

# Radiometric Normalization of Multitemporal Landsat and Sentinel-2 Images Using a Reference MODIS Product Through Spatiotemporal Filtering

Wenxia Gan, *Member, IEEE*, Hessah Albanwan, and Rongjun Qin <sup>✉</sup>, *Senior Member, IEEE*

**Abstract**—Radiometric normalization is an essential preprocessing step for almost all remote sensing applications such as change detection, image mosaic, and 3-D reconstruction. This article proposes a novel radiometric normalizing method based on spatiotemporal filtering using a reference moderate resolution imaging spectroradiometer (MODIS) product. This differs from traditional relative radiometric normalization (RRN) methods in two folds: first, the number of reference images is more than one, which introduces more complexities than RRN with a single reference image; second, the resolution of MODIS product is significantly lower, thus requiring the algorithms to accommodate scale differences. To address, our approach extends the traditional spatiotemporal filtering method with per image bias that represents both internal (e.g., sensor characteristics) and external (e.g., atmosphere and topography) against the reference data. In addition, we use the Kullback-Leibler divergence metric to statistically determine the resemblance degree between the temporal images for weighting. We applied our proposed method to normalize Landsat Operational Land Imager, Enhanced Thematic Mapper Plus +, and Sentinel MSI using MODIS Nadir BRDF-adjusted reflectance product, covering two study areas of  $30 \times 15 \text{ km}^2$  and  $32 \times 52 \text{ km}^2$ , respectively, and we show a notable radiometric consistency over both temporal and spatial dimension after the processing through three comparative experiments with state-of-the-art methods. 1) 3–7% improvement in the contexts of transfer learning, which favors only images with consistent radiometric properties and 2) Mosaic results using our processed images show no apparent seamlines as compared with images processed by other methods.

**Index Terms**—Bias term, intersensor normalization, Kullback-Leibler (KL) divergence, Landsat 7 Enhanced Thematic Mapper Plus (ETM+), Landsat 8 Operational Land Imager (OLI), radiometric consistency, Sentinel MSI, transfer learning classification (TFC), wavelet transform.

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Wenxia Gan was with the Department of Civil, Environment, and Geodetic Engineering, The Ohio State University, Columbus, OH 43210 USA. She is now with the School of Civil Engineering and Architecture, Wuhan Institute of Technology, Wuhan 430081, China (e-mail: charlottegan@whu.edu.cn).

Hessah Albanwan is with the Department of Civil, Environment and Geodetic Engineering, The Ohio State University, Columbus, OH 43210 USA (e-mail: albanwan.1@osu.edu).

Rongjun Qin is with the Department of Civil, Environment, and Geodetic Engineering, and the Department of Electrical and Computer Engineering, and Translational Data Analytics Institute, The Ohio State University, Columbus, OH 43210 USA (e-mail: qin.324@osu.edu).

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## I. INTRODUCTION

**R**ADIOMETRIC normalization is an essential preprocessing step for many remote sensing applications such as change detection, image mosaic, 3-D reconstruction, etc. [1]–[3]. In general, there are two main categories of radiometric normalization: 1) absolute radiometric normalization (ARN), interchangeable with absolute radiometric correction for multitemporal images), and 2) relative radiometric normalization (RRN) based on respectively whether or not the absolute/global reflectance measures are needed as the desired output [4], [5]. ARN methods require information such as sensor responses, radiometric calibration coefficients, viewing angles, sun angles, atmospheric conditions, topography data, and in-situ data [6]–[8], which is oftentimes unavailable. On the contrary, RRN methods do not require prior information such as weather or aerosol depths; it corrects the images using a single reference image, and requires the reference image to be noise-free and spectrally well-balanced [6], [9], [10]. Recent studies have suggested that integrating ARN and RRN for radiometric calibration can effectively achieve absolute and consistent normalization [2], [11]. On one hand, ARN methods are able to correct specific types of noises of individual images such as atmospheric noises, viewing angle-induced bias, topography-induced bias, while being considered as the most rigorous solution for radiometric correction, it does suffer from modeling errors introduced by the fact that a single or multiple correction models are not able to comprehensively cover the varying images under other unknown sensory or environmental conditions. As a result, the ARN processed images remain to be temporally inconsistent. On the other hand, RRN methods with the aim to homogenize spectral responses across temporal images do not demand for measures, and thus, can only support limited applications, e.g., regional change detection and qualitative spatiotemporal analysis, thereby are much less demanding in terms of the needed in-situ data. Integrating both ARN and RRN for processing remote sensing raw images is obviously advantageous to achieve products that can be used for a wider scope of applications.

However, a simple sequential application of both methods is potentially problematic: first, the effort of acquiring in-situ data is still needed for model-inversion based ARN; second, RRN methods are often developed to accommodate scenarios in which one reference image is used, while only selecting one

out of multiple ARN-corrected reference images can potentially produce propagated errors. Meanwhile, there are RRN studies performing the intersensor RRN by developing a global fixed linear model based on a large number of archived synchronous images from the multisensors to transform the TOA reflectance or surface reflectance, or night-time light data of one sensor to another [12]–[14], which obviously can be problematic to accommodate local variations. Therefore, normalizing the images using preprocessed reflectance products can be potentially more viable, as at least the sensor specific variations have been preaccommodated when these data are converted to a surface reflectance product following a physical-based correction procedure. Since obtaining corresponding in-situ auxiliary data (i.e., weather, aerosol depth, etc.) at a high resolution for ARN is potentially impractical, we consider to utilize low resolution and standard reflectance product such as Nadir BRDF-adjusted reflectance (NBAR) product from moderate resolution imaging spectroradiometer (MODIS) as the reference images, which brings clear advantages and challenges: the advantage is that such data are often for global coverage and have very high temporal resolution (i.e., on a daily basis) and with good radiometrical consistency and continuity over both the space and time dimensions, while the challenges are obviously the resolution being a factor of 20 less than high-resolution images such as Landsat and Sentinel-2, and thus, made it hard to perform accurate intersensor radiometric normalization [15]. In this article, we address these challenges by proposing a novel spatiotemporal filtering model that extends a traditional spatiotemporal filtering method [16] in two ways: 1) we have incorporated a per image bias, which accommodate corrections from multiple reference images and 2) use the Kullback-Leibler divergence (KL divergence) metric to statistically determine the resemblance degree between the temporal images for optimal weight determination. This model inherits the nonparameterization nature of the spatiotemporal filtering method to accommodate local variations; hence, this new model may be able to yield images cross different sensors with accurate reflectance to the level of well-calibrated MODIS dataset, as well as temporally consistent results for various remote sensing image processing and applications such as change detection, classification, and mosaics. Our contribution of this work is mainly two-fold. 1) We have proposed novel method that extend the existing spatiotemporal filtering method by incorporating a per-image bias term to accommodate systematic radiometric corrections using multiple low-resolution reference images. 2) We have experimentally demonstrated that the proposed method achieves a leveraged relative consistency and global consistency over state-of-the-art methods, through both spectral analysis, transfer learning, and global mosaicking applications.

The rest of the article is organized as follows: Section II briefly introduces relevant works related to radiometric normalization; Section III describes the general methodology of the proposed work; Section IV presents the experimental results and performs quantitative analysis and evaluation through typical remote sensing applications; and Section V concludes this article by discussing its pros and cons.

## II. RELATED WORKS AND CONSIDERATION

### A. Related Works

As mentioned in Section I, both ARN and RRN are two major categories of methods in radiometric normalization. In-situ measurements are crucial for absolute algorithms [6], [17]. RRN algorithms (i.e., RRN) tend to homogenize images to a reference image to remove all the spectral variations unrelated to the land surface change using mathematical models. It is considered to be a solution for applications that do not require absolute reflectance measures, whereas the need for absolute reflectance is still essential for global-scale applications. Studies have shown that exploiting the spatial and temporal information can provide accurate radiometric information of targeted objects in the scene [16]. The authors in [16] developed a 3-D spatiotemporal filtering algorithm to utilize the temporal images to enhance radiometric properties and consistency among images. Their method eliminates the necessity to have a reference image to normalize the subject images, and overall, they showed improvements in the temporal consistency of data and noise and artifacts reduction by 15% in their experiments [16]. Their method models the consistency by weighting the measure of spectral differences in the temporal direction with respect to the reference image, which selectively takes the temporal images to homogenize the spectral information in the image stack; this mathematically modeled solution, however, minimizes the discrepancy wherever possible without incorporating the absolute measures of the radiance. As a result, improperly weighted parameters may simply homogenize all spectrums saturating all possible seasonality and phenological differences between the images [2], [6], [18], [19].

Another line of work considers RRN across different sensory data, often known as intersensor calibration, which focuses on calibrating sensors by correcting the pixel values using the radiometric calibration coefficient based on some reference sensory data [20], [21]; however, it is still regarded as a relative correction as it does not address the uncertainties from the ambient (systematic) differences between sensors. The sensor-to-sensor bias can be somehow addressed by determined bias through prediction-based models [20], [22], which, however, require at least a few of the overlapped images to be captured at the same time, which generates limitations of such methods, and in addition, the bias modeling can be subject to modeling errors, as the sensor-to-sensor bias can be subject to many sources such as the sensor responses, time dependent, and location dependent factors, which may be difficult to predict using a few overlapping intersensor images captured at the same time.

It was shown that integrating both absolute and relative approaches can provide better results in terms of improving radiometric consistency and minimizing distortions [2], [11], [19], [23]–[25]. Most approaches apply atmospheric correction as the first step for ARN to get reliable pseudo-invariant features (PIFs). PIFs being features that present reliable holders of spectrums that often do not change (e.g., concrete surfaces), and this is followed by a feature-based RRN [2], [11], [24], [26]. These methods vary with the ARN and RRN methods. For instance, the authors in [4] suggested using an atmospherically

corrected reference image to normalize every band in the target images individually using PIFs. Similarly, the authors in [27] improved PIFs selection for the RRN by first conducting an absolute correction using dark object subtraction; the authors in [11] proposed a method called “mixed radiometric normalization”, where they first used fast line-of-sight atmospheric analysis of spectral hypercubes to convert from DN to surface reflectance, and then used iteratively reweighted multivariate alteration detection (IR-MAD) to radiometrically normalize and scale the temporal images.

### B. Considerations in Our Proposed Approach

Satellite data such as MODIS recording data with global coverage on an almost daily basis have been very helpful to provide comprehensive spectral information about the Earth, as well as their imaging conditions (e.g., atmosphere, slope, and viewing angles) [21]. Because it has a very frequent revisiting time, and there exist the best available in-situ data with equivalent or lower resolution, the MODIS coarse resolution reflectance product (MODIS NBAR product) have trustworthy stability, accuracy, and temporal consistency in radiance, which can potentially serve as a reliable reference image for radiometric normalization for other satellite imageries such as Landsat [28]. However, a direct application of existing RRN or intersensor calibration methods may produce artifacts due to the large resolution differences, as both traditional down-scaling and transformation-based methods (e.g., wavelet transform [29]) may generate artifacts such as aliasing and blocking artifacts [30], [31]. The sensor-level biases may be subject to two major sources: 1) sensor specific biases such as static spectrum response and 2) time-varying inter-sensor biases that may be depending on specific scenes, objects, and in-situ variables that are not measurable. Therefore, the algorithms taking low-resolution ARN products (i.e., MODIS NBAR product) for normalizing high resolution data (e.g., Landsat and Sentinel), must consider components addressing the large resolution differences, as well as components considering leveraging both relatively radiometric consistency between temporally neighboring images and overall consistency with respect to the low-resolution ARN product.

## III. METHODOLOGY

Our proposed work is described in Fig. 1, in which we specified the possible data to be normalized (but not limited to). Our method starts with preparing and preprocessing both low resolution ARN product (MODIS NBAR) and the to-be-normalized data [e.g., Landsat 7 Enhanced Thematic Mapper plus (ETM+), Landsat 8 Operational Land Imager (OLI), and Sentinel-2 Multispectral Instrument (MLI)], components shown in white boxes, in which we used the discrete wavelet analysis for data down-scaling. The light-grey boxes indicate works associated with our proposed spatiotemporal filtering method and dark-grey boxes implies evaluation and validation of our work.

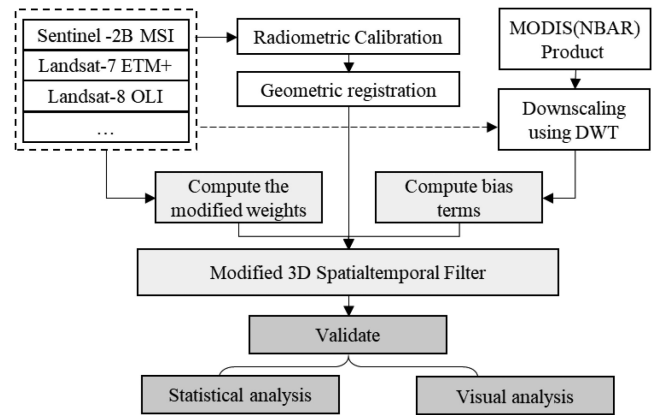


Fig. 1. Flowchart of the proposed method.

### A. Data Preprocessing

The data preprocessing involves several steps.

- 1) *Geo-registration and initial radiometric calibration*: Since the images are collected from varying sources, we perform the registration for geometric alignment. The data from MODIS, Sentinel, and Landsat ETM+ are geo-registered using the Landsat-8/OLI data of which the Level 1 product accuracy is geometrically corrected with terrain correction and a global sample of ground control points (LIT) [32]. All the high-resolution images are geometrically coregistered using the image registration workflow tool of ENVI 5.3 software [33] and with the residuals reported as 14 m, and the MODIS data are coregistered based on the image-based control points using ArcMap 10.6 software [34]. Meanwhile, the Sentinel-2B MSI images are resampled to 30 m as same as the Landsat-8/OLI image. When TOA reflectance is not available, the initial radiometric calibration is performed to convert the DN for all satellites to TOA reflectance using the calibration coefficients provided by the satellite sensors.
- 2) *2-D Discrete Wavelet transformation (DWT)*: The 2-D DWT-based decomposition and reconstruction is utilized to downscale the coarse resolution MODIS product to 30 m to be same as the Landsat OLI data, where the fine-resolution data is used to provide the spatial details. The procedure is composed of the following steps and performed using MATLAB program: first, we perform multilevel decomposition, where we use the fine-resolution image to obtain the approximation [low–low (LL)] and detail (high–low, low–high, and high–high) components. The decomposition level is set as  $\log_2(\text{ratio})$ , determined by the spatial resolution ratio of the fine resolution data and the coarse resolution data, which is a factor of 4 in our work as the spatial resolution ratio is approximately 16 for 30-m resolution data, either Landsat or resampled Sentinel image, since the majority of MODIS’s bands have 500 m spatial resolution (four bands out of the six), thus, we choose the 500 m as a reference to downscale all bands, i.e., coarse-resolution/fine-resolution = 500 m/30

$m \approx 16$ . The next step is to replace the component LL by the coarse resolution product, and finally, perform the Wavelet reconstruction using inverse discrete wavelet transform to obtain the downsampled fine resolution of the MODIS product. For more details on DWT, refer to [29].

### B. Proposed Algorithm

Based on our prior developed work [16], we propose a modified version of the 3-D spatiotemporal filter, in which our goal is to enhance the temporal consistency at the same time maintaining a relatively accurate reflectance values with respect to the reference MODIS product. As mentioned in Section II-B, to account for different types of errors (e.g., sensor-specific and time-varying errors), we propose to add a per-image bias term modeling such nonparametric variations, and to account for the large resolution differences between the high resolution image (e.g., Landsat and Sentinel) and low resolution MODIS product, we propose to incorporate KL divergence [35] as a statistical similarity measure for effective weighting in the spatiotemporal filtering.

1) *Nonparametric and Per-Image Bias*: The generic form for correcting the uncertainty in satellite data can be expressed as in (1)

$$I_F = I_p - \varepsilon + \Delta \quad (1)$$

where,  $I_F$  is the corrected image,  $I_p$  is the input image,  $\varepsilon$  is the random noise, and  $\Delta$  (an image grid with the same dimension of  $I_p$ ) is the bias correcting term to cover the systematic error (as discussed in Section II-A.). We, in the first step, eliminate random noises using the traditional 3-D spatiotemporal filtering following the method in [14]:

$$I_p - \varepsilon = \frac{1}{w_q} \sum_{q \in S} w_q I_q \quad (2)$$

where  $w_q$  is the aggregated weight composed of the spatial, spectral, and temporal weights over the image space  $S$ , and  $I_q$  is the temporal images processed for each pixel and each band individually.

Since the input images vary in their spectral and spatial distributions from the reference MODIS product, we use a bias term to correct and match their spectral values to the reference. The bias term can be decomposed into two sources [in (3)]: the first bias term  $\Delta_1$  is used to model the per-pixel inter-sensor bias [in (4)], and the second bias term  $\Delta_2$  (a grid with a constant value) [in (5)] models a per-image bias to leverage the potential mismatch of spatial resolution between the reference image and the image to be corrected, for example, the MODIS and Landsat image have a resolution difference of a factor of 20, and a mere  $\Delta_1$  correction might potentially saturate the high resolution Landsat image grid with low-resolution MODIS image grid. The weight  $\lambda$  leveraging both can be empirically determined based on the type of images to be processed

$$\Delta = (1 - \lambda) \Delta_1 + \lambda \Delta_2. \quad (3)$$

Specifically,  $\Delta_1$  is a residual grid that measures the spectral difference between the downsampled reference product (after

applying 2D-DWT) and the filtered image ( $I_p - \varepsilon$ )

$$\Delta_1 = D_p - (I_p - \varepsilon). \quad (4)$$

$\Delta_2$  is fixed throughout the computation for each high-resolution image and their corresponding low resolution MODIS product (determined as the image captured from the closest date), and is measured by taking the spectral difference between the mean value of the coarse resolution product  $C_p$  and the mean value of the filtered image ( $I_p - \varepsilon$ ) as follows:

$$\Delta_2 = \text{Mean}(C_p) - \text{Mean}(I_p - \varepsilon). \quad (5)$$

Substituting (3) into (1), we obtain

$$I_F = I_p - \varepsilon + (1 - \lambda) \Delta_1 + \lambda \Delta_2. \quad (6)$$

By further substituting (2)–(5) into (6) we obtain

$$I_F = (1 - \lambda) \frac{1}{w_p} \sum_{q \in S} w_q I_q + \lambda D_p + \text{Mean}(C_p) - \text{Mean} \left( \frac{1}{w_p} \sum_{q \in S} w_q I_q \right). \quad (7)$$

2) *Enhanced Spatiotemporal Filtering Algorithm*: The 3-D spatiotemporal filtering method proposed by [16] is a typical RRN method; it utilizes the temporal images to radiometrically calibrate the images and eliminate the noise and random errors [refer to (2)]. The aggregated weight  $w_q$  in (2) in the filter is described in the following equation:

$$w_q = w_{\text{Spatial}} \times w_{\text{Band}} \times w_{\text{Temporal}}. \quad (8)$$

The spatial weight can be further characterized using two terms the spatial distance  $w_{\text{Spatial\_Distance}}$  and the spectral value  $w_{\text{spectral\_value}}$  between every pixel and its neighboring pixels [see (9)]

$$w_{\text{Spatial}} = w_{\text{Spatial\_Distance}} \times w_{\text{spectral\_value}}. \quad (9)$$

Using 2-D Gaussian kernel function  $G(\bullet)$ , we can measure the weight in the spatial and spectral dimension to reduce the spatial inconsistency while preserving its spatial detail as follows:

$$w_{\text{Spatial\_Dist}} = \exp \left( - \frac{(x_p - x_q)^2 + (y_p - y_q)^2}{\sigma_{S,D}} \right) \quad (10)$$

$$w_{\text{Spatial\_value}} = \exp \left( - \frac{(I_p(x_p, y_p) - I_q(x_q, y_q))^2}{\sigma_{S,V}} \right) \quad (11)$$

where  $(x_p, y_p)$  are the coordinates of the pixel and  $(x_q, y_q)$  are the corresponding neighboring pixels in spatial or temporal dimension,  $\sigma_{S,D}$  and  $\sigma_{S,V}$  are the spatial and spectral value bandwidths to determine the extent of filtering. Since this filter operates on every band individually, a delta Dirac weighting function is used to assure operation only on similar bands

$$w_{\text{Band}} = \begin{cases} 1, & \text{if band}(p) = \text{band}(q) \\ 0, & \text{if band}(p) \neq \text{band}(q) \end{cases}. \quad (12)$$

As to the temporal weight, the original filter only computes the weight through measuring the differences between the spectral differences of the centric pixel of the filter; this, however, is not

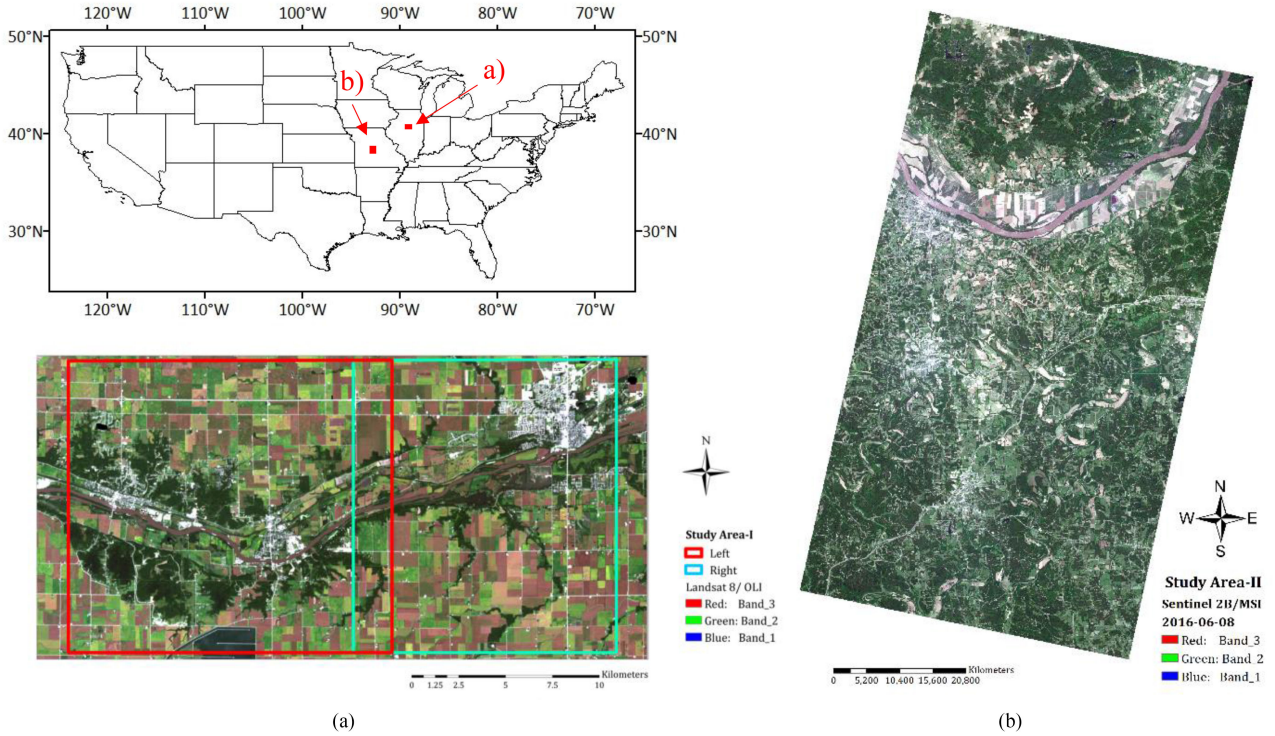


Fig. 2. Study area. (a) Study area-I of which the left side is region 1 and the right side is region 2. (b) Study area-II.

robust enough to noises caused by potentially the large resolution differences of the original data

$$w_{\text{Temporal}_V} = \exp\left(-\frac{((I_{p,t_0}(x_p, y_p) - I_{p,t_i}(x_p, y_p))^2)}{\sigma_{T,V}}\right) \quad (13)$$

where,  $I_{p,t_0}$  is the spectral value of pixel  $(x_p, y_p)$  in the current image,  $I_{p,t_i}$  is the temporal neighboring image, and  $\sigma_{T,V}$  refers to the bandwidth of this component in the spatiotemporal filter. To robustify such a measure, we incorporate the patch-based KL divergence and the reflectance value difference is computed to determine the new temporal weight

$$w_T = w_{\text{Temporal}_V} \times w_{\text{Temporal}_{KL}}. \quad (14)$$

The KL divergence is a mathematical and statistical measure of how one probability distribution is different from another reference probability distribution, and the weight  $w_{\text{Temporal}_{KL}}$  is calculated based on a patch centered around every pixel to measure the similarity among different temporal images

$$w_{\text{Temporal}_{KL}} = \exp\left(-\frac{\text{KL}(I_{p,t_0}, I_{p,t_i})^2}{\sigma_{T,KL}}\right). \quad (15)$$

#### IV. EXPERIMENTAL RESULTS AND ANALYSIS

We perform our experiment on two study areas as described in Section IV-A (also see Fig. 2), and validate our results both qualitatively and quantitatively. To demonstrate the global radiometric consistency, we consider a mosaic experiment that stitches data of two regions separately processed by our proposed

method to evaluate their seamlines. The quantitative evaluation considers a before-and-after comparative study using ours and other existing methods, and the evaluations include the following. 1) Sampling analysis of the spectral and temporal consistency. 2) We presume that the well-normalized data will perform better in classification and transfer learning tests, we therefore, evaluate the results by analyzing classification and transfer learning practices on data processed by ours and other comparable normalization methods including the method of [16] and the IR-MAD [36] based RRN method. Our choice of parameters is empirical for the window size  $w = 5$ ,  $\sigma_{S,D} = 3$ ,  $\sigma_{S,V} = 30$ , and we inherit the conclusion that  $\sigma_{T,V} = 0.2$  is optimal as stated in [16], these parameters remain throughout the experiments. For the modified temporal weight in which we use a patch-based KL divergence weight, the patch size is set to  $30 \times 30$  pixels allowing us to monitor the change in the spectral values around each pixel. The  $\sigma_{T,KL}$  is also set as 0.2 throughout our experiments. The bias terms weights  $\lambda$  in (3) is empirically set as 0.3.

##### A. Data description

Our experimental dataset consists of two study areas: the study area-I covers an area of  $30 \times 15 \text{ km}^2$  in Illinois in U.S. (41.25–41.39 N, 88.37–88.74 W); the study area-II covers an area of  $35 \times 52 \text{ km}^2$  in Missouri in U.S. (38.22–38.70 N, 90.71–91.13 W), shown in Fig. 2, which both includes a variety of land covers (i.e., water surfaces, forests, impervious surface, Cropland); and the forest in study area-I are mainly evergreens forest, and corn is the primary components of the cropland. We perform our experiments on two regions of the

TABLE I  
RELEVANT SENSOR AND PRODUCT INFORMATION

	Landsat TM/ETM+/OLI	Sentinel-2/MLI	MODIS (NBAR) Product
<b>Spatial resolution</b>	30 (m)	10 - 60 (m) [Bands 1, 9, 10 60(m), Bands 5, 6,7, 8A, 11, 12 20(m), and Bands 2, 3, 4, 8 10 (m)]	500 (m)
<b>Spectral resolution</b>	Landsat 7 ETM+ = 6 (bands) Landsat 8 OLI = 8 (bands)	13 (bands)	7 (bands)
<b>Temporal resolution</b>	16 (days)	10 (days) with one satellite and 5 (days) with 2 satellites	MCD43A4 NBAR product is generated daily via 16 (days) Terra and Aqua MODIS satellite

TABLE II  
TEMPORAL INFORMATION OF THE DATASET OF STUDY AREA-II

Study-area-I-Region 1 (left image) (500 × 500 pixels)			Study area-I-Region 2 (right image) (500 × 500 pixels)			Study Area-II (1190 × 1740 pixels)		
ID	Sensor	Date	ID	Sensor	Date	ID	Sensor	Date
			<b>Time:1</b>	Landsat-7 ETM+	20160413	<b>Time:1</b>	Landsat-7 ETM+	20160404
			<b>Time:2</b>	Landsat-7 ETM+	20160515	<b>Time:2</b>	Landsat-8 OLI	20160412
<b>Time:1</b>	Landsat 8 OLI	20160523	<b>Time:3</b>	Landsat-8 OLI	20160523	<b>Time:3</b>	Landsat-7 ETM+	20160506
			<b>Time:4</b>	Landsat-8 OLI	20160726	<b>Time:4</b>	Sentinel-2B MSI	20160608
<b>Time:2</b>	Landsat 8 OLI	20160912	<b>Time:5</b>	Landsat-8 OLI	20160912	<b>Time:5</b>	Landsat-8 OLI	20160615
			<b>Time:6</b>	Landsat-7 ETM+	20160920	<b>Time:6</b>	Landsat-7 ETM+	20160911
<b>Time:3</b>	Sentinel-2B MLI	20161013	<b>Time:7</b>	Sentinel-2B MSI	20161013	<b>Time:7</b>	Landsat-8 OLI	20160919
<b>Time:4</b>	Landsat 8 OLI	20161014	<b>Time:8</b>	Landsat-8 OLI	20161014	<b>Time:8</b>	Landsat-7 ETM+	20160927
			<b>Time:9</b>	Landsat-7 ETM+	20161107	<b>Time:9</b>	Landsat-8 OLI	20161021
<b>Time:5</b>	Landsat 8 OLI	20161115	<b>Time:10</b>	Landsat-8 OLI	20161115			

TABLE III  
OVERLAPPING BANDS USED IN THE EXPERIMENT

	Blue	GREEN	RED	NIR	SWIR-1	SWIR-2
MODIS -NBAR	3	4	1	2	6	7
Landsat-7 ETM+	1	2	3	4	5	7
Landsat-8 OLI	2	3	4	5	6	7
Sentinel-2B MSI	2	3	4	8	11	12

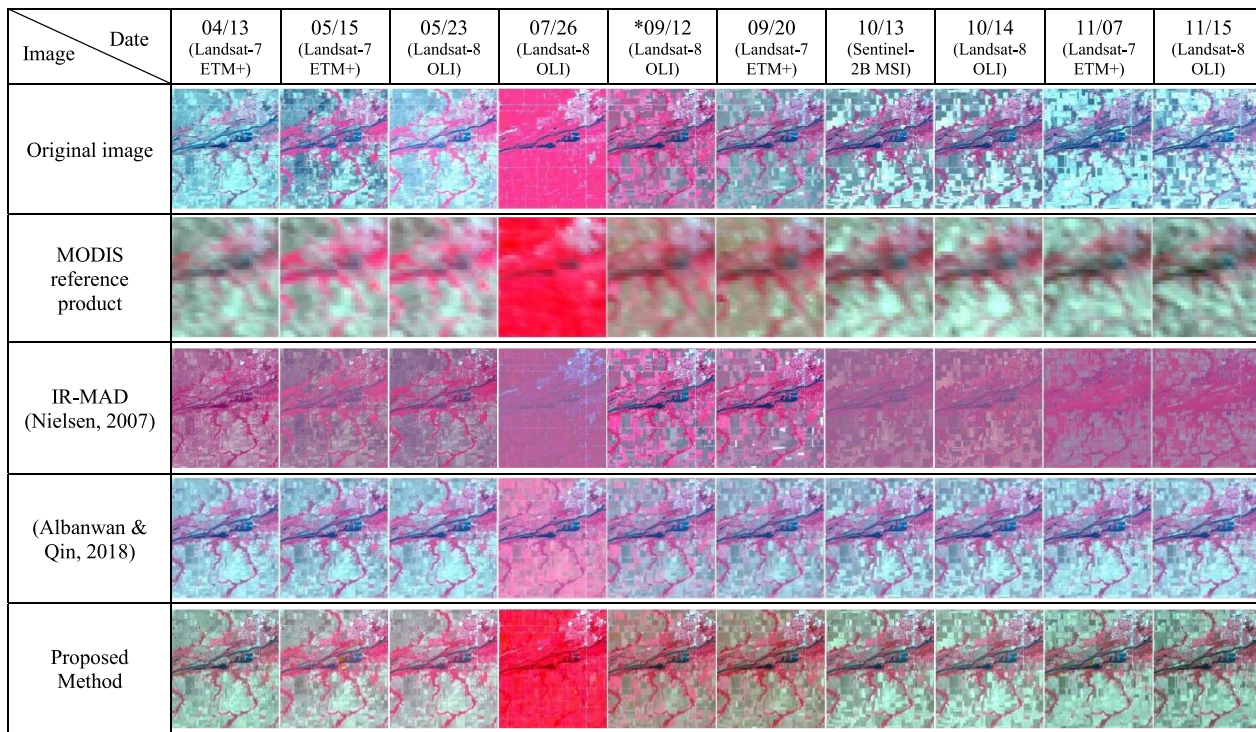
study area individually, respectively outlined in the red and light green boxes. Our dataset includes multitemporal satellite images from Landsat-7 ETM+, Landsat-8 OLI, and Sentinel-2 MSI [37]–[39], and the associated MODIS NBAR product of the same days. Table I summarizes detailed information of these sensors. The test datasets are randomly chosen (in our experiment, they are from 2016), and their temporal footage covers the growth season, thus we are able to evaluate our method on its adaptability to seasonal and phenological changes, and details of data are listed in Table II. To ensure fair comparison with other methods, our method performs normalization only on common bands among different satellite sensors. Table III summarizes the six bands for normalization in our experiments, including Blue, Green, Red, NIR, SWIR-1, and SWIR-2, where we refer these bands in the rest of the article as B1–B6, respectively.

### B. Qualitative Analysis

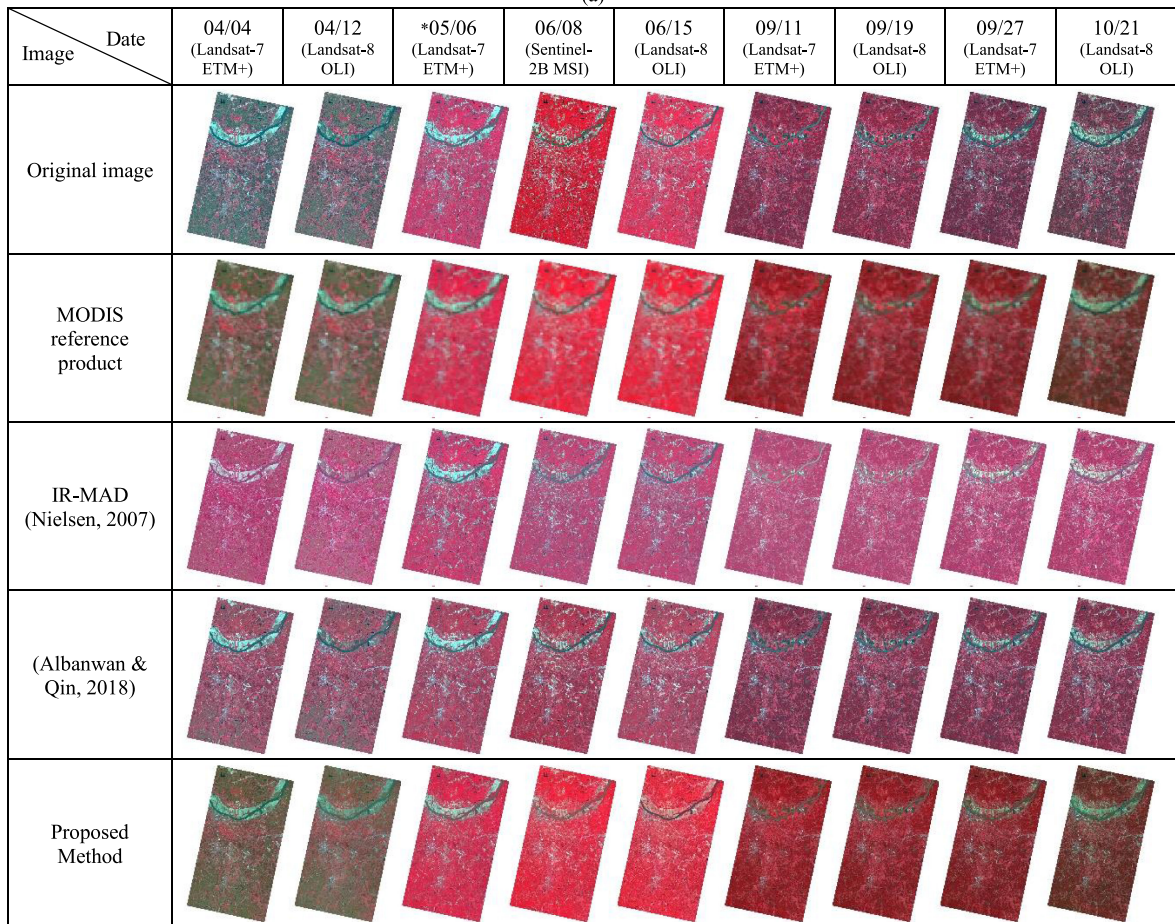
The normalization results of study area-I region-2 and study area-II (see Section A.) from different methods are shown in

Fig. 3, and note that unit of the images are in radiance and the images use the same scaling. Comparing the last row [of Fig. 3(a) and (b)] to the other rows, it can be seen that our proposed method matches much better to the reference images, while the method of (Albanwan and Qin, 2018) and IR-MAD (the Time 5, Landsat-8 OLI image acquired in September 09, 2016 and Time 3, Landsat-8 OLI image acquired in June 05, 2016 are respectively used as reference for study area-I region-2 and study area-II) shows a good consistency in the temporal direction (from left to right), while apparently it averages through all the images, and thus, lacks fidelity in radiance. Specifically in Fig. 3(a), the MODIS image of date 07/26 appears to be an artefact that shows high levels of NIR components. Our proposed method preserves such an NIR content with high levels of spatial details, while the method of [14] tends to average its magnitude that differs significantly from the MODIS reference image, and the IR-MAD method not able to preserve the reflectance change pattern of either the original image or the MODIS reference data.

We have also performed a mosaic experiment of the two regions in study area-I to show the radiometric compliance of the two regions after being processed separately. Fig. 4 shows the mosaic output for the raw data, coarse resolution MODIS product, the 3-D spatiotemporal filter [14], and our proposed method. These images are simply stitched using the mosaic tool of ENVI 5.3 software without any postprocessing such as feathering [33], [40]. There is an apparent seam line in the raw data where it is presented as the TOA reflectance for the two regions (see Fig. 4). Meanwhile, the patches of the MODIS reflectance product in the seamed area look smoother than the



(a)



(b)

Fig. 3. Visual comparison between the original high-resolution data, downscaled MODIS product, the 3-D spatiotemporal filter, and our proposed method. Note that the image reflectance uses the same scaling, so their visuals reflect the absolute reflectance (RGB: NIR, Red, Green). (a) For the dataset in study area-I region 2. (b) For the dataset in study area-II. \*the reference image for IR-MAD.

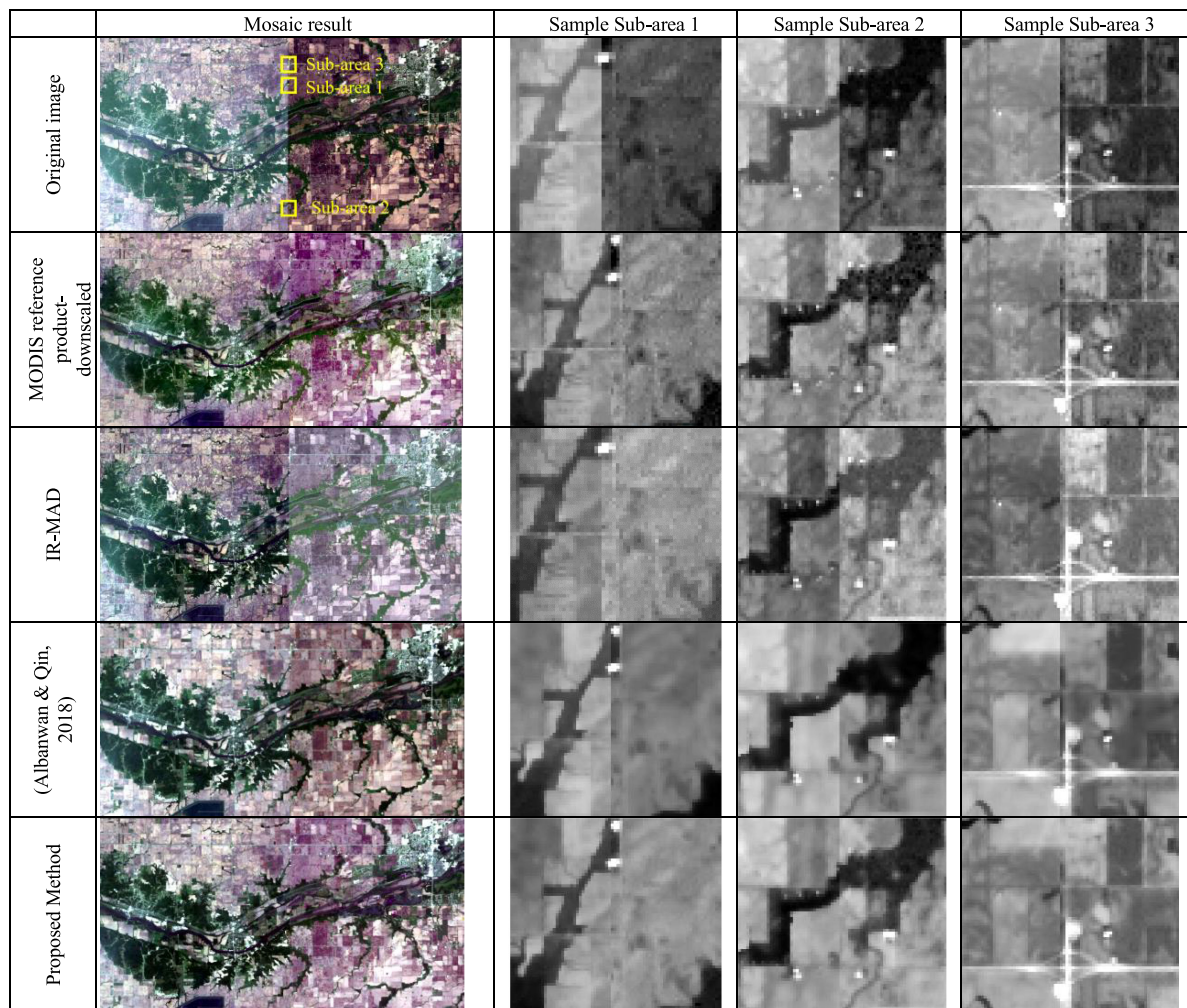


Fig. 4. Visual radiometric consistency comparison using a Mosaic before and after the normalization of region 1 in Time 1 and region 2 in Time 2. Note that the image reflectance uses the same scaling, so their visuals reflect the absolute reflectance [RGB: Band 1, 2, 3 (Landsat-7 ETM+)/Band 2, 3, 4 (Landsat 8/OLI)].

original raw images; this provides a good basis for normalizing high resolution images from different scenes. The results of our proposed methods show a clear advantage to leverage the global radiometric consistency even though images are from different regions and are processed separately.

### C. Quantitative and Experimental Analysis

Our analysis involves the consistency check in the spatial, spectral, and temporal domain. It also includes an accuracy assessment using transfer learning classification (TFC) of the radiometrically normalized images to evaluate the overall enhancement of the consistency

1) *Temporal Quality Analysis*: Fig. 5 shows the temporal trend between the reference MODIS product and corresponding original and filtered images of study area-I region-2 and study area-II, where the mean reflectance is computed for each band for all times. It can be seen that our proposed method is visually more consistent with the MODIS and at the same time it leverages well the relative temporal consistencies similar to relative methods, while for example, the method of (Albanwan and Qin, 2018) and IR-MAD show that the results tend to over achieve

temporal stability while presenting a large disparity to absolute radiance measures (as compared to the MODIS product).

We can notice that our proposed method coincides well with the MODIS product (see the blue and green lines marked in triangles and squares in Fig. 5), where they follow the same trend along the time; the slight shifts between the two lines represent systematic errors. The method of Albanwan and Qin, 2018 and IR-MAD shows that the resulting data in the temporal direction tend to be flat as compared to the MODIS NBAR product (indicated in purple and blue like marked with stars and asterisks, accordingly), which basically achieves good temporal consistency with correctly derived absolute values (presumed as the MODIS NBAR values).

2) *Spectral Quality analysis*: For the spectral analysis, we plot the histograms of the original image, MODIS's reference product, (Albanwan and Qin, 2018) filtered image, IR-MAD, and our proposed method for study area-I region-2 and a sample of bands (i.e., NIR, Red, and Blue) (see Fig. 6). We note that the band distributions in the original image vary greatly in the reflectance values and ranges from the MODIS reference product with an obvious shift between their means (see first and second rows in Fig. 6). Image normalized using IR-MAD moves



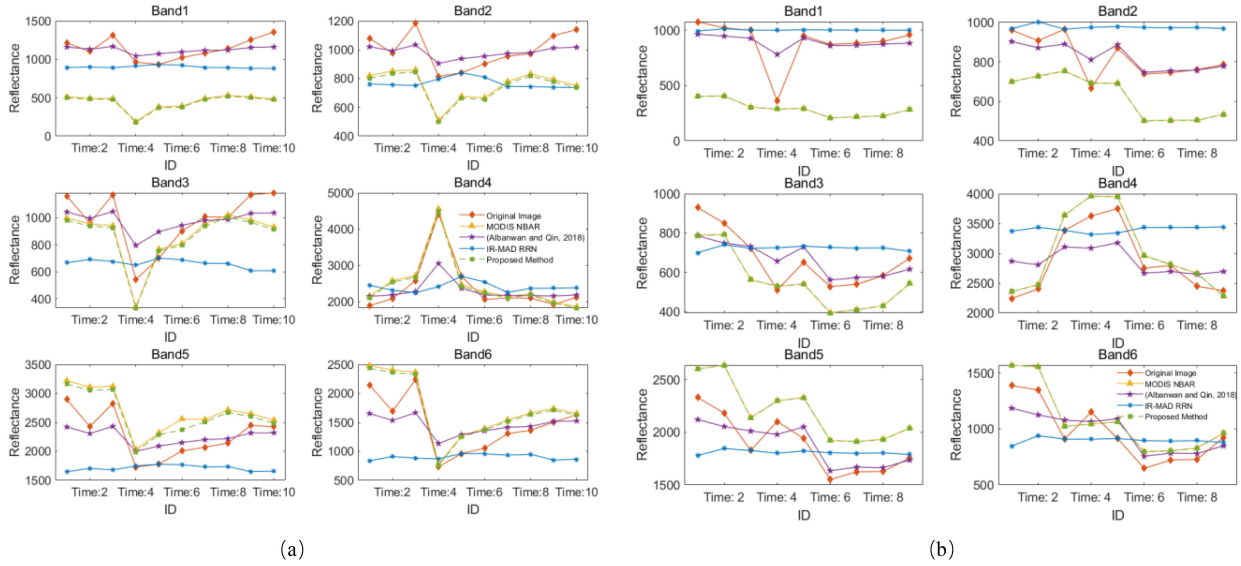


Fig. 5. Temporal trend comparison between the original image, MODIS's reference data, (Albanwan and Qin, 2018), IR-MAD, and our proposed method for study area-I region 2. All figures share the same legend as indicated on the right figures of the second row. Date of the images refer to Table II. (a) For the dataset in study area-I region 2. (b) For the dataset in study area-II.

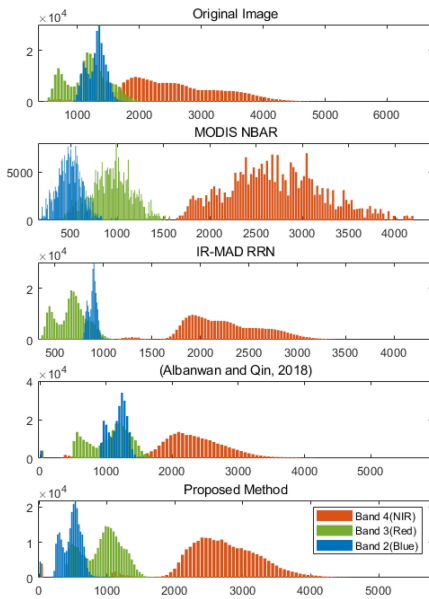


Fig. 6. Spectral analysis using the histograms for a sample of bands: NIR, Red, and Blue comparing the original image, MODIS's reference data, (Albanwan and Qin, 2018), IR-MAD, and our proposed method for region 2. All the figures share the same legend.

slight but with a similar distribution as the original images. The normalized image using (Albanwan and Qin, 2018) method, changes the histograms and their ranges to some extent, might move closer to the average of the corresponding neighboring images of the subject image due to its temporal averaging effect introduced in the previous subsection. In our proposed method (Fig. 6), where we can see that the centers (i.e., means) and ranges of the bands distributions of our method matches well with the MODIS's reference product, this might be contributed

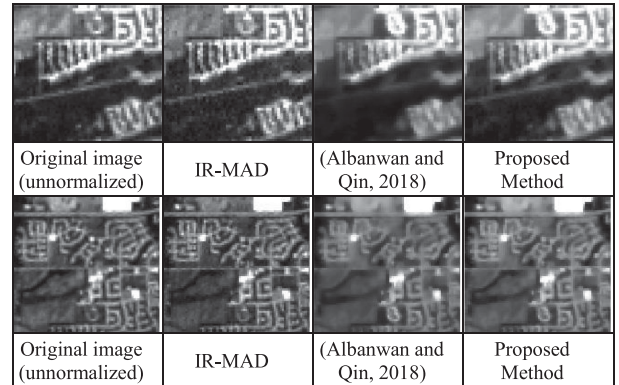


Fig. 7. Two patches from the dataset in study area-I region 2 showing the spatial detail.

by the correct inter-band spectral relations provided by the well-radiometric-corrected MODIS product.

3) *Visual Quality of the Normalized Data*: Visual details are shown in Fig. 7. We note that the noise is reduced in both filtering methods. The spatial details are well preserved in our proposed algorithm, and due to the statistical KL divergence measure we introduced, the results show lower noise than the images normalized by IR-MAD and less blurring effect than the images normalized by (Albanwan and Qin, 2018) (see Fig. 7). The adaptive filtering with their edge-preserving capability can effectively keep large changes among temporal data. Our approach adopts this concept, in which we keep the local variance of the data by only compensating the radiometric difference using bias terms, at the same time, with the capability of denoising local nonlinear distortions using the adaptive filter. The temporal bandwidth of the adaptive filtering can be critical, which we derived from our previous work that has shown a good leverage between the

TABLE IV  
ZERO-MEAN PSNR OF THE NORMALIZED IMAGES

Image ID	IR-MAD (Nielsen, 2007)	(Albanwan & Qin, 2018)	Proposed method
Time1	<b>60.31</b>	56.04	58.93
Time2	49.07	52.73	<b>53.45</b>
Time3	54.73	55.40	<b>57.94</b>
Time4	<b>77.08</b>	63.35	69.02
Time5	N.A. Reference	64.13	67.04
Time6	<b>82.94</b>	59.86	60.47
Time7	42.37	64.96	<b>66.11</b>
Time8	61.14	61.53	<b>64.71</b>
Time9	<b>70.98</b>	61.09	64.98
Time10	57.73	58.03	<b>62.81</b>
Average	55.74	59.71	<b>62.55</b>

(Using the original image as reference).

ability to denoise while preserving areas with significant changes temporally [14].

Table IV shows the zero-mean peak signal-to-noise ratio (PSNR) of the normalized images by (Albanwan and Qin, 2018), IR-MAD, and the proposed method, taking the original image as reference. For the ten images used in our experiment, we find that the proposed method keeps the best averaged quality over the other two methods, which shows that although our proposed method involves the use of low-resolution MODIS data, the normalized images still preserve the spatial details of the data. The IR-MAD method, although yield very high PSNR in some of the images, because it considers per-pixel transformation between image pairs, it performs poorly for images whose reference images are drastically different (e.g., Time 7 versus Time 5 for IR-MAD).

4) *Classification Experiments*: We perform a supervised classification using support vector machine (SVM)[41] through a transfer learning practice to evaluate the radiometric consistency between the images before and after the normalization. The classification is performed by training one image and applying to the other image. In general, the classifier trained by one image will yield good classification accuracy on other image if they are radiometric consistent, i.e., the spectral distribution of that image is similar to the image from which the classifier is trained. Although state-of-the-art deep learning methods can be a good option for classification, here we take SVM for two reasons. 1) It has been a standard method land-cover classification of high resolution (Landsat and Sentinel) data in practice, and requires much fewer training samples as compared to deep learning models [42], [43]. 2) It accepts manually-crafted features and is more suitable for controlled experiment. To evaluate the consistency of radiance among different images, we used the radiance values as the only features for classification; this ablates unnecessary roles that more advanced feature plays in classification. Note that, in this transfer learning experiment, we do not perform any additional domain adaptation algorithm rather we train classifiers from one dataset and apply that to

other datasets to understand how consistent these datasets are. We assume for well normalized radiometric images, a classifier trained from one image could readily predict reasonable results on other images. Therefore, we use the training information in one reference image to train and test the classification accuracy to the rest of the dataset. In our experiment, we consider four land-cover classes: forest, impervious surfaces, cropland, and water based on the land-cover classification system developed by [44]. Fig. 8 shows a sample for the TFC results for image of Time 5 from region 1 using the training data from a reference image of Time 4. Classification map of the original image shows many misclassifications and noise, for instance, we can see that at the forest region is mostly classified into cropland. Meanwhile, the water surface in IR-MAD is misclassified into forest. With the algorithm proposed by (Albanwan and Qin, 2018), we can see better classification results, where forest and water surface are better identified; however, the impervious surfaces are incorrectly detected in many locations. Our proposed method, on the other hand, shows even better classification outcome, where misclassifications of impervious surfaces are significantly reduced.

Two experiments with the TFC are carried out over the two regions. For the first experiment (visual results shown in Fig. 8), we train a classifier for one temporal image and apply it to the rest of temporal images, and the goal is to evaluate the degree of radiometric consistency between the normalized temporal images. In the second experiment, we train a classifier from one temporal of one region and apply the classifier to the normalized images of another region, and the goal is to evaluate the level of global radiometry consistency over the space. We indicate the accuracy of TFC using two measures: the overall accuracy (OA) and Kappa coefficient (KC).

**TFC Experiment I**: Tables V and VI show the statistical results of TFC for study area-I regions 1 and 2. The “original image” column refers to the TFC on images with no radiometric normalization, meaning a direct application using the classifier trained from Time 4 image (e.g., for Table V) to the rest of the images, and statistics under other columns indicate the same operation but on images processed with the respective radiometric normalization methods. The average OA enhancement is about  $\sim 6\text{--}11\%$  in our proposed method (see last rows in Tables V and VI). The accuracy enhancement in (Albanwan and Qin, 2018) is notably higher ( $\sim 14\text{--}15\%$ ), and this is expected because the goal of the (Albanwan and Qin, 2018) method aims to homogenize the spectrums, and for areas that have relatively smaller changes, it presents superior results. Our proposed normalization algorithm although has relatively lower improvement, it does leverage the RRN and ARN, and thus, variations resulted from the effort for keeping the spectrum similar to the MODIS products lead to the relatively less improvement, this can be noted in Table VI, in which the classifier trained on Time 8 has a very poor result for Time 4 image (taken on July 26t) using our method. This is because this Time 4 image is significantly different from the others in the original MODIS data (shown in Fig. 3.), while the method of (Albanwan and Qin, 2018) correct this image with no constrain, which happen to result in higher accuracy.

**TFC Experiment II**: To test the global consistency of the normalized results, we perform the TFC experiment by means of

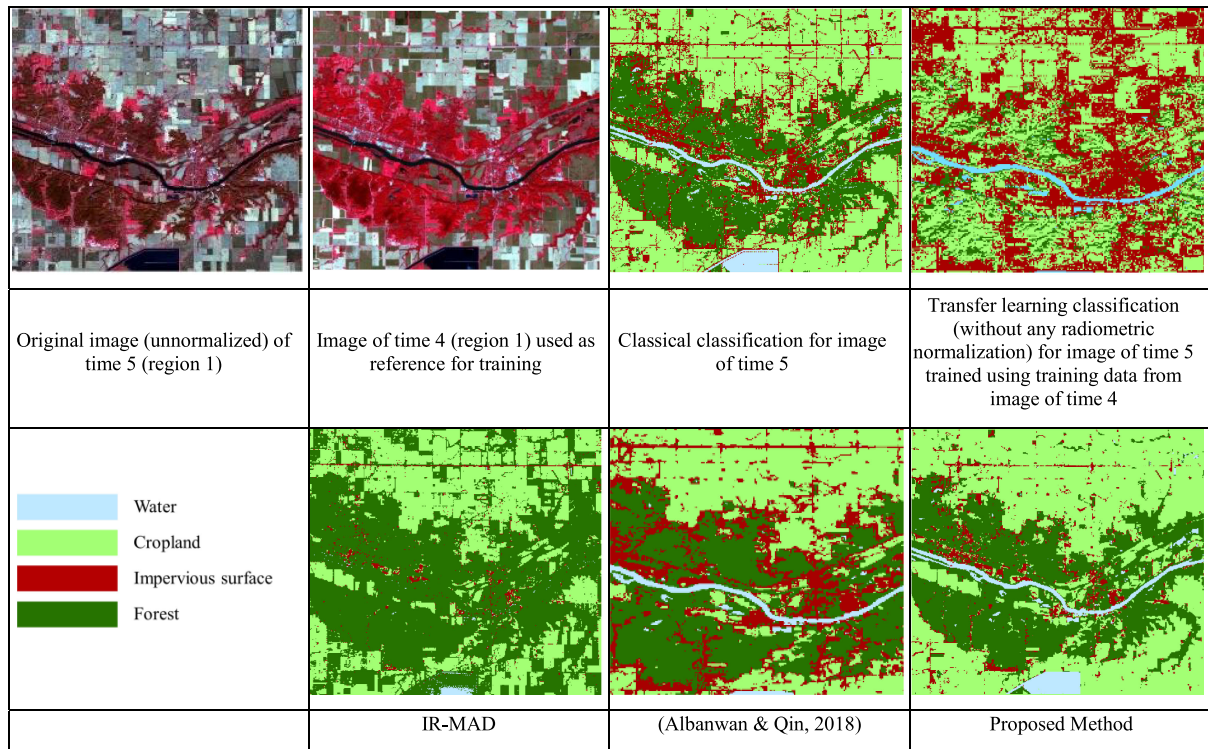


Fig. 8. TFC results from region 1 using image of Time 5 and reference training data of Time 4.

TABLE V  
TFC RESULTS EVALUATED USING THE OA AND KC FOR REGION 1

Image ID	Transfer Learning SVM using training data from Time 4							
	Unnormalized		IR-MAD		(Albanwan & Qin, 2018)		Proposed method	
	KC	OA	KC	OA	KC	OA	KC	OA
Time: 1	0.6425	75.30%	0.7640	83.27%	<b>0.9664</b>	<b>97.61%</b>	0.8661	90.44%
Time: 2	0.6189	71.51%	0.7328	80.68%	<b>0.7703</b>	<b>83.47%</b>	0.7366	80.88%
Time: 3	0.9025	93.03%	0.8582	89.84%	<b>0.9665</b>	<b>97.61%</b>	0.9331	95.22%
Time: 4	-	-	-	-	-	-	-	-
Time: 5	0.6246	73.71%	0.5628	68.53%	<b>0.9693</b>	<b>97.81%</b>	0.9161	94.02%
Average	0.6971	78.39%	0.7295	80.58%	<b>0.9181</b>	<b>94.13%</b>	0.8630	90.14%
Average increase in accuracy			0.0323	2.19%	0.2210	15.74%	0.1659	11.75%

TABLE VI  
TFC RESULTS EVALUATED USING THE OA AND KC FOR REGION 2

Image ID	Transfer Learning SVM using training data from Time 8							
	Unnormalized		IR-MAD		(Albanwan & Qin, 2018)		Proposed method	
	KC	OA	KC	OA	KC	OA	KC	OA
Time: 1	0.586	74.78%	0.4528	58.55%	<b>0.9503</b>	<b>96.72%</b>	0.7962	87.05%
Time: 2	0.9292	95.34%	0.8266	87.91%	<b>0.9661</b>	<b>97.75%</b>	0.9262	95.16%
Time: 3	0.8315	89.12%	0.8309	88.26%	<b>0.9661</b>	<b>97.75%</b>	0.9235	94.99%
Time: 4	0.3456	49.57%	0.1593	36.79%	<b>0.7186</b>	<b>80.14%</b>	0.2451	43.01%
Time: 5	0.5517	67.36%	0.5806	68.91%	<b>0.9355</b>	<b>95.68%</b>	0.774	84.46%
Time: 6	0.8252	88.08%	0.6927	77.72%	<b>0.9558</b>	<b>97.06%</b>	0.8795	92.06%
Time: 7	0.9507	96.72%	0.9505	96.72%	<b>0.9767</b>	<b>98.45%</b>	0.9534	96.89%
Time: 8	-	-	-	-	-	-	-	-
Time: 9	0.7801	85.84%	0.557	69.95%	<b>0.9714</b>	<b>98.10%</b>	0.9397	96.03%
Time: 10	0.593	72.88%	0.5404	68.57%	<b>0.9688</b>	<b>97.93%</b>	0.9107	94.13%
Average	0.73878	71.97%	0.65882	65.34%	0.94093	85.96%	0.83457	78.38%
Average increase in accuracy			-0.07996	-6.63%	0.20215	13.99%	0.09579	6.41%

TABLE VII  
TFC RESULTS EVALUATED USING THE OA AND KC AND USING A REFERENCE TRAINING DATA FROM ONE REGION AND APPLYING IT TO IMAGE FROM ANOTHER REGION

Trainin g data	Test image	K-L Divergen cy	Date Interval (Days)	Original image		IR-MAD		(Albanwan & Qin, 2018)		Proposed method	
				KC	OA	KC	OA	KC	OA	KC	OA
Time 1 Region 1 (05152016- ETM+)	Time 1 Region 2 (05232016- OLI)	0.066	8	0.7226	80.07%	0.319	48.35%	0.5708	67.76%	<b>0.8175</b>	<b>87.52%</b>
Time 2 Region 1 (09122016- OLI)	Time 2 Region 2 (09202016- ETM+)	0.209	8	0.6631	76.26%	0.6159	72.44%	0.7802	84.92%	<b>0.8738</b>	<b>91.68%</b>
Time 3 Region 1 (10132016- MSI)	Time 9 Region 2 (11072016- ETM+)	0.117	25	0.7233	77.47%	0.5125	70.71%	0.7409	75.39%	<b>0.8784</b>	<b>78.13%</b>
Time 4 Region 1 (10142016- OLI)	Time 9 Region 2 (11072016- ETM+)	0.041	24	0.828	88.39%	0.3995	64.99%	0.684	76.95%	<b>0.8839</b>	<b>92.20%</b>
Time 5 Region 1 (11152016- OLI)	Time 9 Region 2 (11072016- ETM+)	0.088	8	<b>0.8465</b>	<b>89.60%</b>	0.1178	26.69%	0.6404	73.48%	0.8143	87.18%
Average				0.7567	82.36%	0.39294	56.64%	0.68326	75.70%	<b>0.8536</b>	<b>87.34%</b>
Average increase in accuracy						-0.36376	25.72%	-	-6.66%	<b>0.0969</b>	<b>4.98%</b>

training a classifier on one Landsat/Sentinel-2 image and applied that to an image of a different region, under this comparative condition that these images are processed by different normalization methods. Specifically, for each image from region 1, an SVM classifier will be trained, and then applied to an image from region 2. Our hypothesis is that if the normalized images preserve consistent radiances, a classification model trained from one image in any location will ideally yield fairly consistent and accurate classification results. In practice, we expect to observe that radiometric consistencies will outperform unnormalized images or images that are only normalized by RRN methods. In this experiment, we take the region-1 images and find the most unlike corresponding region-2 images (selected as the region-2 image with largest KL divergences to this region-1 image). Table VII shows the experimental results, in which training data column indicates the region-1 images used to train the classifier, and the testing data column indicates the selected region-2 images that the classifier will be applied to evidence the effectiveness of our approach; we optimized the parameters and hyper-parameters of both competing normalization approaches [IR-MAD and the method of Albanwan and Qin (2018)] to achieve the best results on this dataset. Among these comparing approaches shown in the Table VII, we note that our method achieves an average improvement of  $\sim 5\%$  (see last rows in Table VII) in OA. We also note that classification accuracy after IR-MAD and (Albanwan and Qin, 2018) normalization decreases; they show a drop in the OA of  $\sim 25.72\%$  and  $6.66\%$ , respectively. This is reasonable, since IR-MAD method is only able to normalize pairs of images at a time, which introduces even larger gaps in a multitemporal image case, and the method of (Albanwan and Qin, 2018) is an RRN method and only accounts for the spectral homogeneity in each region, meaning that the normalization is performed

completely in these two regions with no absolute metrics. Our proposed method in this case outperform in most of the cases, except for the last image, in which the unnormalized data are consistent already. Images normalized by a multitemporal image case, and the method of (Albanwan and Qin, 2018) is an RRN method and only accounts for the spectral homogeneity in each region, meaning that the normalization is performed completely in these two regions with no absolute metrics. Our proposed method in this case, outperform in most of the cases, except for the last image, in which the unnormalized data is consistent already

## V. CONCLUSION

In this work, we propose a radiometric normalization method for high resolution images that does not rely on in-situ data, rather on a well radiometric corrected, low resolution, and globally available reference product (such as MODIS's NBAR product). Our method takes advantage of the nonparametric and adaptive characteristics of the spatiotemporal filter and further extends it by an image-to-image bias term to accommodate the per-image and per-pixel differences.

In our proposed method, the bias minimization is carried out adaptively such that on one hand, the resulting normalized images are as consistent as possible temporally, and on the other hand, their absolute radiometric values are as close as possible to the reference low-resolution product. We demonstrate that the proposed method is able to produce images with notably consistent radiometric properties in different aspects. First, the visual analysis of the mosaic shows a good radiometric consistency and the seamlines between two images are barely visible in comparison to the mosaic results of the unnormalized data and

of normalized data processed by other normalization methods. Second, the normalized images of our method, although involve the use of low-resolution MODIS data, preserves spatial details well and yields sharper and cleaner images than those generated from other methods. The statistical analysis of the TFC experiments shows that the proposed method can consistently improve the accuracy of the classification by 6–11% in the case of TFC on multitemporal images of the same region. Although one RRN method that is used for validation slightly outperforms our method, we show that our proposed method is able to achieve global transferability in TFC experiment II, in which we train classifiers from normalized dataset of one region and applied to another region, and improved the accuracy by ca. 5%, while other RRN methods contrarily reduced the transferability of classifiers over different regions.

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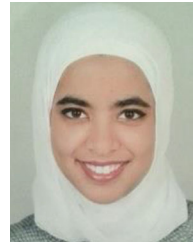


**Wenxia Gan** (Member, IEEE) was born in Hubei, China, in 1987. She received the B.S., M.S., and Ph.D. degrees in photogrammetry and remote sensing from Wuhan University, Wuhan, China, in 2009, 2011, and 2015, respectively.

She was a Visiting Scholar with the Department of Civil, Environmental, and Geodetic Engineering, The Ohio State University, Columbus, OH, USA, from 2018 to 2020. She is currently an Assistant Professor with the School of Civil Engineering and Architecture, Wuhan Institute of Technology, Wuhan, China.

Her research interests include radiometric normalization of remote sensing data and application of multiple and hyperspectral remote sensing techniques on ecology environment.

Dr. Gan is a Reviewer for several international journals in the field of photogrammetry and remote sensing.



**Hessah Albanwan** received the B.S. degree in civil engineering from Kuwait University, Kuwait City, Kuwait, in 2014, and the M.S. degree in civil, geodetic, and environmental engineering from The Ohio State University, Columbus, OH, USA, in 2017. She is currently working toward the Ph.D. degree in photogrammetry and remote sensing at The Ohio State University.

Her research interests include remote sensing and photogrammetry.



**Rongjun Qin** (Senior Member, IEEE) received the B.S. degree in computational mathematics and the M.S. degree in photogrammetry and remote sensing from Wuhan University, Wuhan, China, in 2009 and 2011, respectively, and the Ph.D. degree in photogrammetry and remote sensing from ETH, Zurich, Switzerland, in 2015.

He is currently a Faculty Member with the Department of Civil, Environmental, and Geodetic Engineering, the Department of Electrical and Computer Engineering, and the Translational Data Analytics

Institute, The Ohio State University, Columbus, OH, USA. He is the author of RSP (RPC stereo processor) and MSP (multistereo processor) used for reconstructing 3-D information from 2-D images with high quality. His research interests include photogrammetric 3-D reconstruction, remote sensing image classification, UAV images processing, image dense matching, and change detection. His research seeks for computational solutions to various geometric and interpretation problems in an urban context using imaging sensors such as aerial/UAV imagery, LiDAR, and satellite multispectral/hyperspectral images.

Dr. Qin is an Associate Editor for the *Photogrammetric Engineering and Remote Sensing* journal. He is also chairing the working group "Satellite Constellation for Remote Sensing" of International Society for Photogrammetry and Remote Sensing Commission. He has been a Reviewer for more than 15 international journals in the fields of photogrammetry and remote sensing. He is the recipient of the first prize for Mathematical Modeling Contest and several other prominent scholarship awards.