

# A Low-Flicker-Noise 30-GHz Class-F<sub>23</sub> Oscillator in 28-nm CMOS Using Implicit Resonance and Explicit Common-Mode Return Path

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**Abstract**—This paper presents a millimeter-wave (mmW) frequency generation stage aimed at minimizing phase noise (PN) via waveform shaping and harmonic extraction while suppressing flicker noise upconversion via proper harmonic terminations. A 2nd-harmonic resonance is assisted by a proposed embedded decoupling capacitor inside a transformer for explicit common-mode current return path. Class-F operation with 3rd-harmonic boosting and extraction techniques allow maintaining high quality factor of a 10-GHz tank at the 30-GHz frequency generation. We further propose a comprehensive quantitative analysis method of flicker noise upconversion mechanism exploiting latest insights into the flicker noise mechanisms in nanoscale short-channel transistors, and it is numerically verified against foundry models. The proposed 27.3- to 31.2-GHz oscillator is implemented in TSMC 28-nm CMOS. It achieves PN of  $-106$  dBc/Hz at 1-MHz offset and figure-of-merit (FoM) of  $-184$  dBc/Hz at 27.3 GHz. Its flicker phase-noise ( $1/f^3$ ) corner of 120 kHz is an order-of-magnitude better than currently achievable at mmW.

**Index Terms**—30 GHz, explicit common-mode (CM) return path, fifth generation (5G) communication, flicker noise reduction, implicit resonance, impulse sensitivity function (ISF), low phase noise (PN), millimeter-wave (mmW), oscillator, periodic transfer function (PTF).

## I. INTRODUCTION

TRADITIONAL cellular bands, i.e.,  $<6$  GHz, suffer from a severe bandwidth (BW) congestion and can barely cope with the increasing demands for data. Consequently, the fifth generation (5G) of cellular communications starts to utilize lower range of millimeter-wave (mmW) frequency bands, e.g., 28 GHz. To support higher data rates, more complex modulation schemes are being introduced, thus placing challenging requirements on phase noise (PN) of local oscillators. It is well known that for mmW oscillators, the quality ( $Q$ ) factor degradation of a tuning varactor or a switched-capacitor (sw-cap) tuning network leads to worse PN in the thermal ( $1/f^2$ ) noise region. To mitigate such degradation, the following solutions

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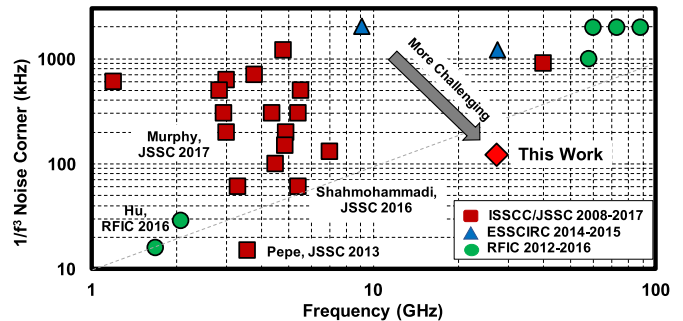


Fig. 1. Survey of  $1/f^3$  corner of state-of-the-art RF and mmW oscillators.

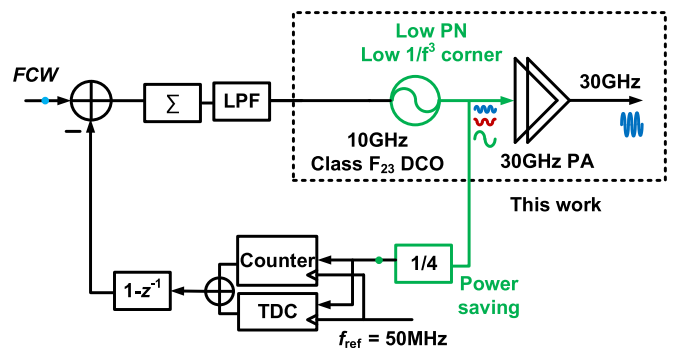


Fig. 2. Intended architecture of a 30-GHz ADPLL focused on its low-power and high-performance aspects.

have been devised: the oscillator's resonant frequency gets lowered but then increases via a frequency multiplier, such as a doubler/quadrupler in [1] and [2], sub-harmonic injection locking [3], [4], and transformer-based class-F oscillator with a tuned power amplifier (PA) to extract its 3rd harmonic [5].

Yet, despite those PN improvements in the  $1/f^2$  region, the flicker PN ( $1/f^3$ ) corner of  $>10$ -GHz oscillators appears to always exceed  $\sim 1$  MHz, as surveyed in Fig. 1. Moreover, the underlying cause, i.e., the flicker ( $1/f$ ) noise of MOS transistors, tends to worsen as CMOS scales, which will further degrade the integrated PN, thus limiting the achievable data rates in mmW transceivers. Considering an example of a mmW type-II all-digital PLL (ADPLL) shown in Fig. 2, the loop BW needs to be limited to  $<400$  kHz to suppress the typical 10-ps quantization noise of its time-to-digital converter (TDC), according to the system simulations in

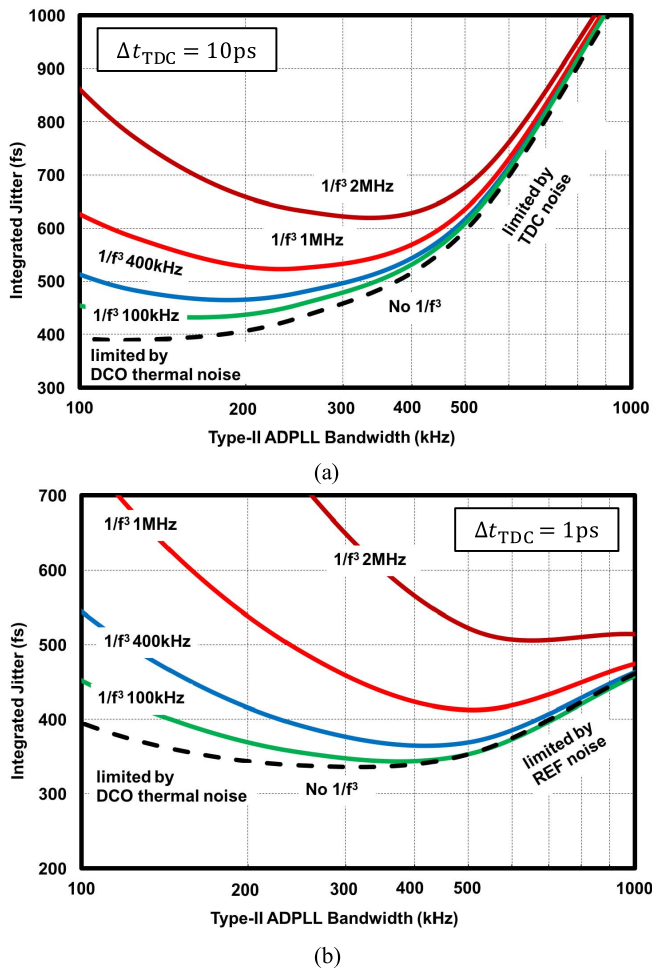


Fig. 3. Simulated integrated PN (translated to jitter) across loop BW of a type-II 30-GHz ADPLL for various  $1/f^3$  noise corners of the oscillator. Conditions:  $\text{PN}_{\text{DCO}}$  at 10 MHz = -120 dBc/Hz,  $f_{\text{ref}} = 50$  MHz,  $\sigma_{\text{ref}} = 1$  ps. TDC resolution: (a) 10 ps and (b) 1 ps.

Fig. 3(a). Even with the TDC resolution as fine as 1 ps [see Fig. 3(b)], the loop BW must still be maintained quite narrow in order to prevent the reference noise from dominating the ADPLL's PN. This is due to the high multiplication ratio  $N$  of mmW PLLs (e.g.,  $N = 600$  for a 30-GHz oscillator locked to a typical  $f_{\text{ref}} = 50$ -MHz reference). Even the best realistic attempts at reducing the remaining ADPLL PN contributors will unfortunately leave the oscillator's  $1/f^3$  PN as the limiting factor preventing from breaking through the 520 and 410 fs integrated jitter limits for the TDC resolution of 10 ps (typical state-of-the-art) and 1 ps (yet to be achieved), respectively. Consequently, techniques to lower the  $1/f^3$  PN are highly desired for mmW generation.

Recent studies [6]–[8] deal with the flicker noise reduction in voltage-biased RF oscillators, i.e., in which the conventional mechanism of  $1/f$ -noise upconversion via the tail current source does not appear anymore [18]. In [6] and [9], it is suggested that the non-zero dc value of the effective impulse sensitivity function (ISF) is mainly caused by a 3rd harmonic current entering a capacitive path, resulting in the  $1/f^3$  PN degradation. However, the effect of 2nd harmonic current is entirely neglected. Shahmohammadi *et al.* [7] explain that

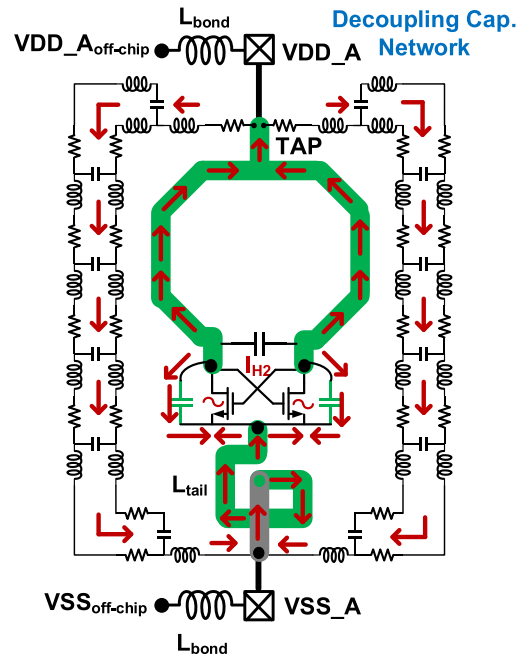


Fig. 4. Diagram of a conventional voltage-biased mmW oscillator with a tail inductor further showing its parasitic CM return path.

the  $1/f$  noise upconversion is due to an asymmetry between rising and falling edges of the tank's voltage waveform, which is a consequence of a 2nd harmonic current ( $I_{H2}$ ) entering the capacitive path. The effects of the 3rd harmonic current are shown as benign. That was further experimentally supported in [8], but the rigorous quantitative analysis of flicker noise upconversion is still missing in [7] and [8]. It appears that the lack of a complete numerical verification and the over-simplification of employed flicker noise model cause some contradictions and ambiguities in the currently available theory of flicker noise upconversion and reduction mechanism. Moreover, a direct translation of the above techniques [6]–[8] into mmW does not appear so straightforward. For example, employing a high-frequency oscillator with a one-turn inductor [10] or a conventional 1:2 transformer [7], [11] could suffer from high  $1/f^3$  PN corner due to the uncontrolled 2nd harmonic current return path of the decoupling capacitor, as shown in Fig. 4, thus shifting the expected common-mode (CM) resonant frequency.

This paper proposes a 30-GHz frequency generation scheme shown in Fig. 5 using a 3rd harmonic extraction from a class-F<sub>23</sub> oscillator operating at 10-GHz fundamental. It features a special 1:2 transformer including a proposed embedded decoupling capacitor for the precise control of the CM current return path [12]. Its PN in the  $1/f^2$  thermal region is kept low via the 3rd harmonic resonance, and its  $1/f^3$  PN corner is greatly improved (by an order-of-magnitude versus state-of-the-art) via a precise implementation of the 2nd harmonic resonance and the proposed explicit CM return path.

In Section II, the  $1/f$ -noise reduction mechanism based on the 2nd harmonic resonance in mmW voltage-biased oscillators is numerically verified in the 28-nm technology. Details of the proposed 30-GHz frequency generation scheme, focusing on an accurate implementation of the 2nd harmonic resonance,



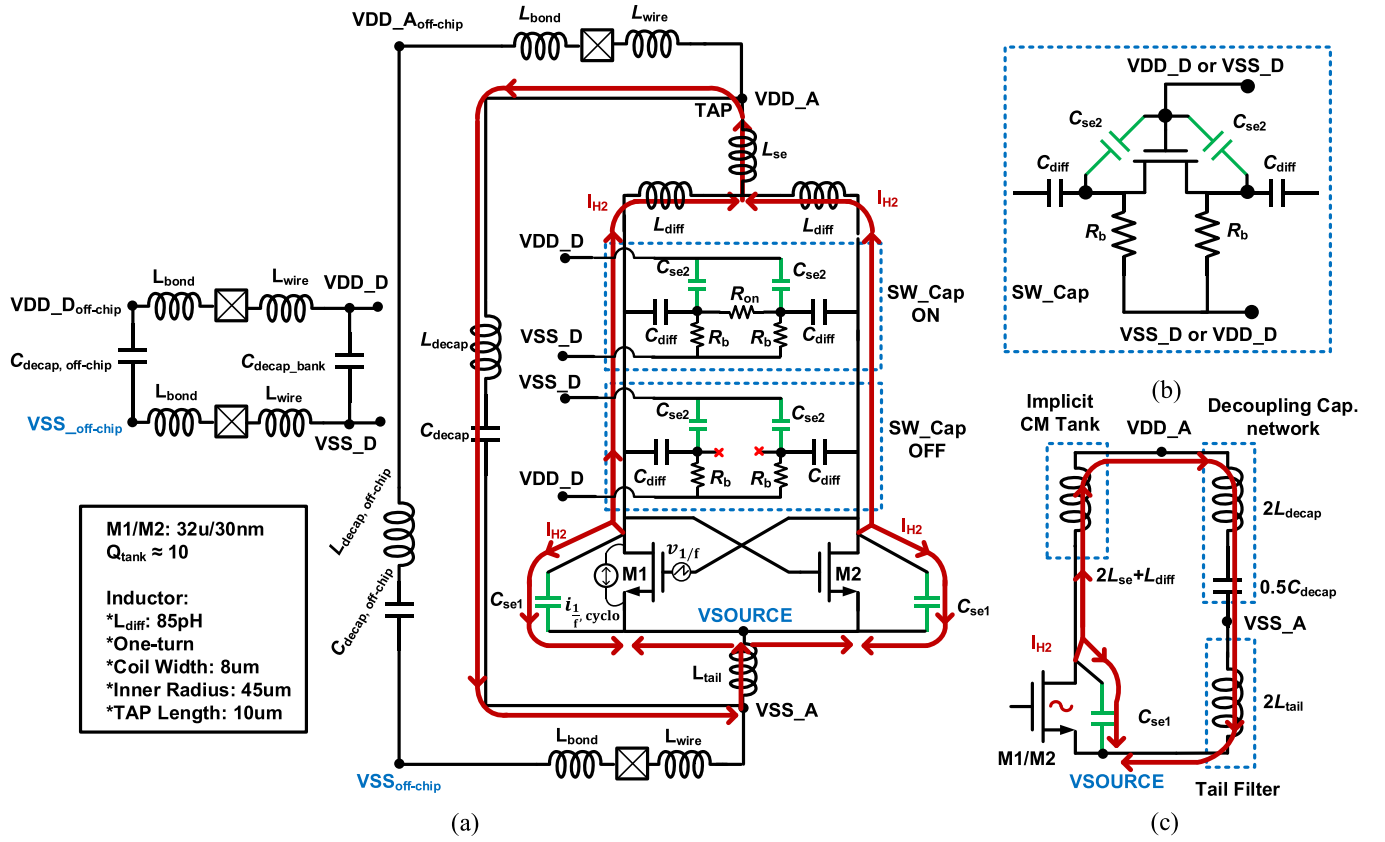


Fig. 6. (a) Model of a conventional 30-GHz oscillator with tail filtering and its CM return path. (b) Conventional sw-cap tuning element. (c) Half-circuit of the CM return path.

As CMOS technology scales, another  $1/f$  noise mechanism, called correlated mobility fluctuation (CMF), is becoming increasingly important since the trapped electrons in a short channel will have a larger influence on Coulomb scattering of neighboring free electrons, thus changing the average electron mobility (see Fig. 8). A more accurate  $1/f$  noise model for the nanoscale CMOS considering both CNF and CMF was verified in [13], which is described as

$$\overline{I_{1/f}^2} = \overline{V_{1/f}^2} \times (g_m + \Omega I_D)^2 \quad (1)$$

where  $\overline{V_{1/f}^2}$  ( $= K/(WL\Delta\omega)$ ) is the power spectral density (PSD) of flat-band voltage,  $K$  and  $\Omega$  (unit:  $V^{-1}$ ) are process parameters. The first and second terms in parenthesis represent CNF and CMF, respectively. Thus, the flicker NMF  $m(t)$  in nanoscale CMOS could be modified as periodically modulated transconductance and harmonic current

$$m(t) = G_m(t) + \Omega I_D(t) \quad (2)$$

where  $G_m(t)$  and  $I_D(t)$  can be obtained by applying the discrete steady-state waveform point of  $V_{GS}$ ,  $V_{DS}$  to dc simulations.

Assume the flicker gate-voltage noise  $v_{1/f}$  at  $\Delta\omega$  (e.g.,  $2\pi \times 10$  kHz) in M1/2 is expressed as

$$v_{1/f}(t) = \sqrt{2} V_{1/f,rms} \cos(\Delta\omega t + \gamma) \quad (3)$$

where  $V_{1/f,rms}$  is rms value of  $\overline{V_{1/f}^2}$ , and  $\gamma$  is an initial random phase. Thus, the cyclostationary flicker noise current is as follows:

$$i_{1/f,cyclo}(t) = v_{1/f}(t) \times m(t) = \sqrt{2} I_{1/f,rms}(t) \cos(\Delta\omega t + \gamma) \quad (4)$$

where  $I_{1/f,rms}(t)$  ( $\approx V_{1/f,rms} \times m(t)$ ) is the periodically modulated rms value of flicker current noise. It can be directly simulated by dc/NOISE simulations using the discrete waveform point of  $V_{GS}$  and  $V_{DS}$  from periodic steady-state (PSS) simulations, while the introduced model  $V_{1/f,rms} \times m(t)$  is mainly used to intuitively and physically explain the complex behavior of  $I_{1/f,rms}(t)$  in the large-signal operation and advanced CMOS technology.

Further, assume the non-normalized ISF  $h_{DS}$  associated with  $V_{DS}$  of M1/2 is

$$h_{DS}(t) = \frac{1}{2} h_0 \cos \theta_{h0} + \sum_1^N h_k \cos(k\omega_0 t + \theta_{h,k}) \quad (5)$$

where  $h_k$  and  $\theta_{h,k}$  are the magnitude and phase of  $k$ th harmonic term, respectively. Note that  $\theta_{h0}$  is either 0 or  $\pi$  depending on the sign of dc term  $h_{DS}$ . Thus, the phase noise is

$$\phi(t) = \int_{-\infty}^t h_{DS}(\tau) \cdot i_{1/f,cyclo}(\tau) d\tau \approx \frac{\sqrt{2} h_{eff,dc}}{\Delta\omega} \sin(\Delta\omega t + \gamma) \quad (6)$$



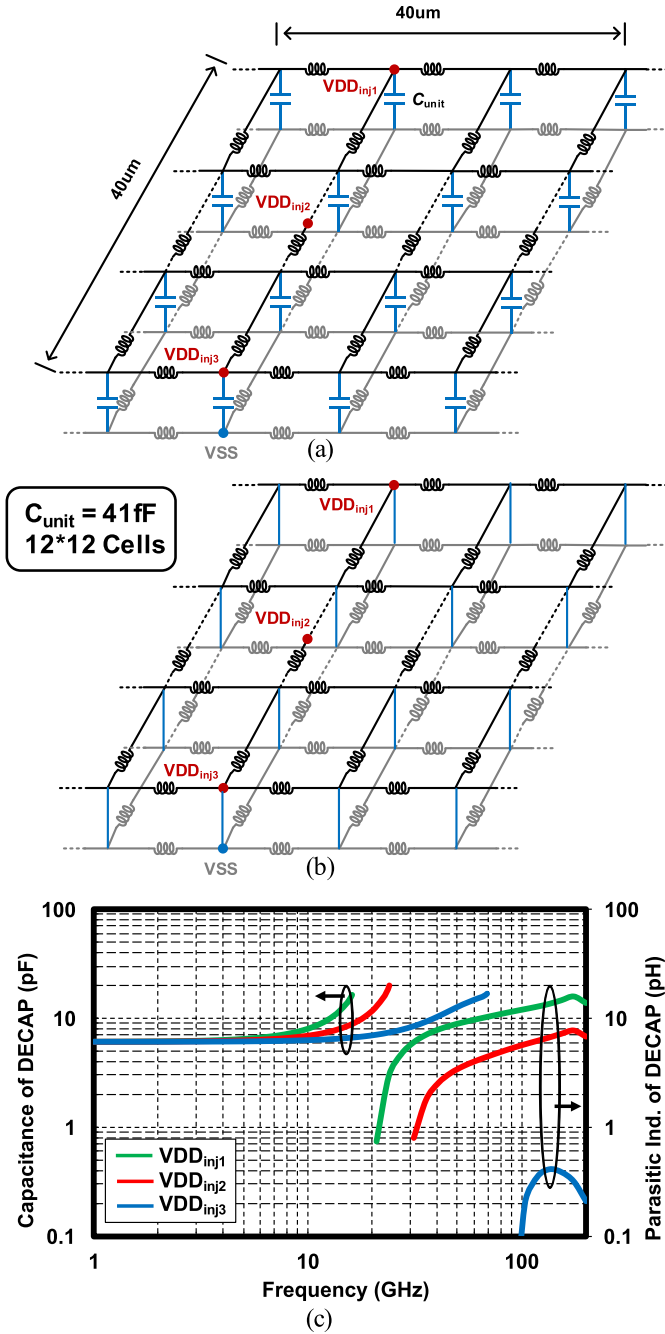


Fig. 7. (a) Decoupling capacitor network for the proposed mmW oscillator. (b) High-frequency model of the decoupling capacitor network. (c) Effective parasitic inductance at different supply injection points.

where  $\phi(t)$  is mainly dominated by the slow frequency term, and  $h_{\text{eff,dc}}$  is the dc value of non-normalized effective ISF  $h_{\text{eff}}(t)$  ( $=h_{\text{DS}}(t) \times I_{1/f,\text{rms}}(t)$ ), which is defined as

$$h_{\text{eff,dc}} = \frac{1}{T} \int_0^T h_{\text{DS}}(t) \cdot I_{1/f,\text{rms}}(t) dt \quad (7)$$

where  $T$  ( $=2\pi/\omega_0$ ) is the oscillation period.

The phase noise  $\phi(t)$  appears at  $V_{\text{DS}}$  of M1/2, showing two correlated terms at  $\omega_0 \pm \Delta\omega$

$$V_{\text{DS}} \approx V_{\text{H1}} \cos(\omega_0 t + \theta + \phi(t)) \approx V_{\text{H1}} \cos(\omega_0 t + \theta)$$

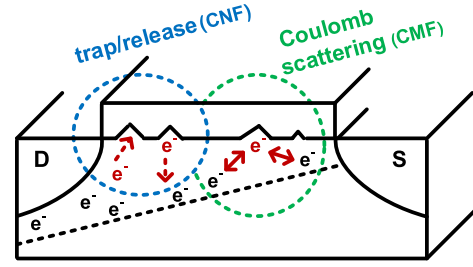


Fig. 8. Newly discovered flicker noise mechanism in nanoscale CMOS [13].

$$\begin{aligned} & + \frac{V_{\text{H1}} \sqrt{2} h_{\text{eff,dc}}}{2 \Delta \omega} \cos((\omega_0 + \Delta \omega)t + \theta + \gamma) \\ & - \frac{V_{\text{H1}} \sqrt{2} h_{\text{eff,dc}}}{2 \Delta \omega} \cos((\omega_0 - \Delta \omega)t + \theta - \gamma) \end{aligned} \quad (8)$$

where  $V_{\text{H1}}$  and  $\theta$  are the 1st harmonic amplitude and phase of  $V_{\text{DS}}$ , respectively. Hence, the single-sideband to carrier ratio (SSCR) can be written as

$$\mathcal{L}(\Delta \omega) = \frac{1}{2} \left( \frac{V_{\text{H1}} \sqrt{2} h_{\text{eff,dc}}}{2 \Delta \omega} \right)^2 / \frac{1}{2} V_{\text{H1}}^2 = \left( \frac{\sqrt{2} h_{\text{eff,dc}}}{2 \Delta \omega} \right)^2 \quad (9)$$

which is the flicker PN at  $\omega_0 \pm \Delta\omega$  caused by a single transistor M1 or M2. The final SSCR caused by cross-coupled pair is  $2 \times \mathcal{L}$ . It is important to address an apparent non-physicality of (9):  $h_{\text{eff,dc}}^2$  itself is proportional to  $1/\Delta\omega$ , since  $I_{1/f,\text{rms}}^2(t)$  is proportional to  $1/\Delta\omega$ . Thus, the  $\mathcal{L}(\Delta\omega)$  is ultimately proportional to  $1/\Delta\omega^3$ .

### C. Numerical Verification

To verify the proposed equations (7) and (9), it is necessary to get the periodically modulated rms value of flicker current noise  $I_{1/f,\text{rms}}(t)$  and non-normalized ISF  $h_{\text{DS}}(t)$ . The former is based on the dc/NOISE simulations using the steady-state waveform point of  $V_{\text{GS}}$  and  $V_{\text{DS}}$  from PSS simulation. For the latter, it can be acquired by periodic transfer function (PXF) simulations [19], which is more accurate and much faster than the conventional transient simulation method [14].

Following the similar method in [19], we could also derive periodic transimpedance from a small-signal current source across drain-source of M1/2 at  $(\omega_0 + \Delta\omega) + (k-1)\omega_0$  to the small output voltage of  $V_{\text{DS}}$  at  $(\omega_0 + \Delta\omega)$  as

$$|H(k-1)| = \frac{V_{\text{H1}} h_k}{4 \Delta \omega} \quad (10)$$

$$\angle H(k-1) = \theta - \theta_{h,k} \quad (11)$$

where  $k-1$  is the index of sideband of PXF,  $|H(k-1)|$  and  $\angle H(k-1)$  are the magnitude and phase of periodic transimpedance, which can be simulated directly by PXF. The magnitude  $V_{\text{H1}}$  and initial phase  $\theta$  of 1st harmonic  $V_{\text{DS}}$  can be simulated by PSS with harmonic balance (HB) engine, which solves for the steady-state of cosines rather than sines. Thus, it is easy to extract non-normalized ISF based on (5), (10), and (11).

Both qualitative and quantitative analysis of the flicker noise upconversion and reduction mechanism of mmW oscillator are demonstrated in Fig. 9. The periodically modulated flicker current noise  $I_{1/f,\text{rms}}(t)$  at 10-kHz models the process of

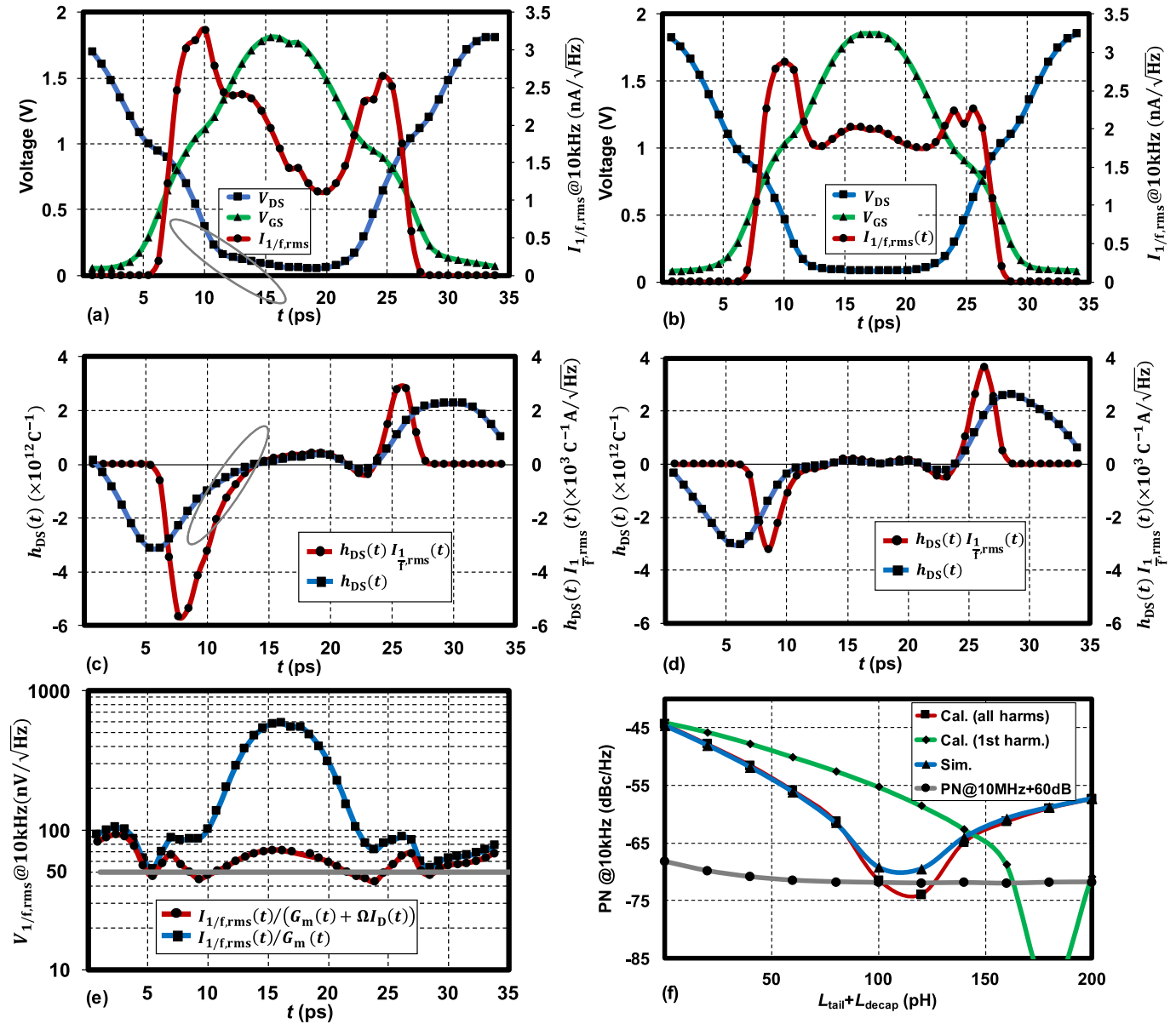


Fig. 9. Discrete waveform point of  $V_{GS}$ ,  $V_{DS}$  in one period based on PSS simulations, and corresponding rms value of flicker current noise  $I_{1/f,rms}$  at 10 kHz based on dc/NOISE simulations: (a)  $L_{tail} + L_{decap} = 0$  pH, (b)  $L_{tail} + L_{decap} = 120$  pH (for 2nd harmonic resonance). Non-normalized ISF function  $h_{DS}(t)$  based on PXF simulations and  $h_{DS}(t) \times I_{1/f,rms}(t)$ : (c)  $L_{tail} + L_{decap} = 0$  pH and (d)  $L_{tail} + L_{decap} = 120$  pH. (e) Flicker noise model verification. (f) Numerical verification of PN at 10 kHz.

flicker noise modulation, as shown in Fig. 9(a) ( $L_{tail} + L_{decap} = 0$  pH) and Fig. 9(b) ( $L_{tail} + L_{decap} = 120$  pH, achieving the 2nd harmonic resonance). The flicker noise peaks in the regions where M1/2 operates in saturation, (i.e.,  $t \approx 10$  and 25 ps), while it also keeps relatively high levels in the triode region (i.e.,  $t \approx 12$  to 22 ps). Although a complex Berkeley short-channel IGFET model (BSIM) flicker noise model is employed in the process development kit (PDK) of TSMC 28-nm technology, the CNF/CMF model could still be fairly accurate and provide a physical understanding about flicker noise behavior in a large-signal operation.

As a means of verifying the efficacy of the adopted CNF/CMF model in (1), let us contrast it with the currently used CNF-only model by examining the flatness of  $V_{1/f,rms}(t)$

at  $\Delta\omega/2\pi = 10$  kHz in both cases. A quick inspection of Fig. 9(e) reveals that  $I_{1/f,rms}(t)/(G_m(t) + \Omega I_D(t))$  is fairly constant. Conversely,  $I_{1/f,rms}(t)/G_m(t)$  is far from being constant, which means that the presently used CNF model cannot accurately describe the physical flicker noise modulation process of oscillators in the nanoscale CMOS technology.

The non-normalized ISF  $h_{DS}(t)$  based on PXF ( $N = 7$ ) and effective non-normalized ISF  $h_{eff}(t)$  ( $= h_{DS}(t)I_{1/f,rms}(t)$ ) are shown in Fig. 9(c) for  $L_{tail} + L_{decap} = 0$  pH, and in Fig. 9(d) for  $L_{tail} + L_{decap} = 120$  pH. From the  $h_{eff}(t)$  waveform, it is obvious that the flicker noise mainly affects the PN during two saturation regions, having an opposing influence on phase change of  $V_{DS}$  in each region. When the 2nd harmonic current enters the non-resistive path, it causes asymmetries between

the rising and falling parts of  $V_{DS}$ , as shown in Fig. 9(a) (gray cycle), leading to asymmetries of  $h_{DS}(t)$  [see Fig. 9(c)]. Note that  $h_{DS}(t)$  is approximately proportional to the derivative of  $V_{DS}(t)$ . Further, as shown in Fig. 9(c), phase change in the falling part of  $V_{DS}$  [i.e., negative area of  $h_{eff}(t)$ , gray circle] is much larger than phase change in the rising part [i.e., positive area of  $h_{eff}(t)$ ], which means  $h_{eff,dc} \neq 0$ , i.e., a flicker noise upconversion. However, forcing the 2nd harmonic current to enter the resistive path would make the rising and falling parts of  $V_{DS}$  more symmetric [shown in Fig. 9(b)], causing the phase change in the two regions to cancel each other within one period [i.e.,  $h_{eff,dc} = 0$ , as shown in Fig. 9(d)]. It is worthwhile to point out that the other flicker noise reduction mechanism, i.e., the introduction of phase shift between  $V_{DS}$  and  $V_{GS}$  [6], can be also explained by  $h_{eff}(t)$ , since its positive and negative areas can be adjusted to be equal by the phase shift between  $h_{DS}(t)$  (mainly depending on  $V_{DS}$ ) and  $I_{1/f,rms}(t)$  (mainly relying on  $V_{GS}$ ). An alternative approach would use a transformer to introduce the phase shift between its primary (i.e.,  $V_{DS}$ ) and secondary (i.e.,  $V_{GS}$ ) windings.

The resulting PN at 10-kHz offset shown in Fig. 9(f) shows almost a perfect agreement between the calculations ( $N = 7$ , red line) based on (7) and (9) with simulations ( $N = 10$ , blue line), thus demonstrating the effectiveness of proposed theory. Note that merely considering the PN contribution from the 1st harmonic ISF (green line) cannot provide the required match to the simulations, except for the single point where  $L_{tail} + L_{decap} = 0$  pH (i.e., Van der Pol oscillator [9]). The thermal PN at 10 kHz (represented by “PN at 10 MHz + 60 dB”) can be suppressed as long as the  $L_{tail} + L_{decap}$  is large enough, suppressing the “loaded- $Q$ ” effect no matter whether the 2nd harmonic resonance happens (gray line) (see [2]).

It is worthwhile to calculate the PN at 10 kHz by replacing the precise simulated value of  $I_{1/f,rms}(t)$  with the best-fit CNF/CMF model:  $50 \text{ nV}/\sqrt{\text{Hz}} \times [G_m(t) + \Omega I_D(t)]$  with  $\Omega = 3 \text{ V}^{-1}$ , as calculated during the non-cut-off region (i.e., from 6 to 28 ps) and indicated as the gray line in Fig. 9(e). When  $L_{tail} + L_{decap} = 0$  pH, the PN at 10 kHz based on CNF/CMF model is  $-43.73 \text{ dBc/Hz}$ , while the accurate PDK result is  $-44.35 \text{ dBc/Hz}$  [i.e., using  $I_{1/f,rms}(t)$ ]. As mentioned, the CNF/CMF model shows a good accuracy for quantitative analysis and also helps designers to intuitively understand the complex behavior of modulated flicker noise. Of course, the most accurate quantitative analysis is still with the simulated  $I_{1/f,rms}(t)$ , but the demonstrated accuracy of the flicker PN prediction in (1) is within 1 dB.

In conventional mmW oscillators, the optimum  $L_{tail} + L_{decap}$  for the 2nd harmonic resonance is only  $\sim 100$  pH, since the inductance in the implicit CM tank  $L_{diff} + 2L_{se}$  is already dominant. Unfortunately, due to the physical distance between  $VDD\_A$  and  $VSS\_A$  in conventional mmW oscillators, the  $L_{tail} + L_{decap}$  could hardly be made less than 200 pH, which means mmW designers would have difficulties in forcing the 2nd harmonic current to enter the resistive path to suppress the flicker noise. Therefore, for mmW oscillators, the CM return path should be properly constructed for the accurate harmonic termination.

### III. CIRCUIT DESCRIPTION

In [5], a class-F oscillator (first introduced in [16]) with a 3rd harmonic extraction has demonstrated low  $1/f^2$  PN at mmW frequencies. However, the  $1/f^3$  PN corner still exceeds 1 MHz. In [7], a class-F<sub>23</sub> oscillator has achieved both low  $1/f^2$  PN and low  $1/f^3$  PN corner at carrier frequencies below 7 GHz. Unfortunately, direct application of those techniques at mmW frequencies may not deliver the same level of  $1/f^3$  performance. In this section, we demonstrate the proposed class-F<sub>23</sub> oscillator with the 3rd harmonic extraction, which achieves both the best-in-class  $1/f^2$  PN and record-low  $1/f^3$  PN corner at mmW frequencies. As discussed in Section II, the uncontrolled return path of the 1:2 transformer could shift the desired CM resonance which will lead to the degradation of the  $1/f^3$  corner. To solve the above issue, the proposed class-F<sub>23</sub> oscillator employs a transformer with an explicit CM return path using an embedded decoupling capacitor [12]. The detailed circuit operation of the oscillator as well as details of the transformer with embedded decoupling capacitor and the capacitor bank design will be discussed in the following.

#### A. Operational Principle of Class-F<sub>23</sub> Oscillator

Fig. 5 shows the circuit schematic of the proposed class-F<sub>23</sub> oscillator, while the proposed layout is presented in Fig. 10. The oscillator exploits the 3rd harmonic resonance in the DM tank (class-F<sub>3</sub> operation), and the 2nd harmonic resonance in the CM tank (class-F<sub>2</sub> operation). The former deals with the DM tank, including the primary DM inductance  $L_{P,dm} (=L_{P,diff})$ , secondary DM inductance  $L_{S,dm} (=L_{S,diff})$ , DM magnetic coupling factor  $k_{dm}$ , primary DM capacitance  $C_{P,dm} (=C_P)$ , and secondary DM capacitance  $C_{S,dm} (=C_S)$ . According to [16], the fundamental frequency  $\omega_0$  can be approximately derived as:  $\omega_0 = 1/\sqrt{(L_{P,diff}C_P + L_{S,diff}C_S)}$ , while the ratio between two DM resonance frequencies  $\omega_3/\omega_0$  is determined by

$$\frac{\omega_3}{\omega_0} = \sqrt{\frac{1 + X + \sqrt{1 + X^2 + X(4k_{dm}^2 - 2)}}{1 + X - \sqrt{1 + X^2 + X(4k_{dm}^2 - 2)}}} \quad (12)$$

where  $X = (L_{S,diff}C_S)/(L_{P,diff}C_P)$ . Thus, for the assumed 1:2 turns-ratio transformer (given  $L_{S,diff}/L_{P,diff}$ ), through tuning of the secondary-to-primary capacitor ratio  $X_2 (=C_S/C_P)$ , the  $\omega_3/\omega_0 = 3$  condition can be achieved for the class-F<sub>3</sub> operation. Note that  $k_{dm}$  is generally chosen around 0.61 to get a strong 3rd harmonic [5]. Due to the low CM coupling factor  $k_{cm}$ , only the primary CM tank is considered [7], which includes the primary CM inductance  $L_{P,cm} (=L_{P,diff} + 2L_{P,se})$  and the primary CM capacitance  $C_{P,cm} (=C_{P,se})$ . Thus, the CM resonance frequency  $\omega_2$  is  $1/\sqrt{((L_{P,diff} + 2L_{P,se})C_{P,se})}$ . The ratio between  $\omega_2$  and  $\omega_0$  is derived as follows:

$$\frac{\omega_2}{\omega_0} = \sqrt{\frac{L_{P,diff}C_P + L_{S,diff}C_S}{(L_{P,diff} + 2L_{P,se})C_{P,se}}} = \sqrt{\frac{L_{P,diff} + L_{S,diff}X_2}{(L_{P,diff} + 2L_{P,se})X_1}} \quad (13)$$



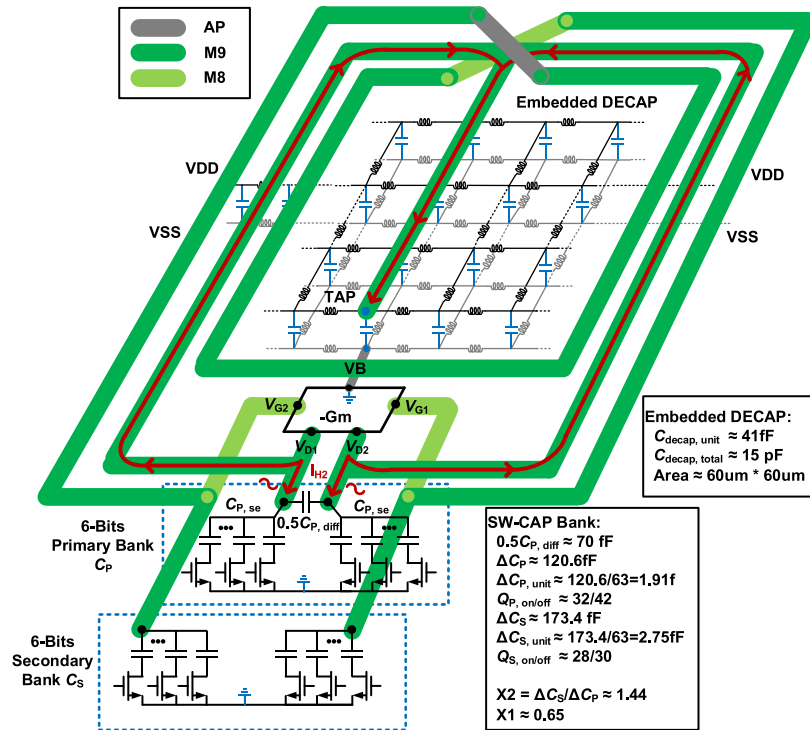


Fig. 10. Proposed layout of class-F<sub>23</sub> oscillator with embedded decoupling capacitor and the design of sw-cap banks.

where  $X_1 (= C_{\text{P,se}}/C_{\text{P}})$  is the ratio of CM capacitance in the primary tank. Through tuning of  $X_1$  to the  $\omega_2/\omega_0 = 2$  condition, the class-F<sub>2</sub> operation can be achieved. Thus, the secondary-to-primary capacitor ratio ( $X_2$ ) helps in achieving the class-F<sub>3</sub> operation, forcing the 3rd harmonic current to enter the resistive path, thus boosting the 3rd harmonic voltage. On the other hand, the primary CM capacitance ratio ( $X_1$ ) enables the class-F<sub>2</sub> operation, forcing the 2nd harmonic current to enter the resistive path, thus maintaining the symmetry between the rising and falling parts of output waveform, ultimately helping to reduce the flicker noise upconversion.

**B. Proposed Transformer With Embedded Decoupling Capacitor for Explicit CM Return Path**

To explicitly define the CM return path, the proposed 1:2 transformer with the embedded decoupling capacitor is shown in Fig. 10. By bringing as close as practically possible the tap of primary coil to the source node of MOS transistors, the shortest return path for the CM current can be secured. According to the study shown in Fig. 7, the parasitic inductance of the embedded decoupling capacitor network can be safely neglected, thus allowing for the CM inductance  $L_{\text{cm}}$  to be modeled accurately. Size of the 1:2 transformer is optimized for the intended operating frequency to achieve high  $Q$ -factor but without too much coupling to the substrate. In this paper, the self-resonant frequency (where DM coupling factor  $k_{\text{dm}}$  reaches 0) is about  $5\times$  of the operating frequency. Thus, the outer diameter of transformer is chosen to  $220\ \mu\text{m}$  (see Fig. 11), with the self-resonance at  $\sim 50\ \text{GHz}$ . The coil width is set at  $10\ \mu\text{m}$  with consideration of skin effect, while the coil space is  $7\ \mu\text{m}$  to make  $k_{\text{dm}}$  about 0.63. It is well recognized

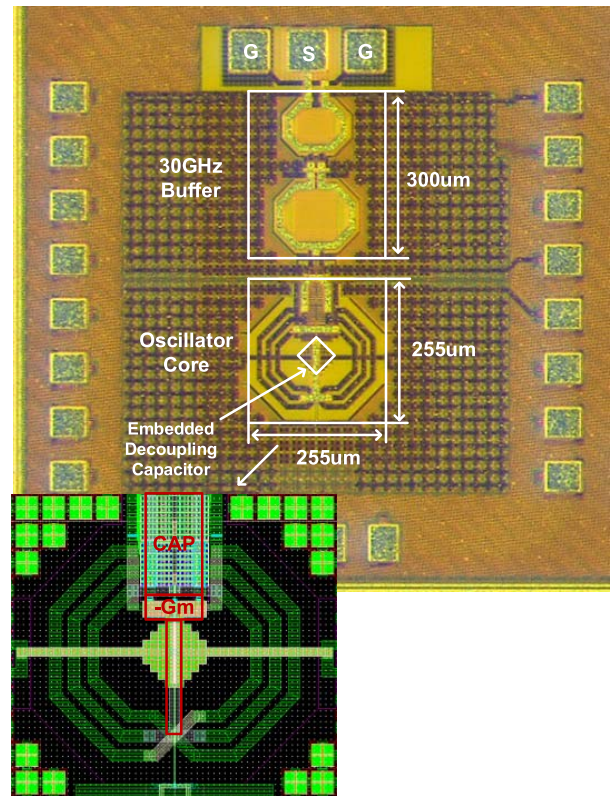


Fig. 11. Chip micrograph and zoomed-in view layout area of the embedded decoupling capacitor network.

that placing the embedded decoupling capacitor inside the coil may degrade the  $Q$ -factor. From the electromagnetic (EM) simulation, if the decoupling capacitor area is less than 25% of the coil's internal area, the degradation would be about 1 and



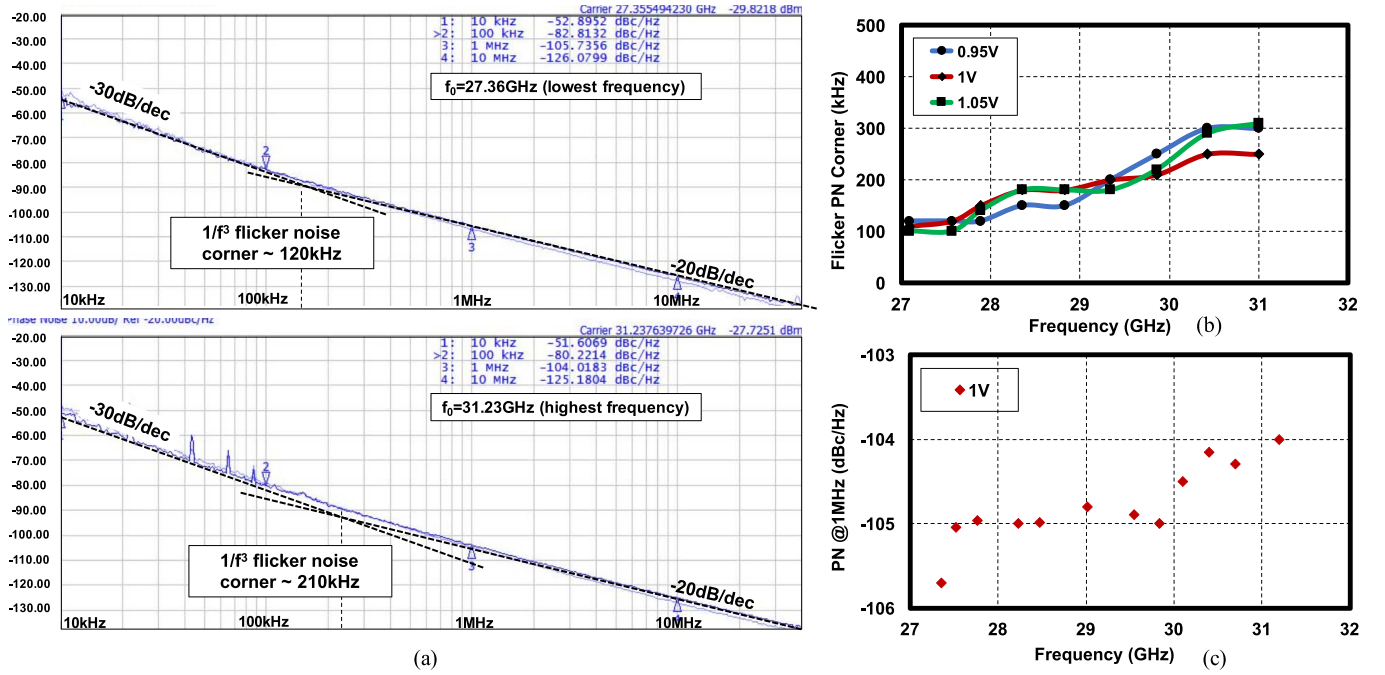


Fig. 12. (a) Measured PN plots at 27.36 and 31.23 GHz. (b) Measured flicker PN corner over tuning range (c) Measured PN @1-MHz over tuning range

without affecting the inductance and coupling factor. On the other hand, the embedded decoupling capacitor should still be large enough to provide the short path for the CM current, which is 15 pF in this case. According to simulations using the circuit model in Fig. 5,  $X_2$  is about 1.44 to get the 3rd harmonic resonance. It means

$$\frac{C_{S,\min}}{C_{P,\min}} = \frac{C_{S,\max}}{C_{P,\max}} = \frac{\Delta C_S}{\Delta C_P} = X_2. \quad (14)$$

Two 6-bit single-ended capacitor banks  $C_{P,se}$  and  $C_{S,se}$  are designed for the primary and secondary banks (shown in Fig. 10), in which  $\Delta C_P = 120.6$  fF and  $\Delta C_S = 173.4$  fF. The quality factor of the capacitor banks is about 30 at 10 GHz, thus facilitating the overall high  $Q$ -factor of the tank. A fixed differential capacitor of  $0.5C_{P,diff}$  ( $=70$  fF) is put in the primary tank, to enable the 2nd harmonic resonance when the sw-cap control word is in the middle ( $=32$  for the 6-bit code), which makes  $X_1$  about 0.65. For a more accurate control of the CM resonance, the fixed differential capacitor can be replaced with several bits of the differential capacitor bank [8].

### C. Third-Harmonic Extraction—Two-Stage 30-GHz PA

As shown in Fig. 5, a two-stage 30-GHz PA is used to boost the 3rd harmonic signal and to suppress the fundamental and 2nd harmonics [5], [20]. The passive ac-coupling circuit ( $R_{ac}$  and  $C_{ac}$ ) is inserted between the oscillator and PA.  $C_{ac}$  is chosen  $\sim 10\times$  of the input capacitance of 1st stage PA, and  $R_{ac}$  ( $=50$  k $\Omega$ ) is chosen large enough to make the corner frequency much lower than the oscillator output frequency to ensure low coupling losses. Two 1:1 transformers (T2 and T3) vertically stack two one-turn inductors (M9 for the primary coil, and AP for the secondary coil). They are used for coupling between the 1st and 2nd stages, and also to the ground-signal-ground (GSG) PAD (see Fig. 11). To decrease the insertion

loss in each transformer for the given frequency (i.e., 30 GHz), the diameter of the transformer's coils is optimized for high  $Q$ -factor. With the help from EM simulations, the diameters of T2 and T3 are chosen around 120  $\mu\text{m}$ . Two tuning capacitors ( $C_{tune1} = 20$  fF and  $C_{tune2} = 60$  fF) are used to make the resonant frequency of the 1st and 2nd tank stages equal and around 30 GHz in order to boost the 3rd harmonic oscillator output and to suppress its fundamental. On the other hand,  $R_b$  is used to further suppress the 2nd harmonic within the PA. The  $C_{n1}$  ( $=8$  fF) and  $C_{n2}$  ( $=16$  fF) are the neutralization capacitors to cancel the Miller effect at the input stage, improving the PA's stability.

## IV. EXPERIMENTAL RESULTS

The prototype of the proposed 30-GHz frequency generation stage comprising the 10-GHz class-F<sub>23</sub> oscillator and the two-stage 30-GHz PA is fabricated in TSMC 28-nm low power CMOS. The chip micrograph is shown in Fig. 11 and it occupies a core area of 0.15 mm<sup>2</sup>. While the power supply line is fed into the embedded decoupling on the left- and right-hand sides, the CM inductance is fed directly right near the source of cross-coupled pair as shown in the zoomed-in layout of the proposed transformer. Thus, the CM return path is well defined.

To verify the proposed technique, PN is evaluated using an Agilent E5052B signal source analyzer (SSA) and a 11970A harmonic mixer. The measured tuning range (TR) is from 27.3 to 31.2 GHz (14%) and Fig. 12(a) shows the measured PN at 27.3 and 31.2 GHz. At the 27.3-GHz carrier, drawing 12 mW from 1-V supply of the main oscillator, it achieves  $-82.8$  and  $-126$  dBc/Hz at 100 kHz and 10 MHz offsets, respectively. The supply pushing is only 37 MHz/V which is in line with recently published best-in-class reports. Fig. 12(b)

TABLE I  
PERFORMANCE COMPARISON WITH STATE-OF-THE-ART RF AND mmW OSCILLATORS

	JSSC'17 [8]	JSSC'13 [6]	JSSC'16 [7]	JSSC'13 [16]	ESSCIRC'15 [17]	ESSCIRC'15 [15]	JSSC'16 [5]	A-SSCC'15 [10]	<b>This Work</b>	
Feature	Implicit Resonan.	Drain Resistances	Implicit Resonan.	Class-F	Class-B	Tail Resonance	Class-F	Tail Resonance	Implicit Resonance in Class F <sub>23</sub> and Explicit Common-Mode Return Path	
Technology (nm)	28	65	40	65	28	65	65	28	<b>28</b>	
V <sub>DD</sub> (V)	0.9	1.2	1.0	1.25	0.9	1.0	0.7/1.0	0.9	<b>1.0</b>	
Tuning Range (%)	27	18	25	25	32	10	25	17	14	
Core Area (mm <sup>2</sup> )	0.19	0.08	0.13	0.13	0.13	0.2	0.13	N/A	0.15	
Freq. (GHz)	3.3	3.3	7	7.4	12.7	27.5	57.8	23.5	27.3	31.2
Power (mW)	6.8	0.72	10	15	8.3	23*	24*	7.2	12/22*	13/23*
Phase	100k	-106	-94	-102	-98	-80	-72	-72	-74	-83
Noise	1M	-130	-114	-124	-125	-107	-100	-100	-102	-106
(dBc/Hz)	10M	-150	-134	-144	-147	-132	-126	-122	-127	-126
FoM**	100k	-188	-186	-189	-184	-172	-167*	-173*	-172	<b>-181/-178*</b>
(dBc/Hz)	1M	-192	-186	-191	-191	-179	-177*	-181.5*	-180	<b>-184/-181*</b>
	10M	-192	-186	-191	-193	-184	-181*	-183.7*	-186	<b>-184/-181*</b>
1/f <sup>3</sup> Corner (kHz)	200	20	130	700	4000	1200	1000	3000	<b>120</b>	<b>210</b>
Freq. Pushing (MHz/V)	N/A	15@1.2V	23@1V	50@1.25V	N/A	N/A	N/A	N/A	37@1V	

\*Including power consumption from frequency multiplier/first-stage buffer, \*\*FoM =  $PN - 20\log(f_{\text{osc}}/f_{\text{offset}}) + 10\log(P_{\text{DC}}/1\text{mW})$

shows measured flicker noise corner across the TR and at three supply levels: 0.95, 1, and 1.05 V. It increases almost monotonically from 100 to 300 kHz across the TR and is quite stable over voltage changes. The measured PN at 1-MHz offset is shown in Fig. 12(c). Table I compares this paper with other flicker-noise-aware designs (albeit at single gigahertz) as well as mmW CMOS oscillators. The techniques using tail filtering improve PN performance in the far-out  $1/f^2$  region [10], [15], but fail to maintain the same PN FoM at 1-MHz offset which can deteriorate the system data rate. The 3rd harmonic peak-to-peak output swing of the 1st stage buffer in the proposed circuit is  $\sim 2$  V, consuming  $\sim 10$  mW. Additional stage of buffers can help further suppress the fundamental harmonic, and deliver enough power to the load [5]. The proposed oscillator achieves 120 and 220 kHz flicker noise corners at 27.3- and 31.2-GHz carriers, respectively, which confirms validity of the proposed approach. This is the lowest  $1/f^3$  corner reached among the  $>10$ -GHz oscillators, which usually report  $>1$  MHz, and comparable to those oscillators with flicker-noise-aware designs but at much lower frequencies [7], [8]. To the best of authors' knowledge, the proposed oscillator achieves the best PN FoM at 100-kHz offset while maintaining competitive FoM at 1 MHz across its TR when compared with  $>10$ -GHz oscillators.

## V. CONCLUSION

A 30-GHz frequency generation stage using a 3rd harmonic extraction and a 2nd/3rd harmonic tuning is proposed. A new 2nd harmonic resonance technique with careful consideration and control of parasitics in the CM current return path results in state-of-the-art performance with an order-of-magnitude reduction in flicker noise among  $>10$ -GHz oscillators. The

proposed simulation method of periodically modulated rms value of flicker current noise  $I_{1/f,\text{rms}}(t)$  and effective non-normalized ISF for flicker noise  $h_{\text{eff}}(t) [= h_{\text{DS}}(t) \times I_{1/f,\text{rms}}(t)]$  are instrumental in the first-ever numerical verification of flicker noise reduction mechanism using 2nd harmonic resonance. This further provides a powerful tool to quantitatively study other low-flicker noise oscillator topologies.

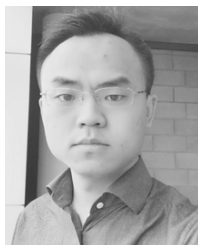
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