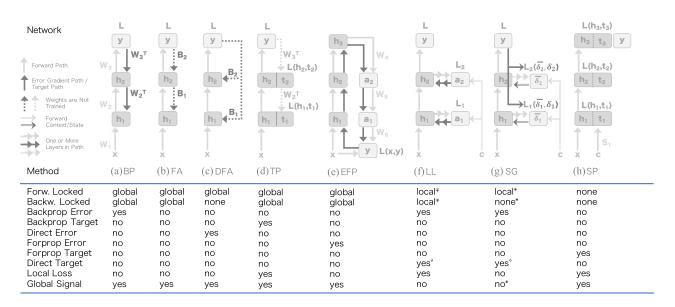


Fig. 1. Comparison of learning algorithms relaxing learning constraints under BP. (a) BP algorithm and (b) and (c) FA and direct FA algorithms. FAbased algorithms do not solve forwardpass locking and require additional connectivity. (d) Target propagation uses a single type of computation for training and inference, but is forwardpass locked and requires feedback connectivity. (e) Error forward propagation for closed-loop systems or autoencoders reuses the forward connectivity to propagate error, but is otherwise similarly constrained as BP. (f) LL with layerwise training using auxiliary classifiers. ⁺LL is forwardpass and backwardpass locked at the layer level as the auxiliary networks use BP. BP in the auxiliary networks may be substituted with an alternative model, such as FA. (g) Synthetic gradient algorithm. *SG-based algorithms are only forwardpass and backwardpass unlocked after learning to predict the synthetic gradient. (h) Signal propagation learning algorithm presented in this work. SP feeds the learning signal forward through the network to solve the weight transport and forwardpass locking problems without requiring additional connectivity requirements. For SP, taking t_3 with h_3 produces y; however, a classification layer may also be used Fig. 2. (Table) Direct error and direct target means that a model uses the error or target directly at layer h_i . $^{\circ}$ Direct target can be substituted in LL and SG, with direct error or temporary use of BP for example. Forprop stands for forward propagation. Forprop error and Forprop target means the model uses the error or target starting at the input layer, instead of starting at the output layer as is done in BP. Global signal means the learning signal is propagated through the network instead of sent directly to or formed at each hidden layer. Networks) The light gray arrows indicate the feed forward path. Dark gray arrows indicate error gradient or target paths. If the dark gray arrows pass through a layer, the weights are not trained by the error gradient or target. Dotted lines indicate the weights are not trained. Double lines, light or dark gray, are forwarding the context c or state h_i , without modification. Double arrows indicate going through one or more intermediate hidden layers. W_i and S_i are trained weights and B_i are fixed random weights. There are versions of these models where B_i is trained to be the transpose of W_i . The loss function is L and takes the output of the previous layer and possibly some target y^* when unspecified. The target generator layer S_1 generates the initial training target t_i from a learning signal, which is some privileged information or context c, usually the label in supervised learning. The gradient is δ and the synthetic gradient is $\hat{\delta}$. Auxiliary networks are represented by the double arrows going into a_i and $\hat{\delta}_i$.

backward connectivity is limited, such as in the brain and learning in hardware (e.g., neuromorphic chips).

B. Prediction for Training and Inference

In training and inference, the prediction y is formed by comparing the final layer's output h_3 with its target t_3 (output target)—Fig. 2(a). Sigprop does not need an explicit final classification layer. However, a classification layer may be used with no effect on performance (classification layer)— Fig. 2(b). We describe both the versions of sigprop below.

1) Output Target, Fig 2(a): The network's prediction y at the final layer is formed by comparing the output h_3 and outputted target t_3 [Fig 2(a)]

$$y = y_3 = O(h_3, t_3)$$
 (5)

where O is a comparison function. Two such comparison functions are the dot product and L2 distance. We use the less complex O_{dot}

$$O_{\rm dot}(h_i, t_i) = h_i \cdot t_i^T \tag{6}$$

but both the versions give similar performance using the losses in Section III-C. Each hidden layer can also output a

prediction, and these are known as early exits (faster responses from earlier layers during inference)

$$y = y_i = O(h_i, t_i). \tag{7}$$

2) Classification Layer, Fig 2(b): The final layer of the network may be replaced with the standard output layer used in neural networks, e.g., the classification layer for supervised learning, as shown in Fig 2(b). This simplifies predictions during inference, matching standard neural network design. In this case, the learning signal c (e.g., labels in supervised learning) would be projected to the final layer of the network, as per standard training of networks. The target t_3 is no longer used during inference to form y, so neither is the context generator.

C. Training Loss

In sigprop, losses compare neurons with themselves over different inputs and with each other. L_{pred} is the basic loss we use.

1) Prediction Loss: The prediction loss is a cross entropy (CE) loss using a local prediction (7). The local prediction is from a dot product between the layer's local targets t_i and the layer's output h_i . Given a hidden layer's local targets

KOHAN et al.: SIGNAL PROPAGATION: THE FRAMEWORK FOR LEARNING AND INFERENCE

 $t_i = (t_i^1, \dots, t_i^m)$ and a size *n* minibatch of outputs $h_i = (h_i^1, \dots, h_i^n)$ of the same hidden layer

$$L_{\text{pred}}(h_i, t_i) = \text{CE}(y_i^*, -O_{\text{dot}}(h_i, t_i))$$
(8)

where h_i and t_i have the same size output dimension. The CE loss uses y_i^* , which is a reconstruction of the labels y^* at each layer *i* from the positional encoding of the inputs x and context c_m , starting from the activations h_1 and targets t_1 formed at the first hidden layer. In particular, we form a new batch $[h_1, t_1]$ by interleaving h_1 and t_1 such that each sample's activations in h_1 is concatenated after its corresponding target t_1 . Then, at each layer *i*, we assign a label for each sample h_{ii} depending on which target t_{ik} the sample came after, where $0 \le k < j$. Many different encodings are available, depending on the task and target generator. An alternative is to use the approach in Section V which merges the context c, and therefore generated targets t_1 , with the inputs x to form a single combined input xt, an input-target in Section III-D2, and then either compares them with each other or uses an update rule over multiple iterations. The second option is natural for continuous networks where multiple iterations (e.g., time steps) can support robust update rules.

D. Target Generators

The target generator takes in a learning signal as some context c to condition learning on and then produces the initial target, which is fed forward through the network to produce targets at each hidden layer. There are many possible formulations of the target generator, such as fixed or learned, projecting to the input or first hidden layer, and sharing weights with the first hidden layer. We recommend deciding based on the task, selected learning signal(s), and implementation constraints. For example, in segmentation tasks where outputs have the same shape as the inputs, we can use the output training segmentation targets for the learning signal and have the target generator share weights with the first hidden layer. We describe three formulations below to address different learning scenarios, particularly hardware constrained, continuous, and spike-time learning.

1) Target-Only, Fig 2(a) and (b): This is the version described in (1) and conditions only on the class label. This version of the target generator can interfere with batch normalization statistics as h_1 and t_1 do not necessarily have similar enough distribution. Batch normalization statistics may be disabled or be put in inference mode when processing the targets, therefore only collecting statistics on the input.

2) Target-Input, Fig 2(a) and (b): Another context we condition on is the class label and input. We feed a one-hot vector of the labels y_m^* through the target generator to produce a scale and shift for the input. We take the scaled and shifted output as the target for the first hidden layer

$$t_1 = h_1 f(S_1 c_m + d_1) + f(S_2 c_m + d_2).$$
(9)

The target t_1 is now more closely tied to the distribution of the input. We found that this formulation of the target works better with batch normalization. Even though this version has similar performance to (1), it increases memory usage as each input will have its own version of the targets.

3) Target-Loop, Fig 2(c): The last option is to incorporate a form of feedback. The immediate choice is to condition on the activations of the predictions y_3 and labels y_m^*

$$t_1 = f(S_1 y_3 + S_1 y_m^* + d_1) \tag{10}$$

or using the final layer's output and error e_3 with the target t_3 to correct it

$$t_1 = f(S_1(h_3 - \eta e_3) + d_1)$$

$$\triangleq f\left(S_1\left(h_3 - \eta \frac{\mathrm{d}L}{\mathrm{d}h_3}\right) + d_1\right)$$
(11)

where η controls how much error e_3 to integrate. We use it in Section V for continuous networks.

E. Sparse Learning

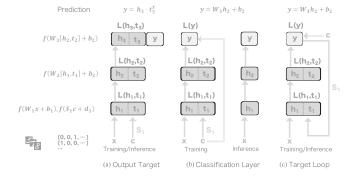
Sigprop can be a form of sparse learning. We reformulate the target generator to produce a sparse target, which is a sparse learning signal. We make the targets t_i as sparse as possible such that at minimum, they can still be taken with each layer's weights W_i , via a convolution or dot-product, and then fed-forward through the network. To make the target sparse, we reduce the output size of S_i in the target generator. We use sparse learning throughout this article, except when otherwise written.

For convolutional layers, the output size of S_i is made the same size as the weights. For example, let there be an input of $32 \times 28 \times 28$ and a convolutional hidden layer of $32 \times 16 \times 3 \times 3$, where 32 is the in-channels, 28×28 is the width and height of the input, 16 is the out-channels, and 3×3 is the kernel. The dense target's shape is $32 \times 28 \times 28$. In contrast, the sparse target's shape is reduced to $10 \times 32 \times 3 \times 3$. As a result, even though convolutional layers have weight sharing, there is no weight sharing when convolving with a sparse target.

For fully connected layers, the output size of S_i is made smaller than the input size of the weights. For example, let there be an input of 1024 and a fully connected hidden layer of 1024 × 512 features. The dense target's shape would be 1024. In contrast, the sparse target's shape is < 1024. Then, we resize the target to match the layer input size of 1024 by filling it with zeros. With the sparse target, the layer is no longer fully connected.

IV. EXPERIMENTS

We compare sigprop (SP) with FA and LL. We also show results for BP as reference. The models are shown in Fig. 1. FA uses fixed random weights to transport error gradient information back to hidden layers, instead of using symmetric weights. For LL, we show the results for two model versions. The first uses BP at the layer level (LL-BP), and the second uses FA in the auxiliary networks to have a BP-free model that relaxes learning constraints under BP (LL-FA). LL-FA performs better than using FA or DFA alone. We use LL-BP and LL-FA with predsim losses on the VGG8b architecture [14]. We trained several network on the CIFAR-10, CIFAR-100, and SVHN datasets. We used a VGG architecture. The experiments were run using the PyTorch Framework. All training was done



Different versions of sigprop (SP). (a) For sigprop, the prediction Fig. 2. y is formed by taking t_3 with h_3 . sigprop does not need a classification layer (output target). (b) However, a classification layer may be used without effecting performance. In this case, the last hidden layer's outputs are sent to the classification layer. The classification layer has a benefit for inference. During inference, the target t_3 is no longer needed to make predictions, so the context c and target generator are not used (classification layer). (c) This is the version of sigprop used in Sections V for the continuous rate model. The classification layer feeds back into the input layer creating a feedback loop, so y is the context c: y = c. This feedback loop allows the target of hidden layers earlier in the network to incorporate information from hidden layers later in the network without incurring the overhead of reciprocal feedback to every neuron. Continuous networks have multiple iterations which is ideal for this version of sigprop. The other versions of sigprop may also be used (Target loop).

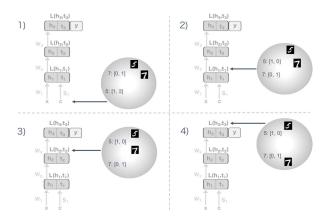


Fig. 3. Training in sigprop (SP). The learning signals c and inputs x are fed into the network. Then, each layer successively brings the learning signal 5 : [1, 0] closer to the images of 5, but farther away from learning signal 7 : [0, 1] and images of 7. The same is done for 7. Before the first layer 1), the images and learning signal of the same class are not closer to each other than to other classes. In the first layer 2), we nudge 5 : [1, 0] and the image of 5 closer; the same for 7. This continues in the following layer 3) and then the final layer 4), at which point the learning signal and inputs of the same class are close each other, but farther from the other class. In general, each layer successively bring inputs x and their respective learning signals c closer together than all other inputs and learning signals.

on a single GeForce GTX 1080. For each layer to have a separate loss, the computational graph was detached before each hidden layer to prevent the gradient from propagating backward past the current layer. The target generator was conditioned on the classes, producing a single target for each class.

Results for BP, LL-BP, LL-FA, and SP: A batch size of 128 was used. The training time was 100 epochs for SVHN and 400 epochs for CIFAR-10 and CIFAR-100. ADAM was used for optimization [31]. The learning rate was set to 5e - 4.

IEEE TRANSACTIONS ON NEURAL NETWORKS AND LEARNING SYSTEMS

The learning rate was decayed by a factor of .25 at 50%, 75%, 89%, and 94% of the total epochs. The leaky ReLU activation with a negative slope of 0.01 was used [32]. Batch normalization was applied before each activation function [33] and dropout after. The dropout rate was 0.1 for all the datasets. The standard data augmentation was composed of random cropping for all the datasets and horizontal flipping for CIFAR-10 and CIFAR-100. The results are over a single trial for VGG models.

The CIFAR-10 dataset [34] consists of $50\,000\,32 \times 32$ RGB images of vehicles and animals with ten classes. The CIFAR-100 dataset [34] consists of $50\,000\,32 \times 32$ RGB images of vehicles and animals with 100 classes. The SVHN dataset [35] consists of 32×32 images of house numbers. We use both the training of $73\,257$ images and the additional training of $531\,131$ images.

A. Efficiency

We measured the training time and maximum memory usage on CIFAR-10 for BP, LL-BP, LL-FA, and SP. The version of SP used is 2b with the L_{pred} loss. The results are summarized in Table I. LL and SP training time are measured per layer as they are forwardpass unlocked and layers can be updated in parallel. However, BP is not forwardpass unlocked, so layers are updated sequentially and is therefore necessarily measured at the network level. Measurements are across all the seven layers, which is the source of the high variance for LL and SP, and over 400 epochs of training. To ensure training times are comparable, we compare the epochs at which SP, LL, and BP converge toward their lowest test error. We also include the first epochs that have performance within 0.5% of the best reported performance. All the learning algorithms converge within significance of their best performance around the same epoch. Given efficiency per iteration, SP is faster than the other learning algorithms and has lower memory usage.

The largest bottleneck for speed of LL and SP is successive calls to the loss function in each layer. BP only needs to call the loss function once for the whole network; it optimizes the forward and backward computations for all the layers and the batch. SP and LL would benefit from using a larger batch size than BP. The batch size could be increased in proportion to the number of layers in the network. This is only pragmatic in cases where memory can be sacrificed for more speed (e.g., not edge devices). We also provide per-layer measurements in Table II. At the layer level, SP remains faster and more memory-efficient than LL and BP. It is interesting to note that LL and SP tend to be slower and faster in different layers even though both are using the same architecture. For memory, SP uses less memory than LL and BP regardless of the layer. However, there is a general trend for LL and SP: the layers closer to the input have more parameters, so are slower and take up more memory than layers closer to the output.

B. Sparse Local Targets

We demonstrate that sigprop (SP) can train a network with a sparse learning signal. We use the larger VGG8b(2x)architecture to leave more room for possible improvement KOHAN et al.: SIGNAL PROPAGATION: THE FRAMEWORK FOR LEARNING AND INFERENCE

TABLE I TRAINING TIME PER SAMPLE AND MAXIMUM MEMORY USAGE PER BATCH OVER ALL LAYERS FOR VGG8b

		Backprop		Alternative		
		BP	LL-BP	LL-FA	SP	
Time (s)	CIFAR-10 CIFAR-100 SVHN	$\begin{array}{c} 12.29 \pm 0.02 \\ 15.34 \pm 1.45 \\ 148.70 \pm 2.23 \end{array}$	$\begin{array}{c} 8.11 \pm 14.40 \\ 10.20 \pm 28.98 \\ 95.51 \pm 3617.90 \end{array}$	$\begin{array}{c} 8.50 \pm 29.86 \\ 9.44 \pm 28.63 \\ 89.32 \pm 1767.26 \end{array}$	$5.91 \pm 7.40 \\ 6.25 \pm 7.33 \\ 69.74 \pm 1048.54$	
Mem (MiB)	CIFAR-10 CIFAR-100 SVHN	$\begin{array}{c} 22.00 \pm 0.00 \\ 27.16 \pm 0.38 \\ 28.04 \pm 2.68 \end{array}$	$\begin{array}{c} 8.85 \pm 8.06 \\ 11.45 \pm 106.02 \\ 11.41 \pm 106.03 \end{array}$	$\begin{array}{c} 13.03 \pm 10.61 \\ 5.51 \pm 23.17 \\ 5.43 \pm 23.04 \end{array}$	$6.19 \pm 1.57 5.19 \pm 16.72 4.91 \pm 16.54$	
Best Epoch	CIFAR-10 CIFAR-100 SVHN	$319(198) \\ 350(306) \\ 98(11)$	$266(164) \\ 380(209) \\ 41(7)$	$\begin{array}{c} 309(201) \\ 339(264) \\ 93(23) \end{array}$	$313(207) \\ 329(219) \\ 88(34)$	
Test Error (%)	CIFAR-10 CIFAR-100 SVHN	5.99 26.20 2.19	5.58 29.31 1.77	9.02 38.41 2.55	$\frac{8.34}{34.30}\\ \underline{2.15}$	

TABLE II TRAINING TIME PER SAMPLE AND MAXIMUM MEMORY USAGE PER BATCH PER LAYER ON CIFAR-10 FOR VGG8b

	Backprop	Alternative					
Layer	LL-BP	LL-FA	SP				
	Time (s)						
1	7.16 ± 0.04	6.21 ± 0.03	$\textbf{4.48} \pm 0.05$				
2	15.80 ± 0.07	15.15 ± 0.09	8.95 ± 0.15				
3	9.27 ± 0.04	$\textbf{7.09} \pm 0.02$	10.13 ± 0.14				
4	9.25 ± 0.30	18.40 ± 0.06	$\textbf{7.27} \pm 0.25$				
5	4.93 ± 0.01	5.66 ± 0.04	$\textbf{4.71} \pm 0.05$				
6	7.46 ± 0.01	3.93 ± 0.02	$\textbf{3.44} \pm 0.02$				
7	2.90 ± 0.00	3.00 ± 0.00	2.36 ± 0.03				
	Mem (MiB)						
1,6,7	6.12	10.98	5.67				
2	14.50	18.18	9.26				
3	9.70	18.18	5.67				
4,5	9.70	10.97	5.67				

when using this sparse target. The version of sigprop is 2b with the L_{pred} loss. We use the CIFAR10 dataset with the same configuration as in Section IV. We see that the network trains faster and uses less memory, shown in Tables III and IV, with negligible change in accuracy.

V. IN CONTINUOUS TIME

We demonstrate that sigprop can train a neural model in the continuous setting using a Hebbian update mechanism, in addition to the discrete setting. Biological neural networks work in continuous time, have no indication of different dynamics in inference and learning, and use Hebbian-based learning. Sigprop improves learning in this scenario by bringing a global learning signal into Hebbian-based learning, without the comprehensive feedback connectivity to neurons and layers

TABLE III Efficiency of Targets Over All Layers on CIFAR-10 for VGG8b(2x). Training Time per Sample, Maximum Memory Usage per Batch

	Dense	Sparse
Time (s)	14.48 ± 54.29	9.56 ± 29.02
Mem (MiB)	14.04 ± 6.39	10.74 ± 65.10
Best Epoch	273(207)	340(219)
Test Error (%)	7.60	7.71

TABLE IV Efficiency of Targets per Layer on CIFAR-10 for VGG8b(2x). Training Time per Sample and Maximum Memory Usage per Batch

Layer	Time s (Mem MiB)				
	Dense		Sparse		
1	12.85 ± 5.66	(12.99)	$\textbf{7.42} \pm 0.79$	(6.34)	
2	21.51 ± 9.31	(20.23)	19.70 ± 0.18	(27.53)	
3	18.81 ± 5.50	(13.02)	9.30 ± 0.39	(9.41)	
4	25.30 ± 12.97	(13.02)	14.19 ± 0.12	(15.99)	
5	9.69 ± 1.86	(13.02)	8.84 ± 0.11	(9.10)	
6	8.11 ± 3.16	(13.02)	5.24 ± 0.08	(6.15)	
7	5.06 ± 1.61	(12.99)	2.25 ± 0.07	(0.68)	

that previous approaches require, not observed in biological networks. In addition, sigprop improves compatibility for learning in hardware, such as neuromorphic chips, which have resource and design constraints that limit backward connectivity.

In the model presented in this section, the target generator is conditioned on the activations of the output layer to produce a feedback loop—Fig. 2(c). The feedback loop is always active, during training and inference. With this feedback

IEEE TRANSACTIONS ON NEURAL NETWORKS AND LEARNING SYSTEMS

loop, we demonstrate in Section V-A that sigprop provides useful learning signals by bringing forward and feedback loop weights into alignment. In Section V-B, we measured the performance of this model on the MNIST and Fashion-MNIST datasets [36], [37].

A. Continuous Recurrent Neural Network Model

The learning framework, EP, proposed in [6] is one way to introduce physical time in deep continuous learning and have the same dynamics in inference and learning, avoiding the need for different hardware for each. EP has been used with symmetric or random feedback weights. We combine sigprop with EP such that there are no additional constraints on learning, beyond the Hebbian update. We trained deep recurrent networks with a neuron model based on the continuous Hopfield model [38]

$$\frac{\mathrm{d}s_j}{\mathrm{d}t} = \frac{\mathrm{d}\rho(s_j)}{\mathrm{d}s_j} \left(\sum_{i \to j} w_{ij}\rho(s_i) + \sum_{i \in O \to j \in I} w_{ij}\rho(s_i) + b_j \right) - \frac{s_j}{r_j} - \beta \sum_{j \in O} \left(s_j - d_j \right) \quad (12)$$

where s_j is the state of neuron j, $\rho(s_j)$ is a nonlinear monotone increasing function of its firing rate, b_j is the bias, β limits the magnitude and direction of the feedback, O is the subset of the output neurons, I is the subset of the input receiving neurons, and d_j is the target for the output neuron j. The input receiving neurons, $s_j \in I$, are the neurons with forward connections from the input layer. The networks are entirely feedforward except for the final feedback loop from the output neurons $s_i \in O$ to the input receiving neurons $s_j \in I$. All the weights and biases are trained. The weights in the feedback loop connections may be fixed or trained. The output neurons receive the L_2 error as an additional input which nudges the firing rate toward the target firing rate d_j . The target firing rate d_j is the one-hot vector of the target value; all the tasks in this section are classification tasks.

The EP learning algorithm can be broken into the free phase, the clamped phase, and the update rule. In the free phase, the input neurons are fixed to a given value and the network is relaxed to an energy minimum to produce a prediction. In the clamped phase, the input neurons remain fixed and the rate of output neurons $s_j \in O$ is perturbed toward the target value d_j , given the prediction s_j , which propagates to the connected hidden layers. The update rule is a simple contrastive Hebbian (CHL) plasticity mechanism that subtracts $s_i^0 s_j^0$ at the energy minimum (fixed point) in the free phase from $s_i^\beta s_j^\beta$ after the perturbation of the output, when $\beta > 0$

$$\Delta W_{ij} \propto \rho(s_i) \frac{\mathrm{d}}{\mathrm{d}\beta} \left(\rho(s_j) \right) \approx \frac{1}{\beta} \rho(s_i^0) \left(\rho\left(s_j^\beta\right) - \rho(s_j^0) \right). \tag{13}$$

The clamping factor β allows the network to be sensitive to internal perturbations. As $\beta \to +\infty$, the fully clamped state in general CHL algorithms is reached where perturbations from the objective function tend to overrun the dynamics and continue backward through the network.

B. Signal Propagation Provides Useful Learning Signals

We look at the behavior of our model during training and how the feedback loop drives weight changes. Precise symmetric connectivity was thought to be crucial for effective error delivery [1]. FA, however, showed that approximate symmetry with reciprocal connectivity is sufficient for learning [10], [11], [12]. DFA showed that approximate symmetry with direct reciprocal connectivity is sufficient. In Sections III and IV, we showed that no feedback connectivity is necessary for learning. Here, we conduct an experiment to show that the same approximate symmetry is found in sigprop.

We provide evidence that sigprop brings weights into alignment within 90°, known as approximate symmetry. In comparison, BP has complete alignment between weights, known as symmetric connectivity. Note that this is not a measure of approximation to BP-sigprop is a new and different approach; instead, this is a measure of the quality of the learning signal in deeper layers, contextualized by observations of learning with BP, particularly symmetry. In this experiment, the sigprop network architecture forms a loop, so all the weights serve as both feedback and feedforward weights. For a given weight matrix, the feedback weights are all the weights on the path from the downstream error to the presynaptic neuron. In general, this is all the other weights in the network loop. The weight matrices in the loop evolve to align with each other as seen in Fig. 4. More precisely, each weight matrix roughly aligns with the product of all the other weights in the network loop. In Fig. 4, the weight alignment for a network with two hidden layers W_1 and W_2 and one loop back layer W_3 is shown.

Information about W_3 and W_1 flows into W_2 as roughly W_3W_1 , which nudges W_2 into alignment with the rest of the weights in the loop. From (13), $(W_2 \propto \rho(\vec{s}_2^0)(\rho(\vec{s}_3^\beta) - \rho(\vec{s}_3^0)))$ where $(\vec{s}_2 \leftarrow \rho(\vec{s}_1)W_1)$, which means information about W_1 accumulates in W_2 . Similarly, $(W_1 \propto \rho(\vec{s}_1^0)(\rho(\vec{s}_2^\beta) - \rho(\vec{s}_2^0)))$, except since the network architecture is a feedforward loop, $(\vec{s}_1 \leftarrow \rho(\vec{s}_3)W_3)$, which means information about W_3 accumulates in W_1 . The result is shown in column c of the bottom row of Fig. 4, where a weight matrix is fixed and the rest of the network's weights come into alignment with the fixed weight. Note that W_3W_1 has the same shape as W_2^T and serves as its "feedback" weight.

C. Classification Results

We provide evidence that sigprop with EP has comparable performance to EP with symmetric weights and report the performance results of the experiment in Section V-B. A twoand another three-layer architectures of 1500 neurons per layer were trained. The two-layer architecture was run for 60 epochs and the three-layer architecture for 150 epochs. The best model during the entire run was kept. On the MNIST dataset [36], the generalization error is 1.85–1.90% for both the two-layer and three-layer architectures, an improvement over EP's 2–3%. The best validation error is 1.76–1.80% and the training error decreases to 0.00%. To demonstrate that sigprop provides useful learning signals in Section V-B,

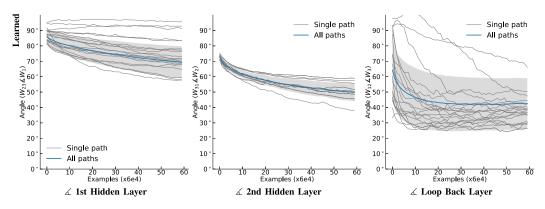


Fig. 4. Signal propagation updates bring weights into alignment within 90°, approaching BP symmetric weight alignment. Sigprop provides useful targets for learning. The weight alignment for a network with two hidden layers W_1 and W_2 and one loop back layer W_3 is shown. The weight matrices form a loop in the network and come into alignment with each other during training on the Fashion-MNIST dataset. Each weight matrix aligns with the product of the other two weights forming the network loop. $W_{xy} \measuredangle W_z$ means the angle between weight z and the matrix multiplication of the weights x and y. (Learned) The loop back layer is trained. However, even a fixed loop back layer reaches a similar angle of alignment. (Layers) The loop back layer converges before the 1st and 2nd hidden layers can. The 1st hidden layer is the least aligned with the 2nd hidden layer and the loop back layer because it is dominated by the input signal. The alignment angles are taken for every sample and error bars are one standard deviation.

we trained the network on the more difficult Fashion-MNIST dataset [37]. The generalization error is 11.00%. The best validation error is 10.95%, and the training error decreases to 2%.

VI. SPIKING NEURAL NETWORKS

We demonstrate that sigprop can train a spiking neural model with only the voltage (spike) and improves the hardware compatibility of surrogate functions by reducing them to local update rules. This is an improvement over BP-based approaches as they: struggle to learn with only the voltage; require going backward through nonderivable, noncontinuous spiking equations; and require comprehensive feedback connectivity—all of which are problematic for hardware and biological models of learning [8], [39], [40], [41], [42], [43], [44].

Spiking is the form of neuronal communication in biological and hardware neural networks. SNNs are known to be efficient by parallelizing computation and memory, overcoming the memory bottleneck of ANNs [45], [46], [47]. However, SNNs are difficult to train. A key reason is that spiking equations are nonderivable, noncontinuous, and spikes do not necessarily represent the internal parameters, such as membrane voltage of the neuron before and after spiking [8]. Spiking also has multiple possible encodings for communication when considering time which is nontrivial, whereas ANNs have a single rate value for communication [8]. One approach to training SNNs is to convert an ANN into an SNN after training [48], [49], [50]. Another approach is to have an SNN in the forward path, but have a BP-friendly surrogate model in the backward path, usually approximately making the spiking differentiable in the backward path to update the parameters [8], [51], [52].

We trained SNNs with sigprop. The target is forwarded through the network with the input, so learning is done before the spiking equation. That is, we do not need to differentiate a nonderivable, noncontinuous spiking equation to learn. Also, SNN has the same dynamics in inference and learning and has no reciprocal feedback connectivity. This makes sigprop ideal for ON-chip, as well as OFF-chip, training of SNNs. We measure the performance of this model on the MNIST and Fashion-MNIST datasets.

A. Spiking Neural Network

We train a convolutional SNN with integrate-and-fire (IF) nodes, which are treated as activation functions. The IF neuron can be viewed as an ideal integrator where the voltage does not decay. The subthreshold neural dynamics are

$$v_i^t = v_i^{t-1} + h_i^t \tag{14}$$

where v_i^t is the voltage at time t for neurons of layer i, and h_i^t is the layer's activations. The surrogate spiking function for the IF neuron is the arc tangent

$$g(x) = \frac{1}{\pi} \arctan(\pi x) + \frac{1}{2}$$
 (15)

where the gradient is defined by

$$g'(x) = \frac{1}{1 + (\pi x)^2}.$$
 (16)

The neuron spikes when the subthreshold dynamics reach 0.5 for sigprop, and 1.0 for the BP and shallow models. All the models are simulated for four time steps, directly using the subthreshold dynamics. The SNN has four layers. The first two are the convolutional layers, each followed by batch normalization, an If node, and a 2×2 maxpooling. The last two layers are fully connected, with one being the classification layer. The output of the classification layer is averaged across all four time steps and used as the network output. ADAM was used for optimization [31]. The learning rate was set to 5e - 4. Cosine annealing [53] was used as the learning rate schedule with the maximum number of iterations $T_{\rm max}$ set to 64. The models are trained on the MNIST and Fashion-MNIST datasets for 64 epochs using a batchsize of 128. We use automatic mixed precision for 16-bit floating operations, instead of the only the full 32-bit. The reduced precision is better representative of hardware limitations for

IEEE TRANSACTIONS ON NEURAL NETWORKS AND LEARNING SYSTEMS

TABLE V Test Error for a Spiking Convolutional Neural Network

	BP		SP	
	Surrogate	Shallow	Surrogate	Voltage
Fashion-MNIST	6.70	16.42	9.51	10.68
MNIST	0.84	7.24	1.01	2.63

learning. We use the classification layer version of sigprop Fig. 2(b).

B. Results

We compare four spiking models on the MNIST and Fashion-MNIST datasets—Table V. The BP model propagates backward through the spiking equations at each layer using a differentiable surrogate. The shallow model only trains the classification layer. The SP surrogate model uses the same differentiable surrogate as BP does, but SP propagates forward through the network and therefore does not need to go through the spiking equation to deliver a learning signal. That is, the parameter update and surrogate are before or perpendicular to spiking, possibly as separate compartment. Finally, the SP voltage model uses the neuron's voltage (i.e., directly uses the spiking equation) to calculate the loss and update the parameters, and no surrogate is used.

In contrast, BP-based learning (without considerable modifications and additions) struggles when only using the voltage for learning [39], [40]. A differentiable nonlinear function estimating the spiking behavior (i.e., surrogate) is necessary for reasonable performance in BP learning. A surrogate is also necessary for sigprop to come close to BP surrogate performance. Even without a surrogate, the SP voltage model is able to train the network significantly better than the shallow model. To the best of our knowledge, sigprop is the only learning framework with a global supervised (unsupervised, reinforcement) learning signal that satisfies requirements for hardware (ON-chip) learning [8], [54].

VII. DISCUSSION AND CONCLUSION

Alternative learning algorithms to BP relax constraints on learning under BP, such as feedback connectivity, weight transport, multiple types of computations, or a backward pass. This is done to improve training efficiency, lowering time or memory, or enabling distributed or parallel execution; and, to improve compatibility with biological and hardware learning models. However, relaxing constraints negatively impacts performance. So, alternatives try varying relaxations or supplementary modifications and additions in an attempt to retain the performance found under BP. For instance, the best performing and least constrained alternative algorithm, LL-FA, uses a layerwise loss and random feedback to relax constraints, but adds layerwise auxiliary networks to retain performance. In contrast, sigprop has no constraints on learning, beyond the inference model, and without constraining (e.g., layerwise) additions or modifications.

Dataset	Network		BP	FA	DFA	SP
MNIST	FC	2x800 3x800 4x800 2x800 DO	$\begin{array}{c} \underline{1.60} \pm 0.06 \\ 1.75 \pm 0.05 \\ 1.92 \pm 0.11 \\ \underline{1.26} \pm 0.03 \end{array}$	$\begin{array}{c} {\bf 1.64} \pm 0.03 \\ {\bf 1.66} \pm 0.09 \\ {\bf 1.70} \pm 0.04 \\ {\bf 1.53} \pm 0.03 \end{array}$	$\begin{array}{c} 1.74 \pm 0.08 \\ 1.70 \pm 0.04 \\ 1.83 \pm 0.07 \\ 1.45 \pm 0.07 \end{array}$	$\begin{array}{c} 1.71 \pm 0.03 \\ 1.70 \pm 0.04 \\ \textbf{1.70} \pm 0.04 \\ \textbf{1.38} \pm 0.03 \end{array}$
CIFAR-10	FC CONV	3x1000 DO	$\frac{\underline{42.20} \pm 0.2}{\underline{22.50} \pm 0.4}$	$\begin{array}{c} 46.90 \pm 0.3 \\ 27.10 \pm 0.8 \end{array}$	$\begin{array}{c} 42.90 \pm 0.2 \\ 26.90 \pm 0.5 \end{array}$	$\begin{array}{c} {\bf 42.62 \pm 0.16} \\ {\bf 24.75 \pm 0.40} \end{array}$
CIFAR-100	FC CONV	3x1000 DO	$\frac{69.80 \pm 0.1}{51.70 \pm 0.2}$	$\begin{array}{c} 75.30 \pm 0.2 \\ 60.50 \pm 0.3 \end{array}$	$\begin{array}{c} 73.10 \pm 0.1 \\ 59.00 \pm 0.3 \end{array}$	70.30 ± 0.19 57.01 ± 0.42

TABLE VI

TEST ERROR FOR BP, FA, DFA, AND SP (BEST VS. BP)

We demonstrated that sigprop has faster training times and lower memory usage than BP, LL-BP, and LL-FA. The reason sigprop is more efficient than BP is clear, sigprop is forwardpass unlocked, while BP is backwardpass locked. For LL-BP and LL-FA, sigprop is more efficient as it has fewer layers for learning, and it has no auxiliary networks. LL-BP has two auxiliary layers for every hidden layer. LL-FA has three auxiliary layers for every hidden layer. In Section IV-B, we showed that sparse targets, which have a much smaller size than the hidden layer outputs, are able to train the hidden layer and dense targets, which have the same size as the hidden layer outputs. A key feature of learning in the brain and biological neural networks is sparsity. A small fraction of the neurons weigh in on computations and decision-making. It is encouraging that sigprop is able to learn just as well with a sparse learning signal.

In Section V, we applied sigprop to a time-continuous model using a Hebbian plasticity mechanism to update weights, demonstrating sigprop has dynamical and structural compatibility with biological and hardware learning. With this continuous model, we also showed that sigprop is able to provide useful learning signals. While sigprop improves the performance of EP, the Fashion-MNIST results demonstrate that there is room for growth. One problem may be that the layers on the path from the input to the output have their weight updates dominated by the input, so are struggling to come into alignment with the loopback layer. In future work, we will compensate to increase alignment.

In Section VI, we demonstrated a key feature of sigprop not seen in other global learning algorithms: sigprop does not need to go through a nonderivable, noncontinuous spiking equation to provide a learning signal to hidden layers. This makes sigprop ideal for hardware (ON-chip) learning. Furthermore, sigprop is able to train an SNN using spikes (voltage), which BP struggles to do, and at a reduced 16-bit precision. So, no additional complex circuitry is necessary. This makes ON-chip global learning (e.g., supervised or reinforcement) more plausible with sigprop, whereas the complex neuron and synaptic models of previous supervised learning algorithms are impractical [8], [54]. This is in addition to sigprop not having architectural requirements for learning and having the same type of computation for learning and inference, which on their own address hardware constraints restricting the use of previous supervised learning algorithms [8], [54]. We are working to implement sigprop on hardware neural networks.

We demonstrated signal propagation, a new learning framework for propagating a learning signal and updating neural network parameters via a forward pass. Our work shows that learning signals can be fed through the forward path to train neurons. In biology, this means that neurons which do not have feedback connections can still receive a global learning signal through their incoming connections. In hardware, this means that global learning (e.g., supervised or reinforcement) is possible even though there is no backward connectivity. At its core, sigprop reuses the forward path to propagate a learning signal and generate targets. With this combination, there are no structural or computational requirements for learning, beyond the inference model. Furthermore, the network parameters are updated as soon as they are reached by a forward pass. So, sigprop learning is ideal for parallel training of layers or modules. In total, we presented learning models across a spectrum of learning constraints, with BP being the most constrained and signal propagation being the least constrained. Signal propagation has better efficiency, compatibility, and performance than more constrained learning algorithms not using BP.

Appendix

ADDITIONAL RESULTS

We trained several networks using BP, FA, DFA, and SP on MNIST, CIFAR-10, and CIFAR-100. We used fully connected (FC) architectures and a small convolutional architecture (CONV) architecture. The results are shown in Table VI. Note that the FA-based algorithms (FA and DFA) do not scale well; they are combined them with LL, or another learning model, to achieve reasonable performance.

A batch size of 64 was used. The training time was 300 epochs. The models were trained for 5 trials on each dataset. The average and standard deviation of the top 2 validation accuracies from each trial are shown. RMSprop was used for optimization [55]. The learning rate was set to 1e-4 for fully connected networks and 5e-5 on CIFAR-10 and CIFAR-100 for convolutional networks. The learning rate was constant throughout training. No momentum or weight decay was used. The datasets were scaled between 0 and 1. All the models use the tanh activation function. For models with dropout (DO) [56], a dropout rate of 0.1 was used on the input layer, 0.25 on convolutional layers, and 0.5 on subsequent layers. Dropout is applied after the loss and before each hidden layer. The fully connected architectures are denoted as layersXnodes. The convolutional architecture is the one found in [56], except with a tanh activation. The model is three convolutional layers with channel sizes 96, 128, and 256, interleaved with max pooling, and two dense layers of 2048. Before taking the loss on convolutional layers, we applied adaptive average pooling to lower the input size to (2, 2). The experiments are meant to closely replicate the ones in [13] for direct comparison to those FA and DFA results.

References

 D. E. Rumelhart, G. E. Hinton, and R. J. Williams, "Learning representations by back-propagating errors," *Nature*, vol. 323, no. 6088, p. 533, 1986.

- [2] A. H. Marblestone, G. Wayne, and K. P. Kording, "Toward an integration of deep learning and neuroscience," *Frontiers Comput. Neurosci.*, vol. 10, p. 94, Sep. 2016.
- [3] S. Grossberg, "Competitive learning: From interactive activation to adaptive resonance," *Cognit. Sci.*, vol. 11, no. 1, pp. 23–63, 1987.
- [4] F. Crick, "The recent excitement about neural networks," *Nature*, vol. 337, no. 6203, pp. 129–132, Jan. 1989.
- [5] J. H. Lee, T. Delbruck, and M. Pfeiffer, "Training deep spiking neural networks using backpropagation," *Frontiers Neurosci.*, vol. 10, p. 508, Aug. 2016.
- [6] B. Scellier and Y. Bengio, "Equilibrium propagation: Bridging the gap between energy-based models and backpropagation," *Frontiers Comput. Neurosci.*, vol. 11, p. 24, May 2017.
- [7] E. O. Neftci, C. Augustine, S. Paul, and G. Detorakis, "Eventdriven random back-propagation: Enabling neuromorphic deep learning machines," *Frontiers Neurosci.*, vol. 11, p. 324, Jun. 2017.
- [8] M. Bouvier et al., "Spiking neural networks hardware implementations and challenges: A survey," ACM J. Emerg. Technol. Comput. Syst., vol. 15, no. 2, pp. 1–35, 2019.
- [9] M. Jaderberg et al., "Decoupled neural interfaces using synthetic gradients," in *Proc. Int. Conf. Mach. Learn.*, 2017, pp. 1627–1635.
- [10] T. P. Lillicrap, D. Cownden, D. B. Tweed, and C. J. Akerman, "Random synaptic feedback weights support error backpropagation for deep learning," *Nature Commun.*, vol. 7, p. 13276, Nov. 2016.
- [11] Q. Liao, J. Z. Leibo, and T. A. Poggio, "How important is weight symmetry in backpropagation?" in *Proc. AAAI*, 2016, pp. 1837–1844.
- [12] J. Guerguiev, T. P. Lillicrap, and B. A. Richards, "Towards deep learning with segregated dendrites," *ELife*, vol. 6, Dec. 2017, Art. no. e22901.
- [13] A. Nøkland, "Direct feedback alignment provides learning in deep neural networks," in *Proc. Adv. Neural Inf. Process. Syst.*, 2016, pp. 1037–1045.
- [14] A. Nøkland and L. H. Eidnes, "Training neural networks with local error signals," in *Proc. Int. Conf. Mach. Learn.*, 2019, pp. 4839–4850.
- [15] E. Belilovsky, M. Eickenberg, and E. Oyallon, "Decoupled greedy learning of CNNs," in *Proc. Int. Conf. Mach. Learn.*, 2020, pp. 736–745.
- [16] J. Kaiser, H. Mostafa, and E. Neftci, "Synaptic plasticity dynamics for deep continuous local learning (DECOLLE)," *Frontiers Neurosci.*, vol. 14, p. 424, May 2020.
- [17] T. Jiang, J. Huang, and X. Su, "Fast and smooth composite local learning-based adaptive control," *IEEE Trans. Neural Netw. Learn. Syst.*, early access, Dec. 13, 2021, doi: 10.1109/TNNLS.2021.3130812.
- [18] J. Ma, Z. Cheng, X. Zhang, Z. Lin, F. L. Lewis, and T. H. Lee, "Local learning enabled iterative linear quadratic regulator for constrained trajectory planning," *IEEE Trans. Neural Netw. Learn. Syst.*, early access, May 2, 2022, doi: 10.1109/TNNLS.2022.3165846.
- [19] W. M. Czarnecki, G. Świrszcz, M. Jaderberg, S. Osindero, O. Vinyals, and K. Kavukcuoglu, "Understanding synthetic gradients and decoupled neural interfaces," in *Proc. Int. Conf. Mach. Learn.*, 2017, pp. 904–912.
- [20] D.-H. Lee, S. Zhang, A. Fischer, and Y. Bengio, "Difference target propagation," in *Proc. Joint Eur. Conf. Mach. Learn. Knowl. Discovery Databases.* Cham, Switzerland: Springer, 2015, pp. 498–515.
- [21] Y. Bengio, "How auto-encoders could provide credit assignment in deep networks via target propagation," 2014, arXiv:1407.7906.
- [22] B. Scellier and Y. Bengio, "Equivalence of equilibrium propagation and recurrent backpropagation," 2017, arXiv:1711.08416.
- [23] X. Xie and H. S. Seung, "Equivalence of backpropagation and contrastive Hebbian learning in a layered network," *Neural Comput.*, vol. 15, no. 2, pp. 441–454, Feb. 2003.
- [24] B. Scellier, A. Goyal, J. Binas, T. Mesnard, and Y. Bengio, "Extending the framework of equilibrium propagation to general dynamics," Tech. Rep., 2018. [Online]. Available: https://openreview.net/forum? id=BJ5V4ICIG
- [25] K. Hirasawa, M. Ohbayashi, M. Koga, and M. Harada, "Forward propagation universal learning network," in *Proc. Int. Conf. Neural Netw.*, 1996, pp. 353–358.
- [26] R. J. Williams and D. Zipser, Gradient-Based Learning Algorithms for Recurrent Connectionist Networks. Princeton, NJ, USA: Citeseer, 1990.
- [27] Y. Ohama, N. Fukumura, and Y. Uno, "A forward-propagation rule for acquiring neural inverse models using a RLS algorithm," in *Proc. Int. Conf. Neural Inf. Process.* Berlin, Germany: Springer, 2004, pp. 585–591.
- [28] Y. Ohama, N. Fukumura, and Y. Uno, "A forward-propagation learning rule for neural inverse models using a method of recursive least squares," *Syst. Comput. Jpn.*, vol. 36, no. 8, pp. 71–80, 2005.

12

IEEE TRANSACTIONS ON NEURAL NETWORKS AND LEARNING SYSTEMS

- [29] A. P. Heinz, "Pipelined neural tree learning by error forwardpropagation," in *Proc. Int. Conf. Neural Netw.*, vol. 1, 1995, pp. 394–397.
- [30] Y. Ohama and T. Yoshimura, "A parallel forward-backward propagation learning scheme for auto-encoders," in *Proc. Int. Conf. Neural Inf. Process.* Cham, Switzerland: Springer, 2017, pp. 126–136.
- [31] D. P. Kingma and J. Ba, "Adam: A method for stochastic optimization," 2014, arXiv:1412.6980.
- [32] A. L. Maas, A. Y. Hannun, and A. Y. Ng, "Rectifier nonlinearities improve neural network acoustic models," in *Proc. ICML*, 2013, vol. 30, no. 1, p. 3.
- [33] S. Ioffe and C. Szegedy, "Batch normalization: Accelerating deep network training by reducing internal covariate shift," in *Proc. Int. Conf. Mach. Learn.*, 2015, pp. 448–456.
- [34] A. Krizhevsky et al., "Learning multiple layers of features from tiny images," Tech. Rep., 2009. [Online]. Available: https://scholar.google. com/scholar?cluster=10056887837836832604&hl=en&as_sdt=0,5
- [35] Y. Netzer, T. Wang, A. Coates, A. Bissacco, B. Wu, and A. Y. Ng, "Reading digits in natural images with unsupervised feature learning," 2011. [Online]. Available: https://scholar.google.com/scholar?cluster= 7762358874624651999&hl=en&as_sdt=0,5
- [36] Y. LeCun. (1998). The MNIST Database of Handwritten Digits. [Online]. Available: http://yann.lecun.com/exdb/mnist/
- [37] H. Xiao, K. Rasul, and R. Vollgraf, "Fashion-MNIST: A novel image dataset for benchmarking machine learning algorithms," 2017, arXiv:1708.07747.
- [38] J. J. Hopfield, "Neurons with graded response have collective computational properties like those of two-state neurons," *Proc. Nat. Acad. Sci.* USA, vol. 81, no. 10, pp. 3088–3092, 1984.
- [39] J. K. Eshraghian et al., "Training spiking neural networks using lessons from deep learning," 2021, arXiv:2109.12894.
- [40] S. R. Kheradpisheh and T. Masquelier, "Temporal backpropagation for spiking neural networks with one spike per neuron," *Int. J. Neural Syst.*, vol. 30, no. 6, Jun. 2020, Art. no. 2050027.
- [41] O. Krestinskaya, A. P. James, and L. O. Chua, "Neuromemristive circuits for edge computing: A review," *IEEE Trans. neural Netw. Learn. Syst.*, vol. 31, no. 1, pp. 4–23, Jan. 2020.
- [42] N. Zheng and P. Mazumder, "Online supervised learning for hardwarebased multilayer spiking neural networks through the modulation of weight-dependent spike-timing-dependent plasticity," *IEEE Trans. Neural Netw. Learn. Syst.*, vol. 29, no. 9, pp. 4287–4302, Sep. 2018.
- [43] M. Kimura et al., "Neuromorphic system using memcapacitors and autonomous local learning," *IEEE Trans. Neural Netw. Learn. Syst.*, early access, Sep. 1, 2021, doi: 10.1109/TNNLS.2021.3106566.
- [44] J. Shen, Y. Zhao, J. K. Liu, and Y. Wang, "HybridSNN: Combining bio-machine strengths by boosting adaptive spiking neural networks," *IEEE Trans. Neural Netw. Learn. Syst.*, early access, Dec. 10, 2021, doi: 10.1109/TNNLS.2021.3131356.
- [45] J. Backus, "Can programming be liberated from the Von Neumann style? A functional style and its algebra of programs," *Commun. ACM*, vol. 21, no. 8, pp. 613–641, Aug. 1978.
- [46] M. Horowitz, "1.1 computing's energy problem (and what we can do about it)," in *IEEE Int. Solid-State Circuits Conf. (ISSCC) Dig. Tech. Papers*, Feb. 2014, pp. 10–14.
- [47] N. R. Mahapatra and B. Venkatrao, "The processor-memory bottleneck: Problems and solutions," *XRDS, Crossroads, ACM Mag. Students*, vol. 5, no. 3es, p. 2, Apr. 1999.
- [48] Y. Cao, Y. Chen, and D. Khosla, "Spiking deep convolutional neural networks for energy-efficient object recognition," *Int. J. Comput. Vis.*, vol. 113, pp. 54–66, May 2015.
- [49] P. U. Diehl, D. Neil, J. Binas, M. Cook, S.-C. Liu, and M. Pfeiffer, "Fast-classifying, high-accuracy spiking deep networks through weight and threshold balancing," in *Proc. Int. Joint Conf. Neural Netw. (IJCNN)*, 2015, pp. 1–8.
- [50] B. Rueckauer, I.-A. Lungu, Y. Hu, M. Pfeiffer, and S.-C. Liu, "Conversion of continuous-valued deep networks to efficient event-driven networks for image classification," *Frontiers Neurosci.*, vol. 11, p. 682, Dec. 2017.
- [51] A. Mohemmed, S. Schliebs, S. Matsuda, and N. Kasabov, "SPAN: Spike pattern association neuron for learning spatio-temporal spike patterns," *Int. J. Neural Syst.*, vol. 22, no. 4, 2012, Art. no. 1250012.
- [52] S. Yin et al., "Algorithm and hardware design of discrete-time spiking neural networks based on back propagation with binary activations," in *Proc. IEEE Biomed. Circuits Syst. Conf. (BioCAS)*, Oct. 2017, pp. 1–5.
- [53] I. Loshchilov and F. Hutter, "SGDR: Stochastic gradient descent with warm restarts," 2016, arXiv:1608.03983.

- [54] M. Davies, "Advancing neuromorphic computing with Loihi: A survey of results and outlook," *Proc. IEEE*, vol. 109, no. 5, pp. 911–934, May 2021.
- [55] T. Tieleman and G. Hinton, "Lecture 6.5-RMSPROP: Divide the gradient by a running average of its recent magnitude," *COURSERA, Neural Netw. Mach. Learn.*, vol. 4, no. 2, pp. 26–31, 2012.
- [56] N. Srivastava, G. Hinton, A. Krizhevsky, I. Sutskever, and R. Salakhutdinov, "Dropout: A simple way to prevent neural networks from overfitting," *J. Mach. Learn. Res.*, vol. 15, no. 56, pp. 1929–1958, 2014.



Adam Kohan received the M.S. degree in computer science from the Biologically Inspired Neural and Dynamical Systems (BINDS) Laboratory, University of Massachusetts Amherst, Amherst, MA, USA, in 2021, where he is currently pursuing the Ph.D. degree in computer science.

He conducts research at the frontier of biological and artificial learning, empowering efficient, adaptable, and performant computation, from task-specific processes to general intelligence. He developed the first forward pass learning algorithm in 2018. Ini-

tially, he advised companies on building and maintaining automated workflows from hiring to development and deployment. He joined the BINDS Laboratory, University of Massachusetts Amherst, Amherst, MA, USA, earned a Baystate Fellowship, and recently acquired an NSF Grant. He currently teaches computer science and conducts research in computer science.



Edward A. Rietman received the B.S. degree in physics and chemistry, the B.A. degree in philosophy from the University of North Carolina at Chapel Hill, Chapel Hill, NC, USA, the M.S. degree in materials science from Stevens Institute, and the Ph.D. degree in physics from Eurotech Research University (affiliated with Southampton University, U.K.).

He worked with Bell Laboratories, Murray Hill, NJ, USA, for 18 years, where he was focusing on solid-state physics, neuromorphic hardware, robotics, and computer integrated manufacturing. He also

worked at military-funded think tanks for nine years focusing on database mining, applied optics, and applied high-frequency ultrasound. Then, he worked with the Dana-Farber Cancer Institute and the Tufts Medical Center, Boston, MA, USA, for five years. In 2014, he joined BINDS Laboratory, University of Massachusetts Amherst, Amherst, MA, USA. He holds 30+ patents. He is the author or a coauthor on 150+ technical articles and six books.



Hava T. Siegelmann (Fellow, IEEE) is an internationally known UMass Provost Professor in computer science and a recognized expert in neural networks. She is a Core Member of the UMass Neuroscience and Behavior Program and the Director of the Biologically Inspired Neural and Dynamical Systems (BINDS) Laboratory, University of Massachusetts Amherst, Amherst, MA, USA. She is particularly acclaimed for inventing the foundations of neural networks through her groundbreaking computation beyond the Turing limit; for achieving

advanced learning capabilities through the introduction of new type of artificial intelligence: lifelong learning; and for proposing the support vector clustering (SVC) algorithm. She directed some of the most significant and innovative AI programs at DARPA, including lifelong learning machines, guaranteeing AI robustness against deceptions (GARD), collaborative secured learning, and in advanced treatment of glycemic conditions.

Prof. Siegelmann was named a Distinguished Lecturer of the IEEE and a fellow of the INNS. She is an Active Leader in supporting Women and Diversity within STEM and in ethical and social responsibility of AI. Among her awards are the Obama Presidential BRAIN Initiative Award, DARPA's Meritorious Public Service Medal—one of the highest medals for civilians, the ALON Fellowship, and the International Neural Network Society's (INNS) Donald O. Hebb Award.