# A 76-Gbit/s 265-GHz CMOS Receiver With WR-3.4 Waveguide Interface

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*Abstract***— A 76-Gbit/s 265-GHz CMOS receiver (RX) modularized with a WR-3.4 waveguide interface is presented. It is a mixer-first RX fabricated using a 40-nm CMOS technology. The primary design focus is simplicity and the resulting low noise and loss and high conversion gain (CG). To allow for both on-wafer and packaged measurements,** ON**-chip transmission lines are designed such that their characteristics are relatively insensitive to the presence or absence of adhesive covering the chip. The RX chip is flip-chip-mounted on a multilayer printed circuit board (PCB). Built into the PCB is a waveguide transition using double-resonant stacked patches for wideband operation. The CMOS RX module achieves the highest wireless data rate of 76 Gbit/s with 16 QAM, which is comparable to 80 Gbit/s reported previously for a CMOS RX with on-wafer probing measurement.**

*Index Terms***— 6G, beyond 5G, low-noise design, near-** *f***max circuits, terahertz wireless communication, waveguide transition.**

# I. INTRODUCTION

**A**TMOSPHERIC propagation losses of radio waves tend<br>to increase with frequency as shown in Fig. 1. The losses in the lower terahertz (THz) frequency band between 250 and 320 GHz are manageably low, and this "300-GHz band" is considered a promising platform for ultrahigh-speed broadband wireless communications beyond 5G [1]–[3]. Possible applications include real-time uncompressed transfer of highdefinition video, ultrahigh-data-rate wireless access, and kiosk

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downloading (Fig. 1). World Radiocommunication Conference 2019 (WRC-19) identified 275–296 GHz for use for land mobile and fixed services [4], [5] [Fig. 2(a)]. This band could be used together with 252–275 GHz, already allocated for land mobile and fixed services [5]. IEEE Std 802.15.3d, established earlier in 2017, also concerns the use of the 300-GHz band [6] [Fig. 2(b)]. Research and development of the 300-GHz-band transmitter (TX) and receiver (RX) circuits have been very active in recent years [7]–[28].

In this article, we report on a 300-GHz-band RX chip fabricated using a 40-nm CMOS technology [29]. The center frequency of operation is 265 GHz. The RX chip is flip-chipmounted on a printed circuit board (PCB) and modularized with a WR-3.4 waveguide interface. A standard waveguide interface offers flexibility in the choice of components, including off-the-shelf ones, to be used together, such as antennas and preamplifiers. This is an advantage over another popular approach of bonding a silicon lens on the backside of the die [30]–[33]. A price of flexibility is the comparative difficulty of implementing beam steering, but it is certainly doable [34]–[36]. Our The RX module achieves a wireless data rate of 76 Gbit/s. This is comparable to the wireless data rate of 80 Gbit/s reported in [23] for a CMOS transceiver (TRX), achieved by on-wafer probing.

The biggest challenge in this work was to make the RX as low noise and high gain as possible. Both these involve making losses as low as possible. The unity power gain frequency, *f*max, of the technology used was about 280 GHz and was not high enough for implementing amplifiers. A low-noise amplifier (LNA)-less, mixer-first architecture, as shown in Fig. 3, therefore was adopted. The mixer noise figure,  $NF_1$ , and the conversion loss,  $1/G_1$ , should be made as low as possible, but subsequent stages must also be designed carefully to make the RX low-noise. Somewhat disappointingly, attempts at being clever do not always pay in sub-THz CMOS design due to the limited gain of MOSFETs and high losses of passive components. Of course, low-loss design of PCB, including a waveguide transition, is also important.

In Section II, we will further discuss the design of the CMOS RX chip itself and also the design of PCB that includes a waveguide transition. Section III presents the measured performance of RX chip and module, includ-

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Fig. 1. Atmospheric propagation losses of radio waves between 100 GHz and 1 THz according to [3] and possible applications of 300-GHz band wireless communications.



Fig. 2. (a) THz bands identified by WRC-19 [4], [5]. (b) Channels defined by IEEE Std 802.15.3d [6].

ing wireless reception of digitally modulated signals over some channels of 802.15.3d. Finally, Section IV draws conclusions.

# II. DESIGN

# *A. CMOS Receiver*

The block diagram of the RX chip is shown in Fig. 4. The RX consists of a down-conversion mixer, a buffer amplifier, an intermediate-frequency (IF) amplifier, and a local oscillator (LO) signal multiplier chain. An LO signal at



Fig. 3. LNA-less, mixer-first receiver architecture is adopted due to low  $f_{\text{max}}$ . *Gi* and NF*<sup>i</sup>* are the gain and the noise figure of the *i*th stage, respectively.



Fig. 4. Block diagram of the receiver. Details of the LO multiplier chain can be found in [37].

around 25 GHz  $(LO_{25}$  GHz) with 0-dBm power is supplied from an OFF-chip signal source and is multiplied by 9 to about 225 GHz  $(LO<sub>225</sub> GHz)$ . Details of the LO multiplier chain were presented in [37], and therefore will not be repeated in this article. The LO output power is about 4 dBm. A radio frequency (RF) signal at a frequency of around 265 GHz and the LO signal are superposed and fed to the down-conversion mixer. The down-converted differential signal at about 40 GHz goes through the buffer amplifier and the IF amplifier.

Since low noise is the most important design goal, the fieldeffect transistor (FET) resistive mixer [38], [39] is a mixer configuration that springs to mind. Since the drain-to-source bias is zero and FETs operate as (nearly) linear time-varying resistors in this configuration, the noise generated by FETs is primarily thermal noise, and flicker and shot noise components are very low [39], [40]. The single-sideband (SSB) noise figure (NF), therefore, is very nearly equal to the conversion loss. FET resistive mixers were adopted, for example, in [11], [16], and [24]. We have indeed confirmed by simulation using *lossless* passive components that conversion loss and SSB NF as low as about 6 dB are possible as anticipated. Nevertheless, our experience so far has been that when *lossy* components are used for matching and interconnection, the noise performance of the RX quickly deteriorates and becomes often worse than that achievable using transconductance mixers. On the other



Fig. 5. (a) Schematic of the down-conversion circuit. (b) Details of the down-conversion mixer and of (c) buffer amplifier.

hand, transconductance mixers offer higher gain (or lower losses) due to a nonzero drain-to-source bias but are noisier [39]. In the down-conversion circuit of our RX, we adopted a source-pumped transconductance mixer. Double-balanced mixer, adopted in our previous designs [17], was avoided due to higher layout complexity and resulting higher losses. Comparison of FET resistive mixers and transconductance mixers from a different perspective (power consumption) can be found in [25].

A schematic of the down-conversion circuit of the RX is shown in Fig. 5(a). LO and RF signals, superposed in a rat race balun, are input to the mixer. A simple low-loss L-section gives near-optimum power matching between the rat race and the mixer [29]. An open-stub notch filter is placed at the output of the mixer to suppress LO leakage. The rat race balun has a simple layout as shown in Fig. 6(a). Layout folding, often done at lower frequencies, is avoided because bends would introduce losses. ON-chip dc bias lines use " $0-\Omega$  transmission lines (TLs)," having extremely small characteristic impedance [41], [42]. They closely approximate voltage biasing, and how the dc bias is supplied to dc pads (via bond wires or stud bumps) will not affect the operation of the RX. In the layout shown in Fig. 6(a), additional decoupling capacitors (decaps) further enhance decoupling capability at lower frequencies. Fig. 6(b) shows detailed metal routing for the common-gate MOSFET. To obey the design rules, connection to the gate must be via narrow strips (or fingers) of M1 metal, regardless of the choice of common terminal. In the case of a common-source stage, the same layout (narrow strips) must be replicated typically up to the M4 layer, in which they can finally form a comb. Such narrow metal strips connected vertically through vias have high parasitic resistance. We chose a common-gate stage to avoid letting a faint RF signal go through such lossy metal



Fig. 6. (a) Layout of the 300-GHz band part. The bias line is for biasing the gate of the MOSFET. Its far end is connected to a dc pad, and the near end is connected to a decoupling capacitor and a  $0-\Omega$  transmission line (TL) [41], [42]. The latter feeds the gate. (b) Detailed metal routing for the common-gate mixing stage. The source and the drain are connected to TLs [Fig. 7(a)]. M1 layer is used to connect the  $0-\Omega$  TL and the gate.

strips. In Fig. 6(b), the RF signal travels down to the source terminal through much larger pieces of metal. The commongate mixer, therefore, gives somewhat better performance than the common-source mixer we adopted previously [23]. The gate feed in Fig. 6(b) is via an M1 comb and is shielded from the source feed by the bottom metal plate (actually, mesh) of the TL.

Two types of TLs, having different widths, are used in the down-conversion circuit. The cross-sectional structure of TLs is shown in Fig. 7(a). The TLs could be regarded as shielded microstrips in the sense that the center conductor couples more strongly with the metallic plate at the bottom than with the sidewalls. The characteristic impedances of the wide and narrow TLs are approximately 35 and 50  $\Omega$ , respectively. Attenuation constants and phase velocities are shown in Fig.  $7(b)$  and (c), respectively. The 35- $\Omega$  TL occupies a larger area but has somewhat lower losses especially in the 300-GHz band. Therefore, it is used up to the input of the first buffer amplifier (except the rat race balun, which is built of 50- $\Omega$  TL) as shown in Fig. 5(a). The 50- $\Omega$  TL is used from the output of the first buffer amplifier onward. These TLs are actually considerably lossier than the lowest loss TL used in [23] and described in detail in [43]. Such an adverse design decision was made in favor of reduced sensitivity of the TL characteristics to the adhesive that may or may not cover the chip depending on whether it is flip-chip-bonded. The adhesive and the PCB are about 2 and 20  $\mu$ m above the top surface of the M10 copper layer [Fig. 7(a)], respectively. The top aluminum layer is not used for the same reason. The



Fig. 7. (a) Cross-sectional structure of the ON-chip transmission line (not to scale). (b) Electromagnetically (EM) simulated attenuation constant  $\alpha$  versus frequency. (c) EM-simulated phase velocity  $v_p = \omega/\beta$  versus frequency, where  $\omega$  is the angular frequency and  $\beta$  is the phase constant.



Fig. 8. Simulated frequency dependence of the transducer CL and SSB NF of the mixer in Fig. 5(b), obtained using ideal lossless passive components for matching. Input LO power is 0 dBm. The mixer was simulated by sweeping the RF frequency with a fixed LO frequency.

EM-simulated difference in phase velocities with and without adhesive and PCB is about 1% and is sufficiently small.

Detailed schematic diagrams of the down-conversion mixer and the buffer amplifier are shown in Fig. 5(b) and (c), respectively. The mixer adopts a common-gate stage. Fig. 8 shows that the minimum simulated transducer conversion loss (CL) is less than 3 dB and is smaller than that of an FET resistive mixer (about 6 dB). The transducer CL exhibits larger variations than those of SSB NF, also shown in Fig. 8, because only the former is affected by the mismatch at the output port. The differential buffer amplifier, as shown in Fig. 5(c), adopts common-source stages with cross-coupled capacitors. To obtain high gain over a wide bandwidth, large  $(57.6-\mu m$ -wide) transistors are used.

To achieve wide bandwidth, the matching network (MN) adopts shunt and series inductors [Fig. 5(a)]. The shunt



Fig. 9. (a) Parametrized 1.5-turn low-*Q* inductor layout. (b) Parametrized low-loss transformer layout.



Fig. 10. (a) Circuit model of the inductor in Fig. 9(a). (b) Circuit model of the transformer in Fig. 9(b).

inductors are 1.5-turn inductors with shielding rings as shown in Fig. 9(a). With hindsight, the rings could have been taller. The inductor is implemented as a parametrized cell (pcell). It is smaller in area than those available in the process design kit (PDK) offered by the manufacturer and also covers smaller inductance values. The copper layer just below the ultrathick copper layer [M10 in Fig. 7(a)] is used to make the inductor somewhat low-*Q* for wideband matching. The series inductors [Fig. 5(a)] are actually transformers that introduce coupling with the opposite-phase branch. The layout of the transformer is shown in Fig. 9(b). The top aluminum and the ultrathick copper layers are used to reduce losses. The transformer is also implemented as a pcell. The associated circuit models of the inductor and the transformer are shown in Fig. 10. The circuit element values are given by polynomial functions of the inner radius of the loop. The functions were determined using EM simulation data. Fig. 11 shows that the S-parameters



Fig. 11. S-parameters up to 100 GHz from EM simulation (solid) and from pcell models (dashed) of (a) inductors [Fig. 9(a)] of radii 2.7 and 9.9  $\mu$ m, and of (b) transformers [Fig. 9(b)] of radii 3.6 and 14.4  $\mu$ m.



Fig. 12. Simulated transducer CG, SSB NF, RF port reflection, and LO-to-RF isolation of the down-conversion circuit in Fig. 5(a).



Fig. 13. Simulated output power and CG versus RF input power of the down-conversion circuit in Fig. 5(a). LO input power is 0 dBm.

from the models in Fig. 10 agree well with those from EM simulation.

The simulated transducer conversion gain (CG) and SSB NF of the down-conversion circuit [Fig. 5(a)] are shown in Fig. 12. The peak transducer CG is 6.2 dB, the minimum



Fig. 14. (a) Block diagram of the IF amplifier. (b) Schematic of the first stage. (c) Second to fifth stages. (d) Matching networks 1, 3, and 5. (e) Matching networks 2 and 4.



Fig. 15. Measured (gray dots) and simulated (solid) S-parameters of standalone IF amplifier. Presented data include characteristics of pads and access lines.

SSB NF is 17.0 dB, and the RF input reflection magnitude is below −10 dB between 247 and 283 GHz. Fig. 13 shows that the input 1-dB compression point,  $IP_{1dB}$ , at the RF frequency of 265 GHz is −4 dBm.

The IF amplifier is required to have an extremely wide fractional bandwidth ( $\sim$ 100%) and high gain (>20 dB). The requirement for the bandwidth makes the distributed amplifier a candidate, but it is essentially a transmission line periodically loaded with single-stage amplifiers. To meet the gain requirement, we chose an ordinary multistage amplifier. The schematic diagrams of the IF amplifier and its components are shown in Fig. 14. It consists of five differential amplifier stages. The first stage is a common-gate amplifier, which facilitates wideband impedance matching. Common-source stages with cross-coupled capacitors are used in subsequent stages to obtain high gain. Transistors are 28.8  $\mu$ m in width. The schematics of MNs are shown in Fig. 14(d) and (e).



Fig. 16. Simulated RX CG, input (RF) reflection, and output (IF) reflection.



Fig. 17. Die micrograph of the 300-GHz-band CMOS receiver (cf. Fig. 4).

The simulated and measured S-parameters of a stand-alone IF amplifier are shown in Fig. 15.

The simulated CG and input (RF) and output (IF) reflection magnitudes of the RX are shown in Fig. 16. In the simulation, use was made of cross-frequency S-parameters of the mixer stage. The peak CG is 25.7 dB. A micrograph of the RX chip is shown in Fig. 17. The chip measures  $2.60 \times 1.75$  mm<sup>2</sup>.

#### *B. PCB Including Waveguide Transition*

Two PCBs were designed, one for probing measurements and the other for waveguide measurements. The PCB for probing measurements is basically for supplying dc power through bond wires, and therefore the RX chip is mounted on it face-up.

The other PCB, as shown in Fig. 18(a) and (b), adopts flipchip bonding and has a WR-3.4 waveguide transition built into it. It allows wireless link measurements with an antenna having a WR-3.4 interface. The RX chip was mounted on one side of the PCB and a WR-3.4 waveguide flange was attached to the opposite side [Fig. 18(a)]. LO and IF signals, respectively, arrive and leave via coaxial connectors. The signal paths



Fig. 18. (a) Design of multilayer PCB for flip-chip bonding of the RX chip and waveguide connection. (b) Photographs of the PCB. (c) Encased RX module.



Fig. 19. (a) Waveguide transition built into the PCB. The metal layers are shown in gray and substrate dielectrics are not shown. The spacing between metal layers is not to scale. (b) Details of the metal layers L1–L3. These layers are nominally 15- $\mu$ m thick, and the spacing between L1 and L2 (and L2 and L3) is 30  $\mu$ m. (c) S-parameters of the transition. Port 1 (wave port) is at the bottom of the waveguide flange. Port 2 (wave port) is on the ON-chip  $35-\Omega$  TL connected to GSG pads.  $S_{11}$  was measured with a flip-chip-mounted RX chip.

between the coaxial connectors and stud bumps connected to the RX chip are on-PCB TLs.

The structure of the waveguide transition, located at the center of the PCB, is shown in Fig. 19(a). A vertical quasiwaveguide is formed in the PCB by arranging rectangular apertures in L2–L8 metal layers with the vias surrounding the apertures. The stacked rectangular metal patches with different sizes [Fig. 19(b)] located at the centers of the apertures in



Fig. 20. (a) Probing measurement setup. (b) Module measurement setup.

TABLE I PACKAGING LOSS BUDGET

Item	Loss estimate
From GSG pads to WR-3.4 interface @RF	$\sim$ 6 dB
From GSGSG pads to PCB TLs @IF	$\sim 5.5$ dB
End-launch coax connectors @IF	$\sim 1.5$ dB

the L2 and L3 layers create double resonance for broadband operation. The upper patch (in L2) is electrically coupled (without any vias) to an open end of a coplanar waveguide in the L1 layer. The other end of the coplanar waveguide is terminated with GSG stud bumps, to which the RX chip is flip-chip-connected.

The S-parameters of the waveguide transition are shown in Fig. 19(c). In the EM simulation, port 1 reference plane is at the bottom of the WR-3.4 waveguide flange [Fig.  $18(a)$ ], and port 2 reference plane is on the the ON-chip  $35-\Omega$  TL connected to GSG pads. The reference impedances of the ports are the complex natural reference impedances [44] of the respective waveguides (not 50  $\Omega$ ). The adhesive that covers the upper surface of PCB was taken into consideration. The simulated peak  $|S_{21}|$  was  $-4$  dB. The loss is comparable to that of the chip-to-waveguide coupler presented in [45].

The PCB with an RX chip, complete with a waveguide flange and coaxial connectors, was put in an aluminum case, as shown in Fig. 18(c).

## III. MEASUREMENT

The performance of the chip was measured first by on-wafer probing. The measurement setup is shown in Fig. 20(a). Highfrequency signals were supplied via RF probes.  $LO_25GHz$  and RF signal (before up-conversion) were both generated by a



Fig. 21. Measured and simulated CG and SSB NF of the RX. CG was measured on-wafer [Fig. 20(a)] and via waveguide transition [Fig. 20(b)].



Fig. 22. Measured and simulated output power and CG of the RX versus input power. RF frequency is 265 GHz.

vector network analyzer (VNA) Keysight PNA-X N5247A. The RF signal underwent up-conversion by a WR-3.4-band (220–325-GHz) frequency extender from VDI. The downconverted signal was measured with VNA. One of the differential IF outputs (Fig. 4) was terminated with a 50- $\Omega$  load, screwed onto a GSGSG probe. A cold-source method was used to measure the NF.

The measured CG and SSB NF are shown in Fig. 21 together with the simulation results corresponding to on-wafer measurement setup. The frequency responses of the on-wafer and module CG are roughly consistent. The peak on-wafer CG is 26 dB, the peak module CG is 6 dB, and the minimum SSB NF is 17.4 dB. The measured frequency responses are bumpier than the simulation results. The reason for the discrepancies between measurement and simulation is presumably due to cumulative inaccuracies in models used in simulation. The CG of the RX module is roughly 15 dB lower than that from on-wafer measurement. The packaging loss budget is presented in Table I. The estimated loss associated with the waveguide transition is somewhat higher than the simulated value in Fig. 19(c) because surface roughness of the inner surface of the waveguide flange and possible misalignment between the flange and the PCB are not taken into account in





\*Read off from a graph.



Constellation  $16QAM$ QPSK Modulation Distance [cm] 6 100 EVM [%rms] 13.04 25.93 **BER**  $2.27 \times 10^{-4}$  $5.75 \times 10^{-5}$ Center frequency 265.68 266.76 [GHz] Symbol rate 19 2.16 [Gbaud]  $4.32$ Data rate [Gbit/s] 76

Fig. 24. Measurement results at the highest data rate of 76 Gbit/s (left) and over the longest distance of 100 cm (right, limited by the measurement setup). The center frequencies for 16 QAM and QPSK are the same as those of channels 66 and 7, respectively, of 802.15.3d [6]. EVM: Error vector magnitude. BER: Bit error rate.

Fig. 23. (a) Over-the-air measurement setup. (b) Transmitter output power with and without a commercial off-the-shelf WR-3.4 amplifier.

the simulation. The remaining entries in Table I are estimated from EM simulation and a data sheet from the manufacturer. The estimated losses do not quite add up to 15 dB, and measurement-based loss budgeting would be necessary to fully account for the losses. The power consumption is 467 mW. The output RF power and CG are shown in Fig. 22 as functions of the input RF power. The input RF power was swept by changing the VNA output power fed to the frequency extender. The output power of the extender was calibrated using a VDI PM-5 power meter. The measured IP<sub>1 dB</sub> is  $-34$  dBm. Significant soft compression is observed and the cause is under investigation.

Wireless link measurements were performed using the RX module and the setup shown in Fig. 23(a). The TX consists of an arbitrary waveform generator (AWG) (Keysight M8195A), an up-converter (Farran FBUC-03-300), a bandpass filter, and an amplifier. The TX performance is shown in Fig. 23(b). The 26-dBi horn antennas were used both on the transmitting and receiving sides. The down-converted IF signal from the RX module was led to an external IQ mixer, and the baseband signals were generated by mixing it with an LO  $(LO_{IF})$  signal. The amplified baseband IQ signals were analyzed using a realtime oscilloscope (Keysight UXR1104A), capable of vector signal demodulation and channel equalization. The RX module was mounted on a movable slider stage.

Fig. 24 shows the results of wireless link measurements. The CMOS RX module achieves the highest data rate of 76 Gbit/s with 16 QAM over a distance of 6 cm. At a distance of 1 m, a data rate of 4.32 Gbit/s is achieved with QPSK. Note that the longest distance of 1 m is limited by the measurement setup [Fig. 23(a)]. Fig. 25 shows wireless measurement results with



Fig. 25. QPSK signal constellations measured on 802.15.3d channels [Fig. 2(b)] below 280 GHz over a 12-cm distance.

QPSK over altogether 23 IEEE Std 802.15.3d channels that are below 280 GHz [Fig. 2(b)]. Bit error rates (BERs) are all well below  $10^{-3}$ .

Performance of recently reported sub-THz RXs is compared in Table II. Our RX achieved a competitive data rate, given the facts that the technology adopted is 40-nm bulk CMOS and that the measurement was made via the WR-3.4 waveguide interface. Note also that the distance depends very much on the antennas used.

#### IV. CONCLUSION

We presented a mixer-first CMOS RX operating at 265 GHz. The RX chip was modularized with a WR-3.4 waveguide interface, enabling wireless link experiments using waveguide components including antennas. The waveguide transition was built into a multilayer PCB. Wireless data reception with QPSK was demonstrated over 23 (out of 65) channels defined in IEEE Std 802.15.3d (Fig. 25). The RX module achieved the highest data rate of 76 Gbit/s with 16 QAM over a distance of 6 cm, whereas the data rate was 4.32 Gbit/s with QPSK over 1 m (Fig. 24). The distance of 6 cm may not be exactly great. However, there clearly is room for improvement, and the results presented herein by no means represent what can be achieved by CMOS technology and WR-3.4 interface. The distance could possibly be made longer even with the present RX using the Cassegrain antennas used in [28].

We made efforts to make the RX low-noise and highconversion-gain, resulting in a rather simple design. We had to make a tough decision about the design of ON-chip TLs so that the RX operates properly whether measured on-wafer or after flip-chip bonding. To further extend the communication distance while maintaining data rates, losses due to PCB at IF, in particular, must be reduced significantly (Table I). In wireless measurements, the signal-to-noise ratio (SNR) on the transmitting side also affects the outcomes and should be considered [47].

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