

# Full ALD Al<sub>2</sub>O<sub>3</sub>/ZrO<sub>2</sub>/SiO<sub>2</sub>/ZrO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> Stacks for High-Performance MIM Capacitors

Qiu-Xiang Zhang, Bao Zhu, Shi-Jin Ding, Hong-Liang Lu, Qing-Qing Sun, Peng Zhou, and Wei Zhang

**Abstract**—Metal-insulator-metal (MIM) capacitors with full atomic-layer-deposition Al<sub>2</sub>O<sub>3</sub>/ZrO<sub>2</sub>/SiO<sub>2</sub>/ZrO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> stacks were explored for the first time. As the incorporated SiO<sub>2</sub> film thickness increased from 0 to 3 nm, the quadratic and linear voltage coefficients of capacitance ( $\alpha$  and  $\beta$ ) of the MIM capacitors reduced significantly from positive values to negative ones. For the stack with 3-nm SiO<sub>2</sub> film, a capacitance density of 7.40 fF/ $\mu$ m<sup>2</sup>,  $\alpha$  of -121 ppm/V<sup>2</sup>, and  $\beta$  of -116 ppm/V were achieved, together with very low leakage current densities of  $3.08 \times 10^{-8}$  A/cm<sup>2</sup> at 5 V at room temperature (RT) and  $5.89 \times 10^{-8}$  A/cm<sup>2</sup> at 3.3 V at 125 °C, high breakdown field of 6.05 MV/cm, and high operating voltage of 6.3 V for a 10-year lifetime at RT. Thus, this type of stacks is a very promising candidate for next generation radio frequency and analog/mixed-signal integrated circuits.

**Index Terms**—Atomic-layer-deposition, voltage coefficients of capacitance, Al<sub>2</sub>O<sub>3</sub>/ZrO<sub>2</sub>/SiO<sub>2</sub>/ZrO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub>, metal-insulator-metal.

## I. INTRODUCTION

HIGH- $\kappa$  metal-insulator–metal (MIM) capacitors have recently been studied for radio frequency (RF) and analog/mixed-signal (AMS) integrated circuits (ICs) to meet the requirement of high capacitance density [1]–[4]. However, a high degree of voltage nonlinearity remains a major concern for practical applications while maintaining high enough capacitance density [1], [4]. In particular, quadratic voltage-coefficient-of-capacitance (VCC) is regarded as a critical criterion to judge voltage linearity of MIM capacitor. Recently, it has been reported that satisfying  $\alpha$  values can be attained by employing different dielectrics with positive and negative  $\alpha$  values [5]–[7]. However, all of these involve multiple instruments and methods to prepare the entire insulating stacks of MIM capacitor. This not only increases fabrication complexity

Manuscript received September 2, 2014; revised September 15, 2014; accepted September 16, 2014. Date of publication October 7, 2014; date of current version October 21, 2014. This work was supported in part by the National Natural Science Foundation of China under Grant 61076076 and Grant 61274088 and in part by the Research Fund through the Doctoral Program of Higher Education of China under Grant 20120071110033 and in part by National Key Technologies R&D Program of China under Grant 2011ZX02703-004 and Grant 2011ZX02707. The review of this letter was arranged by Editor J. Schmitz.

Q.-X. Zhang is with the State Key Laboratory of ASIC and System, School of Microelectronics, Fudan University, Shanghai 200438, China, and also with the Department of Electronic Engineering, Shanghai Jianqiao College, Shanghai 201203, China.

B. Zhu, S.-J. Ding, H.-L. Lu, Q.-Q. Sun, P. Zhou, and W. Zhang are with the State Key Laboratory of ASIC and System, School of Microelectronics, Fudan University, Shanghai 200438, China (e-mail: sjding@fudan.edu.cn).

Color versions of one or more of the figures in this letter are available online at <http://ieeexplore.ieee.org>.

Digital Object Identifier 10.1109/LED.2014.2359195

and cost, but also imposes a high risk of contamination and impurity incorporation. Therefore, it is highly desired to assemble the entire insulating stack in the same deposition system. Furthermore, since the atomic-layer-deposition (ALD) technique has various advantages such as low temperature processing, excellent large area uniformity, accurate thickness control etc, high quality dielectrics can be thus obtained by means of ALD [8], ensuring low leakage current and high breakdown field. In a word, to meet the requirements of high performance MIM capacitors, it is indispensable to engineer new insulator configurations with ALD.

In this letter, Al<sub>2</sub>O<sub>3</sub>/ZrO<sub>2</sub>/SiO<sub>2</sub>/ZrO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> (AZSZA) stacks were assembled by ALD in the same chamber without vacuum interruption. The voltage linearity of MIM capacitor was improved significantly by optimizing the thickness of SiO<sub>2</sub> while maintaining enough high capacitance density. Especially the MIM capacitor exhibits very low leakage current density, high breakdown electric field and robust reliability.

## II. EXPERIMENTAL PROCEDURE

By means of ALD in the same deposition chamber without breaking the vacuum, various AZSZA stacks were assembled at 250 °C atop a sputtered TaN (150 nm) bottom electrode, which was deposited on a 500 nm PECVD-SiO<sub>2</sub> film. The thicknesses of individual Al<sub>2</sub>O<sub>3</sub> and ZrO<sub>2</sub> layers were optimized at 1 nm and 7 nm, respectively. To study how the SiO<sub>2</sub> layer thickness modulated  $\alpha$  value, different thicknesses of SiO<sub>2</sub> (0, 1, 2 and 3 nm) were incorporated, which were grown from [(CH<sub>3</sub>)<sub>2</sub>N]<sub>3</sub>SiH and O<sub>2</sub> by plasma ALD. Al<sub>2</sub>O<sub>3</sub> and ZrO<sub>2</sub> were deposited respectively from Al(CH<sub>3</sub>)<sub>3</sub>/H<sub>2</sub>O and [(CH<sub>3</sub>)<sub>2</sub>N]<sub>4</sub>Zr/H<sub>2</sub>O by thermal ALD. A sputtered 150 nm TaN layer was used as a top electrode layer. The MIM capacitors were defined by photolithography and dry etching. Finally, the fabricated capacitors were annealed in a rapid thermal annealing (RTA) system at 420 °C for 5 min in forming gas. The dielectric film thickness was measured by ellipsometer and confirmed by transmission electron microscopy (TEM). During assembly of various stacks, the thickness of individual films was controlled through deposition cycles. Capacitance–voltage ( $C$ – $V$ ) and current–voltage ( $I$ – $V$ ) measurements were carried out on a precision impedance analyzer (Agilent 4294A) and a semiconductor device analyzer (Agilent B1500A). For the  $C$ – $V$  test, the parallel equivalent circuit of a capacitor and a resistor was used, which aimed to extract more precise capacitance from impedance especially for easy leakage dielectrics [9].

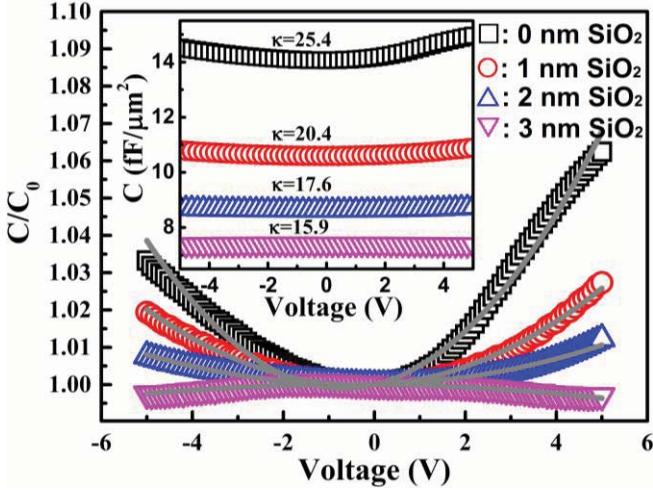


Fig. 1. Typical plotting of  $C/C_0$  versus voltage at 100 kHz together with the fitted curves for various MIM capacitors, and the inset shows corresponding C–V curves at 100 kHz and the extracted  $\kappa$  values.

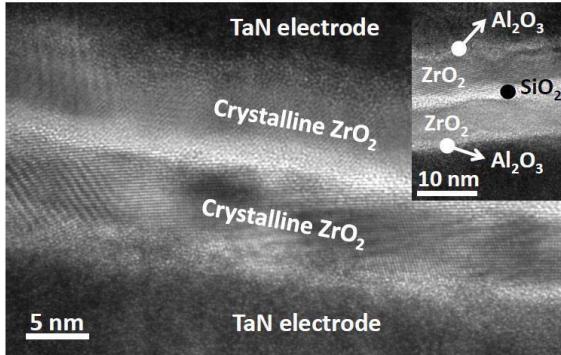


Fig. 2. Cross-section TEM image of the MIM capacitor with 3 nm  $\text{SiO}_2$ .

### III. RESULTS AND DISCUSSION

VCCs are very important parameters for MIM capacitor applications, and can be obtained by fitting the C–V data with a second order polynomial equation of  $C(V) = C_0(\alpha V^2 + \beta V + 1)$ , where  $C_0$  is the capacitance at zero-bias.  $\alpha$  and  $\beta$  represent the quadratic and linear VCCs, respectively. Fig. 1 shows typical plotting of  $C/C_0$  versus voltage at 100 kHz for the MIM capacitors with different thicknesses of  $\text{SiO}_2$ , and the inset shows the C–V curves for all the capacitors. In absence of  $\text{SiO}_2$ , the capacitor shows a sharp upward parabolic curve, which corresponds to a large positive  $\alpha$  value. As the thickness of  $\text{SiO}_2$  increases from 1 to 2 nm, the upward parabolic curve becomes flatter and flatter, reflecting decreasing  $\alpha$  values. When the  $\text{SiO}_2$  film is increased to 3 nm, the parabolic curve becomes downward and flat. This indicates an achievement of a small negative  $\alpha$  value. In addition, the corresponding capacitance density exhibits a decrease from 14.06 to 7.40 fF/ $\mu\text{m}^2$  (see the inset of Fig. 1), and the extracted dielectric constants ( $\kappa$ ) of the overall stacks are 25.4, 20.4, 17.6 and 15.9, respectively. Such high  $\kappa$  values are related to the presence of crystalline  $\text{ZrO}_2$  layers, as shown in Fig. 2.

The cumulative distributions of the extracted  $\alpha$  and  $\beta$  for different MIM capacitors are illustrated in Fig. 3. As the thickness of  $\text{SiO}_2$  increases from 0 to 3 nm,  $\alpha$  decreases

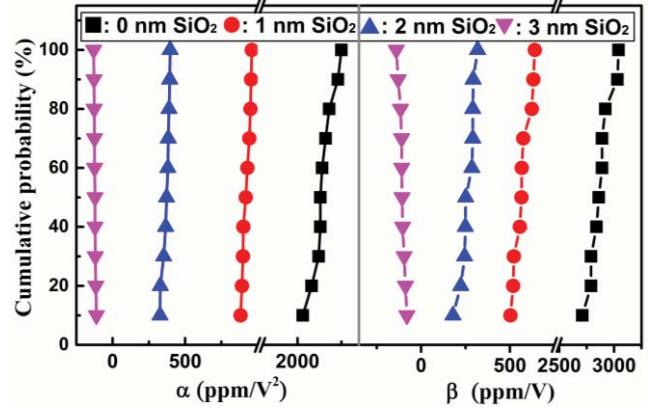


Fig. 3. The cumulative distributions of  $\alpha$  and  $\beta$  values for various MIM capacitors at 100 kHz.

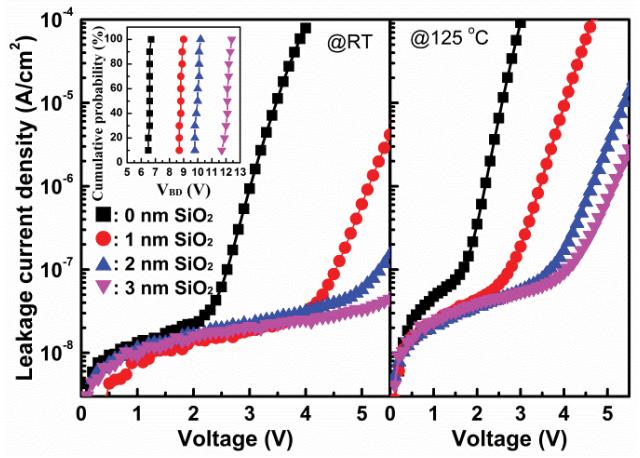


Fig. 4. Dependence of  $J$  on  $V$  for various MIM capacitors at RT and 125 °C, respectively. The insert illustrates the cumulative probability of breakdown voltage ( $V_{BD}$ ) for the MIM capacitors (size:  $100 \times 100 \mu\text{m}^2$ ) at RT.

sharply from 2130 to  $-121$  ppm/V $^2$  at 50% cumulative probability, and  $\beta$  decreases remarkably from 2920 to  $-116$  ppm/V. It is worthwhile to mention that the  $\alpha$  value can be further reduced to near zero if the thickness of  $\text{SiO}_2$  decreases to the range of  $2 \sim 3$  nm, meanwhile, a capacitance density higher than  $7.40$  fF/ $\mu\text{m}^2$  is highly anticipated. This can well satisfy the requirements of MIM capacitors by the year 2017 ( $>7$  fF/ $\mu\text{m}^2$ ,  $<100$  ppm/V $^2$ ) [10].

Fig. 4 shows the leakage current density-voltage ( $J$  –  $V$ ) characteristics of all the capacitors at room temperature (RT) and 125 °C for electron top injection. Regarding our MIM capacitor with 3 nm  $\text{SiO}_2$ ,  $J$  at 3.3 V is equal to  $2.19 \times 10^{-8}$  A/cm $^2$  and  $5.89 \times 10^{-8}$  A/cm $^2$  at RT and 125 °C, respectively. It should be noted that the  $J$  exhibits a little increase for electron bottom injection compared with top injection, which is likely due to degraded interface quality caused by exposure of the bottom TaN to the chemicals during ALD and to the atmosphere before dielectric deposition. In addition, the inset in Fig. 4 shows the breakdown voltage distributions of various capacitors. With increasing the thickness of  $\text{SiO}_2$  from 0 to 3 nm, the breakdown voltage increases obviously from 6.6 to 12.1 V at 50% breakdown probability. Thus, the breakdown electric field of the whole stack is as large as 6.05 MV/cm for 3 nm  $\text{SiO}_2$ . Compared to the stacked

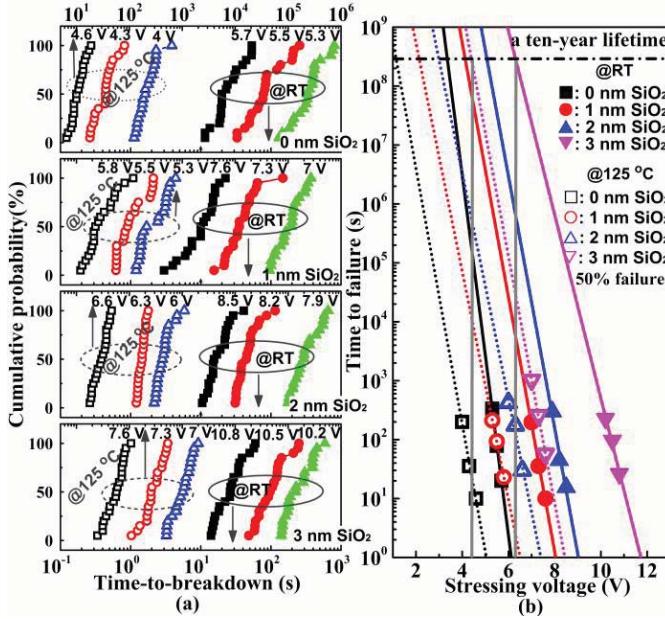


Fig. 5. (a) Cumulative TDDB curves under various constant voltage stressing for all the MIM capacitors (size:  $50 \times 50 \mu\text{m}^2$ ) at room temperature and 125 °C. (b) Lifetime projection of the MIM capacitors at 50% failure probability.

TABLE I  
COMPARISON OF OUR CAPACITOR WITH OTHER MIM CAPACITORS

Dielectric [Ref.]	Preparation method	$C$ (fF/ $\mu\text{m}^2$ )	$J$ (A/cm $^2$ ) @RT	$\alpha$ (ppm/V $^2$ ); $\beta$ (ppm/V)
AZSZA [our work]	Full ALD	7.40	$2.19 \times 10^{-8}$ @3.3 V $3.08 \times 10^{-8}$ @5 V	-121; -116
H/S [5]	ALD/PECVD	6	$1 \times 10^{-8}$ @3.3 V	14; 60
S <sub>m</sub> /S [6]	Sputter/PECVD	7.2	$2 \times 10^{-7}$ @3.3 V	140; 440
Z/S [7]	—	8.15	$5 \times 10^{-5}$ @2 V	455; 489
E/S [11]	Sputter/ALD	7	$2 \times 10^{-7}$ @3.3 V	-255; —
A/H/A [12]	Full ALD	8.2	$2 \times 10^{-8}$ @2 V	1480; —

Remark: A=Al<sub>2</sub>O<sub>3</sub>, H=HfO<sub>2</sub>, Sm=Sm<sub>2</sub>O<sub>3</sub>, Z=ZrO<sub>2</sub>, S=SiO<sub>2</sub>, E=Er<sub>2</sub>O<sub>3</sub>.

SiO<sub>2</sub>/ZrO<sub>2</sub> MIM capacitors [7], such low leakage current density and high breakdown field are due to the incorporation of amorphous Al<sub>2</sub>O<sub>3</sub> barriers and an intermediate SiO<sub>2</sub> layer, hence improving the interfacial quality, increasing the barrier height of TaN/insulator, and terminating the grain boundaries extending from the top to the bottom within the crystalline ZrO<sub>2</sub> film [4].

To assess the lifetimes of all the MIM capacitors, the time-to-breakdown characteristics were measured under different constant voltage stress (CVS) at RT and 125 °C, and the operating voltages corresponding to a ten-year lifetime were also projected, see Fig. 5. The operating voltage for a 10-year lifetime increases from 3.1 V to 6.3 V at RT as the thickness of SiO<sub>2</sub> changes from zero to 3 nm for a 50% failure probability. Even at 125 °C, the 10-year operating voltage can be as high as 4.4 V for the capacitor with 3 nm SiO<sub>2</sub>, and the deduced activation energy under 8 V CVS is 1.11 eV. Moreover, the traces of leakage current versus stressing time did not show early failure (not shown here). These reflect very good reliability of the ALD AZSZA stacks for practical applications. Finally, we compares our MIM capacitors (SiO = 3 nm) with other reported ones, as shown in Table I. In the case of comparable capacitance density, our capacitors exhibit

superior leakage characteristics and VCCs [6], [7], [11], [12]. Kim et al reported very small VCCs of MIM capacitor, but the corresponding capacitance density is distinctly lower than that in our letter [5]. On the other hand, some researchers achieved higher capacitance densities and small VCCs of MIM capacitors by introducing much higher  $\kappa$  materials such as TiO<sub>2</sub> [3], SrTiO<sub>3</sub> [13], BaZr<sub>y</sub>Ti<sub>1-y</sub>O<sub>3</sub> [14], however, the leakage current and breakdown characteristics of these capacitors were quite poor.

#### IV. CONCLUSION

MIM capacitors with full ALD AZSZA stacks have been fabricated for the first time. By increasing the SiO<sub>2</sub> thickness from 0 to 3 nm, both  $\alpha$  and  $\beta$  can be significantly improved. The MIM capacitor with 3 nm SiO<sub>2</sub> displays desirable characteristics such as a capacitance density of 7.40 fF/ $\mu\text{m}^2$ ,  $\alpha$  of -121 ppm/V $^2$ ,  $\beta$  of -116 ppm/V, extremely low leakage current of  $3.08 \times 10^{-8}$  A/cm $^2$  at 5 V, high breakdown field of 6.05 MV/cm and a high operating voltage of 6.3 V for a 10-year lifetime at RT. All of these results indicate that the AZSZA insulator is a very promising candidate for MIM capacitors in RF and AMS ICs.

#### REFERENCES

- [1] T. Ishikawa *et al.*, "High-capacitance Cu/Ta<sub>2</sub>O<sub>5</sub>/Cu MIM structure for SoC applications featuring a single-mask add-on process," in *IEEE IEDM Tech. Dig.*, Dec. 2002, pp. 940–942.
- [2] H. Hu *et al.*, "MIM capacitors using atomic-layer-deposited high- $\kappa$  (HfO<sub>2</sub>)<sub>1-x</sub>(Al<sub>2</sub>O<sub>3</sub>)<sub>x</sub> dielectrics," *IEEE Electron Device Lett.*, vol. 24, no. 2, pp. 60–62, Feb. 2003.
- [3] Y.-H. Wu *et al.*, "MIM capacitors with crystalline-TiO<sub>2</sub>/SiO<sub>2</sub> stack featuring high capacitance density and low voltage coefficient," *IEEE Electron Device Lett.*, vol. 33, no. 1, pp. 104–106, Jan. 2012.
- [4] S.-J. Ding *et al.*, "High-performance MIM capacitor using ALD high- $\kappa$  HfO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub> laminate dielectrics," *IEEE Electron Device Lett.*, vol. 24, no. 12, pp. 730–732, Dec. 2003.
- [5] S. J. Kim *et al.*, "Improvement of voltage linearity in high- $\kappa$  MIM capacitors using HfO<sub>2</sub>-SiO<sub>2</sub> stacked dielectric," *IEEE Electron Device Lett.*, vol. 25, no. 8, pp. 538–540, Aug. 2004.
- [6] J.-D. Chen *et al.*, "Physical and electrical characterization of metal-insulator-metal capacitors with Sm<sub>2</sub>O<sub>3</sub> and Sm<sub>2</sub>O<sub>3</sub>/SiO<sub>2</sub> laminated dielectrics for analog circuit applications," *IEEE Trans. Electron Devices*, vol. 56, no. 11, pp. 2683–2691, Nov. 2009.
- [7] S. D. Park *et al.*, "Bulk and interface effects on voltage linearity of ZrO<sub>2</sub>-SiO<sub>2</sub> multilayered metal-insulator-metal capacitors for analog mixed-signal applications," *Appl. Phys. Lett.*, vol. 95, no. 2, p. 022905, 2009.
- [8] S.-J. Ding *et al.*, "Metal-insulator-metal capacitors using atomic-layer-deposited Al<sub>2</sub>O<sub>3</sub>/HfO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> sandwiched dielectrics for wireless communications," *J. Vac. Sci. Technol. B*, vol. 24, no. 6, pp. 2518–2522, Nov. 2006.
- [9] J. Schmitz *et al.*, "RF capacitance-voltage characterization of MOSFETs with high leakage dielectrics," *IEEE Electron Device Lett.*, vol. 24, no. 1, pp. 37–39, Jan. 2003.
- [10] (2012). *The International Technology Roadmap for Semiconductors*. [Online]. Available: <http://www.itrs.net/>
- [11] T. H. Phung *et al.*, "High performance metal-insulator-metal capacitors with Er<sub>2</sub>O<sub>3</sub> on ALD SiO<sub>2</sub> for RF applications," *J. Electrochem. Soc.*, vol. 158, no. 12, pp. H1289–H1292, 2011.
- [12] I.-S. Park *et al.*, "Dielectric stacking effect of Al<sub>2</sub>O<sub>3</sub> and HfO<sub>2</sub> in metal-insulator-metal capacitor," *IEEE Electron Device Lett.*, vol. 34, no. 1, pp. 120–122, Jan. 2013.
- [13] C. Jorel *et al.*, "High performance metal-insulator-metal capacitor using a SrTiO<sub>3</sub>/ZrO<sub>2</sub> bilayer," *Appl. Phys. Lett.*, vol. 94, no. 25, pp. 253502-1–253502-3, 2009.
- [14] C.-C. Lin *et al.*, "MIM capacitors based on ZrTiO<sub>x</sub>/BaZr<sub>y</sub>Ti<sub>1-y</sub>O<sub>3</sub> featuring record-low VCC and excellent reliability," *IEEE Electron Device Lett.*, vol. 34, no. 11, pp. 1418–1420, Nov. 2013.