# A Fast Sparse Azimuth Super-Resolution Imaging Method of Real Aperture Radar Based on Iterative Reweighted Least Squares With Linear Sketching

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Abstract-It is greatly significant to achieve radar forwardlooking region imaging. Due to the limitation of phase ambiguity and small Doppler gradient in forward-looking region, synthetic aperture radar and Doppler beam sharpening cannot work for forward-looking imaging, while real aperture radar (RAR) has arbitrary imaging geometry. Nevertheless, restricted by the antenna aperture, azimuth resolution of RAR is coarse, super-resolution technology is required to improve its azimuth resolution. Exploiting the sparse prior information of the target, the super-resolution problem can be transformed into an  $L_1$  norm minimization problem mathematically. Iterative reweighted algorithm can effectively solve the  $L_1$  norm minimization problem by replacing  $L_1$  norm with reweighted  $L_2$  norm and computing the weight in each iteration. However, it suffers from a large computational load due to the repeated multiplications and inversions of large matrices. In this article, a fast azimuth super-resolution imaging method of RAR based on iterative reweighted least squares (IRLS) with linear sketching (LS) was proposed to achieve fast super-resolution imaging of RAR. The LS theory is employed to compress echo matrix and antenna measurement matrix into much smaller matrices via multiplying them by an embedded matrix. Then, the IRLS solver was utilized to address the reconstructed objective function. Much of the expensive computation can then be performed on the smaller matrices, thereby accelerating the algorithm. Simulations and experimental data prove that the proposed algorithm can offer a time complexity reduction without loss of imaging performance.

*Index Terms*—Iterative reweighted least squares (IRLS), linear sketching (LS), real aperture radar (RAR), super-resolution imaging.

# I. INTRODUCTION

**R** EAL aperture radar (RAR) has the advantage of an arbitrary imaging geometry and has been of considerable interest in applications where traditional synthetic aperture radar and Doppler beam sharpening are limited, such as airborne forward-looking ground mapping and aircraft forward-looking area navigation [1]–[4].

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In order to obtain a high-resolution 2-D image, it is necessary to simultaneously improve range resolution and azimuth resolution. For the pulse signal, the range resolution is determined by the time-band product. To get high-range resolution, the linear frequency modulation (LFM) signal is transmitted to explore the information, which provides a large time-band product. Through impulse compression, the range resolution is improved to  $\rho_r = c/2B$ , in which B is signal bandwidth. Azimuth resolution is  $\rho_a = R\lambda/D = R\theta$ , where R is working distance, D is antenna aperture,  $\theta$  is beamwidth. Seen from the formula, the azimuth resolution is constrained by the antenna aperture [5]-[7]. Theoretically, increasing physical aperture of the antenna can improve the azimuth resolution, but it is not feasible due to the limitation of platform resources. Consequently, the resolution in azimuth is usually much worse than a typical range resolution. For example, an RAR system whose carry frequency is 10 GHz, band width is 10 MHz, beamwidth is 4°, and working distance is 10 km. We can calculate that its range resolution  $\rho_r$  after pulse compression is 15 m, but its azimuth resolution  $\rho_a$  approximates 698 m. Therefore, in applications, the azimuth resolution needs to be improved first.

Under the Born hypothesis [8], the echo in the azimuth can be modeled as the convolution of target scattering coefficient and antenna pattern function. Therefore, the super-resolution methods based on deconvolution can improve the azimuth resolution in theory. However, due to the low-pass characteristics of the antenna pattern, antenna measurement matrix is ill-posed. Mathematically, super-resolution is equivalent to an ill-posed linear inversion problem.

Some super-resolution methods have been proposed. The method of truncated singular value decomposition (TSVD) has been put forward for radar imaging [9]–[11]. The author computed an approximation solution by chopping off those singular value components that are dominated by the noise. But the azimuth resolution increasement is slight due to truncation. In [12], a stable and effective approach based on Tikhonov regularization (REGU) was proposed. After investigated, the REGU and TSVD approach obtain similar resolution improvements. The iterative adaptive approach (IAA), which was presented in the application of passive array processing [13]–[15], was also applied to azimuth super-resolution [16]. However, since it requires the computation of the covariance matrix R,  $R^{-1}$ , and weighted least squares estimate for each sampled grid, the

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improved performance of IAA comes at the cost of notably high computational complexity. In [17], a scheme of super-resolution based on maximum *a posteriori* framework is proposed. But this method finds solution iteratively, involving numerous matrix multiplication and inversion operations. To overcome the low-pass characteristics of the antenna in the frequency domain, spectrum extrapolation technology was proposed to recover the high-frequency components of the target and realize superresolution imaging [18]–[21].

Recently, the sparse prior information of the target has been widely used to realize high-resolution imaging [22]–[26]. Research demonstrates that many targets of the imaging area possess the sparse scattering characteristic. Hence, based on the sparse characteristic, radar imaging processes can be deemed as a sparse reconstruction. For radar forward-looking imaging, applications such as aircraft forward-looking area navigation, weapon guidance, and target detection and recognition, require high resolution to enable quick detection of the target of interest [27]. The targets of interest are sparse compared with entire imaging region, especially for remote and large-scale imaging. Exploiting the sparse prior information of the target, the super-resolution problem both can be transformed into the  $L_1$  norm minimization problem from the REGU framework or the Bayesian framework. Because the  $L_1$  norm is not differentiable, solving  $L_1$  REGU issue is a challenging task. At present, there are several methods to solve the  $L_1$ norm minimization issue, such as alternating direction method of multipliers approach [28], [29], majorization-minimization (MM) algorithm [30], [31], iterative shrinkage threshold algorithm [32], split Bregman (SB) algorithm [33], [34], and iterative reweighted algorithm (IRA) [35].

Due to the nonsmooth nature of the  $L_1$  norm, the abovementioned methods suffer a large computational complexity when solving the objective function. Therefore, in order to achieve fast solving, researchers have proposed various acceleration algorithms. The ideas of these acceleration algorithms are basically divided into the following two types: reducing the number of iterations and decreasing the computation complexity in a single iteration. The core of reducing the number of iterations is to accelerate convergence. For example, Zhang et al. [36] propose a fast majorization-minimization algorithm to improve computing efficiency. This method mainly uses the technique of vector extrapolation to predict the next iteration by the current and previous iterative information. By vector extrapolation, the required iterations for convergence are reduced. A fast iterative shrinkage-thresholding algorithm is employed in [37] and [38]. It is actually applying Nestrerov acceleration to the ISTA algorithm, increasing convergence rate from O(1/k) to  $O(1/k^2)$  to cut down iterations. Decreasing the computation complexity in a single iteration mainly comes from special matrix structure. In [39], by taking advantage of the Toplitz structure of the covariance matrix, the author exploits the Gohberg-Semencul representation to lower computational complexity of the inversion of the variance matrix. In [40], by exploiting the block tridiagonal structure of matrix, the author applies the divide and conquer strategy to accelerate the inversion.

As mentioned above, the IRAs, which contains iterative reweighted norm (IRN) and iterative reweighted least squares (IRLS), are a group of algorithms for effectively solving the  $L_1$  norm minimization problem. The difference between IRLS and IRN is that the IRLS method introduces a constantly updated weight matrix based on the results of the least squares, and the IRN method introduces a constantly updated weight matrix based on the results of the  $L_2$  norm. Least-squares solutions tend to be quite sensitive to data with large errors, thus, the IRLS method cannot be directly used to  $L_1$  norm issue because of the ill-posed antenna measurement matrix in RAR super-resolution imaging. Only the IRN method can be directly used to solve the  $L_1$  norm minimization problem in RAR super-resolution imaging. However, the IRN method need to update the weight matrix and target matrix at each iteration, involving complicated matrix multiplication and inversion operations. Therefore, in order to reduce computation complexity of single iteration, this article applies a strategy to reduce the size of matrices.

A fast sparse azimuth super-resolution imaging method of RAR is proposed in this article. The linear sketching (LS) technique is employed to project the high-dimensional antenna measurement matrix and echo matrix to low-dimensional space, which reduces the dimension of matrices. LS [41]-[44] technique comes from numerical linear algebra has attracted the attention of many researchers because the powerful concept of randomness has been introduced as a strategy to ease the computational load. This technology is widely used in robust regression problems, low rank approximation, and graph sparsification. The core of this technology is compressing a matrix to a much smaller one by multiplying it by a random embedded matrix. Much of the expensive matrix-matrix computation can then be performed on the smaller matrices, thereby accelerating the solution for the original problem. Then, based on processed result,  $L_1$  sparse constraint is exploited to reconstruct objective function. Lastly, due to the ill-posedness of the antenna measurement matrix is alleviated after LS process, this article utilizes IRLS to solve the objective function. Compared with the IRN solver, the IRLS solver can obtain more obvious acceleration effects, as will be discussed later. Because of the reduction in the dimension of the matrices, computational complexity of matrix inversion and multiplications at single iteration decreases, effectively raising computational efficiency.

The remainder of this article is organized as follows. In Section II, the azimuth echo convolution model of RAR is derived. Section III has four the following sections: traditional IRN sparse super-resolution method and its computational complexity analysis is introduced; then comes antenna measurement matrix redundancy analysis; following is introduction of the LS technique; the proposed fast sparse azimuth super-resolution method and its computational complexity analysis is deduced at last. In Section IV, the effectiveness of the proposed method is verified by simulations and experimental data. Section V gives a brief conclusion.

# II. AZIMUTH ECHO CONVOLUTION MODEL

RAR uses a scanning mode to sweep the observation area and transmits chirps signal at a certain pulse repetition frequency (PRF). This article focuses on the mode of airborne RAR.



Fig. 1. (a) Imaging model of airborne RAR. (b) Geometry model of airborne RAR.

As illustrated in Fig. 1(a), an airborne RAR sweeps a narrow beam through the entire observation field that obtains 2-D microwave image. V is the platform movement speed and  $\omega$  is the beam scanning speed. Fig. 1(b) illustrates the geometry model of airborne RAR, and target P is located at the forwardlooking area (within the range of  $\pm 10^{\circ}$  in the flight direction). The initial range between the radar and the target is denoted by  $R_0$ , and  $\theta_0$  denotes the initial spatial azimuth angle. The pitch angle is  $\beta$ , and grazing angle is  $\alpha_0$ . When the aircraft flies with interval time t, the range history between the target and the aircraft becomes R(t), the spatial azimuth angle changes into  $\theta$ , and grazing angle turns into  $\alpha$ .

According to the geometrical relationship, the range history R(t) can be written as

$$R(t) = \sqrt{R_0^2 + V^2 t^2 - 2R_0 V t \cos \theta_0}.$$
 (1)

The second-order Taylor expansion of (1) is

$$R(t) \approx R_0 - Vt \cos \theta_0 + \frac{V^2 \sin^2 \theta_0}{2R_0} t^2.$$
 (2)

In radar forward-looking imaging, the initial range  $R_0$  is usually very large, and the spatial azimuth angle  $\theta_0$  is normally less than 10°, so the range history can be simplified as

$$R(t) \approx R_0 - Vt. \tag{3}$$

The transmitted LFM pulse can be expressed as follows:

$$y(\tau) = \operatorname{rect}\left(\frac{\tau}{T_p}\right) \exp(j2\pi f_c \tau) \exp(j\pi k\tau^2)$$
 (4)

where  $\tau$  is fast time, representing range information.  $T_p$  is the pulsewidth of the transmitted signal.  $f_c$  is the carrier frequency, and k denotes frequency modulation ratio. rect(.) is a rectangular

window function: rect =  $\left\{ \begin{array}{l} 1, \tau \leq \left| \frac{T_p}{2} \right| \\ 0, \text{ other} \end{array} \right\}$ .

The echo after down-conversion can be denoted as follows:

$$y(\tau, t) = \sum xw(t) \operatorname{rect}\left(\frac{\tau - \frac{2R(t)}{c}}{T_p}\right)$$

$$\exp\left(j\pi k\left(\tau - \frac{2R(t)}{c}\right)^2\right) \exp\left(-j\frac{4\pi}{\lambda}R(t)\right) \quad (5)$$

where t is slow time, representing azimuth information. x represents the scattering coefficient of target. w(t) is the modulation of the antenna pattern.  $\frac{2R(t)}{c}$  is time delay.  $\lambda = \frac{c}{f_c}$  is wavelength,  $\exp[-j\frac{4\pi}{\lambda}R(t)]$  is the Doppler shift.

High-range resolution is achieved by pulse compression technique. Besides, due to movement of the platform, the range walk correction is required. According to (3), the echo signal can correct range walk by scale transformation. Echo after pulse compression and range walk correction becomes

$$y(\tau,t) = \sum xw(t)\sin c \left[ B\left(\tau - \frac{2R_0}{c}\right) \right] \exp\left(-j\frac{4\pi}{\lambda}R(t)\right)$$
(6)

where B denotes the bandwidth.

The received signal can be transformed to range-angle domain, and the Doppler shift can usually be ignored due to small Doppler frequency gradient in the forward-looking area

$$y(R,\theta) = \sum x(R,\theta)w(\theta - \theta_0)\sin c \left(\frac{2B}{c}(R - R_0)\right)$$
$$= \sum x(R,\theta) \otimes \left[w(\theta)\sin c \left(\frac{2B}{c}R\right)\right]. \tag{7}$$

The received echo can be considered as the convolution of the target scattering coefficient and the convolution kernel consisting of the antenna pattern in azimuth and the pulse modulation function in range direction. Since the range resolution has been advanced to  $\frac{c}{2B}$  by pulse compression technology, only the azimuth echo is considered here. Next we only consider echo signal in one range bin. For a fixed range bin, (7) can be expressed as a 1-D convolution and rewritten in matrix form

$$y = Ax + n \tag{8}$$

where  $\boldsymbol{y} = [y_{(\theta_1)}, y_{(\theta_2)}, \dots, y_{(\theta_n)}]^T$  is the received echo vector,  $\boldsymbol{x} = [x_{(\theta_1)}, x_{(\theta_2)}, \dots, x_{(\theta_n)}]^T$  is the vector of target scattering

coefficient,  $\boldsymbol{n} = [n_{(\theta_1)}, n_{(\theta_2)}, \dots, n_{(\theta_n)}]^T$  is the noise vector which satisfies Gaussian distribution. n represents the number of azimuth sampling points.  $\boldsymbol{A}$  denotes the convolution matrix and can be written as follows:

$$\boldsymbol{A} = \begin{bmatrix} h_{(\theta_0)} \cdots h_{(\theta_{-l})} \\ \vdots & \ddots & \ddots \\ h_{(\theta_l)} & \ddots & h_{(\theta_{-l})} \\ & \ddots & \ddots & \vdots \\ & & h_{(\theta_l)} & \cdots & h_{(\theta_0)} \end{bmatrix}_{n \times n}$$

where  $[h_{(\theta_{-l})} \cdots h_{(\theta_0)} \cdots h_{(\theta_l)}]$  are the samples of the antenna pattern, the sampling number is determined by PRF, beamwidth, and antenna scanning velocity  $\omega$ .

# III. PROPOSED METHOD

In this section, we research the traditional IRN sparse superresolution method and give its computational complexity first; second, we explore the redundancy of the antenna measurement matrix; third, we introduce LS theory; finally, the proposed IRLS–LS fast sparse super-resolution method and its computational complexity is deduced.

#### A. IRN Sparse Super-Resolution Method

Recovering the target scattering coefficient x from the noisepolluted echo data y is usually an ill-posed problem, thus, the REGU method is used to relax ill-posedness. The REGU method replaces the original ill-posed problem with a problem that is close to well-conditioned by adding different REGU constraints. The standard REGU function is as follows:

$$\hat{\boldsymbol{x}} = \arg\min_{\boldsymbol{x}} \frac{1}{p} \|\boldsymbol{y} - \boldsymbol{A}\boldsymbol{x}\|_{p}^{p} + \lambda \frac{1}{q} \|\boldsymbol{x}\|_{q}^{q}$$
(9)

where the value of p, q depends on the norm type you choose.  $\frac{1}{p} \| \boldsymbol{y} - \boldsymbol{A} \boldsymbol{x} \|_p^p$  is the data fitting term,  $\frac{1}{q} \| \Gamma(\boldsymbol{x}) \|_q^q$  is is the added penalty term,  $\lambda$  is the positive parameter controlling the REGU strength.

For sparse super-resolution problem, usually the  $L_q$  norm with  $0 \le q \le 1$  is employed as penalty function. We know that the stronger sparsity needs a smaller q. Finding the globally optimal solution is NP hard problem when  $0 \le q < 1$ . Therefore, researchers often use the  $L_1$  norm to describe the sparse characteristics of the target. When p = 2, q = 1, the objection function is constructed as the following:

$$\hat{\boldsymbol{x}} = \operatorname*{arg\,min}_{\boldsymbol{x}} \|\boldsymbol{y} - \boldsymbol{A}\boldsymbol{x}\|_{2}^{2} + \lambda \|\boldsymbol{x}\|_{1}. \tag{10}$$

As mentioned above, in the IRAs, only the IRN method can be directly used to solve the  $L_1$  norm minimization problem in radar super-resolution imaging. We define the weight matrix W =diag( $|x|^{-1}$ ). Weight matrix W will be updated by the scattering coefficients x. Hence, the optimal solution is obtained by the iteration process. The initial value of  $x_0$  should be given by Tikhonov REGU. The iterative process is described as follows:

initialize : 
$$\boldsymbol{x}_0 = (\boldsymbol{A}^T \boldsymbol{A} + \lambda \boldsymbol{I})^{-1} \boldsymbol{A}^T \boldsymbol{y}$$
  
for  $j = 0, 1, 2, \dots, M - 1$ 

$$W_j = \operatorname{diag}(|\boldsymbol{x}_j|^{-1})$$
$$\boldsymbol{x}_{j+1} = (\boldsymbol{A}^T \boldsymbol{A} + \lambda \boldsymbol{W}_j)^{-1} \boldsymbol{A}^T \boldsymbol{y}$$
(11)

In the IRN method, the dimension of A is  $n \times n$ , and the dimension of y is  $n \times 1$ . Table I shows the computational complexity of the IRN method, where M is the number of iterations.

#### B. Antenna Measurement Matrix Analysis

From the abovementioned analysis, we can see that the traditional IRN sparse super-resolution method has numerou  $n \times n$  matrix multiplication and inversion operations, which is the main reason for slow running speed. In this section, we analyze the redundancy of the antenna measurement matrix to lay foundation for the subsequent LS.

Matrix decomposition theory is adopted to analyze the information redundancy. The singular value decomposition (SVD) operation is applied to antenna measurement matrix A

$$\boldsymbol{A} = \boldsymbol{U}\boldsymbol{\Sigma}\boldsymbol{V} = \sum_{i=1}^{n} u_i \sigma_i {v_i}^T$$
(12)

where  $U = (u_1, u_2, ..., u_n)$  and  $V = (v_1, v_2, ..., v_n)$  are the unitary matrices with size  $n \times n$ , respectively.  $\Sigma = \begin{pmatrix} D & 0 \\ 0 & 0 \end{pmatrix}$ ,  $D = \text{diag}(\sigma_1, \sigma_2 \cdots, \sigma_n)$ ,  $\sigma_i$  is the singular value of A and satisfies  $\sigma_1 > \sigma_2 > \sigma_i > \cdots > \sigma_n$ .

The following figure is the singular value distribution of Awith different size. From the perspective of matrix redundancy, the SVD theory holds that the information of the matrix is only contained in the large singular values and their corresponding eigenvectors and small singular values and their eigenvectors contain almost no information. From Fig. 2, the antenna measurement matrix A has most singular values close to zero whatever the size it is, which shows the redundant property of antenna measurement matrix A. The small singular values bring the increasement of the computational complexity and are the root cause of redundancy. From the perspective of matrix ill-posedness, the condition number of a matrix measures the ill-posed nature of this matrix. When the condition number is large, the estimation error will be big when noise exists. The condition number is the ratio of the largest singular value to the smallest singular value of the matrix. As can be seen from Fig. 2, the condition number of the antenna measurement matrix,  $cond(\boldsymbol{H}) = \frac{\sigma_1}{\sigma_n}$ , is considerably large whatever the size it is, which shows the ill-posed property of it. Hence, the small singular values are root cause of matrix ill-posedness.

For example, when the antenna measurement matrix A is 667 × 667, and only 17 dominant singular values are > 1, and other 650 small singular values are information redundant. If the information contained in 17 large singular values and their corresponding eigenvectors can be used to represent the original antenna measurement matrix, it will reduce its redundancy and ill-posedness.

 TABLE I

 COMPUTATIONAL COMPLEXITY OF THE IRN



Fig. 2. Singular value distribution of A with different size. (a) All singular values distribution. (b) First 200 singular values distribution.

## C. Linear Sketching

Due to the redundant property of the antenna measurement matrix A, this article utilizes the LS method to modify the redundant property.

The task of LS is to construct a near-optimal basis Q that captures the action of the matrix A. In other words, we require a matrix Q for which Q  $(n \times q)$  has orthonormal columns and the number of columns is  $q(q \ll n)$ 

$$\boldsymbol{A} \approx \boldsymbol{Q}(\boldsymbol{Q}^T \boldsymbol{A}). \tag{13}$$

For convenience, the matrix  $Q^T(q \times n)$  is called as the embedded matrix, which converts the space described by A into a low-dimension space; and the smaller matrix  $Q^T A$  is named as the information carrying matrix, which contains the main information of A and can then be used to compute original problem.

In order to improve the calculation efficiency, it would like matrix Q to contain as few columns as possible, but it is even more important to have an accurate approximation of the input matrix. There is a tradeoff between the calculation efficiency and approximation error, so how to choose the number of columns q is the core problem.

Suppose we are given a matrix A and a positive error tolerance  $\varepsilon$ . We seek a matrix Q with  $q = q(\varepsilon)$  orthonormal columns such that

$$\|\boldsymbol{A} - \boldsymbol{Q}(\boldsymbol{Q}^T \boldsymbol{A})\| \le \varepsilon$$
 (14)

where  $\|\cdot\|$  denotes the  $L_2$  operator norm. The  $Q^T$  is a  $q \times n$  dimensional subspace that captures most of the action of A. Obviously, the larger columns q indicates the smaller error  $\varepsilon$ .

The SVD furnishes an optimal answer to this problem [45], [46]. Let  $\sigma_j$  denotes the  $j_{th}$  largest singular value of A. For each  $j \ge 0$ 

$$\min_{\mathbf{k} \le j} \left\| \boldsymbol{A} - \boldsymbol{Q} \boldsymbol{Q}^T \boldsymbol{A} \right\| = \sigma_{j+1}.$$
(15)

That is, for each  $j \ge 0$ , when the columns q = j, the approximation error is  $\sigma_{j+1}$ . According to the SVD theory, the main information of the matrix is contained in the large singular values and their corresponding eigenvalue vectors, small singular values and their eigenvectors contain almost no information. So we can choose the number of dominant singular values needed as the value of columns q. Hence, this article offers a reasonable method of selecting parameter q. The columns q can be equivalent to the truncation parameter k in the TSVD method. The generalized cross-validation (GCV) is described in [47], which has been proven to be an excellent method of choosing a truncation parameter. The optimal truncation parameter k can be obtained by minimizing the GCV function

$$k = \underset{k}{\operatorname{arg\,min}} \frac{\|\boldsymbol{A}\boldsymbol{x}_{k} - \boldsymbol{y}\|_{2}^{2}}{\operatorname{trace}(\boldsymbol{I} - \boldsymbol{A}\boldsymbol{A}_{k}^{+})}$$
(16)

where  $A_k^+$  satisfies  $A_k^+ y = x_k$ . *I* represents the identity matrix. trace(·) denotes the sum of the diagonal components inside the brackets.

The optimal truncation parameter k is determined by the environment and system parameters, and can be deduced offline in advance. Therefore, this article assumes that k is known in advance.

The next task is to build the matrix Q. In order to ensure the performance and stability, we require the matrix Q to be random and has orthogonal columns [48], [49]. There are several ways to construct the matrix Q, such as selecting the q dominant left singular vectors of A. Q also can be a Subsampled Randomized Hadamard Transform, which is formulated as  $Q = P \times S \times D$ . The D is a diagonal matrix with +1,-1 on diagonals. S is the Hadamard transform. The P is just chooses a random (small)



Fig. 3. Conceptual architecture of LS preprocessing. First, a matrix Q is computed in order to derive the embedded matrix  $Q^T$ . Then, the embedded matrix  $Q^T$  is utilized to deduce the smaller matrix A' and y'.

subset of rows of  $S \times D$ . This article introduces a method based on QR decomposition to obtain Q with orthogonal columns.

1) Generate a  $n \times q$  Gaussian random matrix  $\Omega$  with a mean of 0 and a variance of 1.

2) Form the matrix  $G = A\Omega$ .

3) Obtain the matrix  $n \times q Q$  via QR decomposition of G.

This article transposes the generated matrix Q to acquire the embedded matrix  $Q^T$ , which projects antenna measurement matrix A and echo matrix y to a low-dimensional space. Thus, (8) is converted into

$$\boldsymbol{Q}^T \boldsymbol{y} = \boldsymbol{Q}^T \boldsymbol{A} \boldsymbol{x} + \boldsymbol{Q}^T \boldsymbol{n}. \tag{17}$$

For convenience, let  $y' = Q^T y$ ,  $A' = Q^T A$ ,  $n' = Q^T n$ . The convolution model of (8) is reconstructed as following.

$$y' = A'x + n' \tag{18}$$

where y' is a  $q \times 1$  reconstructional echo matrix, A' is a  $q \times n$  reconstructional antenna measurement matrix, and n' is a  $q \times 1$  reconstructional noise matrix. To intuitively illustrate whole LS process: including how to construct the basis matrix Q, and use Q to compress the matrix A and y, this article offers a diagram in Fig. 3.

#### D. Proposed IRLS-LS Fast Sparse Super-Resolution Method

After LS process, the convolution model is reconstructed as (18).  $L_1$  norm is employed as an REGU term to establish objective function under REGU framework

$$\hat{\boldsymbol{x}} = \underset{\boldsymbol{x}}{\operatorname{arg\,min}} \|\boldsymbol{A}'\boldsymbol{x} - \boldsymbol{y}'\|_{2}^{2} + \lambda \|\boldsymbol{x}\|_{1}.$$
(19)

Since the antenna measurement matrix A and echo matrix y become smaller matrices A' and y' after LS. If the IRN method is still applied to minimize the objective function, we can easily

Fig. 4. Conceptual architecture of the randomized singular value decomposition (RSVD).

observe that the dimensions of matrix-matrix multiplication are reduced. However, the dimension of  $(\mathbf{A'}^T \mathbf{A'} + \lambda \mathbf{W}_j)^{-1}$  in each iteration is still  $n \times n$ , acceleration effect is not powerful. Thus, the IRLS method is applied to minimize the objective function.

As mentioned above, the IRLS method cannot be used directly to solve  $L_1$  norm in RAR super-resolution imaging unless ill-posedness of antenna measurement matrix is relaxed. In the following, we analyze its ill-posedness. According to randomized singular value decomposition (RSVD) theory [50], [51], we have

$$\boldsymbol{A} \approx \boldsymbol{Q}(\boldsymbol{Q}^{T}\boldsymbol{A}) = \boldsymbol{Q}\boldsymbol{A}' = \boldsymbol{Q}\tilde{\boldsymbol{U}}\boldsymbol{\Sigma}\boldsymbol{V}^{T}$$
$$= \boldsymbol{U}_{n\times q}\boldsymbol{\Sigma}_{q\times q}\boldsymbol{V}_{n\times q}^{T} = \boldsymbol{A}_{q}.$$
(20)

The conceptual architecture of the RSVD is visually illustrated in Fig. 4. We can see that the RSVD uses the same way to obtain matrix A' as the LS process shown in Fig. 3. Fig. 4 clearly indicates that A' only contains q dominant singular values and corresponding right singular value vectors. The condition number of A' is  $cond(A') = \frac{\sigma_1}{\sigma_q}$ , which is considerably lower than  $cond(A) = \frac{\sigma_1}{\sigma_n}$ . Through LS process, a decrease in condition number indicates that the ill-posedness is relaxed, hence, the IRLS method can be applied to solve  $L_1$  minimization problem in (19).

The IRLS approach is to replace the  $L_1$  objective function in (19) by solving the following weighted  $L_2$  norm problem:

$$\min \sum_{i=1}^{n} w_i (x_i^{(j)})^2, \text{ subject to } \boldsymbol{A'x} = \boldsymbol{y'}$$
(21)

where the weights  $w_i$  are computed from the previous iterate  $x^{(j-1)}$ , j is number of iterations.  $x_i^{(j)}$  is the  $i_{th}$  entry of target

TABLE II
FLOW CHART OF THE IRLS-LS FAST SPARSE SUPER-RESOLUTION METHOD

Generate a 
$$n \times q$$
 Gaussian random matrix  $\Omega$   
Form the matrix  $G = A\Omega$   
Obtain the matrix  $Q$  via  $G = QR$   
 $y' = Q^T y, A' = Q^T A$   
initialization:  $x^{(0)} = A'^T (A'A'^T)^{-1}y', \varepsilon = 1 \times 10^{-6}$   
While  $j = 1 : M, do$   
 $W^{(j)} = diag(((x_i^{(j-1)})^2 + \varepsilon)^{-\frac{1}{2}})$   
 $x^{(j)} = W^{(j)}A'^T (A'W^{(j)}A'^T)^{-1}y'$   
 $j = j + 1$   
end

scattering coefficient matrix x at  $j_{th}$  iteration. So that the objective in (21) is a first-order approximation to the  $L_1$  objective function. The solution of (21) can be offered explicitly, giving the next iterate  $x^{(j)}$ :

$$\boldsymbol{x}^{(j)} = \boldsymbol{W}^{(j)} \boldsymbol{A}^{\prime T} (\boldsymbol{A}^{\prime} \boldsymbol{W}^{(j)} \boldsymbol{A}^{\prime T})^{-1} \boldsymbol{y}^{\prime}$$
(22)

where  $W^{(j)}$  is the diagonal matrix with entries  $1/w_i = |x_i^{(j-1)}|$ . However, the weights  $w_i$  are undefined whenever  $x_i^{(j-1)} = 0$ . A common approach for dealing with this issue is to regularize the optimization problem, by incorporating a small  $\varepsilon > 0$ 

$$w_i = ((x_i^{(j-1)})^2 + \varepsilon)^{-\frac{1}{2}}.$$
(23)

Initialization  $x^{(0)}$  is given by least squares result in the IRLS approach. Hence, the detailed process is shown as follows. To explain the complete process of the proposed IRLS–LS fast sparse super-resolution method perspicuously, this article lists it in Table II

Intialize : 
$$\mathbf{x}^{(0)} = \mathbf{A'}^T (\mathbf{A'}\mathbf{A'}^T)^{-1}\mathbf{y'}$$
  
for  $j = 1, 2, 3..., M$   
 $\mathbf{W}^{(j)} = \text{diag}(((x_i^{(j-1)})^2 + \varepsilon)^{-\frac{1}{2}})$   
 $\mathbf{x}^{(j)} = \mathbf{W}^{(j)}\mathbf{A'}^T (\mathbf{A'}\mathbf{W}^{(j)}\mathbf{A'}^T)^{-1}\mathbf{y'}$   
end (24)

The computational complexity analysis of the proposed algorithm is conducted. We compute the matrix products  $G = H\Omega$  to take a running time in O(nnz(H)q), where nnz(H) is the number of nonzeros in H. A QR-factorization in time  $O(nq^2)$  gives Q. Computing  $y' = Q^T y$ ,  $A' = Q^T A$  takes  $O(n^2q + nq)$  time. Hence, the computational complexity of LS process is  $O(n^2q + (q^2 + q)n + nnz(A)q)$ . In the IRLS–LS method, the dimension of A' is  $q \times n$ , and the dimension of y' is  $q \times 1$ . Table III shows the computational complexity of the IRLS–LS method, where M is the number of iterations. Compared with the IRN method shown in Table I, the price paid by the proposed method is the extra computational load coming from the LS process. Although LS process brings additional computational burden, it can reduce the size of the matrices. In



Fig. 5. Antenna pattern for simulations.

addition, due to the IRLS solver, the computational complexity is significantly reduced when calculating  $x^{(0)}$  and  $x^{(j)}$ . Hence, the proposed method is better than the IRN method in terms of computational efficiency, especially when the number of azimuth sampling points n is large, the advantages of our proposed algorithm are more obvious.

#### IV. SIMULATIONS AND EXPERIMENTAL DATA RESULTS

In this section, some simulations and experiments are conducted to prove the superior imaging performance and computation efficiency of the proposed fast  $l_1$ -IRLSLS algorithm. Simulation and experiment result are compared with conventional super-resolution imaging methods, including the TSVD method, IAA, and the  $l_1$  sparse REGU method solved by IRN, which is called the  $l_1$ -IRN method for convenience next. This article mainly focuses on the super-resolution performance and operation time of the various algorithms. For the TSVD approach, the number of reserved singular value is determined by the GCV [47]. The REGU parameter of the  $l_1$ -IRN method is selected by the L-curve method [52]. In addition, for different sparse methods, this article also gives a comparison of computation time curve.

## A. 1-D Point Target Simulation

First, this article conducts point simulation. The antenna pattern for simulations is a sin  $c^2$  function illustrated is Fig. 5, whose main beamwidth is  $3.5^\circ$ . The scanning region is  $\pm 10^\circ$ . The 1-D simulation scene is illustrated in Fig. 7(a), we can see that there are two point targets whose width are  $1^\circ$  with identical amplitude, and their centers are located at  $0^\circ$  and  $2^\circ$ , respectively. The simulation system parameters are shown in Table IV. Simulation hardware and software environment is shown in Table V. In order to simulate the actual working environment, Gaussian white noise is added. Here, we define the signal to noise ratio (SNR) as follows:

SNR = 
$$10 \log 10 \frac{\|\boldsymbol{x}\|_2^2}{\|\boldsymbol{y} - \boldsymbol{A}\boldsymbol{x}\|_2^2}$$
. (25)

In order to quantify and compare the imaging performance, the difference of peak to valley (DPV) in dB and peak signal to noise ratio (PSNR) is used in this section. The DPV point in update  $x^{(0)}$ 

 $O(2n^2q + nq + q^3)$ 

TABLE IV

SIMULATION SYSTEM PARAMETERS

Parameter

update W

negligible

Value

linear sketching

 $O(n^2q + (q^2 + q)n + nnz(\mathbf{A})q)$ 

					Q		
C	arrier frequency	35 GHz		_	TSVD	k = 17	0.204542 s
	Bandwidth	80 MHz			IAA	$\lambda = 10, a = 100$	0.215984 s
	Pulse interval	$2 \times 10^{-6} s$			$l_1$ -IRN	$\lambda = 10,  \mu = 2$	0.204542 s
Anten	na scanning velocity	$60~^\circ/s$			fast $l_1$ -IRLSLS	$\lambda = 10, q = 17$	0.090796 s
М	lain beam width	3.5 °		=			
Plus 1	repetition frequency	1000 Hz					
	TABLE V Simulation Condit	IONS			SUPER-RESOLUTI	TABLE VII DN PERFORMANCE CO 1-D SIMULATION	OMPARISON OF
Hardware or So	oftware	Parameters			Algorithm	DPV in dB	PSNR
CPU	Inter(R) Co	ore(TM)i5-4200N	CPU		TSVD	-6.9570 dB	10.0642 dB
RAM		8 GB			IAA	-1.8078 dB	37.0774 dB
Platform	М	ATLAB 2015b			$l_1$ -IRN	-0.9577 dB	37.0095 dB
					fast $l_1$ -IRLSLS	-0.4455 dB	31.7005 dB
$A_{peak}$	Azimuth angle(deg)	$20\log_{10}(A_{\text{peak}} - A_{\text{val}})$		For earling time rameter in the IA q denote of IAA, method, all expe	ach algorithm, the (CT) are shown is of the TSVD met A method, $\mu$ is H es the subspace d , the $l_1$ -IRN met . Note that the m riments. Table V	e relative paramet n Table VI. k den hod, a denotes th REGU parameter imension. $\lambda$ is th hod and the pro- leaning of these III is the super-ra	ter values and c notes the trunca e weighting pa of the $l_1$ -IRN i e number of it posed fast $l_1$ -I symbols runs esolution perfe
Fig. 6. Definition of dB is interpreted i resolution algorith	DPV. n Fig. 6, and quant m to separate two cl	fies the ability osely spaced tar	f super- ets. The	compari and PSN Fig. ´ simulati Gaussia velocity	ison of various a NR. 7 shows point si on scene. Fig. 7 n noise. From th , we can calculate	lgorithms, which mulation results (b) is the real-bu- le scanning regic e echo size to be	h contains DP Fig. 7(a) is eam echo poll on, PRF, and s $334 \times 1$ . Due to
super-resolution m	ween 0 and $-\infty$ , which we have $\infty$ is a set of the fully set of the fully set of the fully set of the full $\infty$ is a set of the full $\infty$ .	arate two closel	spaced	terval of	f two point target	s is less than the b	eam width, th

(26)

TABLE III COMPUTATIONAL COMPLEXITY OF THE IRLS-LS

update  $\mathbf{x}^{(j)}$ 

 $O(M(2n^2q + 3nq + q^3))$ 

where  $s_{\max}$  and  $sl_{\max}$  denote the maximum amplitude of signal and side lobe. When PSNR value is large, it indicates that the corresponding super-resolution algorithm has strong side lobe suppression capability.

targets. This indicates that the larger value of peak to valley

in dB, the better performance of the proposed super-resolution

method in terms of the super-resolution performance. PSNR

is used to measure the side lobe suppression. The PSNR is

 $PSNR = 20 \log_{10} \frac{s_{\max}}{sl_{\max}}$ 

defined as

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ALGORITHM PARAMETERS AND CT OF 1-D SIMULATION

TABLE VI

total computational complexity

 $O((2Mq + 3q)n^2 + (3Mq + q^2 + 2q)n + (M + 1)q^3 + nnz(A)q)$ 

Algorithm	Parameters	СТ
TSVD	k = 17	0.204542 s
IAA	$\lambda = 10,  a = 100$	0.215984 s
$l_1$ -IRN	$\lambda = 10,  \mu = 2$	0.204542 s
fast $l_1$ -IRLSLS	$\lambda = 10,  q = 17$	0.090796 s

mpution paameter ethod, rations LSLS irough mance in dB

ie 1-D ted by anning the inechoes of two targets are overlapped. Fig. 7(c) is the result processed by the TSVD method. The two targets can be distinguished to a certain degree, but the sidelobes are raised. Fig. 7(d) is the result of the IAA method. Compared with the TSVD method, it has higher resolution and lower side lobes. Fig. 7(e) and (f) is the result of the  $l_1$ -IRN method and the fast  $l_1$ -IRLSLS method, respectively. They have the superior super-resolution performance than TSVD and IAA method visibly. From Table VII, we can clearly see that the DPV in dB of these two methods are far higher than other methods. However, as shown in Table VI, the  $l_1$ -IRN method and the IAA method need to take more time than our proposed fast  $l_1$ -IRLSLS method.



Fig. 7. 1-D point simulation result. (a) 1-D simulation scene. (b) Echo in SNR = 25 dB. (c) TSVD method. (d) IAA method. (e)  $l_1$ -IRN method. (f) Proposed fast  $l_1$ -IRLSLS method.



Fig. 8. Definition of BSR.

## B. 2-D Area Simulation

In the last section, this article has proven that our proposed method can achieve super-resolution imaging of 1-D point targets, and in this section, we will discuss area simulation. The antenna pattern, and simulation hardware and software environment are the same with 1-D simulation. The scanning region is  $\pm 5^{\circ}$ . The area simulation scene is illustrated in Fig. 9(a). The original scene consisted of three groups of adjacent targets at distances of 9085, 9160, and 9225 m, as well as one island target at a distance of 9300 m. The size of the simulation scene is  $200 \times 200$ , and the size of each target is  $11 \times 8$ , which means the azimuth width of each target is  $0.55^{\circ}$ . The azimuth interval between targets at 9160 m is  $2.65^{\circ}$ , and the azimuth interval between targets at 9225 m is  $1.60^{\circ}$ .

In addition, some quantitative indexes are exploited to measure the super-resolution performance. For the area scene, the mean square error (MSE) is utilized to evaluate the closeness between the original scene and super-resolution result. The ideal MSE is 0, which means the super-resolution result and the original scene are the same. A smaller value of the MSE indicates a better performance of the approach in terms of imaging quality

$$MSE = \frac{1}{MN} \|\boldsymbol{\sigma} - \hat{\boldsymbol{\sigma}}\|_2^2$$
(27)

where M and N are the numbers of discretization bins of range and azimuth, respectively.  $\sigma$  is the original scene, and  $\hat{\sigma}$  is superresolution result.

Beam sharpening ratio (BSR) is defined as follows: the ratio that the original real beam 3 dB bandwidth divides super-resolution processing result 3 dB bandwidth, shown in Fig. 8

$$BSR = \frac{\theta_r}{\theta_s}.$$
 (28)

BSR measures the ability of super-resolution, the larger BSR indicates higher resolution.

Similarly, CT and algorithm parameters are shown in Table VIII. And comparison of quantitative indexes results is in Table IX. The area simulation results are shown in Fig. 9. Fig. 9(a) is the simulation scene. Fig. 9(b) is the real beam echo result. Echo size of the area simulation is  $598 \times 167$ , but we resize it to  $200 \times 200$  when displaying the results. Fig. 9(b) suffers from coarse azimuth resolution, and it can be seen that all the adjacent targets could not be distinguished. Fig. 9(c) is the result of the TSVD method. Although it improves the resolution to a certain degree, it brings a lot of ghosts, which seriously affects the image quality. Fig. 9(d) is the result of the IAA method. It has a good super-resolution performance, but some targets are blurry and



Fig. 9. 2-D area simulation result. (a) 2-D simulation scene. (b) Echo in SNR=25 dB. (c) TSVD method. (d) IAA method. (e)  $l_1$ -IRN method. (f) Proposed fast  $l_1$ -IRLSLS method.

TABLE VIII ALGORITHM PARAMETERS AND CT OF 2-D SIMULATION

Algorithm	Parameters	СТ
TSVD	k = 17	0.304746 s
IAA	$\lambda = 10, a = 1 \times 10^6$	15.913041 s
$l_1$ -IRN	$\lambda = 10,  \mu = 1000$	20.464822 s
fast $l_1$ -IRLSLS	$\lambda = 10, q = 17$	2.042416 s

TABLE IX SUPER-RESOLUTION PERFORMANCE COMPARISON OF 2-D SIMULATION

Algorithm	MSE	BSR
TSVD	0.2115	5.80
IAA	0.0829	9.67
$l_1$ -IRN	1.5978e-04	11.19
fast $l_1$ -IRLSLS	1.6754e-04	14.50

there are some shadows in the result. Fig. 9(e) and (f) is the result of the  $l_1$ -IRN method and the fast  $l_1$ -IRLSLS method, respectively. Intuitively, they have better imaging quality and are closer to the simulation scene. To quantitatively verify the super-resolution performance of the abovementioned methods, the profiles result of adjacent targets at distances of 9225 m is illustrated in Fig. 10. It is obvious that the fast  $l_1$ -IRLSLS method obtains narrower beam results, which can prove that it has a better super-resolution performance than other three methods. From Table IX, it is noted that the proposed fast  $l_1$ -IRLSLS method has the largest BSR and the second-lowest MSE value.



Fig. 10. Profiles result of adjacent targets at distances of 9225 m.

For the echo with dimensions of  $598 \times 167$ , the computational efficiency of the proposed algorithm is about 10 times of  $l_1$ -IRN, and 8 times of the IAA method seen from Table VIII.

# C. Experimental Data

In Sections IV-A and IV-B, we have verified the proposed  $l_1$ -IRLSLS can achieve super-resolution imaging for 1-D point target and 2-D area scene, in this section, we will verify the performance of it on experimental data.

The experiment is undertaken at Ginkgo Avenue, University of Electronic Science and technology of China, Chengdu, China. The optical scene of Ginkgo Avenue is shown in the Fig 11(a), there are some ginkgo trees on both sides of the road. The experimental data are collected by an X-band radar. The X-band



Fig. 11. Experiment taken on a stationary platform. (a) Experimental optical scene. (b) X-band radar. (c) Imaging geometry (side view). (d) Imaging geometry (vertical view).

TABLE X Algorithm Parameters and CT of Experiment

Algorithm	Parameters	СТ
TSVD	k = 30	4.64577 s
IAA	$\lambda = 10, a = 1 \times 10^6$	51.824546 s
$l_1$ -IRN	$\lambda=10,\mu=10000$	84.588174 s
fast $l_1$ -IRLSLS	$\lambda = 10, q = 30$	12.123491 s

radar was fixed on a stationary platform shown in Fig 11(b). The radar sweeps the forward-looking region with the scanning speed of  $72^{\circ}$ /s and at the PRF = 200 Hz from  $-45^{\circ}$  to  $45^{\circ}$ . The antenna pattern for the radar system with the main-lobe width 5.1°, and working distance of radar is 45 m. The imaging mode is shown in Fig. 11(c) and (d).

Similarly, we give the parameters and CT of various algorithms in Table X. The range impulse compression is applied to the recorded data. The experimental result is shown in Fig. 12. Fig. 12(a) is the real beam result after impulse compression in range, many trees which are in the same range cell suffer low azimuth resolution and their echoes are overlapped. Fig. 12(b) is the result of TSVD. It only has limited resolution improvement, and many false sidelobe targets appear in the result. Fig. 12(c) is the result of IAA. The targets in the two red rectangles are distinguished, but they are not clear. In contrast, the  $l_1$ -IRN method and the fast  $l_1$ -IRLSLS method greatly improve azimuth resolution, and the targets in the two red rectangles are distinct.

Visually, the  $l_1$ -IRN method and the fast  $l_1$ -IRLSLS method are almost same. It means that the super-resolution performance does not decrease by our fast  $l_1$ -IRLSLS method, but the CT has considerably decreased from 84.588 174 s to 12.123 491 s.

Also in order to intuitively reflect the super-resolution performance of the various algorithms, the profiles of the targets in the two red rectangles are listed in Figs. 13 and 14. From Figs. 13 and 14, we can see TSVD method and IAA both can sharpen real beam to a certain degree, but the  $l_1$ -IRN method and the fast  $l_1$ -IRLSLS method obtain narrower beam. Hence, the experimental data processing results also prove the effectiveness of the proposed method.

## D. Comparison of Different Sparse Methods

In order to further verify the effectiveness of the fast sparse method in this article, the proposed fast IRLSLS method is compared with other sparse methods. Other sparse methods include MM method, SB algorithm, and IRN approach. The computational time (CT) of these methods with varying scanning scope from  $-5^{\circ} - 5^{\circ}$  to  $-160^{\circ} - 160^{\circ}$  will be investigated. Scanning speed is 30 °/s, plus repetition frequency (PRF) is 2000 Hz. Correspondingly, the number of azimuth sampling points *n* varies from 667 to 4000. From Fig. 15, we can see that the CT of MM and IRN rises rapidly with the increase of azimuth sampling points, and the CT of SB grows relatively slowly. However, the CT of the proposed IRLSLS method has hardly grown, the CT savings provided by IRLSLS become more obvious with the increase of azimuth sampling points.



Fig. 12. Experimental data result. (a) Real beam echo after impulse compression. (b) TSVD method. (c) IAA method. (d)  $l_1$ -IRN method. (e) Proposed fast  $l_1$ -IRLSLS method.



Fig. 13. Profiles of the targets in the above red rectangle.



Fig. 14. Profiles of the targets in the below red rectangle.



Fig. 15. Computation time of different sparse methods.

## V. CONCLUSION

In this article, a fast sparse azimuth super-resolution method based on LS was proposed. Introducing the sparse constraint in the REGU framework, we transformed the super-resolution problem into an  $L_1$  norm minimization problem. Exploiting the LS theory, this article constructed an embedded matrix. Then, echo matrix and antenna measurement matrix are compressed into much smaller matrices via multiplying them by this embedded matrix. Finally, the IRLS method is used to solve the reconstructed objective function, and the obtained solution is the result of super-resolution imaging. Much of the expensive computation can then be performed on the smaller matrices, thereby we reduced computational complexity. In order to verify the effectiveness of the proposed algorithm, this article conducted 1-D point target simulation, 2-D area simulation, and experimental data. From the results of simulations and experimental data, the proposed method is almost the same in the super-resolution imaging performance as the  $l_1$ -IRN method, better than TSVD method and IAA method. However, compared with the  $l_1$ -IRN method, the proposed method effectively reduces the computational complexity and improves the real-time capability. In addition, this article also provides a comparison of the CT between the proposed fast sparse method and other sparse methods, which is shown in Fig. 15.

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