

# A Pragmatic Framework for Assessing Learning Outcomes in Competency-Based Courses

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**Abstract—Contribution:** A competency assessment framework that enables learning analytics for course monitoring and continuous improvement. Our work fills the gap in systematic methods for competency assessment in higher education.

**Background:** Many institutions are shifting toward competency-based education (CBE), thus encouraging their educators to start evaluating their students under this paradigm. Previous research shows that structured assessment models are fundamental in guiding educators toward this adoption.

**Intended Outcomes:** An assessment model for CBE that is easy to adopt and use, while facilitating the application of learning analytics techniques.

**Application Design:** The new framework considerably extends a prior model we proposed three years ago. Two engineering competency-based courses used the framework for assessment. Assessment rubrics were prepared and used for evaluating and collecting the students' data progressively, thus enabling the use of learning analytics for decision-making.

**Findings:** Thanks to the model: 1) students received a detailed report of their achievements, including a thorough explanation and justification of the evaluation criteria and 2) instructors could improve the course and provide objective evidence of their actions to quality assurance agencies. As a result, the framework is presently being used in 15 courses taught at eight different university degrees at the Pontifical Catholic University of Valparaíso (PUCV).

**Index Terms—**Assessment, competency, engineering education, learning outcome.

## I. INTRODUCTION

IN THE last years, academic institutions all over the world have declared their conversion toward a competency-based education (CBE) model [1], [2], [3], [4], [5]. In CBE, unlike traditional education, students must not only acquire the disciplinary theory (*knowledge*) but also the skills and attitudes

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(*experience*) necessary to cope with real-world working problems [6], [7], [8], thus promising great benefits. Nevertheless, despite the long time this teaching model has been in the education system, its practical implementation still brings a variety of complexities that undermine its effectiveness and success. Without intending to make an exhaustive review of the subject, some arguments that support this statement are provided as follows.

A literature review on the use of CBE in engineering higher education [9] sought to identify the existing gaps toward a successful implementation. One of the gaps detected was the little consensus regarding how study programs should be structured and how competencies should be evaluated. The study also concluded that educators usually differ in their definitions of CBE, particularly in what constitutes competency mastery and what is considered an appropriate assessment.

An example of the consequences of the above was reported in [10]. The study aimed to determine if the changes resulting from the conversion to a CBE model reached a practical level by analyzing teachers' conceptualizations of their teaching procedure. The research concluded that teachers had not internalized their role in CBE and that traditional education models still prevailed. Although teachers are critical to the success or failure of changes as important as this, they had not understood the CBE fundamentals in-depth nor their specific role as a catalyst. The teaching staff's worries about their own competency in CBE were previously documented in [11] and [12].

Curricula are typically defined at different abstraction levels, e.g., the global educational framework in a country (*macro-curriculum*), the career programs in each university (*meso-curriculum*), and the content and assessment plans of each subject in a career (*micro-curriculum*). Unfortunately, the actual implementation of learning outcomes and competencies at micro-curricular level often stray from their macro-curricular/meso-curricular theoretical designs [12], [13]. Thus, new methodologies are needed to keep the CBE design consistent at all abstraction levels [14].

It is widely known in academic management that higher education institutions undergo frequent accreditation processes and updates to improve their curriculum and teaching methods, as well as to maintain their educational standards. To ensure quality, universities worldwide rely on external *Quality Assurance Agencies* for Higher Education to review their internal processes [15]. These agencies strictly monitor the fulfillment of graduate profiles according to established quality standards. However, universities face challenges in providing

concrete evidence that their curriculums are being rigorously implemented, particularly in CBE programs [16]. Therefore, accountability is crucial for universities to keep their accreditation and show their commitment to quality education [17].

The current solutions to these problems seek, on the one hand, to create procedures to design CBE study plans whose macro/meso-curricular structures are aligned with the micro-curricular level [18] and, on the other hand, to develop standardized mechanisms for students' assessment in these courses [19]. The latter is a key point to overcome the difficulties of implementation since, if teachers correctly understand how to evaluate in CBE, the model's chances of success increase significantly since it is where the macro-curricular design is materialized.

Assessment in CBE is the measurement of students' competency against a standard of performance [20]. Operationally, it is a process of collecting evidence to analyze students' progress and achievement. The literature suggests that CBE assessment practices differ widely among universities, and little work has focused on identifying best practices [21]. Also, a variety of ad-hoc software tools [22], [23], [24], [25], [26] have been developed to facilitate the evaluation of students in the competency-based model.

This article complements related work by providing a uniformized and systematic way to assess students in CBE courses. Our approach generality has been tested over the last seven years in multiple courses at the Pontifical Catholic University of Valparaiso (PUCV), Chile. As a result, PUCV is currently fostering the usage of our assessment framework in all its courses.

Our framework offers the following contributions.

- 1) *Contribution 1*: A systematic way to design competency-based courses and their evaluation strategies.
- 2) *Contribution 2*: Straightforward and pragmatic guidance for teachers to make the most of CBE concepts.
- 3) *Contribution 3*: Evidence on students' competency achievement, which is typically required by *Quality Assurance Agencies*.
- 4) *Contribution 4*: Support for learning analytics that help to monitor and improve the courses.
- 5) *Contribution 5*: A decompositional structure that can encompass all curriculum levels, thus supporting curriculum analysis and consistency checking at all levels.
- 6) *Contribution 6*: A procedure for lowering the CBE adoption barrier, helping to convert traditional grades into competency grades with a CBE-based pedagogical sense.

The remainder of this article is organized as follows. Section II presents our competency assessment framework. Section III illustrates its application in two engineering courses. Section IV summarizes and discusses our framework's benefits and drawbacks. Finally, Section V provides some concluding remarks.

## II. PRAGMATIC CBE ASSESSMENT MODEL

Our Competency Assessment and Monitoring (C-A&M<sup>+</sup>) framework supports: 1) specifying how students are evaluated,

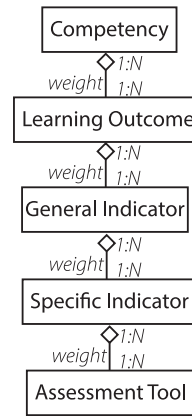


Fig. 1. C-A&M<sup>+</sup> metamodel.

making explicit the rationale behind it in terms of competencies and learning outcomes, and providing students with detailed rubrics that justify their results and 2) tracking students' achievements to analyze the course as a whole thus enabling its improvement.

### A. Students' Evaluation

Fig. 1 shows the *metamodel* [27] of C-A&M<sup>+</sup>, which is an evolution of the prior C-A&M framework we presented in [28]. When C-A&M<sup>+</sup> is instantiated for a particular course, abstract elements are subsequently decomposed into more concrete elements, thus facilitating the top-down design of the course through stepwise refinements [29]. Decompositions have associated weights that account for the percent contribution of each subelement (i.e., for their relative importance). These weights support the bottom-up assessment calculation of abstract elements by aggregating the assessments of more concrete elements through weighted averages. For instance, let us imagine that an element  $X$  is composed by three others ( $A$ ,  $B$ , and  $C$ ), with weights 20%, 30%, and 50%, respectively. Then,  $X$ 's assessment value would be inferred from the assessment values of  $A$ - $C$ 's as  $X = 0.2A + 0.3B + 0.5C$ .

In C-A&M (our framework previous version), the assessment model described the need to create concrete assessment indicators associated with the Learning Outcomes ( $LO_s$ ) so that these are afterward collected from the Assessment Tools ( $AT_s$ ). However, this relationship was not explicitly defined, leaving the responsibility to the course teaching staff to do it. C-A&M<sup>+</sup> provides a structured methodology to define such indicators and how these can be strategically used to create *assessment rubrics* for  $AT_s$ . This is a key point of C-A&M<sup>+</sup> because the evaluative cycle closes just here, when students receive their marks objectively assessed through rubrics used by teachers to provide the corresponding feedback.

The indicators are decomposed into two levels: General Indicators ( $GI_s$ ) and *Specific Indicators* ( $SI_s$ ). A  $GI$  corresponds to the measurement of an intermediate learning level between an  $LO$  and an  $SI$ , whereas an  $SI$  is the direct measurement of a specific knowledge, skill, or attitude in the student.

TABLE I  
ASSESSMENT RUBRIC FOR AN  $AT$

Criteria	Percentage of achievement for each $SI$				
	Excellent	Very Good	Good	Fair	Insufficient
$GI_1$	100%	75%	50%	25%	0%
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$
$GI_n$	100%	75%	50%	25%	0%

Assessment rubrics for  $AT_s$  can be easily derived from the indicators decomposition. Table I shows a template for building an assessment rubric for an  $AT$  based on the information specified by a  $C$ -A&M<sup>+</sup> model. The “Excellent” column represents the full achievement of all  $SI_s$  of a particular  $GI$ , and so, the next columns represent the assigned score for the indicator in a decreasing manner.

### B. Course’s Evaluation

$C$ -A&M<sup>+</sup> stores students’ achievements at different abstraction levels, thus supporting the further analysis of the entire course from diverse perspectives to identify its strengths and weaknesses. For example, imagine the instructor wants to test if a course innovation introduced this year positively affects a particular competency  $C$ . As  $C$ -A&M<sup>+</sup> gathers all students’ achievements in terms of competencies and learning outcomes, the instructor can summarize  $C$  results and compare them with prior years. Section III exemplifies how to do this kind of analysis.

## III. EXAMPLES OF COURSES ASSESSED WITH $C$ -A&M<sup>+</sup>

This section illustrates the use of  $C$ -A&M<sup>+</sup> with two engineering courses taught at PUCV.

### A. Automatic Control Course

$C$ -A&M<sup>+</sup> was applied to a compulsory course of the *Automatic Control Course*, allocated in the sixth semester of the Electronic Engineering master’s degree at the PUCV Faculty of Engineering. Every year, around 90–100 students are enrolled in a 16-week course that covers the main topics of linear control systems. The lectures are 4 h per week and are delivered to the entire group of students. Following the lectures, students participate in weekly simulation sessions (2 h per week) in pairs, where they apply the control theory they learned using specialized software tools. The course focuses on the main control topics.

*Unit 1:* Introduction to automatic control.

*Unit 2:* Time response and performance specifications.

*Unit 3:* Control system analysis (time domain).

*Unit 4:* Control system analysis (frequency domain).

*Unit 5:* Design of classical controllers.

*Unit 6:* State-space control.

*Defining How Students are Assessed:* The current graduate profile of the study program promotes a total of 17 competencies ( $C_s$ ). In particular, the *Automatic Control Course* contributes to developing two of them, which are described as follows.

The student . . .

$C_1$  integrates knowledge of basic and engineering sciences to identify, analyze, and solve problems in the discipline.

$C_2$  models and simulates processes to represent their behavior, optimize their parameters, and improve their operating conditions.

The starting point for applying the assessment model is to define the learning outcomes seeking to connect the contents of the course with the competencies. In this case, the following three learning outcomes were defined.

The student will be able to . . .

$LO_{1.1}$  apply methodologies of control system analysis to solve discipline problems.

$LO_{1.2}$  apply methodologies of control system design to solve discipline problems.

$LO_{2.1}$  model and simulate control systems to solve discipline problems.

Equation (1) summarizes  $C_s$ ’ decomposition into  $LO_s$ .  $C_1$  is developed with  $LO_{1.1}$ , which is related to the control system analysis (units 1–4), and  $LO_{1.2}$ , which is associated with the control systems design (units 5 and 6). Both learning outcomes contribute with the same weight (50%) to the competency. Likewise,  $C_2$  is developed with  $LO_{2.1}$ , which is related to the control system modeling and simulation. In this case, this single learning outcome contributes fully (100%) to the corresponding competency

$$\begin{aligned} C_1 &= 0.5LO_{1.1} + 0.5LO_{1.2} \\ C_2 &= LO_{2.1}. \end{aligned} \quad (1)$$

Subsequently, for each  $LO$ , a set of assessment indicators are defined. In this case, a one-to-one assessment strategy was applied, e.g.,  $LO_{1.1} = AT_1$ .

$AT_1$  and  $AT_2$  correspond to traditional written tests (individual), while  $AT_3$  is a simulation-based homework (in groups of two persons). Tables II–IV outline the general and specific indicators for  $AT_1$ – $AT_3$ .

*Analyzing Students’ Outcomes for Course Improvement:*  $C$ -A&M<sup>+</sup> was first implemented in the 5th edition of the Automatic Control course in 2016. It has proven to be useful for keeping students informed about their grades and evaluating their performance based on competencies and learning outcomes. Additionally, it has helped teachers monitor the progress of the course over time by measuring, recording, and tracking students’ academic performance. This information is then used to implement corrective actions that can improve the attainment of competencies in future courses.

Fig. 2 displays a boxplot that illustrates the progress of students’  $C_1$  fulfillment in five consecutive course editions, from 2016 to 2018. On average, there were 60.4 students per course. It is worth noting that in the Chilean university system, grades are ranked from 1 to 7, 4 being the minimum score required to pass the course.

Figs. 2–8 show a highlighted red line that indicates the boundary between the successful and unsuccessful achievement of competencies, learning outcomes, indicators, etc. The

TABLE II  
INDICATORS AND WEIGHTS FOR AT<sub>1</sub> (Automatic Control Course)

LO	GI description	%	SI description (The student ...)	%
LO <sub>1.1</sub>	GI <sub>1</sub> : Analysis of the time response and performance specifications	20	SI <sub>1</sub> : Determines the transient response specifications	10
			SI <sub>2</sub> : Determines the steady-state error	10
	GI <sub>2</sub> : Control system analysis by using control diagrams (rlocus/bode/nyquist)	50	SI <sub>3</sub> : Determines and plots the root-locus	30
			SI <sub>4</sub> : Determines phase and gain margins	20
			SI <sub>5</sub> : Interprets the transient response	5
	GI <sub>3</sub> : Interpretation and validation of results obtained from control system analysis.	30	SI <sub>6</sub> : Interprets the steady-state response	5
			SI <sub>7</sub> : Interprets stability from rlocus diagrams	10
			SI <sub>8</sub> : Interprets stability from phase and gain margins	10

TABLE III  
INDICATORS AND WEIGHTS FOR AT<sub>2</sub> (Automatic Control Course)

LO	GI description	%	SI description (The student ...)	%
LO <sub>1.2</sub>	GI <sub>1</sub> : Analytical design of controllers	60	SI <sub>1</sub> : Designs phase lead/lag controllers	20
			SI <sub>2</sub> : Designs PID controllers	20
			SI <sub>3</sub> : Designs state-space controllers	20
	GI <sub>2</sub> : Analytical validation of controllers	40	SI <sub>4</sub> : Validates the design of phase lead/lag controllers	15
			SI <sub>5</sub> : Validates the design of PID controllers	15
			SI <sub>6</sub> : Validates the design of state-space controllers	10

TABLE IV  
INDICATORS AND WEIGHTS FOR AT<sub>3</sub> (Automatic Control Course)

LO	GI description	%	SI description (The student ...)	%
LO <sub>2.1</sub>	GI <sub>1</sub> : Deployment of simulations of control systems	20	SI <sub>1</sub> : Configures the simulation parameters	5
			SI <sub>2</sub> : Deploys and runs control system simulations	15
			SI <sub>3</sub> : Time-domain control system analysis by simulations	15
	GI <sub>2</sub> : Analysis of control systems by simulation tools	40	SI <sub>4</sub> : Frequency-domain control system analysis by simulations	15
			SI <sub>5</sub> : Interprets simulation plots	10
	GI <sub>3</sub> : Design and validation of controllers by simulation tools	40	SI <sub>6</sub> : Uses simulations to support the design of controllers	20
			SI <sub>7</sub> : Validates the design of controllers by simulations	20

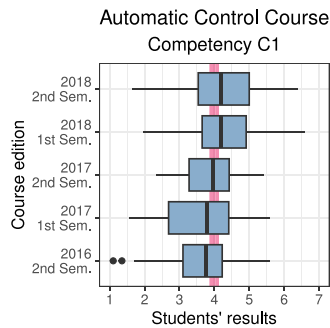


Fig. 2. Students' results for C<sub>1</sub> (Automatic Control Course).

teachers were deeply concerned about the low competency achievement in the initial course versions. For instance, in 2016 2nd sem., most students were unable to achieve C<sub>1</sub>.

C-A&M<sup>+</sup> has the advantage of supporting the transition between different assessment abstraction levels, which aids in identifying the root cause of an educational problem.

In Fig. 3, we can observe the progress of students with regards to LO<sub>1.1</sub> and LO<sub>1.2</sub>. It appears that LO<sub>1.1</sub> was the main factor contributing to the low achievement of C<sub>1</sub> in the second semester of 2016, as its median was only 3.4, while LO<sub>1.2</sub> had a median of 4.1. Therefore, teachers decided to focus on improving the teaching of LO<sub>1.1</sub>.

To achieve this, they made changes to the teaching materials for control system analysis and reorganized the course schedule to allow for more class time on LO<sub>1.2</sub>. This resulted

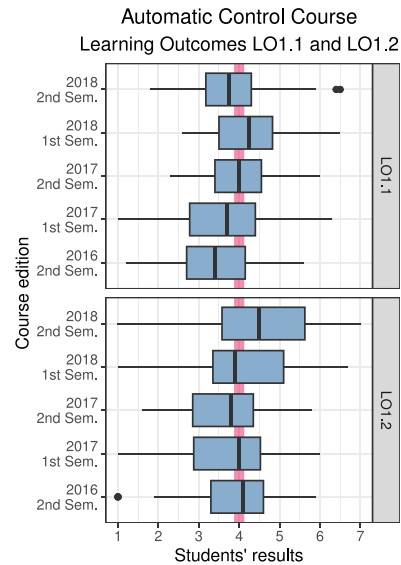


Fig. 3. Students' results for LO<sub>1.1</sub> and LO<sub>1.2</sub> (Automatic control course).

in a slight improvement in the grades of students concerning LO<sub>1.1</sub> during the first semester of 2017, but it was achieved at the expense of lower performance for LO<sub>1.2</sub> due to the reduced class time. As a result, the teachers then shifted their efforts toward improving the students' performance in LO<sub>1.2</sub>.

As depicted in Fig. 3, it appears that teachers have been facing challenges in finding the right balance between LO<sub>1.1</sub>



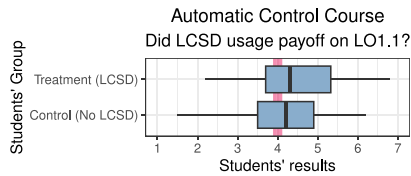


Fig. 4. LCSD effect on  $LO_{1.1}$  (*Automatic Control Course*).

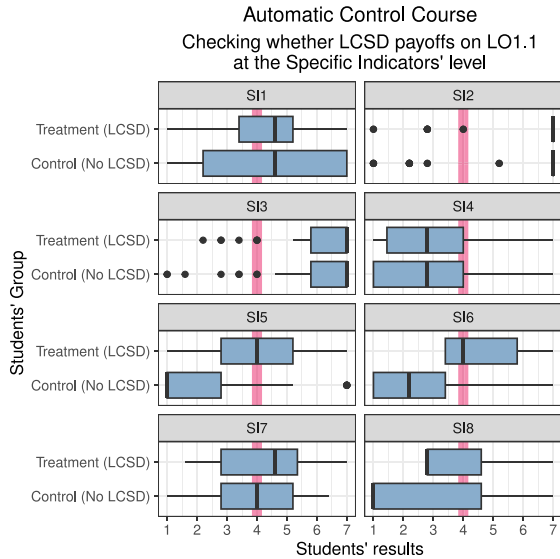


Fig. 5. LCSD effect on  $LO_{1.1}$  at the specific indicators' level (*Automatic Control Course*).

and  $LO_{1.2}$  throughout the multiple course editions. As a result, the actions taken by teachers have resulted in an accumulation effect in  $C_1$ , which has finally become noticeable in the first semester of 2018.

Fig. 4 shows another application scenario. In 2020, we evaluated the use of an interactive simulation tool called linear control system design (LCSD) [30], which is free and specifically designed for teaching the fundamentals of control engineering. In particular, we were interested in checking LCSD impact on  $LO_{1.1}$ . In order to evaluate the impact of LCSD on student performance, we observed two distinct groups: a *treatment* group that used LCSD in their course, and a *control* group that did not. Based on the data shown in Fig. 4, the treatment group appears to have slightly higher scores than the control group. Further analysis was conducted by examining the differences between the two groups at the Specific Indicators level, as shown in Fig. 5 and detailed in Table II. This analysis revealed that LCSD had an impact notably positive on  $SI_5$ ,  $SI_6$ , and  $SI_8$ .

### B. Control Laboratory Course

This section presents a *Control Laboratory Course*, which students take once the *Automatic Control Course* in Section III-A is passed. It is a 16-week course with 60–80 students per year. Lectures (2h per week to the whole group of students) cover the main topics about control applications from a practical point-of-view which are later applied in hands-on

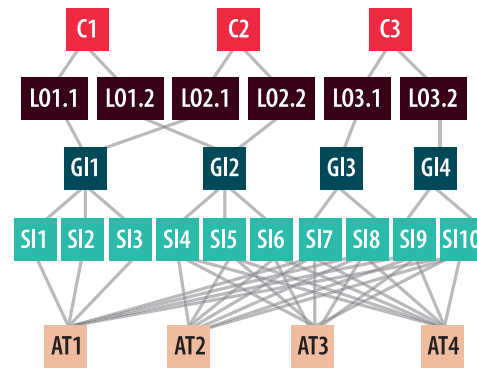


Fig. 6. C-A&M<sup>+</sup> model for the *Control Laboratory Course*.

laboratory sessions weekly (4 h per week in groups of 10–14 students distributed in groups of two persons depending on the available lab setups).

Each lab session addresses multiple knowledge, skills, and attitudes that students should demonstrate, with the division of topics between lab sessions being as follows.

*Lab 1:* Process modeling and identification.

*Lab 2:* Time response and performance specifications.

*Lab 3:* Analysis and design of controllers I.

*Lab 4:* Analysis and design of controllers II.

*Defining How Students are Assessed:* This course contributes to the following three competencies of the graduation profile:

The student · · ·

$C_1$  designs and conducts experiments to analyze and generate results related to the discipline.

$C_2$  models and simulates processes to represent their behavior, optimize their parameters, and improve their operating conditions.

$C_3$  communicates ideas clearly and coherently through their native language, into an academic context.

Competencies  $C_1$ – $C_3$  are decomposed into the following learning outcomes.

The student will be able to · · ·

$LO_{1.1}$  design and conduct experiments with the aim of collecting empirical data for process model identification.

$LO_{1.2}$  design and conduct experiments with the aim of collecting empirical data for controller adjustment purposes.

$LO_{2.1}$  use computational tools to support the process model identification and simulate its behavior.

$LO_{2.2}$  use computational tools to support the controllers' design process and simulate its performance.

$LO_{3.1}$  develop technical reports with an appropriate structure, using the typical vocabulary used on the subject matter.

$LO_{3.2}$  develop technical reports whose writing and use of grammar present appropriate quality levels.

Fig. 6 depicts the C-A&M<sup>+</sup> model for the *Control Laboratory Course*, thus providing an overview of the model decompositional relationships.

TABLE V  
INDICATORS AND WEIGHTS FOR AT<sub>1</sub> (Control Laboratory Course)

LO	GI description	%	SI description (The student correctly ...)	%
LO <sub>1.1</sub>	GI <sub>1</sub> : Design and execution of experiments	40	SI <sub>1</sub> : Designs experiments for process identification	10
			SI <sub>2</sub> : Conducts experiments to collect empirical data	20
			SI <sub>3</sub> : Arranges data for later use	10
LO <sub>1.2</sub>	GI <sub>2</sub> : Computational tools' usage for process modeling and simulation	30	SI <sub>4</sub> : Uses specialized software tools for process identification from data	10
			SI <sub>5</sub> : Simulates process behavior	10
			SI <sub>6</sub> : Validates the process model by using simulations	10
LO <sub>3.1</sub>	GI <sub>3</sub> : Report format and structure	10	SI <sub>7</sub> : Presents the applied theory according to the sections' structure proposed	5
			SI <sub>8</sub> : Presents figures, tables and equations according to the proposed format	5
LO <sub>3.2</sub>	GI <sub>4</sub> : Redaction and orthography	20	SI <sub>9</sub> : Presents a report with concise and clearly explained ideas	10
			SI <sub>10</sub> : Presents a report without grammatical mistakes	10

TABLE VI  
INDICATORS AND WEIGHTS FOR AT<sub>2</sub>, AT<sub>3</sub>, AND AT<sub>4</sub> (Control Laboratory Course)

LO	GI description	%	SI description (The student correctly ...)	%
LO <sub>2.1</sub>	GI <sub>1</sub> : Design and execution of experiments	40	SI <sub>1</sub> : Designs experiments for controller analysis and design purposes	10
			SI <sub>2</sub> : Conducts experiments to collect empirical data	20
			SI <sub>3</sub> : Arranges data for later use	10
LO <sub>2.2</sub>	GI <sub>2</sub> : Computational tools' usage for process control and simulation	30	SI <sub>4</sub> : Uses specialized software tools for controller design	10
			SI <sub>5</sub> : Simulates closed-loop control systems	10
			SI <sub>6</sub> : Validates a controller by using simulations	10
LO <sub>3.1</sub>	GI <sub>3</sub> : Report format and structure	10	SI <sub>7</sub> : Presents the applied theory according to the sections' structure proposed	5
			SI <sub>8</sub> : Presents figures, tables and equations according to the proposed format	5
LO <sub>3.2</sub>	GI <sub>4</sub> : Redaction and orthography	20	SI <sub>9</sub> : Presents a report with concise and clearly explained ideas	10
			SI <sub>10</sub> : Presents a report without grammatical mistakes	10

TABLE VII  
ASSESSMENT RUBRIC FOR AT<sub>2</sub>, AT<sub>3</sub>, AND AT<sub>4</sub> (Control Laboratory Course)

Dimension	Excellent	Very Good	Good	Fair	Insufficient
Design and execution of experiments	The student correctly performs the indicators: SI <sub>1</sub> , SI <sub>2</sub> , SI <sub>3</sub> (40 points)	The student achieves up to 75% of the required items	The student achieves up to 50% of the required items	The student achieves up to 25% of the required items	The student has not achieved this item at all
Computational tools' usage for process control and simulation	The student correctly performs the indicators: SI <sub>4</sub> , SI <sub>5</sub> , SI <sub>6</sub> (30 points)	The student achieves up to 75% of the required items	The student achieves up to 50% of the required items	The student achieves up to 25% of the required items	The student has not achieved this item at all
Report format and structure	The student correctly presents the indicators: SI <sub>7</sub> , SI <sub>8</sub> (10 points)	The student achieves up to 75% of the required items	The student achieves up to 50% of the required items	The student achieves up to 25% of the required items	The student has not achieved this item at all
Redaction and orthography	The student correctly presents the indicators: SI <sub>9</sub> , SI <sub>10</sub> (20 points)	The report has up to 2 badly written paragraphs and between 1 and 3 misspellings	The report has up to 4 badly written paragraphs and between 4 and 6 misspellings	The report has up to 5 badly written paragraphs and between 7 and 9 misspellings	The report has at least 6 badly written paragraphs and 10 or more misspellings

Equation (2) details the competency assessment calculations

$$\begin{aligned}
 C_1 &= \frac{1}{4}LO_{1.1} + \frac{3}{4}LO_{1.2} \\
 C_2 &= \frac{1}{4}LO_{2.1} + \frac{3}{4}LO_{2.2} \\
 C_3 &= \frac{1}{3}LO_{3.1} + \frac{2}{3}LO_{3.2}.
 \end{aligned}
 \tag{2}$$

Students are required to provide four technical reports (AT<sub>1</sub>-AT<sub>4</sub>) during the course, one for each lab module. Equation (3) describes AT<sub>s</sub>' contribution to LO<sub>s</sub>. Tables V and VI summarize the general and specific indicators for AT<sub>1</sub> and AT<sub>2</sub>-AT<sub>4</sub>, respectively

$$\begin{aligned}
 LO_{1.1} &= LO_{2.1} = AT_1 \\
 LO_{1.2} &= LO_{2.2} = \frac{\sum_{i=2}^4 AT_i}{3}
 \end{aligned}$$

$$LO_{3.1} = LO_{3.2} = \frac{\sum_{i=1}^4 AT_i}{4}. \tag{3}$$

Educators should grade AT<sub>s</sub> as objectively as possible. For that, *assessment rubrics* are typically used. They are important not only for grading but also for providing feedback to students after grading. In this sense, our assessment methodology also provides a way to create rubrics from the indicator tables easily. For instance, Table VII shows the assessment rubric defined for AT<sub>2</sub>, AT<sub>3</sub>, and AT<sub>4</sub> of the *Control Laboratory Course* based on Table VI. It must be noticed that LO<sub>1.2</sub> and LO<sub>2.2</sub> are fully evaluated with AT<sub>2</sub>, AT<sub>3</sub>, and AT<sub>4</sub> while LO<sub>3.1</sub> and LO<sub>3.2</sub> are evaluated just in part (notice that LO<sub>s</sub> related to C<sub>3</sub> are evaluated in all AT<sub>s</sub>). Similar rubrics for all AT<sub>s</sub> in both courses were created.

If educators systematically used assessment rubrics, learning analytics techniques could be applied based on them. Although educators often use rubrics manually, digital assessment

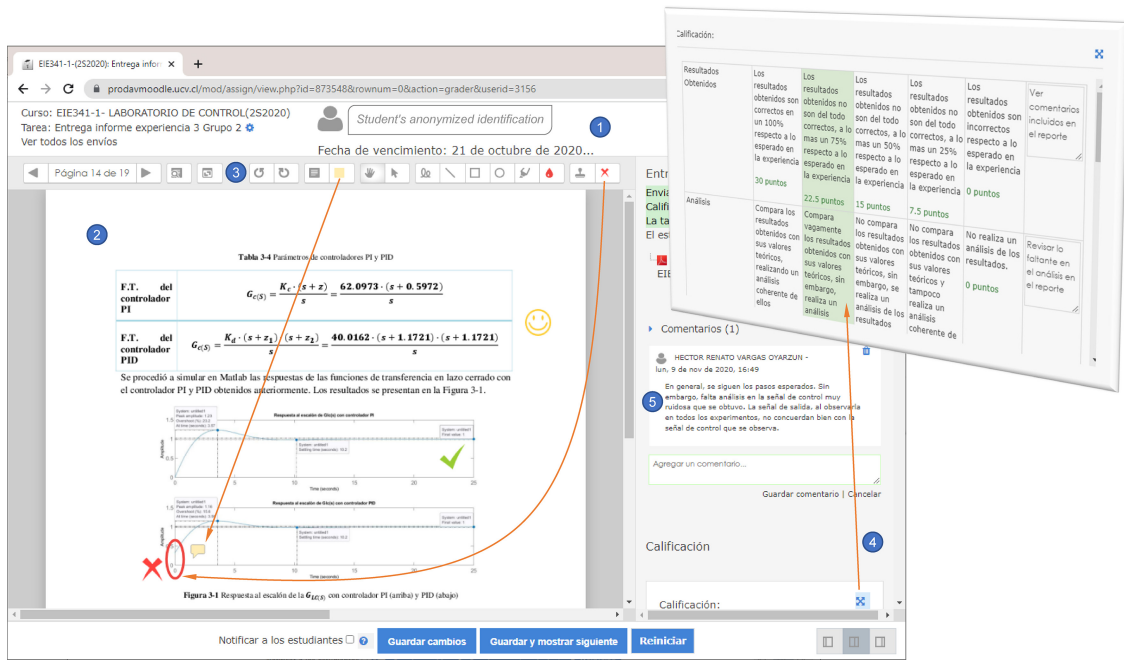


Fig. 7. Example of assessment rubric and its digital implementation into Moodle.

rubrics are available in most learning management systems (LMSs). Our university uses the widely known Moodle LMS, which supports rubric-based assessment [31]. Fig. 7 shows a grading process example with Moodle rubrics for a report of the *Control Laboratory Course*. This view is available when the instructor selects a particular *student's report* to be evaluated from the *students' grading table*. The header, highlighted as ①, offers contextual information about the course, the specific *AT* that is evaluated, the name and e-mail of the student under evaluation, and the date when the report was submitted. The report is deployed on the left lower corner ②, and the instructor can review it using the toolbar located at ③. From here, the instructor moves through the report's pages, uses the icon-type indicators, and writes comments to trace the student's performance. On the other hand, the assessment rubric is displayed as a table embedded in the lower right corner of the screen or displayed larger by clicking its expand-arrowheads icon, as shown at ④. For each criterion, the instructor selects an achievement level by clicking on the corresponding cell shaded in green color after selection. Additionally, the instructor can add comments and feedback files ⑤.

It is important to mention that, unless there is a valid reason, students should have access to a rubric preview beforehand, so they are aware of the criteria for evaluation. After the instructor has evaluated all reports, Moodle can be used to gather the assessment indicators for each student and *AT* for performing learning analytics, as explained in the following section.

*Analyzing Students' Outcomes for Course Improvement:* The boxplots in Fig. 8 compare students' achievements at the levels of competencies, learning outcomes, and assessment tools.

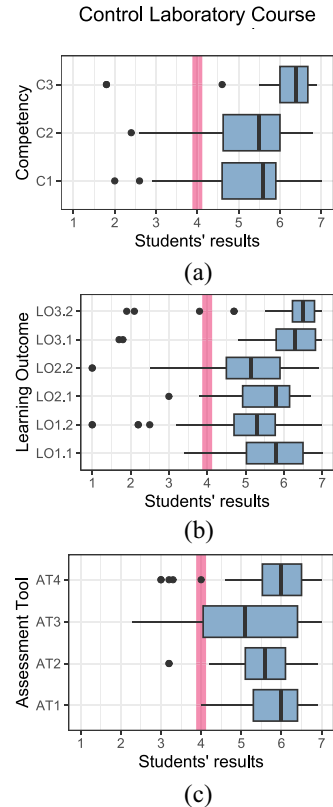


Fig. 8. Students' grades in the 2020 edition of the *control laboratory course* at the levels of (a) competencies, (b) learning outcomes, and (c) assessment tools.

A commonly mistaken idea regarding distance learning laboratory courses is that competencies cannot be fully achieved as students do not manipulate actual equipment directly. In

contrast, Fig. 8(a) shows that all course competencies were thoroughly achieved and with good performance. Moreover, the competencies concerning the theoretical and practical activities  $C_1$  and  $C_2$  show similar performance in both median and dispersion.

Obviously, if any learning outcome had been related to the direct contact with actual equipment or other practical issues only possible to be performed in situ, possibly this would not have been the case. In this sense, we think signal noise and disturbance emulation play a key role.

Finally,  $C_3$  also performed very well since the teachers frequently insisted on these aspects during the course.

Competency achievement can be examined in more detail by analyzing the learning outcome results (see Fig. 8(b)). For instance, students found that theoretical issues about controllers' design ( $LO_{1,2}$ ) were more complicated than process modeling and identification ( $LO_{1,1}$ ). This matches with what teachers expected given the  $AT_s$  difficulty. The same happens with the use of computational tools for such purposes, where a better performance in the usage of software tools for process modeling and identification ( $LO_{2,1}$ ) than controllers' analysis and design ( $LO_{2,2}$ ) is observed.

This examination shows teachers that extra effort must be put into the controllers' analysis and design for the subsequent course editions.

Finally, the assessment tool results reveal that students achieved lower performance in  $AT_3$  than in the other  $AT_s$ , which could pop up alarming flags. However, as described in Section III-B,  $AT_3$  and  $AT_4$  concern controllers' analysis and design. In  $AT_3$ , it is the first time that students encountered this challenge. As they gained experience, their performance improved in the next practical session  $AT_4$ .

#### IV. RESULTS AND DISCUSSION

Our work was initiated to assist Chilean universities in transitioning to CBE. This transition became particularly crucial after the National Accreditation Commission (CNA-Chile) published the "New Quality Criteria and Standards for Education" [32] on September 30th, 2021. These criteria and standards adopt CBE and are mandatory for all Chilean universities to comply with starting from October 1st, 2023.

Implementing a CBE program involves not only assessing students' competencies and learning outcomes, but also monitoring courses to ensure their proper implementation and continuous improvement. However, as far as we know, there is no systematic approach that covers both aspects. Therefore, we devised C-A&M<sup>+</sup>.

Seizing that this article's first author is the coordinator of the two courses mentioned in Section III, we have been using C-A&M<sup>+</sup> in them since 2016. As outlined in Section III and reported in more detail in [28], [30], [33], [34], [35], and [36], C-A&M<sup>+</sup> has proved its usefulness: students are provided with a comprehensive report of their accomplishments, including a detailed explanation and justification of all evaluation criteria. Additionally, instructors have the opportunity to monitor and enhance the course over time, as well as offer objective proof of their actions to quality assurance agencies.

These two successful pilot applications motivated PUCV to encourage the use of C-A&M<sup>+</sup> in all its courses. For the moment, C-A&M<sup>+</sup> is being used in the following 15 courses taught in eight different university degrees.

- 1) *All Engineering Programs*: Integral Development and Communication for Engineering (id. FIN100).
- 2) *Civil Biochemical Engineering (School of Biochemical Engineering)*: Food Processing Laboratory (id. ICB590).
- 3) *Civil Chemical Engineering, Civil Metallurgical Engineering, and Civil Mining Engineering (School of Chemical Engineering)*: Process Control (id. EIQ540).
- 4) *Civil Construction Engineering (School of Construction and Transportation Engineering)*:
  - a) Hydrology (id. ICC358).
  - b) International Trade and Transportation (id. TRA555).
  - c) Project Programming and Control (id. ICC458).
  - d) Urban Transport Management (id. TRA438).
- 5) *Civil Electrical Engineering, and Civil Electronic Engineering (School of Electrical Engineering)*:
  - a) Automatic Control (id. EIE315).
  - b) Circuit Theory 1 (id. EIE280).
  - c) Computer Networks (id. EIE303).
  - d) Control Laboratory (id. EIE341).
  - e) Electromagnetism (id. EIE267).
  - f) Electronic Circuits 1 (id. EIE347).
  - g) Programming 2 (id. EIE434).
- 6) *Pedagogy in Basic Education (School of Pedagogy)*: Digital Technologies for Learning and Professional Teacher Development (id. EPE1132)

The following public repository contains the instantiation of C-A&M<sup>+</sup> for each of the mentioned 15 courses: <https://github.com/rheradio/CAM.git>.

In all of these courses, the instructors decided to use C-A&M<sup>+</sup> voluntarily. According to their feedback, C-A&M<sup>+</sup> supports the implementation of student assessments under CBE and allows for tracking of course progress.

#### V. CONCLUSION

Our article has presented a framework called C-A&M<sup>+</sup> for students' assessment within the increasingly popular CBE paradigm. C-A&M<sup>+</sup> not only assists instructors in evaluating students but also in monitoring courses for their continuous improvement.

The practicality of C-A&M<sup>+</sup> has been demonstrated through its application over seven years in two control engineering courses at PUCV in Chile. By breaking down competencies into learning outcomes, indicators, and assessment tools, C-A&M<sup>+</sup> facilitates the creation of rubrics that establish consistent grading criteria. In addition, C-A&M<sup>+</sup> supports the analysis of the courses at various levels of abstraction, resulting in their continual improvement over time.

As a result, PUCV is currently encouraging its teaching staff to use C-A&M<sup>+</sup>. At the moment, 15 courses across eight different university degrees have adopted C-A&M<sup>+</sup>.



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