

Recent Advances in Multilevel Inverters and Their Applications—Part I

MULTILEVEL inverters have experienced, in terms of research and applications, a continuous and increasing growth during the last two decades. The effort of the researchers and industry has led to a rapid development of different multilevel inverter topologies, modulation techniques, and control strategies. In addition, other interesting research topics such as the fault tolerant operation, efficiency improvement, optimized control strategies, and new applications are also important. This “Special Section on Recent Advances in Multilevel Inverters and Their Applications—Part I” of the IEEE TRANSACTIONS ON INDUSTRIAL ELECTRONICS Issue presents to the power electronics community the most recent advances with topics such as the following:

- 1) new multilevel inverter topologies;
- 2) new modulation and control strategies for multilevel inverters;
- 3) industrial applications of multilevel inverters;
- 4) multilevel inverters for renewable energy applications;
- 5) common-mode voltage reduction methods in multilevel inverters;
- 6) fault-tolerant design of multilevel inverters.

It is our pleasure to present this Special Section. Due to the high number of good papers submitted, this Special Section will be divided into at least two parts.

In [1], a new module for cascaded multilevel inverter is proposed. This module is named as the Envelope Type (E-Type) module and can generate 13 levels with reduced components. In [2], a new general multilevel inverter topology based on cascaded connection of submultilevel units with reduced switching components, dc voltage sources, and blocked voltage by switches is proposed. The proposed topology is optimized to generate any level with a minimum number of components and peak voltage on switches. The presented topology can be used in high-voltage applications as it employs the switches with low voltage rating. Moreover, other topologies of multilevel inverters are presented in [3]–[7]. A new single-phase π -type five-level inverter using three-terminal switch-network is proposed in [3]. The proposed topology is considered as a variant of T-type three-level structure or dual-buck inverter with coupled inductors. This new inverter is suitable for multilevel power inversion with low dc-bus voltage. The merit of the proposed topology is to apply only four active power switches to achieve five-level operation. Other benefits include double frequency operation of output inductors and equal division of the current that flows through power switches and filter inductor. Hence, it leads to high efficiency and low harmonics. These characteristics allow improvement of losses distribution and volume reduction of output filter inductor.

The large number of semiconductors typically implemented in multilevel inverters generates large stray inductances in their commutation loops. As a consequence, high voltage overshoots appear in the semiconductors during commutation which may limit the operation of the inverter. Reference [4] analyzes these overvoltages in the five-level active neutral-point-clamped inverter. A new single dc source cascaded seven-level inverter integrating switched capacitor techniques is developed in [5]. Compared with the traditional cascaded multilevel inverter, the proposed topology replaces all the separate dc voltage sources with capacitors, leaving only one H-bridge cell with a real dc voltage source and only adds two charging switches. In [6], a new topology of six-level inverters for medium-voltage high-power applications is proposed, which consists of inner flying-capacitor inverter units and outer two-level inverter units. In [7], a new structure for symmetric cascade multilevel inverters is presented. This structure requires the least power electronic components, gate driver circuits, a power diode, and a dc voltage source.

The main challenge of using modular multilevel inverters in variable-speed drives is the large voltage ripple of submodule capacitors at low speed with constant torque. In [8], an improved circulating current injection method is proposed, which does not completely eliminate the capacitor voltage ripple, but maintains it bounded within reasonable values. As a result, the magnitude of the injected circulating current is reduced, leading to converter efficiency improvement and reduction of semiconductor current ratings.

In this first part of the Special Section, there are also some control methods for multilevel inverters for different objectives. In [9], a harmonic elimination technique is proposed based on a three-level dodecagonal space vector structure for open-end winding induction machine drives with a single dc supply. Thus, the advantages of dodecagonal space vector switching and multilevel inverters are achieved in this work using a single dc supply. A hybrid switching technique by using selective harmonic mitigation (SHM) and selective harmonic elimination (SHE) based on pulse amplitude modulation concept is presented in [10]. This technique has been applied on a four-leg neutral-point-clamped inverter to eliminate and mitigate more harmonic orders than recently proposed hybrid SHM-SHE-PWM method while generating switching pulses at the same frequency.

Several PWM strategies have been presented for three-phase multilevel inverters. Typically, these PWM methods can be either space-vector or carrier-based implementations, where the carrier-based implementation usually presents a lower computation complexity. In [11], a new approach for the carrier-based implementation of different existing PWM methods is proposed for three- and four-level dc–ac inverters, which can be easily extended to any number of levels. The main features of the

proposed approach are its reduced computation complexity and computation time.

The modular multilevel converter (MMC) is attractive for medium- or high-power applications because of the advantages of its high modularity, availability, and high power quality. The fault-tolerant operation is one of the important issues for the MMC. In this first part of the Special Section, some work has also been done in fault-tolerant approaches. In [12], a fault-tolerant approach for the MMC under submodule faults is proposed. To increase the fault tolerance for cascaded H-bridge multilevel inverters based on level-shifted PWM (LS-PWM), in [13], a modified LS-PWM method is proposed for the inverters operating under faulty conditions.

Finally, some new works based on model based predictive control has been done for multilevel inverters [14], [15]. In [14], a multilevel power conditioner and its model predictive control for railway traction system are presented. In [15], a finite control set model predictive control (FCS-MPC) for a grid-tied packed U cells multilevel inverter is presented. The system under study consists of a single-phase three-cells packed U cell inverter connected to the grid through a filtering inductor. The aim of the proposed FCS-MPC technique is to achieve, under various operating conditions, grid-tie current injection with unity power factor and low total harmonic distortion while balancing the capacitor voltage.

We hope that this Special Section will increase the interest of the scientific community in this field and will motivate the generation of new ideas for future research applications.

EBRAHIM BABAEI, *Guest Editor*
Faculty of Electrical and Computer Engineering
University of Tabriz
Tabriz 51666-16471, Iran

CONCETTINA BUCCELLA, *Guest Editor*
Department of Information Engineering,
Computer Science and Mathematics
University of L'Aquila
67100 L'Aquila, Italy

MARYAM SAEEDIFARD, *Guest Editor*
School of Electrical and Computer Engineering
Georgia Institute of Technology
Atlanta, GA 30332 USA

ACKNOWLEDGMENT

The Guest Editors express their gratitude to the authors for submitting their contributions and to the reviewers for their

expertise and dedication to the review process. Finally, their special acknowledgement is extended to the past Editor-in-Chief of the IEEE TRANSACTIONS ON INDUSTRIAL ELECTRONICS, Prof. C. Cecati, the new Editor-in-Chief, Prof. L. G. Franquelo, and Mrs. S. McLain for their enthusiastic support.

REFERENCES

- [1] E. Samadaei, S. A. Gholamian, A. Sheikholeslami, and J. Adabi, "An envelope type (E-type) module: Asymmetric multilevel inverters with reduced components," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7148–7156, Nov. 2016.
- [2] R. S. Alishah, S. H. Hosseini, E. Babaei, and M. Sabahi, "A new general multilevel converter topology based on cascaded connection of sub-multilevel units with reduced switching components, dc sources, and blocked voltage by switches," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7157–7164, Nov. 2016.
- [3] Y. Hu, Y. Xie, D. Fu, and L. Cheng, "A new single-phase π -type 5-level inverter using 3-terminal switch-network," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7165–7174, Nov. 2016.
- [4] E. Burguete, J. López, and M. Zabaleta, "A new five-level active neutral-point-clamped converter with reduced overvoltages," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7175–7183, Nov. 2016.
- [5] X. Sun, B. Wang, Y. Zhou, W. Wang, H. Du, and Z. Lu, "A single dc source cascaded seven-level inverter integrating switched-capacitor techniques," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7184–7194, Nov. 2016.
- [6] Q. A. Le and D.-C. Lee, "A novel six-level inverter topology for medium-voltage applications," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7195–7203, Nov. 2016.
- [7] R. Samanbakhsh and A. Taheri, "Reduction of power electronic components in multilevel converters using new switched capacitor-diode structure," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7204–7214, Nov. 2016.
- [8] B. Li *et al.*, "An improved circulating current injection method for modular multilevel converters in variable-speed drives," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7215–7225, Nov. 2016.
- [9] S. Pramanick, M. Boby, N. A. Azeez, K. Gopakumar, and S. S. Williamson, "A three-level dodecagonal space vector-based harmonic suppression scheme for open-end winding IM drives with single-dc supply," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7226–7233, Nov. 2016.
- [10] M. Sharifzadeh *et al.*, "Hybrid SHM-SHE pulse-amplitude modulation for high-power four-leg inverter," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7234–7242, Nov. 2016.
- [11] R. Maheshwari, S. Busquets-Monge, and J. Nicolas-Apruzzese, "A novel approach to generate effective carrier-based pulsewidth modulation strategies for diode-clamped multilevel dc-ac converters," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7243–7252, Nov. 2016.
- [12] F. Deng, Y. Tian, R. Zhu, and Z. Chen, "Fault-tolerant approach for modular multilevel converters under submodule faults," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7253–7263, Nov. 2016.
- [13] S.-M. Kim, J.-S. Lee, and K.-B. Lee, "A modified level-shifted PWM strategy for fault-tolerant cascaded multilevel inverters with improved power distribution," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7264–7274, Nov. 2016.
- [14] F. Ma, Z. He, Q. Xu, A. Luo, L. Zhou, and M. Li, "Multilevel power conditioner and its model predictive control for railway traction system," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7275–7285, Nov. 2016.
- [15] M. Trabelsi, S. Bayhan, K. A. Ghazi, H. Abu-Rub, L. Ben-Brahim, "Finite-control-set model predictive control for grid-connected packed-U-cells multilevel inverter," *IEEE Trans. Ind. Electron.*, vol. 63, no. 11, pp. 7286–7295, Nov. 2016.



Ebrahim Babaei (M'10) was born in Ahar, Iran, in 1970. He received the B.S. degree in electronic engineering and the M.S. degree in electrical engineering from the Department of Engineering, University of Tabriz, Tabriz, Iran, in 1992 and 2001, respectively, graduating with first class honors, and the Ph.D. degree in electrical engineering from the Department of Electrical and Computer Engineering, University of Tabriz, in 2007.

In 2004, he joined the Faculty of Electrical and Computer Engineering, University of Tabriz. He was an Assistant Professor from 2007 to 2011, an Associate Professor from 2011 to 2015, and has been a Professor since 2015. He is the author of more than 310 journal and conference papers. He also holds 17 patents in the area of power electronics. His current research interests include the analysis, modelling, design, and control of power electronic converters (dc/dc; dc/ac; ac/ac; ac/dc; matrix converters; multilevel inverters; Z-source inverters; resonance converters; etc.) and their applications, renewable energy sources, and FACTS devices.

Prof. Babaei has been the Editor-in-Chief of the *Journal of Electrical Engineering* of the University of Tabriz since 2013. He is currently an Associate Editor of the IEEE TRANSACTIONS ON INDUSTRIAL ELECTRONICS. In 2013, he received the Best Researcher Award from the University of Tabriz. He has been included in the Top One Percent of the World's Scientists and Academics according to the Thomson Reuters list in 2015.



Concettina Buccella (M'92–SM'03) received the Dr. Eng. degree from the University of L'Aquila, L'Aquila, Italy, in 1988, and the Ph.D. degree from the University of Rome "La Sapienza," Rome, Italy, in 1995, both in electrical engineering.

From 1988 to 1989, she was with Italtel S.p.A. Since 2001, she has been an Associate Professor with the University of L'Aquila. Her research interests include power electronics and their applications to power systems, smart grids, electromagnetic compatibility, electrostatic processes, and ultrawide-band signal interferences. She has authored more than 150 journal and conference papers. Since 2012, she has been the CEO of DigiPower Ltd., a university spin-off dealing with industrial electronics and renewable energies.

Dr. Buccella received the 2012 and 2013 IEEE TRANSACTIONS ON INDUSTRIAL INFORMATICS Best Paper Awards.



Maryam Saeedifard (SM'11) received the Ph.D. degree in electrical engineering from the University of Toronto, Toronto, ON, Canada, in 2008.

She is currently an Assistant Professor in the School of Electrical and Computer Engineering, Georgia Institute of Technology (Georgia Tech), Atlanta, GA, USA. Before joining Georgia Tech, she was an Assistant Professor in the School of Electrical and Computer Engineering, Purdue University, West Lafayette, IN, USA. Her research interests include power electronics and applications of power electronics in power systems.