

Effects of Anisotropic Turbulent Ocean on Propagation of Asymmetric Bessel-Gaussian Beam

Lin Yu , Zhecheng Zhang , Yixin Zhang , and Lifa Hu 

Abstract—Aiming at the propagation of asymmetric vortex beam, we investigate the spreading and wander characteristics of asymmetric Bessel-Gaussian beam in oceanic turbulence. Based on the cross-spectral density and Wigner distribution function of beam field, the theoretical expressions of spreading factor and beam center displacement are established to evaluate spreading and wander. The anisotropic turbulent condition is adopted to analyze turbulence impacts with more generality. The turbulence dominated by salinity fluctuation with lower anisotropy, larger temperature dissipation and smaller kinetic energy dissipation induces more beam spreading and wander. As for asymmetric Bessel-Gaussian beam, the increment of asymmetry can improve beam directionality. Besides, smaller beam scale but larger Gaussian waist radius, vortex order and beam wavelength also mitigate spreading and wander. The investigations are instructive for the underwater applications of asymmetric vortex beams.

Index Terms—Asymmetric Bessel-Gaussian beam, beam propagation, oceanic turbulence, optical vortex.

I. INTRODUCTION

THE propagation properties of various beams in turbulent media have been researched widely in recent years for applications of free space optical communication and optical imaging [1]. The random fluctuations of refractive index of turbulent media cause laser wavefront distortion and degrade beam propagation quality [2]. Among different negative effects induced by turbulent media, beam spreading and wander affect beam size and center position at the receiver [3], [4]. The spreading of beam spot and the displacement of beam center increase difficulties to beam receiving within a specific aperture and beam focusing in detection using fiber coupling. Investigations on the characteristics of beam spreading and wander in turbulent media are helpful to the optimal design of optical systems.

In previous reports, Cui et al. analyzed the spreading and wander of Gaussian wave in atmospheric turbulence, and discussed the effects of turbulence scale and spectral power law value [5]. Taking laser array into consideration, Zhang et al. investigated the propagation factor and wander of electromagnetic

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Gaussian Schell-model array beams in turbulent atmosphere. The polarization and coherence of beams were considered [6]. Aiming at the partially coherent Airy beam, Jin et al. investigated the relative beam wander to beam width in isotropic oceanic turbulence [7]. Ata et al. analyzed the effects of the average temperature and average salinity concentration on the spreading and wander of Gaussian beam based on a new oceanic turbulence optical power spectrum [8].

Later, with the development of multiplexing technology based on optical orbital angular momentum, vortex beams became a research focus [9]. Zhu et al. derived the theoretical expression of long-term beam width for Bessel-Gaussian beam in turbulent atmosphere, and simulated the evolution of propagation factor [10]. Luo et al. investigated the single collimated vortex beam and radial vortex beam array. The key factors affecting intensity distribution and beam spreading were discussed [11]. Singh et al. analyzed the wander of ring Pearcey vortex beam in turbulent atmosphere and found that it had smaller wander than ring Airy vortex beam [12]. Falits et al. investigated the wander of optical vortex through artificial convective turbulence experimentally and analyzed the effect of topological charge [13]. Yang et al. extended the research from traditional Laguerre-Gaussian beam to perfect Laguerre-Gaussian beam, and analyzed beam spreading and wander in isotropic turbulent absorbent seawater [14].

Based on the explorations on conventional circular symmetric vortex beams, asymmetric vortex beams were generated gradually [15], [16]. Asymmetric Bessel-Gaussian beam is one typical asymmetric vortex beam, which is constructed by introducing an asymmetric factor into the linear superposition of Bessel-Gaussian beams [15]. The transverse field distribution and orbital angular momentum carried by asymmetric Bessel-Gaussian beam can be adjusted by the asymmetric factor, which gives more flexibility for beam optimization in different applications. In terms of asymmetric Bessel-Gaussian beam, Dai et al. reported its experimental generation using second harmonic conversion and obtained orbital angular momentum of both integer and fractional orders [17]. Wu et al. derived the analytical expression of optical field amplitude in nonparaxial case and simulated the variation of axial intensity with propagation distance [18]. Yu et al. analyzed vortex modal crosstalk and calculated signal detection probability and channel capacity [19]. However, as important factors affecting propagation quality, the spreading and wander of asymmetric Bessel vortex in turbulence haven't been reported yet. Different from the previous researches on symmetric vortex beams, the spreading and wander in condition of asymmetric vortex field are worthy to be investigated.

Considering the more generalized oceanic turbulent condition with anisotropy is also useful for the development of beam propagation underwater.

In this paper, we focus on the spreading and wander of asymmetric Bessel-Gaussian beam in the propagation medium of anisotropic turbulent ocean. The cross-spectral density perturbed by oceanic turbulence is derived to obtain the Wigner distribution function of beam field. Based on the moments of Wigner distribution function in spatial domain, the theoretical expressions of beam width and center displacement are established to evaluate beam spreading and wander. Lastly, numerical simulations are conducted to analyze the turbulence effects. The way for beam parameter optimization is also discussed to improve propagation performance.

II. CROSS-SPECTRAL DENSITY OF ASYMMETRIC BESSEL-GAUSSIAN BEAM

As the solution of Helmholtz equation in free space, asymmetric Bessel-Gaussian beam is essentially the linear superposition of conventional Bessel-Gaussian beams. The complex amplitude of asymmetric Bessel-Gaussian beam at the source plane is described as [15]

$$E(\mathbf{r}_0, 0) = \sum_{p=0}^{\infty} \frac{c^p}{p!} J_{m+p}(\alpha k r_0) \exp\left(-\frac{r_0^2}{w_0^2}\right) \times \exp[i(m+p)\varphi_0], \quad (1)$$

where $\mathbf{r}_0 = (r_0 \cos \varphi_0, r_0 \sin \varphi_0)$ is the position vector determined by radius r_0 and angle φ_0 , $k = 2\pi n_{oc}/\lambda$ is beam wavenumber in ocean, n_{oc} and λ correspond to the oceanic refractive index and beam wavelength in vacuum respectively, α is beam scale factor given by the sine of Bessel cone angle, m is beam order, c is the asymmetry factor, w_0 is the waist radius of Gaussian envelope, $J_{m+p}(\cdot)$ is the $(m+p)$ th order Bessel function of the first kind and p is an integral.

Fig. 1 shows the transverse field distribution of asymmetric Bessel-Gaussian beam at the source plane under different beam parameters. When the modulus of complex number c increases, the transverse beam field deviates from circular symmetry gradually and asymmetry enhances. The argument of c determines the orientation of peak amplitude by giving the rotation angle of peak position relative to x axis in clockwise direction. For the special case of $c = 0$, the asymmetry field degenerates into the conventional Bessel-Gaussian form. Besides of c , m and α are also important factors affecting beam field distribution. With the increment of m and decrement of α , the central bright ring spreads. As a result, the initial beam width at the source plane becomes larger although w_0 keeps invariant.

To obtain the cross-spectral density of asymmetric Bessel-Gaussian beam, we rewrite the term of Bessel function in (1) for the convenience of subsequent derivations. By use of the following integral formula related to Bessel function [20]:

$$\int_0^{2\pi} \exp[in\theta + i\tau \cos(\theta - \varphi)] d\theta = 2\pi i^n J_n(\tau) \exp(in\varphi) [n \in N], \quad (2)$$

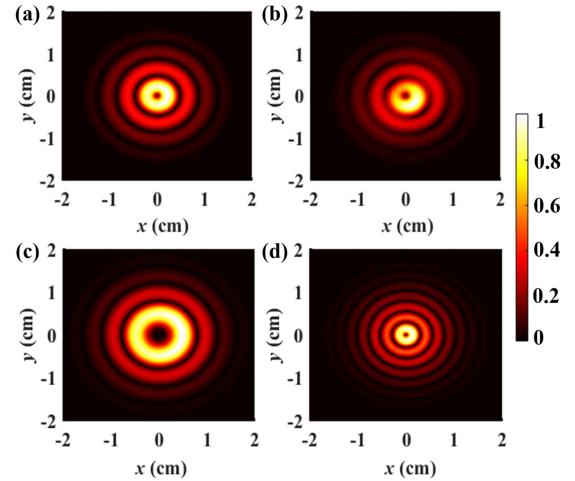


Fig. 1. Transverse field of asymmetric Bessel-Gaussian beam. Compared with the beam parameters $c = 0.1$, $m = 1$, $\alpha = 0.00005$, $n_{oc} = 1.33$, $\lambda = 520$ nm and $w_0 = 0.01$ m in (a), the different beam parameters in (b), (c) and (d) are respectively $c = 0.5 \exp(i\pi/4)$, $m = 3$ and $\alpha = 0.00008$.

the cross-spectral density at the source place is expressed as

$$\begin{aligned} W(\mathbf{r}_{01}, \mathbf{r}_{02}, 0) &= E(\mathbf{r}_{01}, 0) E^*(\mathbf{r}_{02}, 0) \\ &= \frac{1}{4\pi^2} \sum_{p=0}^{\infty} \sum_{p'=0}^{\infty} \frac{c^p (c^*)^{p'}}{p! p'!} \exp\left[-\frac{r_{01}^2 + r_{02}^2}{w_0^2}\right] \\ &\quad \times \int_0^{2\pi} \int_0^{2\pi} \exp[i(m+p)\theta - i(m+p')\theta'] \\ &\quad \times \exp\{i\alpha k [r_{01} \cos(\theta - \varphi_{01}) - r_{02} \cos(\theta' - \varphi_{02})]\} d\theta d\theta'. \end{aligned} \quad (3)$$

Referring to the usual ways of variable substitution in multiple integral, two vectors $\mathbf{r}_{0s} = (\mathbf{r}_{01} + \mathbf{r}_{02})/2$ and $\mathbf{r}_{0d} = \mathbf{r}_{01} - \mathbf{r}_{02}$ are defined to substitute \mathbf{r}_{01} and \mathbf{r}_{02} . So (3) is rewritten as

$$\begin{aligned} W(\mathbf{r}_{0s}, \mathbf{r}_{0d}, 0) &= \frac{1}{4\pi^2} \sum_{p=0}^{\infty} \sum_{p'=0}^{\infty} \frac{c^p (c^*)^{p'}}{p! p'!} \int_0^{2\pi} \int_0^{2\pi} W_{\theta\theta'}(\mathbf{r}_{0s}, \mathbf{r}_{0d}, 0) \\ &\quad \times \exp[i(m+p)\theta - i(m+p')\theta'] d\theta d\theta', \end{aligned} \quad (4)$$

$$\begin{aligned} W_{\theta\theta'}(\mathbf{r}_{0s}, \mathbf{r}_{0d}, 0) &= \exp\left[-2\frac{r_{0s}^2}{w_0^2} - \frac{1}{2}\frac{r_{0d}^2}{w_0^2} + i\left(\mathbf{r}_{0s} \cdot \mathbf{k}_- + \frac{1}{2}\mathbf{r}_{0d} \cdot \mathbf{k}_+\right)\right], \end{aligned} \quad (5)$$

where the vector terms \mathbf{k}_+ and \mathbf{k}_- have forms of $\mathbf{k}_\pm = \alpha k(\cos \theta \pm \cos \theta', \sin \theta \pm \sin \theta')$.

Then based on the extended Huygens-Fresnel principle, the cross-spectral density at the receiving plane is expressed as

$$\begin{aligned} W(\mathbf{r}_s, \mathbf{r}_d, z) &= \frac{1}{4\pi^2} \sum_{p=0}^{\infty} \sum_{p'=0}^{\infty} \frac{c^p (c^*)^{p'}}{p! p'!} \int_0^{2\pi} \int_0^{2\pi} W_{\theta\theta'}(\mathbf{r}_s, \mathbf{r}_d, z) \end{aligned}$$

$$\begin{aligned} & \times \exp[i(m+p)\theta - i(m+p')\theta'] d\theta d\theta', \\ W_{\theta\theta'}(\mathbf{r}_s, \mathbf{r}_d, z) & = \left(\frac{k}{2\pi z}\right)^2 \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} d^2 \mathbf{r}_{0s} d^2 \mathbf{r}_{0d} W_{\theta\theta'}(\mathbf{r}_{0s}, \mathbf{r}_{0d}, 0) \\ & \times \exp\left[\frac{ik}{z} (\mathbf{r}_s - \mathbf{r}_{0s}) \cdot (\mathbf{r}_d - \mathbf{r}_{0d})\right] \exp[\psi(\mathbf{r}_d, \mathbf{r}_{0d}, z)], \end{aligned} \quad (7)$$

where the variables $\mathbf{r}_s = (\mathbf{r}_1 + \mathbf{r}_2)/2$ and $\mathbf{r}_d = \mathbf{r}_1 - \mathbf{r}_2$ have similar relationships with the position vectors \mathbf{r}_1 and \mathbf{r}_2 as those at the source plane, z is the propagation distance, the exponential term $\exp[\psi(\mathbf{r}_d, \mathbf{r}_{0d}, z)]$ introduces turbulence effect into beam propagation.

By substituting (5) into (7), the further result of $W_{\theta\theta'}(\mathbf{r}_s, \mathbf{r}_d, z)$ can be obtained. With the introduction of an intermediate variable κ_d and help of the following integral formulae [20]:

$$\begin{aligned} \delta(\mathbf{r}'_{0s} - \mathbf{r}_{0s}) &= \frac{1}{(2\pi)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \exp[i\kappa_d \cdot (\mathbf{r}'_{0s} - \mathbf{r}_{0s})] d^2 \kappa_d \\ \int_{-\infty}^{\infty} \exp(-a^2 x^2 + bx) dx &= \frac{\sqrt{\pi}}{a} \exp\left(\frac{b^2}{4a^2}\right) (a > 0), \end{aligned} \quad (8)$$

the simplified expression of $W_{\theta\theta'}(\mathbf{r}_s, \mathbf{r}_d, z)$ is derived after some integral operations similar to the derivations in [10], [21]. The result is given by

$$\begin{aligned} W_{\theta\theta'}(\mathbf{r}_s, \mathbf{r}_d, z) &= \frac{w_0^2}{8\pi} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \exp\left[-\frac{w_0^2}{8} \mathbf{k}_-^2 - \frac{1}{2} \frac{\mathbf{r}_d^2}{w_0^2} + \frac{i}{2} \mathbf{k}_+ \cdot \mathbf{r}_d\right. \\ &+ \left(\frac{iz}{2k} \mathbf{k}_+ - \frac{z}{k w_0^2} \mathbf{r}_d - \frac{w_0^2}{4} \mathbf{k}_- - i \mathbf{r}_s\right) \cdot \kappa_d \\ &- \left.\left(\frac{w_0^2}{8} + \frac{z^2}{2k^2 w_0^2}\right) \kappa_d^2 + \psi\left(\mathbf{r}_d, \mathbf{r}_d + \frac{z}{k} \kappa_d, z\right)\right] d^2 \kappa_d. \end{aligned} \quad (9)$$

According to Rytov approximation, the turbulence effect on beam field can be regarded as complex phase perturbation, which is related to turbulence features, propagation distance and beam wavenumber. The form is [2]

$$\begin{aligned} \psi\left(\mathbf{r}_d, \mathbf{r}_d + \frac{z}{k} \kappa_d, z\right) &= -4\pi^2 k^2 z \int_0^1 d\xi \int_0^\infty \Phi(\kappa) \kappa \\ &\times \left[1 - J_0\left(\kappa \left| (1-\xi) \mathbf{r}_d + \xi (\mathbf{r}_d + z \kappa_d/k)\right|\right)\right] d\kappa, \end{aligned} \quad (10)$$

where κ is the spatial frequency, $\Phi(\kappa)$ reflects turbulence features and gives the power spectrum of refractive index fluctuations. In the paraxial case with the truncation of $J_0(x) =$

$1 - x^2/4$, (10) is simplified as

$$\psi\left(\mathbf{r}_d, \mathbf{r}_d + \frac{z}{k} \kappa_d, z\right) = -\frac{3}{\rho_c^2} \int_0^1 \left|\mathbf{r}_d + \frac{z}{k} \xi \kappa_d\right|^2 d\xi, \quad (11)$$

$$\rho_c = \left[\frac{1}{3} \pi^2 k^2 z \int_0^\infty \kappa^3 \Phi(\kappa) d\kappa\right]^{-1/2}, \quad (12)$$

where ρ_c is the spatial coherence radius of beam in turbulent medium. As for anisotropic turbulence ocean, $\Phi(\kappa)$ can be described by Nikishov's spectrum as [22]

$$\begin{aligned} \Phi(\kappa) &= 0.388 \times 10^{-8} \chi_T \varepsilon^{-1/3} \zeta^2 \kappa_\zeta^{-11/3} \\ &\times [1 + 2.35(\kappa_\zeta \eta)^{2/3}] f(\kappa_\zeta) \\ f(\kappa_\zeta) &= \exp[-A_T f'(\kappa_\zeta)] - 2\varpi^{-1} \exp[-A_{TS} f'(\kappa_\zeta)] \\ &+ \varpi^{-2} \exp[-A_S f'(\kappa_\zeta)] \\ f'(\kappa_\zeta) &= 8.284(\kappa_\zeta \eta)^{4/3} + 12.978(\kappa_\zeta \eta)^2, \end{aligned} \quad (13)$$

where χ_T and ε are the dissipation rates of temperature and kinetic energy respectively, ϖ is the temperature-salinity contribution ratio to turbulence, η is the inner scale of turbulence eddies and ζ is the anisotropic factor. Since the axial spatial frequency κ_z can be neglected according to the Markov approximation of random medium, the relationship between κ_ζ and κ is described by $\kappa = \sqrt{\kappa_\rho^2 + \kappa_z^2} \approx \kappa_\rho$ and $\kappa_\zeta = \sqrt{\zeta^2 \kappa_\rho^2 + \kappa_z^2} \approx \zeta \kappa$. A_T , A_S and A_{TS} are three constants related to temperature effect, salinity effect and temperature-salinity co-effect of turbulence respectively, whose values are $A_T = 1.863 \times 10^{-2}$, $A_S = 1.9 \times 10^{-4}$ and $A_{TS} = 9.41 \times 10^{-3}$.

By substituting (13) into (12) and making the integral calculation, the analytical expression of ρ_c has the form of [23]

$$\rho_c = \zeta \left| \varpi \right| \left[1.802 \times 10^{-7} k^2 z (\varepsilon \eta)^{-1/3} \chi_T \right. \\ \left. \times (0.483 \varpi^2 - 0.835 \varpi + 3.380) \right]^{-1/2}. \quad (14)$$

III. SPREADING AND WANDER OF ASYMMETRIC BESSEL-GAUSSIAN BEAM

When asymmetric Bessel-Gaussian beam propagates in turbulent ocean, beam spreads due to factors of diffraction and turbulence. The beam width at the receiver can be given by the moments of Wigner distribution function. Based on the beam cross-spectral density, the expression of Wigner distribution function $h(\mathbf{r}_s, \boldsymbol{\vartheta}, z)$ is written as [21], [24]

$$h(\mathbf{r}_s, \boldsymbol{\vartheta}, z) = \frac{k^2}{4\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} W(\mathbf{r}_s, \mathbf{r}_d, z) \exp(-ik \mathbf{r}_d \cdot \boldsymbol{\vartheta}) d^2 \mathbf{r}_d, \quad (15)$$

where the vector $\boldsymbol{\vartheta}$ denotes the direction of transverse wave vector at the receiving plane. Then the second-order moment $\langle x^2 \rangle + \langle y^2 \rangle$ of Wigner distribution function in spatial domain

can be further calculated as

$$\begin{aligned} & \langle x^2 \rangle + \langle y^2 \rangle \\ &= \frac{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} (x^2 + y^2) h(\mathbf{r}_s, \vartheta, z) d^2 \mathbf{r}_s d^2 \vartheta}{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} h(\mathbf{r}_s, \vartheta, z) d^2 \mathbf{r}_s d^2 \vartheta}. \end{aligned} \quad (16)$$

During the substitution of (6) and (15) into (16), if we define two variables $H_{nn'}$ and $S_{nn'}$ as follows:

$$\begin{aligned} H_{nn'} &= \frac{k^2}{4\pi^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} x^n y^{n'} \\ &\times W_{\theta\theta'}(\mathbf{r}_s, \mathbf{r}_d, z) \exp(-ik \mathbf{r}_d \cdot \vartheta) d^2 \mathbf{r}_d d^2 \mathbf{r}_s d^2 \vartheta, \end{aligned} \quad (17)$$

$$\begin{aligned} S_{nn'} &= \frac{1}{4\pi^2} \sum_{p=0}^{\infty} \sum_{p'=0}^{\infty} \frac{c^p (c^*)^{p'}}{p! p'!} \int_0^{2\pi} \int_0^{2\pi} H_{nn'} \\ &\times \exp[i(m+p)\theta - i(m+p')\theta'] d\theta d\theta', \end{aligned} \quad (18)$$

the expression of $\langle x^2 \rangle + \langle y^2 \rangle$ is simplified. Then the long-term beam width w_l at the receiver, which can be described as the root-mean-square of the term $\langle x^2 \rangle + \langle y^2 \rangle$, is written as

$$w_l(z) = \sqrt{\langle x^2 \rangle + \langle y^2 \rangle} = \sqrt{(S_{20} + S_{02})/S_{00}}. \quad (19)$$

After substituting (9) and (11) into (17), we conduct the integral calculations with the help of the following integral formula [20]:

$$\delta^{(n)}(x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} (-it)^n \exp(-ixt) dt (n = 0, 1, 2), \quad (20)$$

where $\delta^{(n)}(x)$ is the derivative of Dirac delta function. The result is given by

$$\begin{aligned} H_{00} &= \frac{1}{2}\pi w_0^2 \exp\left(-\frac{w_0^2 k_-^2}{8}\right) \\ H_{20} + H_{02} &= \left(\frac{w_0^2}{2} + \frac{2z^2}{k^2 w_0^2} + \frac{4z^2}{k^2 \rho_c^2} + \frac{z^2 k_+^2}{4k^2} - \frac{w_0^4 k_-^2}{16}\right) \\ &\times \frac{1}{2}\pi w_0^2 \exp\left(-\frac{w_0^2 k_-^2}{8}\right). \end{aligned} \quad (21)$$

Then substituting (18) and (21) into (19) (see Appendix), the analytical expression of long-term beam width w_l is obtained as

$$\begin{aligned} w_l(z) &= \left[\frac{w_0^2}{2} - \frac{w_0^4}{8} \alpha^2 k^2 + \left(\frac{\alpha^2}{2} + \frac{2}{k^2 w_0^2} + \frac{4}{k^2 \rho_c^2} \right) z^2 \right. \\ &\quad \left. + \left(\frac{\alpha^2 z^2}{4} + \frac{\alpha^2 k^2 w_0^4}{16} \right) \frac{F_{m+1} + F_{m-1}}{F_m} \right]^{-1/2} \\ F_m &= \sum_{p=0}^{\infty} \frac{|c|^{2p}}{p!^2} I_{m+p} \left(\frac{\alpha^2 k^2 w_0^2}{4} \right), \end{aligned} \quad (22)$$

where $I_{m+p}(\cdot)$ is the $(m+p)$ th order modified Bessel function of the first kind.

Considering that the initial beam width at the source plane ($z = 0$) is affected by beam parameters, we introduce the spreading factor defined as

$$w_r(z) = w_l(z)/w_l(0). \quad (23)$$

w_r gives the relative value of beam width at the receiver and transmitter, which can be used to describe the spreading of asymmetric Bessel-Gaussian beam in turbulent ocean.

Besides of beam spreading, the instantaneous center of vortex beam experiences random displacement due to the effects of turbulence. The root-mean-square displacement r_c is usually used to evaluate beam wander. Based on the theoretical expression of long-term beam width, r_c can be calculated as [2]

$$r_c = \left[8\pi^2 z^2 \int_0^z \left(1 - \frac{\sigma}{z}\right)^2 R(\sigma) d\sigma \right]^{-1/2}, \quad (24)$$

$$R(\sigma) = \int_0^{\infty} \kappa^3 \Phi(\kappa) \exp[-\kappa^2 w_l^2(\sigma)] d\kappa. \quad (25)$$

By substituting (13) into (25) and making the integral operation (see Appendix), the analytical expression of $R(\sigma)$ is written as

$$R(\sigma) = 10^{-8} \chi_T \varepsilon^{-1/3} \zeta^{-2} (A_1 - 2A_2 \varpi^{-1} + A_3 \varpi^{-2}), \quad (26)$$

$$\begin{aligned} A_i &= \eta^{2/3} (0.808 V_i^{-1/2} - 0.423 U_i V_i^{-7/6} \\ &\quad + 0.2144 U_i^2 V_i^{-11/6}) + 1.08 V_i^{-1/6} - 0.219 U_i V_i^{-5/6} \\ &\quad + 0.086 U_i^2 V_i^{-3/2} (i=1, 2, 3), \\ U_1 &= 0.1543 \eta^{4/3}, U_2 = 0.078 \eta^{4/3}, \\ U_3 &= 0.00157 \eta^{4/3}, V_1 = 0.242 \eta^2 + \zeta^{-2} w_l^2(\sigma), \\ V_2 &= 0.122 \eta^2 + \zeta^{-2} w_l^2(\sigma), V_3 = 0.00247 \eta^2 + \zeta^{-2} w_l^2(\sigma). \end{aligned} \quad (27)$$

Then substituting (26)–(28) and (22) into (24), r_c can be calculated numerically to investigate the wander of asymmetric Bessel-Gaussian beam.

IV. RESULTS AND DISCUSSIONS

In this section, the oceanic turbulence effects on the spreading and wander of asymmetric Bessel-Gaussian beam are analyzed first. Then aiming at the beam itself, the variations of spreading factor and center displacement with different beam parameters are researched, based on which the ways to mitigate beam spreading and wander in turbulent ocean are discussed. In the following numerical simulations, some typical values of beam and turbulence parameters are adopted [15], [25], [26]. They are $\chi_T = 10^{-8} \text{ K}^2/\text{s}$, $\varepsilon = 10^{-6} \text{ m}^2/\text{s}^3$, $\eta = 1 \text{ mm}$, $\varpi = -2$, $\zeta = 2$, $n_{oc} = 1.33$, $z = 100 \text{ m}$, $\alpha = 0.00005$, $m = 1$, $c = 0.5$, $w_0 = 0.01 \text{ m}$ and $\lambda = 520 \text{ nm}$ if not specified.

Fig. 2 shows the spreading factor w_r and center displacement r_c of asymmetric Bessel-Gaussian beam under different temperature dissipation rates χ_T and kinetic energy dissipation rates ε of oceanic turbulence. The ranges of χ_T and ε are selected to make the turbulence conditions cover from weak turbulence to strong turbulence by use of Rytov variance to distinguish

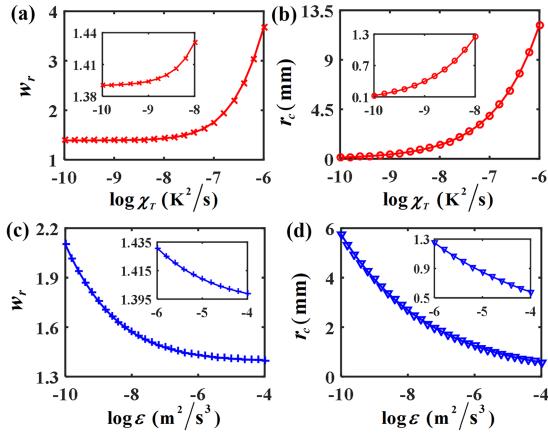


Fig. 2. Influences of temperature dissipation rate χ_T and kinetic energy dissipation rate ε on the (a), (c) spreading and (b), (d) wander of asymmetric Bessel-Gaussian beam.

turbulence strength [27]. The results reveal that w_r and r_c become larger when χ_T increases or ε decreases. The influences of χ_T on beam spreading and wander are more significant than those of ε . Similar to the concept of structure constant in atmospheric turbulence, the term $C_n^2 = 10^{-8} \chi_T \varepsilon^{-1/3}$ in the power spectrum of oceanic turbulence can be regarded as the equivalent [4]. Since C_n^2 reflects the temperature induced refractive index fluctuations, the increment of χ_T or decrement of ε in fact causes the enhancement of turbulence. As a result, the asymmetric Bessel-Gaussian beam suffers more perturbations during propagation and has larger spreading and wander. Moreover, χ_T and ε vary with the depth of ocean, whose values at deeper location are usually smaller than those at shallower location [28]. From the view of mitigating beam spreading and wander, constructing the beam transmitting and receiving link in deeper water is preferable.

To consider the effects of temperature and kinetic energy dissipation rates simultaneously, Fig. 3 investigates the spreading factor w_r and center displacement r_c under different structure constants C_n^2 of oceanic turbulence. With the increment of C_n^2 , both w_r and r_c increase because turbulence enhances. If two turbulent conditions have different χ_T and ε but the same C_n^2 , the spreading and wander of asymmetric Bessel-Gaussian beam propagating in them are at the same level. Apart from temperature fluctuation, oceanic turbulence also contains salinity fluctuation. The temperature-salinity contribution ratio ϖ makes influences on w_r and r_c . When ϖ varies towards its lower limit of -5, the temperature fluctuation contributes more to oceanic turbulence [22]. On the contrary, the salinity fluctuation contributes more when ϖ varies towards its upper limit of 0. Although w_r and r_c increase with ϖ monotonously, their increments are much faster in turbulence region where salinity fluctuation is dominant distinctly. This is because the further increment of ϖ larger than -1 causes rapid enhancement of turbulence strength due to large Rytov variance [27]. As a result, the oceanic turbulence dominated by salinity fluctuation brings more perturbations on asymmetric Bessel-Gaussian beam and induces larger spreading and wander.

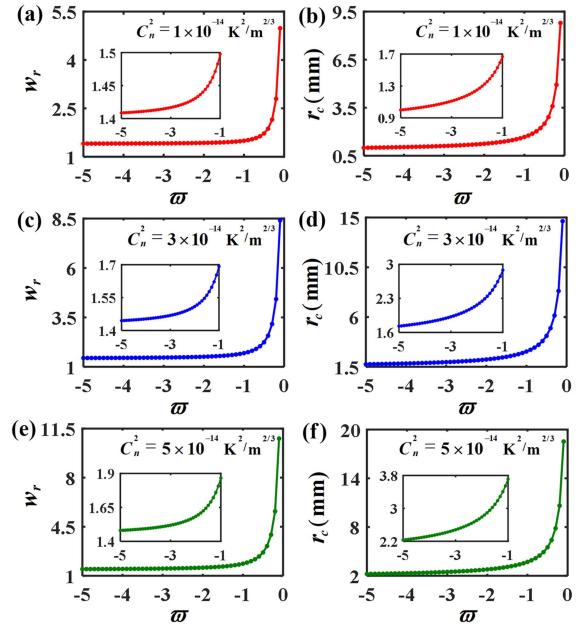


Fig. 3. Influences of structure constant C_n^2 and temperature-salinity contribution ratio ϖ on the (a), (c), (e) spreading and (b), (d), (f) wander of asymmetric Bessel-Gaussian beam.

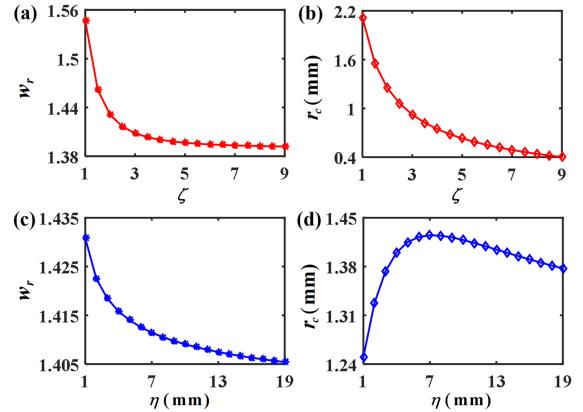


Fig. 4. Influences of anisotropic factor ζ and inner scale η of turbulence on the (a), (c) spreading and (b), (d) wander of asymmetric Bessel-Gaussian beam.

The variations of beam spreading and wander with the anisotropic factor ζ and inner scale η of turbulence eddies are given in Fig. 4. Different from the isotropic case corresponding to $\zeta = 1$, the anisotropic turbulence eddies with $\zeta > 1$ work as focusing lenses to beam propagation [29]. A larger ζ means stronger anisotropy and higher curvature of these equivalent lenses. With the increment of ζ , the focusing effect makes asymmetric Bessel-Gaussian beam less deviated from the propagation direction. Thus w_r and r_c become smaller especially compared with the isotropic case. In terms of the effects of η , w_r varies with η monotonously while r_c has a maximum. When η increases, the turbulence eddies in the inertial range reduce and turbulence perturbation on beam propagation weakens. So w_r and r_c are relatively small in turbulence with large η . Contrary to the negative effects of turbulence, the aperture smoothing effect

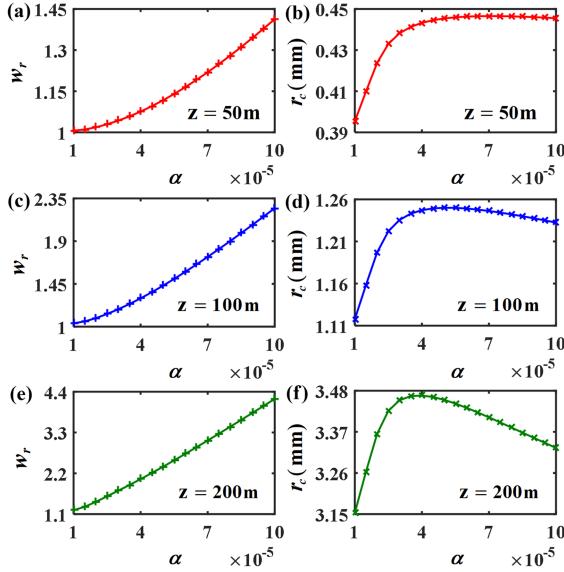


Fig. 5. Influences of beam scale factor α and propagation distance z on the (a), (c), (e) spreading and (b), (d), (f) wander of asymmetric Bessel-Gaussian beam.

brought by large beam width can mitigate beam wander at the receiver [30]. Since the turbulence perturbation and beam width are both larger when η reduces, r_c decreases if the spreading of beam width makes the aperture smoothing effect more dominant than turbulence effect.

Aiming at the impacts of beam parameters, the spreading factor w_r and center displacement r_c of asymmetric Bessel-Gaussian beam under different beam scale factors α are shown in Fig. 5. As for an arbitrary α , both w_r and r_c increase with the propagation distance z . The increments are significant due to the accumulative effects of beam diffraction and oceanic turbulence. According to the results shown in Fig. 1, the beam with larger α has smaller initial width at the source plane. The smaller transmitted beam has stronger diffraction during propagation. So beam spreading enhances correspondingly and w_r increases with α . On the other hand, the beam width at the receiver is determined by the initial width at the transmitter and spreading factor together. The initial width is larger at a smaller α while the spreading factor is larger at a larger α . So the received beam width may have a minimum when α is moderate. Since the aperture smoothing effect of wide beam can mitigate the turbulence induced beam wander, r_c shows a trend of rise-fall when α increases.

Fig. 6 gives the spreading and wander of asymmetric Bessel-Gaussian beam under different wavelengths λ and asymmetry factors c . Considering the absorption of seawater on beam intensity, blue and green lasers are generally used for underwater propagation due to the lower absorption loss [26]. In the wavelength region of blue and green lasers, longer wavelength corresponds to smaller spreading factor w_r and center displacement r_c . This can be attributed to the larger initial width and spatial coherence radius brought by longer wavelength. The beam with larger spatial coherence radius has better immunity to turbulence perturbation.

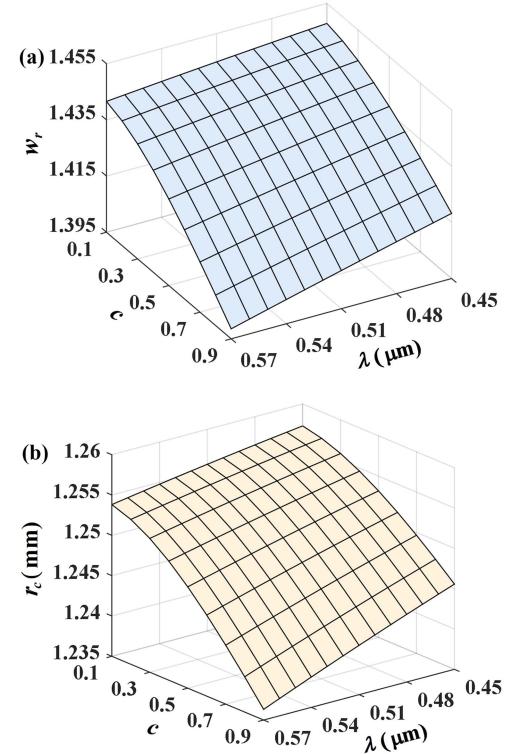


Fig. 6. Influences of wavelength λ and asymmetry factor c on the (a) spreading and (b) wander of asymmetric Bessel-Gaussian beam.

As a feature parameter of asymmetric Bessel-Gaussian beam, c affects w_r and r_c . In the condition of $c/k\alpha < w_0$, the intensity peak of asymmetric Bessel-Gaussian beam deviates more from optical axis with the increment of c [15]. Since beam width is related to intensity distribution, the beam with larger c has larger initial width and then smaller diffraction broadening. Besides, the enhancement of asymmetry brings nonuniform intensity distribution. Most of intensity focuses on a relatively small region and thus suffers less turbulence perturbation. So w_r decreases with the increment of c . In spite of the smaller spreading for beam with larger c , the beam width at the receiver is still larger due to the larger initial width. The wander of wider beam is weaker, so r_c becomes smaller when c increases. Apart from the reduction of spreading and wander in oceanic turbulence, the increment of c also makes the transverse beam field diverse compared with the circular symmetric field. These advantages make asymmetric Bessel-Gaussian beam potential for different underwater applications where better directionality is needed.

Fig. 7 gives the variations of spreading factor w_r and center displacement r_c with beam order m and the waist radius w_0 of Gaussian envelope. According to Fig. 1, the beam width at the source plane increases with m . When w_0 increases, the initial width of asymmetric Bessel-Gaussian beam also increases. Since the diffraction effect can be weakened by enlarging the transmitted beam width, beam spreading is mitigated effectively with the increment of m and w_0 to reduce w_r . As for beam wander, r_c monotonously decreases when m and w_0 increase. The results are related to the beam width at the receiver. Although the beam with larger m or w_0 has smaller spreading, its

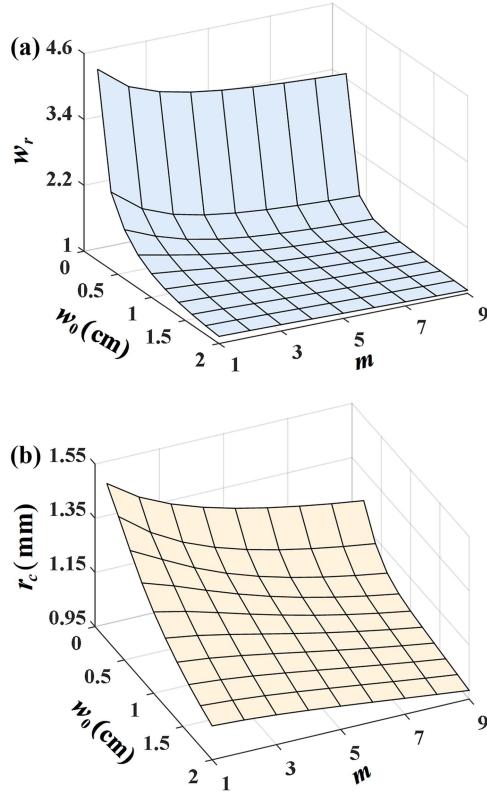


Fig. 7. Influences of beam order m and Gaussian waist radius w_0 on the (a) spreading and (b) wander of asymmetric Bessel-Gaussian beam.

larger initial width dominates and results in the larger received width. Due to the smoothing effect of wide beam to turbulence perturbation, beam wander is mitigated. So the selection of a high-order wide beam is helpful to control the spreading and has better directionality for asymmetric Bessel-Gaussian beam.

According to the numerical results, the spreading and wander of asymmetric Bessel-Gaussian beam can be mitigated by ways of beam optimization. The transmission of beam in deeper water with smaller temperature dissipation also suffers less turbulence perturbation. The better directionality helps beam receiving in underwater communications between two submarines or from submarine to surface ship. Besides, the asymmetric vortex beams are also potential for other applications such as turbulence probing and remote sensing [31], [32].

V. CONCLUSION

Aiming at the propagation of asymmetric Bessel-Gaussian beam in anisotropic oceanic turbulence, a theoretical model is constructed to investigate beam spreading and wander. By use of cross-spectral density and Wigner distribution function, the long-term beam width is derived to obtain the spreading factor and center displacement. Numerical simulations reveal that the beam suffers less spreading and wander in oceanic turbulence with higher anisotropy, smaller temperature dissipation rate, larger inner scale and kinetic energy dissipation rate. The salinity fluctuation is more negative than temperature fluctuation. From the view of beam optimization, the asymmetric Bessel-Gaussian

beam with larger Gaussian waist, smaller beam scale factor, longer wavelength and higher vortex order has less spreading and wander. Improving beam asymmetry is helpful to make the field distribution diverse and directivity better. The results provide references for the investigations of asymmetric vortex beams in turbulent media.

APPENDIX

To obtain the analytical expression of w_l in (22), (9) is rewritten as

$$\begin{aligned} & W_{\theta\theta'}(\mathbf{r}_s, \mathbf{r}_d, z) \\ &= \frac{w_0^2}{8\pi} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} Q_{\theta\theta'}(\mathbf{r}_d, \kappa_d, z) \exp(-i \mathbf{r}_s \cdot \kappa_d) d^2 \kappa_d, \end{aligned} \quad (\text{A1})$$

$$\begin{aligned} & Q_{\theta\theta'}(\mathbf{r}_d, \kappa_d, z) \\ &= \exp \left[\frac{i}{2} \mathbf{k}_+ \cdot \mathbf{r}_d + \left(\frac{iz}{2k} \mathbf{k}_+ - \frac{z \mathbf{r}_d}{k w_0^2} - \frac{w_0^2}{4} \mathbf{k}_- \right) \cdot \kappa_d \right. \\ & \quad - \left(\frac{w_0^2}{8} + \frac{z^2}{2k^2 w_0^2} \right) \kappa_d^2 - \frac{w_0^2}{8} \mathbf{k}_-^2 - \frac{1}{2} \frac{\mathbf{r}_d^2}{w_0^2} \\ & \quad \left. + \psi \left(\mathbf{r}_d, \mathbf{r}_d + \frac{z}{k} \kappa_d, z \right) \right]. \end{aligned} \quad (\text{A2})$$

By substituting (A1) into (17) and using the integral formula given in (20), we can get

$$\begin{aligned} H_{00} &= \frac{1}{2} \pi w_0^2 Q_{\theta\theta'}(0, 0, z) \\ H_{20} + H_{02} &= -\frac{1}{2} \pi w_0^2 \\ & \times \left[\frac{\partial^2 Q_{\theta\theta'}(0, \kappa_d, z)}{\partial \kappa_{dx}^2} \Big|_{\kappa_d=0} \right. \\ & \quad \left. + \frac{\partial^2 Q_{\theta\theta'}(0, \kappa_d, z)}{\partial \kappa_{dy}^2} \Big|_{\kappa_d=0} \right]. \end{aligned} \quad (\text{A3})$$

Conducting the differential operations in (A3) based on the expressions given in (A2) and (11), the result of (21) is obtained.

Then substituting (21) into (18) and conducting the integral operations by use of the following formulae [20]:

$$\begin{aligned} \int_0^{2\pi} \exp[in\theta + \tau \cos(\theta - \varphi)] d\theta &= 2\pi I_n(\tau) \exp(in\varphi) \\ \int_0^{2\pi} \exp(in\theta) d\theta &= 2\pi \delta(n) [n \in N], \end{aligned} \quad (\text{A4})$$

the result is written as

$$\begin{aligned} S_{00} &= \frac{1}{2} \pi w_0^2 \exp \left(-\frac{w_0^2}{4} \alpha^2 k^2 \right) \sum_{p=0}^{\infty} \frac{|c|^{2p}}{p!^2} I_{m+p} \left(\frac{\alpha^2 k^2 w_0^2}{4} \right) \\ S_{20} + S_{02} &= \left[\frac{w_0^2}{2} - \frac{w_0^4}{8} \alpha^2 k^2 + \left(\frac{\alpha^2}{2} + \frac{2}{k^2 w_0^2} + \frac{4}{k^2 \rho_c^2} \right) z^2 \right] \\ & \times S_{00} + \frac{1}{2} \pi w_0^2 \left(\frac{\alpha^2 z^2}{4} + \frac{\alpha^2 k^2 w_0^4}{16} \right) \exp \left(-\frac{w_0^2}{4} \alpha^2 k^2 \right) \\ & \times \sum_{p=0}^{\infty} \frac{|c|^{2p}}{p!^2} \left[I_{m+p+1} \left(\frac{\alpha^2 k^2 w_0^2}{4} \right) + I_{m+p-1} \left(\frac{\alpha^2 k^2 w_0^2}{4} \right) \right]. \end{aligned} \quad (\text{A5})$$

After substituting (A5) into (19), the expression of w_l is simplified as the form in (22).

To calculate the integration in (25), we extend (25) with the expression given by (13) as

$$\begin{aligned} R(\sigma) = & 0.388 \times 10^{-8} \chi_T \varepsilon^{-1/3} \zeta^{-2} \varpi^{-2} \\ & \times \int_0^\infty \kappa_\zeta^{-2/3} d\kappa_\zeta [\varpi^2 \exp(-U_1 \kappa_\zeta^{4/3} \\ & - V_1 \kappa_\zeta^2) - 2\varpi \exp(-U_2 \kappa_\zeta^{4/3} - V_2 \kappa_\zeta^2) \\ & + \exp(-U_3 \kappa_\zeta^{4/3} - V_3 \kappa_\zeta^2)] \\ & + 0.912 \times 10^{-8} \chi_T \varepsilon^{-1/3} \zeta^{-2} \eta^{2/3} \varpi^{-2} \\ & \int_0^\infty d\kappa_\zeta [\varpi^2 \exp(-U_1 \kappa_\zeta^{4/3} \\ & - V_1 \kappa_\zeta^2) - 2\varpi \exp(-U_2 \kappa_\zeta^{4/3} - V_2 \kappa_\zeta^2) \\ & + \exp(-U_3 \kappa_\zeta^{4/3} - V_3 \kappa_\zeta^2)], \end{aligned} \quad (\text{A6})$$

where the terms U_i and V_i ($i = 1, 2, 3$) are written as (28). The integral results can be obtained with the help of the following formulae [33], [34]:

$$\begin{aligned} & \int_0^\infty \kappa^{2n-8/3} \exp(-U \kappa^{4/3} - V \kappa^2) d\kappa = \frac{1}{4} V^{-1/2-n} \\ & \times [2V^{4/3} \Gamma(n - \frac{5}{6}) {}_2F_2\left(\frac{n}{2} - \frac{5}{12}, \frac{n}{2} + \frac{1}{12}; \frac{1}{3}, \frac{2}{3}; -\frac{4U^3}{27V^2}\right) \\ & - 2UV^{2/3} \Gamma(n - \frac{1}{6}) {}_2F_2\left(\frac{n}{2} - \frac{1}{12}, \frac{n}{2} + \frac{5}{12}; \frac{2}{3}, \frac{4}{3}; -\frac{4U^3}{27V^2}\right) \\ & + U^2 \Gamma(n + \frac{1}{2}) {}_2F_2\left(\frac{n}{2} + \frac{1}{4}, \frac{n}{2} + \frac{3}{4}; \frac{4}{3}, \frac{5}{3}; -\frac{4U^3}{27V^2}\right)] \end{aligned} \quad (\text{A7})$$

$$\begin{aligned} & \int_0^\infty \kappa^{2n-2} \exp(-U \kappa^{4/3} - V \kappa^2) d\kappa = \frac{1}{4} V^{-5/6-n} \\ & \times [2V^{4/3} \Gamma(n - \frac{1}{2}) {}_2F_2\left(\frac{n}{2} - \frac{1}{4}, \frac{n}{2} + \frac{1}{4}; \frac{1}{3}, \frac{2}{3}; -\frac{4U^3}{27V^2}\right) \\ & - 2UV^{2/3} \Gamma(n + \frac{1}{6}) {}_2F_2\left(\frac{n}{2} + \frac{1}{12}, \frac{n}{2} + \frac{7}{12}; \frac{2}{3}, \frac{4}{3}; -\frac{4U^3}{27V^2}\right) \\ & + U^2 \Gamma(n + \frac{5}{6}) {}_2F_2\left(\frac{n}{2} + \frac{5}{12}, \frac{n}{2} + \frac{11}{12}; \frac{4}{3}, \frac{5}{3}; -\frac{4U^3}{27V^2}\right)] \end{aligned} \quad (\text{A8})$$

where $\Gamma(\cdot)$ is the Gamma function, ${}_2F_2(\cdot)$ is the generalized hypergeometric function. According to the asymptotic relation ${}_2F_2(a, b; f, g; -x) \approx 1 - abx/fg, |x| \ll 1$, the simplified expression of (A6) is written as

$$\begin{aligned} R(\sigma) = & 0.194 \times 10^{-8} \chi_T \varepsilon^{-1/3} \zeta^{-2} \\ & \times (A'_1 - 2A'_2 \varpi^{-1} + A'_3 \varpi^{-2}) \\ & + 0.456 \times 10^{-8} \chi_T \varepsilon^{-1/3} \zeta^{-2} \eta^{2/3} \\ & \times (A''_1 - 2A''_2 \varpi^{-1} + A''_3 \varpi^{-2}) \\ A'_i = & \Gamma(1/6) V_i^{-1/6} - \Gamma(5/6) U_i V_i^{-5/6} \\ & + 0.5\Gamma(3/2) U_i^2 V_i^{-3/2} \\ A''_i = & \Gamma(1/2) V_i^{-1/2} - \Gamma(7/6) U_i V_i^{-7/6} \\ & + 0.5\Gamma(11/6) U_i^2 V_i^{-11/6}, \end{aligned} \quad (\text{A9})$$

where $i = 1, 2, 3$, the values of function terms ${}_2F_2(\cdot)$ related to U_i and V_i are approximated as the constant 1 with errors negligible. Then calculating the values of function terms $\Gamma(\cdot)$ in (A9), the final result of $R(\sigma)$ given by (26) and (27) is obtained.

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