

# Guest Editorial: Automated Machine Learning

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## 1 INTRODUCTION

NOWADAYS the success of decision support systems crucially relies on human experts involved in all systems design stages. Commonly, humans take critical decisions that include: converting real world problems into machine learning problems, data collection, feature engineering, selecting or designing model architectures, tuning model hyper-parameters, evaluating model performance, deploying on-line systems, and so on. The complexity of these tasks, which is often beyond non-experts, together with the rapid growth of applications, have motivated a demand for off-the-shelf AI and machine learning methods that can be used easily and without expert knowledge. In the context of machine learning, the research area that targets progressive automation of machine learning is called AutoML (Automated Machine Learning) [1]. This broad definition comprises automation at different levels: from the adjustment of parameters or hyperparameters in fixed models, to the automated design of learning pipelines and deep learning architectures (see Liu *et al.* for a taxonomy of AutoML [2]).

AutoML solutions have been a dream for machine learning researchers for a long time [3]. Although this will remain a dream at least in the most general case (e.g., because of the NFL theorem), relaxed realizations of it have been successfully explored, see e.g., the surveys in [1], [4], [5], [6], [7], [8], [9], [10]. In fact, AutoML has been a very active research field in the last couple of years, spurred on by the organization of academic challenges<sup>1</sup> and workshops<sup>2</sup> on the subject. The increasing number of AutoML solutions available out there and the active and growing community working on this field show the time

1. <https://automl.chalearn.org/>

2. <https://www.automl.org/events/workshops/>

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is ripe for a compilation with the latest advances in AutoML. With that goal in mind we have edited a special section of the *IEEE Transactions on Pattern Analysis and Machine Intelligence* (TPAMI) on AutoML.

The scope of the special section comprised all aspects of AutoML, including model selection and hyperparameter optimization, neural architecture search, feature engineering, hyperheuristics, transfer and meta-learning and related topics. A total of 43 high quality submissions were received and every paper was subject to the standard TPAMI review process. In the remainder of this note we briefly summarize the contributions of the articles included in the issue.

## 2 THE AUTOML SPECIAL SECTION

This special section is formed by 15 articles of outstanding quality that together comprise a snapshot of cutting edge AutoML research. Table 1 summarizes the main characteristics of the accepted papers.

Among the accepted papers, those approaching Neural Architecture Search (NAS) prevailed. This is not surprising given the predominance of deep learning based methodologies across most fields associated to data analysis. In terms of NAS, X. Zhang *et al.* introduced a pruning based methodology, where complete blocks are associated with scaling factors among connections, these are subject to a sparse regularization to remove uninformative connections. Optimization is done directly on the target network and dataset [11]. In [12], authors propose an approximator of architectures that is differentiable for NAS, the method aims to approximate a supernet by sampling child architectures, optimizing the relative weights and connections [12]. M. Zhang *et al.* also adopt a supernet based approach to NAS, but they present a method based on constrained continual learning optimization that implement *ad-hoc* loss functions that alleviate the catastrophic forgetting problem [13]. Zheng *et al.* introduce MIGO-NAS: a NAS method where the search space is formulated as a multivariate probabilistic distribution and it is optimized by a multivariate information-geometric optimization (MIGO) method [14]. The method is highly competitive and very efficient. Xu *et al.* [15] introduce a partially connected version of Differentiable Architecture Search (DARTS [26]). Channel connections are randomly removed trying to accelerate the search process and make the solution more robust to overfitting. Also, input features are downsampled aiming to eliminate spatial redundancy. Two approaches for transferring architectures in NAS are presented in [16] and [17]. Lu *et al.* optimize a supernet for NAS based on an archive

TABLE 1  
Overview of Articles Included in the AutoML Special Section

Ref.	Problem approached	Model, optimizer, main contribution	Considered datasets, performance
[11]	Single shot NAS	Direct sparse optimization, regularized scaling factors associated to connections	CIFAR- 2.74% — ImageNet 25.4% (< 600M FLOPS)
[12]	NAS	Differentiable architecture approximation with ensemble gumbel softmax	CIFAR-10: 2.53% — 24.9% (< 600M FLOPS)
[13]	Single shot NAS	Constrained continual learning	CIFAR-10: 2.59% — CIFAR-100: 16.69% — ImageNet: 25.5% — NAS201 — (< 600M FLOPS)
[14]	NAS	Multivariate information-geometric optimization	CIFAR-10: 2.39% (1.5 GPU hrs)— ImageNet: 21.7 (96 GPU hrs)
[15]	NAS	Optimization of partially connected channels and spatial reduction of features.	CIFAR-10: 2.55% (0.07 GPU days)— ImageNet: 24.1% (2.8 GPU days)— MS.COCO: mAP: 28.8
[16]	NAS	Optimization of a supernet throughout an archive of subnets optimized with many objective evolutionary algorithms	CIFAR-10: 1.6%* — ImageNet: 22.5%*
[17]	NAS	Parameter mapping for architecture transfer	MS-COCO mAP: 24 (4.4M parameters)
[18]	NAS for facial PAD	Tailored search space for the PAD problem	State of the art performance on PAD
[19]	HPO - Network pruning	New additive tree-structured covariance function for HPO.	Synthetic functions, model compression/pruning
[20]	AutoML for multi-label classification	Variety of AutoML extensions for multi-label classification	Multi-label classification datasets
[21]	Prediction of run time performance	Supervised learning pipelines	Benchmark regression and classification datasets
[22]	AutoML for life long learning	AutoML frameworks are extended with mechanisms for dealing with concept drift	Benchmark datasets for learning under concept drift
[23]	AutoML for tabular data and NAS	Auto-PyTorch / variety of AutoML methodologies	Benchmark tabular data and NAS201 benchmark
[24]	AutoML for life long learning	Life long anchors for full pipeline construction	Benchmark data and AutoDL challenge data
[25]	AutoML for life long learning	Analysis of solutions to the AutoDL challenge	Benchmark data and AutoDL challenge data

NAS: Neural Architecture Search; HPO: Hyperparameter Optimization; PAD: Presentation Attack Detection. Performance is not comparable to that reported in the other references.

of subnets that are optimized with a many objective evolutionary algorithm [16]. Fang *et al.* introduce a parameter remapping technique for transferring (expanding) architectures pretrained on ImageNet to other tasks like object detection and pose estimation [17]. Last but not least, Yu *et al.* introduce a NAS methodology tailored to face spoofing detection that considers a search space ad-hoc for the task [18].

Articles that are part of the special section and do not deal with NAS approached quite diverse problems. Ma *et al.* propose an additive tree-structured covariance function in the context of Bayesian optimization for hyperparameter optimization (HPO). The proposed method improves the sample-efficiency of the associated Gaussian process when optimizing a black box function [19]. Wever *et al.* provide an overview of AutoML in the context of multi label classification. The authors reviewed relevant literature and conducted an experimental evaluation of AutoML methods adapted to the multi label classification setting [20]. Mohr *et al.* present a comprehensive study on predicting run time performance under different AutoML settings focusing on supervised learning pipelines [21]. Celik *et al.* present an experimental evaluation of different strategies to deal with evolving data using a variety of established AutoML frameworks. The study shows the relevance of incorporating this type of mechanisms when dealing with datasets affected by concept drift [22]. Zimmer *et al.* describe Auto-PyTorch, a framework for AutoML that allows the optimization of both architectures and hyperparameters of networks [23]. Auto-PyTorch implements cutting edge AutoML mechanisms and introduces a benchmark for studying multi-fidelity

optimization. Experiments are reported mostly on tabular data, although authors also report results on the NAS-Bench 201. Two accepted articles were associated to the AutoDL challenge. On the one hand, Tang *et al.* proposed an AutoML method for full pipeline optimization that relies on life long anchors (prototypical solutions that have been explored in the past). Optimization is performed using an evolutionary algorithm. This solution achieved the best results in the AutoDL challenge [24]. On the other hand, Liu *et al.* describe the design of the AutoDL challenge and provide an extensive analysis of solutions [25].

### 3 DISCUSSION

The special section is a compilation of outstanding contributions on AutoML. The compilation is representative of the trends and recent progress in the field. Based on the accepted papers and on the received submissions we have identified the following topics that will be decisive in the next few years:

- Architecture transfer in NAS: Meta-learning and transfer of architectures with NAS is a trending topic that will have a significant impact in the next few years.
- Benchmarking and reproducibility in NAS and AutoML in general: Although there are several benchmarks and platforms for the comparison of NAS methodologies (e.g., NAS201, NAS301), NAS contributions are still difficult to compare because the evaluation using such resources is still scarce.
- Task-specialized AutoML: While the main goal of NAS is to generalize across domains, NAS

methodologies tailored to specific domains (see e.g., [18]) could achieve better performance by incorporating domain knowledge.

- Explainability in AutoML: HPO, NAS and AutoML in general can be seen as black-box methods mostly, explainability and interpretability can make these methods more reliable for the final user, widespreading their usage.
- Interactive AutoML: Related with the preference of white/gray box models, interactive AutoML could lead to better solutions and the widespread usage of these methods in practice.
- AutoML in other ML processes: AutoML has been studied in the context of a wide variety of problems and domains. However, the focus so far has been on supervised learning and based on performance optimization, expanding AutoML to other tasks, and processes like feature engineering, clustering, semi-supervised learning etc. is a promising venue for research.

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