Zero-Knowledge in EasyCrypt

Denis Firsov Guardtime Tallinn University of Technology Tallinn, Estonia denis@cs.ioc.ee Dominique Unruh University of Tartu Tartu, Estonia unruh@ut.ee

Abstract—We formalize security properties of zero-knowledge protocols and their proofs in EasyCrypt. Specifically, we focus on sigma protocols (three-round protocols). Most importantly, we also cover properties whose security proofs require the use of rewinding; prior work has focused on properties that do not need this more advanced technique. On our way we give generic definitions of the main properties associated with sigma protocols, both in the computational and information-theoretical setting. We give generic derivations of soundness, (maliciousverifier) zero-knowledge, and proof of knowledge from simpler assumptions with proofs which rely on rewinding. Also, we address sequential composition of sigma protocols. Finally, we illustrate the applicability of our results on three zero-knowledge protocols: Fiat-Shamir (for quadratic residues), Schnorr (for discrete logarithms), and Blum (for Hamiltonian cycles, NPcomplete).

Index Terms—cryptography, formal methods, EasyCrypt, zeroknowledge, sigma protocols, rewinding

I. INTRODUCTION

Zero-knowledge (ZK) protocols are cryptographic protocols that allow a prover to convince a verifier that they possess certain knowledge, without revealing that knowledge. More formally, let R be a relation. Then a ZK protocol for the relation R allows the prover to convince the verifier that the prover knows a witness w for some given statement s, so that $(s,w) \in R$, without revealing anything else about w itself. For example, the prover may prove that a hash s is a hash of some well-formed data w. (In which case R consists of all pairs (s,w)with s = hash(w) and w well-formed.) ZK protocols constitute an important building block in cryptography as they can help to enforce the honest behaviour from potentially malicious parties. For example, the proof can provide a guarantee that the party is authorised to perform certain actions or access certain sensitive information.

The security of ZK protocols is expressed via properties of completeness, soundness, zero-knowledge, and proof of knowledge. Completeness ensures the correct operation of the protocol if both prover and verifier follow the protocol honestly. Soundness ensures that for "wrong" statements (i.e., with no witness) a prover can convince the verifier with only a small probability. Proof of knowledge guarantees that any prover that successfully convinces the verifier actually knows a witness (and not only abstractly that it exists). Zero-knowledge establishes that any cheating verifier cannot learn anything about the witness when running the protocol. These properties are typically shown by mathematical pen-and-paper proofs.

Pen-and-paper proofs are, however, inherently error-prone. Humans will make mistakes both when writing and when checking the proofs. To ensure high confidence in cryptographic systems, we use frameworks for computer-aided verification of cryptographic proofs. One widely used such framework is the EasyCrypt tool [1]. In EasyCrypt, a cryptographic proof is represented by a sequence of "games" (simple probabilistic programs), and the relationships between programs are analyzed in a probabilistic relational Hoare logic (pRHL). EasyCrypt has been successfully used to verify a variety of cryptographic schemes, such as electronic voting [2], digital signatures [3], differential privacy [4], security of IPsec [5], etc.

Properties related to ZK protocols are challenging to prove formally. For example, the proofs of the important zeroknowledge property requires a technique known as rewinding of adversaries. To the best of our knowledge, until recently rewinding was unavailable in EasyCrypt and other popular cryptography-oriented theorem provers. As a result, properties relying on rewinding were never properly addressed in formal setting. As we show in the related work section (Sec. I-A), the existing formalization efforts of sigma protocols mostly addressed properties which do not depend on rewinding which include completeness, special soundness, and honest-verifier zero-knowledge.

Recently the rewinding of programs was formally implemented in EasyCrypt [6].

This motivated us to generically formalize derivations of (malicious-verifier) zero-knowledge, proof of knowledge, and soundness in EasyCrypt. We address a specific but very common subclass of ZK protocols, namely sigma protocols. These are three-message protocols of a certain specific structure (see Sec. III-B). Our technical contributions include the following results:

• We give generic definitions of the main properties associated with sigma protocols. These include completeness, soundness, zero-knowledge, special soundness, and proof of knowledge (a.k.a. extractability). We address com-

Supported by the ESF-funded Estonian IT Academy research measure (project 2014-2020.4.05.19-0001), by the ERC consolidator grant CerQuS (819317), by the ERDF-funded Estonian Centre of Excellence in IT (EXCITE, project 2014-2020.4.01.15-0018), and by team grant PRG946 from the Estonian Research Council.

putational and information-theoretical versions of these properties (see Sec. III).

- We present generic derivations of soundness from extractability, extractability from special soundness, and zero-knowledge from "one-shot" simulators (see Sec. IV).
- We prove the sequential compositionality for completeness, soundness, and zero-knowledge of sigma protocols (see Sec. V).
- We instantiate our results for three ZK protocols: Fiat-Shamir¹ (for quadratic residues) [7], Schnorr (for discrete logarithms) [8], and Blum (for Hamiltonian cycles, NP-complete) [9] (see Sec. VI).

Our EasyCrypt formalization is provided as a supplementary material [10]. There we provide instructions for running the code (file README.md) as well as instructions about the structure of the development and how use them for own developments (file MANUAL.md and README.md files in all subfolders).

A. Related Work

In [11], Barthe et al. give one of the first machine-checked formalization of sigma protocols in CertiCrypt. Their main focus is on a subclass of sigma protocols that is aimed at proving knowledge of pre-images under group homomorphisms. This limits the applicability of their results to problems that exhibit this particular algebraic structure (e.g., this excludes Blum's protocol). In their work, the authors give a generic definition of one run of the sigma protocol (i.e., exchange of three messages) and formalize some of its properties, namely, completeness, special soundness, and honest-verifier zero-knowledge. They formalize the "perfect" variant of these properties (as compared to statistical and computational) and the AND/OR compositionality of sigma protocols. The applicability of their results is illustrated on examples which include Schnorr, Okamoto, Guillou-Quisquater, and Feige-Fiat-Shamir protocols. The authors do not address (malicious-verifier) zero-knowledge, proof of knowledge, soundness, and sequential composition of sigma protocols.

Another related field is the development of verified cryptographic compilers. In the context of ZK protocols, important examples of these are the CACE compiler [12] and ZKCrypt [13].

The CACE compiler [12] is a certifying compiler that generates efficient implementations of zero-knowledge protocols. The CACE compiler takes abstract specifications of a zeroknowledge protocol and generates C or Java implementations. The main compilation steps are certifying in the sense that they generate an Isabelle proof of special soundness. However, the zero-knowledge, completeness, and soundness properties are not addressed.

Almeida et al. present ZKCrypt [13] which is an optimizing cryptographic compiler for sigma protocols. Similarly to the work by Barthe et al. [11], the authors consider only the class of sigma protocols for proving knowledge of pre-images under

group homomorphisms. ZKCrypt implements two compilers: "verified" and "verifying". The verified compiler takes an abstract description of a sigma protocol and generates a reference implementation. The verifying compiler outputs an optimized implementation (in C or Java) which is provably equivalent to the reference implementation. Most importantly, the proofs returned by the compilers establish that the reference and optimized implementations satisfy the perfect completeness, special soundness, and honest-verifier zero-knowledge. The soundness, (malicious-verifier) zero-knowledge, proof of knowledge, and sequential composition are not addressed.

In [14], Butler et al. used the CryptHOL framework to formalize and derive commitment schemes from sigma protocols. The applicability of their work is illustrated by instantiating the Schnorr, Chaum-Pedersen, and Okamoto sigma protocols. The authors derive completeness, special-soundness, and honestverifier zero-knowledge. The highlight of their work is a generic construction of commitment schemes from sigma protocols. In their work, the authors do not address (malicious-verifier) zero-knowledge, soundness, proof of knowledge, and sequential compositionality.

In [15], Almeida et al. give a machine-checked implementation of a framework that allows users to construct efficient zero-knowledge protocols from secure multiparty computation protocols. For their generic constructions, the authors formalize the security definitions and proofs related to completeness, soundness, and zero-knowledge of sigma protocols. The authors do not address special soundness, proof of knowledge, and sequential compositionality of zeroknowledge. Their framework is implemented in EasyCrypt and some definitions are similar to ours, but there are also important differences.

In their formalization, the authors define the honest prover as a pure function (i.e., not as EasyCrypt procedure/module) which takes randomness necessary for its computations as one of its arguments. This approach can drastically simplify the proofs, but makes the instantiation harder especially in cases when prover needs to use other cryptographic primitives such as commitments (in which case also these primitives must be modelled as pure functions with explicit randomness). The most significant overlap with our results is the derivation of malicious-verifier zero-knowledge from one-shot simulator which is similar to our result in Sec. IV-A. However, the important difference is that for this result the authors change their representation of malicious verifiers and honest provers. Both the honest prover and the malicious verifier, are now modelled semantically; in other words, these parties are not represented as stateful programs (i.e., not as EasyCrypt procedure/module), but as parameterized mathematical distributions instead. This approach greatly simplifies proofs (e.g., rewinding in this model is a trivial re-sampling), but makes it harder (if not impossible) to combine it with other definitions which rely on the standard representation of protocol parties as programs (see more on representation of adversaries in Sec. VII-B). Indeed, in other parts of their formalization, the authors work with standard representation which strictly speaking makes their own results

¹Not to be confused with the Fiat-Shamir *transformation* from the same paper that transforms interactive sigma protocol into non-interactive protocols.

incompatible with each other.

Also, they establish a security level for zero-knowledge which equals to $2\epsilon N + p^N$, where ϵ is a security bound for one-time simulator, p is the probability of a "bad"-event, and N is the number of tries performed by the simulator. Thus their bound becomes linearly worse in the number of tries. In contrast, we obtain the bound $\epsilon + p^N$ which approaches ϵ exponentially quickly in the number of tries.

In [16], Sidorenco et al. also perform a formal analysis of MPC-in-the-head zero-knowledge protocols in EasyCrypt. The authors provide a machine-checked security proof of a zero-knowledge protocol which follows the MPC-in-the-head paradigm. Their mechanization specifically studies the ZKBoo protocol [17]. They prove completeness, soundness, and honestverifier zero-knowledge. Similarly to the work by Almeida et al. the work by Sidorenco et al. introduces basic zero-knowledge definitions of completeness and special soundness which are similar to ours. They do not address soundness, (maliciousverifier) zero-knowledge, proof of knowledge, and sequential composition of sigma protocols.

All these results focus on definitions and proofs that can be formalised without rewinding of adversaries (except [15] where adversaries are modelled as distributions). We believe the rewinding-related properties to be the current frontier in the mechanization of ZK proofs. In this work we set out to overcome this hurdle.

II. PRELIMINARIES

A. EasyCrypt

EasyCrypt is an interactive framework for verifying the security of cryptographic protocols in the computational model. In EasyCrypt security goals and cryptographic assumptions are modelled as probabilistic programs (a.k.a. games) with abstract (unspecified) adversarial code. EasyCrypt supports common patterns of reasoning from the game-based approach, which decomposes proofs into a sequence of steps that are usually easier to understand and to check [18].

The results in this paper build on the formalization of rewinding from [6]. To facilitate reading, in this paper we used the same style of presentation and set of syntactical conventions as [6]. To our readers who are not familiar with EasyCrypt we suggest to read a short EasyCrypt introduction in [6, Section 2]. More information on EasyCrypt can be found in the EasyCrypt tutorial [18].

To readers who are familiar with EasyCrypt we only give a brief overview of our syntactical conventions: we write \leftarrow for $\langle -, \stackrel{\$}{\leftarrow}$ for $\langle \$, \land$ for $/ \backslash, \lor$ for $\backslash /, \leq$ for $\langle =, \ge$ for $\rangle =,$ \forall for forall, \exists for exists, **m** for &m, \mathcal{G}_A for glob A, $\mathcal{G}_A^{\mathbf{m}}$ for (glob A) {m}, $\lambda x. x$ for fun x => x, × for *. Furthermore, in Pr-expressions, in abuse of notation, we allow sequences of statements instead of a single procedure call. It is to be understood that this is shorthand for defining an auxiliary wrapper procedure containing those statements.

B. Rewinding

Rewinding refers to the proof technique in which we take a given (usually unknown) adversary (in EasyCrypt modelled as an abstract module) A, and convert it into an adversary B that in some form includes the following steps:

- 1) Remember the initial state of A.
- 2) Run A.
- 3) Restore the original initial state of A.
- 4) Run A again.
- 5) Combine the results from the runs and/or repeat this until it yields a desired outcome.

In [6], the authors explain that while the above steps seem simple there are numerous challenges in trying to express them in EasyCrypt. The authors develop an approach to rewindability in the form of a generic library (it did not require to extend EasyCrypt itself or the underlying logic). In a nutshell, the authors argue that a module A is rewindable iff:

- 1) There exists an injective mapping f from \mathcal{G}_A to some parameter type sbits. Intuitively, sbits is the type of bitstrings.
- 2) The module A must have a terminating side-effect free procedure getState, so that whenever A.getState is called from the state g: \mathcal{G}_A , the result of the call must be equal to (f g).
- The module A must have a terminating procedure setState, so that whenever it is invoked with argument x: sbits, so that x = f g for some g: G_A then A must be set into a state g.

To express the above conditions formally, the authors define a module type Rew for rewindable modules:

```
module type Rew = {
    proc getState(): sbits
    proc setState(s: sbits): unit
}.
```

In our presentation, we use Rewindable A as a shorthand which indicates that A satisfies the rewindability condition explained above. The fully formal EasyCrypt definition of rewindability can be found in [6].

C. Running Example: The Fiat-Shamir Protocol

For the clarity of presentation we instantiate our formal definitions using the Fiat-Shamir zero-knowledge protocol as a running example [7]. (Not to be confused with the well-known Fiat-Shamir transformation from the same paper.) The language of Fiat-Shamir protocol consists of quadratic residues. An element $s \in \mathbb{Z}/n\mathbb{Z}$ is a quadratic residue if there exists w so that $s = w^2$ and s is invertible.² In Fiat-Shamir protocol the prover tries to convince a verifier that a statement is quadratic residue and it knows the witness.

Let us give an informal protocol description. The protocol starts by the prover generating a random invertible ring element r and sending its square $a = r^2$ to the verifier. The verifier receives the commitment a and replies with a random bit b

²In this section the multiplication must be understood as a ring multiplication.

as a challenge. The prover replies with $z = w^b r$. Finally, the verifier accepts if $z^2 = s^b a$ and a is invertible.

In the following sections we use this protocol as a concrete running example for which we derive completeness, special soundness, soundness, proof of knowledge, zero-knowledge, and sequential compositionality.

In Sec. VI, we comment on our formalisation of other protocols.

III. GENERIC DEFINITIONS

In this section we formalize main definitions which are associated with sigma protocols. In cryptography, there are three types of definitions, namely, perfect, statistical, and computational. Let us describe these definitions in broader sense. In *perfect* definitions the adversarial party usually has unlimited computational capabilities and the probability of successful attack must be zero. In *statistical* definitions the adversarial party is still unlimited, but the probability of successful attack could be non-zero but small. In *computational* definitions the adversary is computationally limited and we also allow a non-zero probability of a successful attack. In this paper we mainly present statistical definitions, but in our formalization we also address computational and perfect variations.

In our formalization we define an EasyCrypt theory which encompasses definitions of types, operators, modules, and modules types from this section. Later the EasyCrypt cloning mechanism can be used to instantiate these definitions for a specific protocol (see Sec. VII-A). The lemmas in this section must be understood as definitions of properties of sigma protocols (i.e., proof obligations for concrete instances).

A. Basics

From an abstract point of view, every sigma protocol is designed to work with a specific formal NP-language. The language is induced by a relation between statements and witnesses. More specifically, a language is a subset of statements for which there exists a witness which satisfies the relation.

```
type statement, witness.
type relation = statement → witness → bool.
op in_language (R:relation) (s:statement): bool
    = ∃ (w: witness), R s w.
```

Informally, in sigma protocols the prover tries to convince the verifier that it knows a witness which validates the statement (i.e., satisfies the relation of a language).

It is important to note that in some cases the proofs of properties of sigma protocols such as completeness, soundness, and zero-knowledge could require relations of different strength. Therefore, in our library when the user instantiates their protocol we ask them to provide the relation per property.

```
op completeness_relation: relation.
op soundness_relation: relation.
```

```
op zk_relation: relation.
```

In the following sections, we formally describe the main properties associated with sigma protocols. We start by only expressing these properties relative to a single run, i.e. three messages. To achieve reasonable security guarantees most sigma protocols are executed multiple times. Therefore, we show that the one run execution properties can be lifted to multiple runs generically (see Sec. V).

1) Fiat-Shamir Basics: In our formalization we express Fiat-Shamir in terms of an abstract ring $\mathbb{Z}/n\mathbb{Z}$ whose elements have type zmod. The standard library of EasyCrypt has a theory ZModRing with an formalization of properties of zmod.

To increase readability we will use type synonyms qr_stat, qr_wit, qr_com, and qr_resp for the statement, witness, and the response, respectively. All these types are synonyms of zmod.

The Fiat-Shamir language consists of statements which are quadratic residues in zmod. On the formal side we need to define relations for completeness, soundness, and zero-knowledge. All three relations are the same and they ensure that statement is a square of the witness and also that the statement is invertible:

B. Completeness

The sigma protocol consists of a honest prover and a honest verifier. In our library, we give generic module types for both parties:

In the code above commitment, response, and challenge are abstract types of the return values of the respective procedures. Similar to statement and witness, these types are protocol specific and must be provided during protocol instantiation (see Sec. VII-A).

In terms of sigma protocols, the commitment procedure produces the first message, challenge produces the second message, and response the third. Finally, given the response the verify procedure decides whether the verifier accepts.

We implement the following module Completeness that encodes exactly this exchange of messages and returns whether the verifier accepts:

```
r <@ P.response(ch);
acc <@ V.verify(r);
return acc;
}}.
```

It is important to understand that the sigma protocol is *defined* by the implementation of honest prover (which we denote by HP) and the honest verifier (which we denote by HV).

The honest verifier of a sigma protocol must choose its challenge uniformly at random from some finite set. Also, the verification procedure can usually be defined as a predicate (pure function) on the statements and transcripts (the transcript is a triple of commitment, challenge, and response). Therefore, we give a "skeleton" implementation of a honest verifier which can be instantiated by providing protocol specific challenge_set and verify_transcript operators:

```
type transcript = commitment × challenge × response.
module HV: HonestVerifier = {
  var s, c, ch;
```

```
proc challenge(s: statement, c: commitment) = {
  (HV.s, HV.c) ← (s,c); % global state vars
  ch <sup>$</sup> duniform challenge_set;
  return ch;
}
proc verify(r: response): bool = {
  return verify_transcript s (c, ch, r);
}}.
```

Intuitively, the sigma protocol induced by the honest prover HP and honest verifier HV is complete iff for any valid statement s the probability of success in Completeness (HP, HV) game is close to one. The completeness_error is a protocol specific error term which determines the probability of failure of Completeness.

```
lemma completeness: ∀ (s: statement)(w: witness) m,
    completeness_relation s w
    ⇒ Pr[r ← Completeness(HP,HV).run(s,w) @m: r]
    ≥ 1 - completeness_error s.
```

(This "lemma" must be understood as a definition of completeness as a property.)

1) Fiat-Shamir Completeness: In this section, we formally define the Fiat-Shamir protocol by implementing an honest prover and an honest verifier.

We start by implementing the honest prover. In the commitment phase the prover samples an invertible group element runiformly at random and returns its square as the commitment. The value r and the witness w are stored in the prover's internal variables HP.r and HP.w, respectively:

To instantiate the implementation of the honest verifier we need to define the set of challenges and the verification function. In the Fiat-Shamir protocol the verifier's challenge is just a bit, hence, the challenge set consists of values false and true:

```
op challenge_set = [false; true].
```

The verification function starts by checking that the statement and the prover's commitment are invertible and then checks that in case when challenge bit is false the square of the response value equals to the commitment (c) value, otherwise the square of the response must equal to the product of the commitment and the statement.

```
op verify_transcript (s:qr_stat)(t:transcript)
= let (c, ch, r) = (t.1, t.2, t.3) in
    invertible s \lambda invertible c
    \lambda (if ch then c \cdot s else c) = r \cdot r.
```

At this stage the Fiat-Shamir protocol is fully defined by the implemented honest prover HP and instantiated honest verifier HV.

In our formalization we prove that the protocol has "perfect" completeness, i.e., the completeness error is zero. With the help of SMT solvers, EasyCrypt is able to derive completeness almost entirely automatically.

C. Soundness

Soundness is an important property of sigma protocols which says that if the statement is false (not in the language of the sigma protocol) then cheating prover cannot convince an honest verifier that it is true, except with some small probability.

The module type MaliciousProver defines the interface of cheating provers. Note that the main difference from HonestProver is that the commitment procedure of the cheating prover only receives the statement (since in the context of the soundness property the witness for the provided statement does not exist).

```
module type MaliciousProver = {
    proc commitment(s: statement): commitment
    proc response(ch: challenge): response }.
```

Similarly to the module Completeness we implement a module Soundness which encodes one run of a sigma protocol in the context of a cheating prover.

The sigma protocol is *statistically sound* iff for any cheating prover P and a statement s which is not in the language induced by soundness_relation the probability that the honest verifier accepts in the Soundness game is bounded from above by some small soundness_error s. Here, soundness_error is a protocol specific function that is allowed to depend on the statement s.

```
lemma soundness:
∀ (s: statement) (P <: MaliciousProver) m,
!(in_language soundness_relation s) ⇒
Pr[r ← Soundness(P,HV).run(s) @m: r]
≤ soundness_error s.
```

(This "lemma" must be understood as a definition of statistical soundness as a property.) The *perfect soundness* would be similar to the statistical soundness with soundness_error s being defined as zero. However, we are not aware of any interesting sigma protocols which achieve perfect soundness.

In the case of *computational soundness*, the soundness-error depends on the computational power of the malicious prover P. That is, the right-hand-side becomes a protocol-specific term that depends on the success of P in a different game (the "reduction").

1) Fiat-Shamir Soundness: The Fiat-Shamir protocol is statistically sound with soundness-error equal to ¹/₂. In our formalization we derive this from extractability by using the generally derived lemma (see Sec. IV-C and Sec. IV-C1).

D. Special Soundness

For some sigma protocols the easiest way to prove soundness is to derive it from another property known as "special soundness".

The main idea of special soundness is that if for the same statement we have two valid transcripts for the same commitment but with different challenges, then it should be possible to efficiently extract the witness from these transcripts. Recall that the transcript is a triple of commitment, challenge, and response.

The function valid_transcript_pair s $t_1 t_2$ checks whether transcripts satisfy the condition stated above:

The function special_soundness_extract is protocol specific and must be instantiated by the user.

The most intuitive variant is the *perfect special soundness*. It states that the function special_soundness_extract must be able to construct a valid witness from any valid transcript pair.

```
lemma perfect_special_soundness:
 ∀ (s: statement) (t<sub>1</sub> t<sub>2</sub>: transcript),
 valid_transcript_pair s t<sub>1</sub> t<sub>2</sub> ⇒
 soundness_relation s
 (special_soundness_extract s t<sub>1</sub> t<sub>2</sub>).
```

For the computational case, we also additionally need to define a module type for special soundness adversaries:

module type SpecialSoundnessAdversary = {
 proc attack(s: statement):
 transcript × transcript }.

Intuitively, *computational special soundness* states that for any computationally limited adversary it must be hard to derive a pair of valid transcripts for which the special_soundness_extract function fails to provide a valid witness. In EasyCrypt, we express this as an event whose probability is bounded from above by a small number special_soundness_error A s, where A is a special soundness adversary and s is a statement.

Unfortunately, EasyCrypt define in one cannot operators to depend on the modules (such as special_soundness_error above). As a result of this restriction the user must manually replace soundness_error A s with the error term in the above lemma.

We do not define statistical special soundness because it would be equivalent to perfect special soundness.³

1) Fiat-Shamir Special Soundness: The Fiat-Shamir protocol has perfect special soundness. Let us define the extraction function:

```
op special_soundness_extract
  (s:qr_stat) (t1 t2:transcript): qr_wit =
    let (c1,ch1,r1) = t1 in
    let (c2,ch2,r2) = t2 in
        if ch1 then r1 · (inv r2) else (inv r1) · r2.
```

The main idea is as follows. Let $t_1 := (c_1, ch_1, r_1)$ and $t_2 := (c_2, ch_2, r_2)$ be a pair of valid transcripts with respect to the function valid_transcript_pair (i.e., $c_1 = c_2$, $ch_1 \neq ch_2$, and both t_1 and t_2 pass the honest verification). Also, w.l.o.g. assume that $ch_1 = true$ and $ch_2 = false$. In this case we know that $c_1 \cdot s = r_1 \cdot r_1$ and $c_1 = r_2 \cdot r_2$ because the transcripts pass the verification. Therefore, $s = (r_1 \cdot (inv r_2)) \cdot (r_1 \cdot (inv r_2))$, i.e., the statement is a square and a witness is $r_1 \cdot (inv r_2)$.

In EasyCrypt, for the given definition of special_soundness_extract, the perfect special soundness is derived almost entirely automatically by using the built-in support for SMT solvers.

E. Proof of Knowledge

Proof of knowledge, also known as *extractable* proof systems, guarantee that there exists an extractor which can compute a

³If we do not have perfect special soundness, then there exists a valid transcript pair on which the deterministic extraction algorithm does not extract successfully. Therefore there exists a (possibly unbounded) algorithm that searches for such a transcript pair and outputs it. This algorithm succeeds with probability 1, so the scheme does not have statistical special soundness (for any soundness error < 1).

witness from a rewindable malicious prover. The extractor is parameterized by the prover and has access to its rewinding interface (see Sec. II-B).

```
module type Extractor(P: RewMaliciousProver) = {
    proc extract(s: statement): witness }.
```

Here, RewMaliciousProver is a module type for rewindable cheating provers which must implement both MaliciousProver and the rewindability interface.

The success of the extractor depends on the probability with which the prover manages to convince the honest verifier in the Soundness (P, HV) game.

In the general case, statistical extractability assumes that there exists an efficient Extractor so that:

```
lemma extractability:
 ∀ s m (P <: RewMaliciousProver),
 Rewindable P
 ⇒ let sound_prob =
 Pr[r ← Soundness(P,HV).run(s) @m: r] in
 Pr[r ← Extractor(P).extract(s) @m:
 soundness_relation s r]
 ≥ extraction_success sound_prob s.
```

We assume that prover is rewindable (i.e., the Rewindable P premise, see Sec. II-B for details).

The function extraction_success specifies a lower bound on the success probability of the Extractor. Extractor and extraction_success are protocolspecific and must be provided by a user.

In the case of *computational extractability*, the extraction success depends on the computational power of the malicious prover P. That is, the right-hand-side becomes a protocol-specific term that depends on the success of P in some different game (the "reduction").

1) Fiat-Shamir Proof of Knowledge: The Fiat-Shamir protocol is a statistical proof of knowledge and in our formalization we derive this from the special soundness by using the generic lemma (see Sec. IV-B and Sec. IV-B1).

F. Zero-Knowledge

Zero-knowledge is a property of sigma protocols which ensures security guarantees for honest provers. This is achieved by expressing that malicious verifiers cannot get any "new information" about the witness of a statement from the communication with the honest prover that they would not be able to compute by themselves without that communication.

We model this by requiring that anything the malicious verifier learns (w.l.o.g., what it outputs) can be simulated by a "simulator" that knows everything the verifier knows, except for the witness. The simulation is successful if no "distinguisher" can tell the verifier's and the simulator's outputs apart (even when the distinguisher knows the witness).

Formally, the above is expressed by using two different games and a distinguisher. The first game, ZKReal, implements the interaction between the honest prover and a malicious verifier. The main difference between a malicious verifier and an honest verifier is that after receiving the response from the prover, a malicious verifier computes a "summary"⁴ of the entire interaction instead of outputting a success bit. Next, that summary is sent to the distinguisher together with the witness. The distinguisher outputs a bit which indicates whether the distinguisher thinks it was given a summary produced by the first or the second game (see below).

The second game, ZKIdeal, is parameterized by a simulator, a malicious verifier, and a distinguisher. In this game, the simulator is trying to produce a summary which the distinguisher would not be able to tell apart from the ZKReal case. It is important to note that the simulator must produce its summary without seeing the witness while the distinguisher gets the simulator's summary and the witness of the statement, same as in the ZKReal game. The simulator can internally run and rewind the malicious verifier. It does not interact with the prover. The simulator is protocol-specific and must be specified as part of the security proof.

In EasyCrypt we define Distinguisher and Simulator module types as follows:

```
module type Simulator(V: RewMaliciousVerifier) = {
  proc simulate(s: statement) : summary }.
module type Distinguisher = {
  proc guess(s: statement,
```

w: witness, sum: summary) : bool }.

Next, we give definitions of the aforementioned games:

```
module ZKReal(P: HonestProver,
               V: RewMaliciousVerifier.
               D: Distinguisher) = {
  proc run(s: statement, w: witness) = {
    var c, ch, r, sum, guess;
        <@ P.commitment(s, w);
    С
    ch <@ V.challenge(s, c);</pre>
        <0 P.response(ch);
    r
    sum <@ V.summitup(r);</pre>
    guess <@ D.guess(s, w, sum);</pre>
    return guess;
  } } .
module ZKIdeal(S: Simulator,
                V: RewMaliciousVerifier,
                D: Distinguisher) = {
  proc run(s: statement, w: witness) = {
    var sum, guess;
    sum <@ S(V).simulate(s);</pre>
    guess <@ D.guess(s, w, sum);</pre>
    return quess;
  } .
```

The sigma protocol has *statistical zero-knowledge* iff there exists an efficient simulator Sim such that for any statement s witnessed by w, any rewindable malicious verifier V, and any distinguisher D, the absolute difference between success probabilities of ZKReal(HP,V,D) and ZKIdeal(Sim,V,D) is bounded from above by $zk_function s$. Here, $zk_function is a protocol specific and depends on the statement s$.

lemma zero_knowledge: \forall s w \mathbf{m}

⁴Our development is parameterized with a datatype summary which is supposed to hold the information about the protocol-run produced by the verifier.

In case of *perfect zero-knowledge* the success probabilities of ZKReal and ZKIdeal must be equal,⁵ and in case of *computational zero-knowledge* the right-hand-side of the inequality can additionally depend on V.

In the case of *computational zero-knowledge*, the right-handside of the inequality depends on the computational power of the malicious verifier \vee and distinguisher D.

It is also possible to have another variant of statistical zeroknowledge where the verifier is computationally bounded, but the distinguisher is computationally unbounded. This is encoded exactly like computational ZK, except that the right hand side of the inequality depends only on the malicious verifier \vee but not the distinguisher D.

1) Fiat-Shamir Zero-Knowledge: The Fiat-Shamir protocol has statistical zero-knowledge and in our formalization we derive this by using the "one-shot" simulators and our generic lemmas (see Sec. IV-A and Sec. IV-A1).

IV. GENERIC DERIVATIONS

In the previous section we introduced security properties associated with sigma protocols. For some protocols it can be challenging to prove properties like soundness, zero-knowledge, and extractability directly. Therefore, one often derives these properties from simpler ones using generic derivations. We formalize three of the most important such derivations. More specifically, in Sec. IV-A we derive zero-knowledge from the existence of a "one-shot" simulator, in Sec. IV-B we derive extractability from special soundness, and in Sec. IV-C we derive soundness from extractability.

In these derivations, we use assumptions that all procedures associated to provers and verifiers are terminating (lossless in EasyCrypt parlance) and their module variables are disjoint which guarantees that prover cannot directly write to variables of verifier and vice versa (see more on variable disjointness in Sec. VII-B).

A. Zero-Knowledge from One-Shot Simulation

In Sec. III-F, we introduced the zero-knowledge property in which a distinguisher compares the protocol-summary generated by the malicious verifier to the summary produced by a simulator.

In practice, to prove zero-knowledge, one usually starts by defining a "one-shot simulator" which produces a simulated summary but may abort with some relatively high probability (e.g., ¹/₂). Conditioned on not aborting (the "success"-event) that simulator's output must be indistinguishable from the real protocol interaction. Later, the actual zero-knowledge simulator

runs and rewinds the one-shot simulator until the "success"event happens. In this section, we generically address this transformation. In the end, a user must only implement a "oneshot simulator", prove its indistinguishability conditioned on a "success"-event, and establish a lower bound of the "success"event. Then the zero-knowledge property of its iterated version is implied automatically by our lemmas.

A one-shot simulator is a module parameterized by a rewindable malicious verifier. It has a run procedure which takes the statement and returns a pair of a boolean and a protocolsummary. The boolean indicates whether the "success"-event mentioned above happened:

```
module type Simulator1(V:RewMaliciousVerifier) = {
  proc run(s: statement): bool x summary }.
```

For the rest of this section, we fix a rewindable malicious verifier V, a distinguisher D, and a one-shot simulator Sim1. Our derivation works for any V, D, and Sim1. Also, Sim1 will typically depend on the protocol and will be specified explicitly by the user. Depending on the variant of ZK we are analyzing (i.e., perfect, statistical or computational), we then consider over unlimited or computationally bounded V, Sim1, and D.

For the sake of readability, we introduce an abbreviation sim1_dist_prob which denotes the probability that both the "success"-event happens and the distinguisher outputs true:

```
abbrev sim1_dist_prob(s, w, m): real =
Pr[(success, sum) ← Sim1(V).run(s);
guess ← D.guess(s, w, sum) @m: success ∧ guess].
```

The main property associated with Sim1 is that the probability sim1_dist_prob(s,w,m) conditioned on the "success"-event of Sim1 is at most ϵ away from the probability that the distinguisher outputs true in the ZKReal (HP, V, D) game. Here, ϵ is a protocol specific real number. The conditional probability is expressed as a ratio.

```
op ε : real.
axiom siml_dist_prob_prop: ∀ s w m, zk_relation s w
⇒ |Pr[r ← ZKReal(HP, V, D).run(s, w) @m: r]
- (siml_dist_prob(s, w, m)
/ Pr[(success, _) ← Siml(V).run(s) @m:
success])| ≤ ε.
```

This "axiom" must be understood as a property of Sim1 which must be proved by the user. Now we generically implement a simulator SimN which wraps the one-shot simulator and runs it until the "success"-event occurs, but at most N times, where N is a parameter.⁶

```
module SimN(Sim1: Simulator1)
  (V: RewMaliciousVerifier): Simulator = {
  proc run(s:statement,w:witness) = {
    var c ← 0;
    var success ← false;
    var summary;
    while (c < N ∧ !success){</pre>
```

⁶It is also possible not to enforce an upper bound. Then simulator would have finite expected runtime, but no *a priori* bound on the worst-case runtime.

⁵In the literature, we also find a different weaker definition of perfect zeroknowledge (e.g., [19, Definition 4.3.1]). This definition in fact simply states the existence of a one-shot simulator with *zero* distinguishing probability. So this definition is also covered by our work using the definitions from Sec. III-F.

Note that Sim1 in SimN.run may modify its state when executed. This means that in the second iteration of the loop Sim1 might run on an invalid initial state (and no guarantees can be made). To avoid this we would need to rewind Sim1. To support this, the user would need to prove the technical condition Rewindable Sim1 (see Sec. II-B). While possible in principle, this approach would lead to additional boilerplate. Instead we found it more convenient to simply request user to ensure the following property which guarantees that Sim1 itself rewinds its state when it is not successful⁷:

```
\begin{array}{ll} \texttt{axiom sim1\_rew: } \forall \ \texttt{m} \ \texttt{s}, \\ \texttt{Pr[(succ, \_) \leftarrow Sim1(V).run(s) @\texttt{m}:} \\ \texttt{!succ } \Rightarrow \mathcal{G}_{Sim1(V)}^{fin} = \mathcal{G}_{Sim1(V)}^{\texttt{m}}] = 1. \end{array}
```

Here, **fin** denotes the final memory after the termination of a program.

The third and final property associated with Sim1 is existence of σ which is a lower bound on the "success"-event:

```
op \sigma : real.
axiom succ_event_prob: \forall m s,
Pr[(succ, _) \leftarrow Siml(V).run(s) @m: succ] \geq \sigma.
```

The main result of this section states that SimN(Sim1) is a simulator whose success probability in the ZKIdeal game is $\epsilon + 2(1 - \sigma)^N$ -close to the success probability of V in the ZKReal game, where σ is the lower bound on the probability of the "success"-event of Sim1, and N is a number of iterations performed by SimN:

1) Fiat-Shamir Zero-Knowledge: In this section, we show how to derive zero-knowledge from a one-shot simulator for the Fiat-Shamir protocol. The main idea behind one-shot simulators is to "guess" the challenge of the verifier and then prepare a "special" commitment such that the simulator is able to correctly respond to the guessed challenge (and only that challenge) even without knowing the witness. The simulator aborts when it guessed incorrectly (i.e., "success"-event is the correct guess of the simulator).

In the Fiat-Shamir the challenge is a bit, so the one-shot simulator tries to guess the challenge by uniformly sampling a bit b. If it sampled false, the one-time simulator outputs $r \cdot r$ as the commitment, where r is a uniformly sampled invertible element. If the sampled bit b is true, the one-time simulator additionally multiplies $r \cdot r$ with the inverse of the statement (i.e., $r \cdot r \cdot (inv s)$). For both challenges, the

 $^7 \rm This$ does not remove the need for rewindability because to prove siml_rew, Siml will need to rewind V.

corresponding response r is valid from the honest verifier's point of view. Thus, r is sent to the verifier. However, if the challenge given by verifier was not the same as the bit guessed by the simulator then the simulator rewinds the verifier back to its initial state.

Now, to conclude the proof of the zero-knowledge property for Fiat-Shamir protocol, we are only left with three proof obligations. The first one is that in case when the "success"event does not happen (i.e., $b \neq b'$), the state of Sim1 (V) does not change. For Sim1 this is easily shown by using the probabilistic Hoare logic and the assumption that V is rewindable.

The second proof obligation (i.e., $\texttt{succ_event_prob}$ property) is to find σ which is a lower bound on the "success"-event (i.e., b = b'). Observe that in Sim1 the values b and b' are not independent since the commitment c depends on b and b' is computed based on c. However, in the proof we can lose this dependency by observing that the values $r \cdot r$ and $r \cdot r \cdot (\texttt{inv s})$ are distributed equally. As a result, we can show that "success"-event occurs with probability exactly equal to $\frac{1}{2}$.

For the third proof obligation we need to define ϵ and prove the lemma siml_dist_prob_prop described in Sec. IV-A which is enough to conclude statistical zero-knowledge for Fiat-Shamir by application of our statistical_zk result. It turns out that for Fiat-Shamir protocol the ϵ is zero and we can derive the following formula:

The main observation here is that conditioned on the "success"event the protocol summaries in one-time simulator and in the ZKReal are distributed equally.

B. Extractability from Special Soundness

The goal of this section is for protocols with special soundness to implement a generic knowledge-extraction module which is parameterized by a rewindable malicious prover P and then relate the lower bound of the extractor's success with the success probability of P in the Soundness (P, HV) game.

Intuitively, the goal is to show that if a malicious prover P is "too successful" in winning the Soundness (P, HV) game, then it knows the witness. More precisely, there is a generic extractor that will be able to compute a witness from P with

sufficiently high probability (assuming that P is rewindable) after the success probability in the Soundness (P, HV) reaches a "cut-off" point, called the "knowledge error".

In [6], the authors use EasyCrypt to derive the security of a coin-toss protocol from the following generic lemma:

The lemma states that the probability of success (according to some predicate M) in two sequential runs of A.run is lowerbounded by the square of the probability of success in a single run. Note that this even holds in the presence of an initialization B.init that is called once. The presence of B.init is what makes the lemma technically non-trivial.

This property is also important for sigma protocols: One can instantiate B.init, A.run, and the predicate M so that the "initialize-then-single-run" case will exactly correspond to the Soundness (P, HV) game. More specifically, B. init must be instantiated as the prover's commitment phase and A.run as the remaining message-exchanges between P and the honest verifier (i.e., challenge, response, and verify). With that in mind if we examine the success event of the left-hand-side of the rew with init inequality, we will see that its success event corresponds to two transcripts passing the verification. In cases when transcripts have distinct challenges these transcripts are "valid" from the perspective of the special soundness extractor (see Sec. III-D) and we can attempt to extract a witness using the special_soundness_extract function. Recall that honest verifier samples challenges uniformly at random and therefore the probability of having distinct challenges at the transcripts is always high (exponential in the bit-length of the challenge). Based on the description above, we implement a generic extractor parameterized by a rewindable malicious prover:

What remains is to analyze the probability of successful extraction by Extractor(P). In our EasyCrypt formalization we show that the lower bound for the success probability of Extractor(P) depends on the size of the challenge set and the success probability of P in the soundness game. We do derivations for both computational and perfect special soundness. For the simplicity of presentation, we only present the latter result here:

```
lemma statistical_extractability: ∀ m s,
(∀(t<sub>1</sub> t<sub>2</sub>: transcript),
   valid_transcript_pair s t<sub>1</sub> t<sub>2</sub>
   ⇒ soundness_relation s
      (special_soundness_extract s t<sub>1</sub> t<sub>2</sub>))
   ⇒ Pr[r ← Extractor(P).extract(s) @m:
      soundness_relation s r]
   ≥ (Pr[r ← Soundness(P, HV).run(s) @m: r]<sup>2</sup>
      - 1 / (size challenge_set)
      .Pr[r ← Soundness(P, HV).run(s) @m: r]).
```

1) Fiat-Shamir Proof of Knowledge: In Sec. III-D1 we explained that the Fiat-Shamir protocol has perfect special soundness. Therefore, we get the lower bound on extractability of the protocol automatically by applying the statistical_extractability lemma. More specifically, since the challenge for Fiat-Shamir is a boolean then for any malicious prover P, the statement s which is not in the language of soundness relation, and the initial state **m** we have:

```
Pr[r ← Extractor(P).extract(s) @m:
soundness_relation s r]
≥ Pr[r ← Soundness(P, HV).run(s) @m: r]<sup>2</sup>
- 1/2 · Pr[r ← Soundness(P, HV).run(s) @m: r].
```

Note that this is larger than zero whenever the success probability in the soundness game is larger than $\frac{1}{2}$. So the knowledge-error is $\frac{1}{2}$.

C. Soundness from Extractability

In the previous section we explained how to generically derive extractability from special soundness. The probability of a successful witness extraction by Extractor module is lower-bounded by a function of the success probability of the malicious prover in the Soundness game. However, for statements which are not in the language, the witness extraction probability is zero by definition. These observations can be used to generically derive an upper bound for the soundness of a sigma protocol from its extractability.

To state our theorem we first need to specify the relationship between success probabilities of extractor and soundness games. We do so by fixing a function f such that there exists an ϵ , so that for any f x \leq 0, the value x is less than or equal to ϵ . This function depends on the bounds obtained when proving extractability and must be specified by the user. For example, if we derive extractability via perfect special soundness (see Sec. IV-B) f would be $\lambda \propto x^2 - x/(size challenge_set)$. The main result of this section is the following lemma:

The upper bound on the soundness of sigma protocols with perfect special soundness is a simple corollary from statistical_soundness_generic and statistical_extractability:

```
lemma statistical_soundness: ∀ m s,
(∀(t<sub>1</sub> t<sub>2</sub>: transcript),
valid_transcript_pair s t<sub>1</sub> t<sub>2</sub>
⇒ soundness_relation s
(special_soundness_extract s t<sub>1</sub> t<sub>2</sub>))
⇒ ! in_language soundness_relation s
⇒ Pr[r ← Soundness(P,HV).run(s)@m: r]
< 1/size challenge_set.</pre>
```

1) Fiat-Shamir Soundness: In Sec. IV-B1 we automatically derived extractability from special soundness. Similarly, we now can apply statistical_soundness and get an upper bound on the soundness-error of Fiat-Shamir. More specifically, for any malicious prover P and statement s (not in the language of soundness_relation) the soundness-error is below ¹/₂:

 $\Pr[r \leftarrow \text{Soundness}(P, HV).run(s) @m: r] \leq 1/2.$

V. SEQUENTIAL COMPOSITION

In previous sections we introduced properties associated with one run of sigma protocols. In practice one run of the sigma protocol usually does not provide sufficient security guarantees. For example, in our running example (Fiat-Shamir protocol), the soundness-error could be bounded from above by $\frac{1}{2}$ which means that even in case when statement is not in the language, a cheating prover can succeed half of the time.

To solve this, a standard approach is sequential repetition of the protocol. If we have a sigma protocol (P, V) with soundness-error δ , then the probability that the prover succeeds n times in a row is δ^n . So, given a sigma protocol, we get a better proof system (P^n, V^n) by repeating it n times.⁸ But then, we need to ask the question: Does (P^n, V^n) still have completeness? Still zero-knowledge?

The answer is fortunately yes (completeness and zeroknowledge degrade linearly), and in this section we prove this.

Since (P^n, V^n) is not a sigma protocol (it exchanges more than three messages), we cannot directly apply the definitions of completeness, soundness, and zero-knowledge from the previous section to it. (There is no problem in principle, it is just that the games are specifically formulated for protocols with three messages.) One solution would be to generalize the definitions so that they can apply to protocols that send an arbitrary number of messages. At a first glance, this seems trivial, but encoding such protocols is slightly awkward: All messages would need to have the same type, and we have to somehow encode when the protocol stops, and we might have to ask what happens when one participant stops before the other does, etc. To avoid this, we choose a slightly simpler approach: Instead of trying to define (P^n, V^n) generically and apply generic definitions to it, we directly hardcode the sequential repetition into our definitions. For example, iterated completeness would be a definition that is parameterized by (P, V), and that runs (P, V) exactly n times and then checks whether all runs were successful. This leads to somewhat less

⁸We consider only sequential repetition. Parallel repetition is considerably more complex and out of the scope of this work.

general definitions but makes the presentation more concise, and is sufficient for our use-case of sequential repetition of sigma protocols.

In the following we address security bounds of sequential composition for completeness, soundness, and zero-knowledge. We leave proof of knowledge for the future work as it is more complicated to approach formally.

A. Iterated Completeness

We start by defining a module CompletenessAmp which iterates the Completeness module n times, where n is a parameter. The resulting bit indicates whether or not all runs were successful.

The iterated completeness states that if success probability of one run of Completeness is bounded from below by δ , then n runs are bounded from below by δ^n :

This result indicates that the success probability of having n successful runs degrades exponentially quickly. This suggests that sigma protocols will have "reasonable" levels of iterated completeness only if the one-run bound (i.e. δ) is close to one. Note that this does not mean that completeness-error grows exponentially quickly. Indeed, if the completeness-error is ϵ (i.e., $\delta = 1 - \epsilon$), then the completeness-error for iterated case is $1 - \delta^n \leq n \cdot \epsilon$, so the error grows only linearly.

1) Fiat-Shamir Iterated Completeness: Previously we explained that for Fiat-Shamir the completeness-error is zero. Therefore, as an immediate consequence of completeness_seq result we get that the completeness-error of iterated Fiat-Shamir is zero as well.

B. Iterated Soundness

In this section we argue that if we iterate the Soundness game then the probability of not "catching" a cheating prover on a non-successful run decreases exponentially.

Similar to the iterated completeness we first define the SoundnessAmp game which iterates the Soundness module n times.

```
proc run(s: statement, n: int) = {
   var accept, i;
   i ← 0;
   accept ← true;
   while (i < n ∧ accept) {
      accept <@ Soundness(P,V).run(s);
      i ← i + 1; }
   return accept;
}}.</pre>
```

It is important to note that the state of cheating prover could be different during every iteration because EasyCrypt allows procedures to keep state between activations. Thus the malicious prover is one program sending 2n messages, not an n-fold repetition of the same program. In contrast, the honest verifier does the same thing in each iteration by definition (see definition of HV in Sec. III-B).

The statement of iterated soundness states that if the success probability of one run of the soundness game by cheating prover P and honest verifier HV (the "soundness-error") is bounded from above by δ , then iterating it n times improves this upper bound to δ^n :

1) Fiat-Shamir Iterated Soundness: Recall that we proved that for one-run case the soundness-error of Fiat-Shamir is upper-bounded by $\frac{1}{2}$. Hence, as an immediate consequence of soundness_seq, the upper bound for the soundness-error of iterated Fiat-Shamir is exponentially better, namely, $(\frac{1}{2})^n$.

C. Iterated Zero-Knowledge

Similarly to the case of completeness and soundness the goal of iterated zero-knowledge is to show that if the distinguishing probability for one round is small, it is also small in the case of multiple runs. In other words, we need to show that zeroknowledge composes sequentially.

We start by introducing a module ZKRealAmp which iterates one run of the "real" protocol n times. Note that instead of iterating the ZKReal module which has the distinguisher at the end, we first iterate the protocol n times and only then run the distinguisher who gets the summary prepared by the verifier after all n iterations (w.l.o.g., the return values of V.summitup in prior rounds are ignored). The main idea is that the verifier tries to accumulate as much information throughout the runs as possible and only then present that summary to a distinguisher:

guess <@ D.guess(s, w, summary);
 return guess;
}}.</pre>

In the ideal setting, both in the one run and in the iterated case, the game simply consists of a simulator that outputs the final output of the verifier; no interaction is happening. Thus, we can reuse the module <code>ZKIdeal</code> for the iterated case.

However, the concrete simulator Sim from the one run case will not properly simulate the multi-run case. Thus, we need to construct a new simulator SimAmp (Sim) for the iterated case from Sim. The following module SimAmp encodes this transformation:

Note that the security definition of zero-knowledge does not require us to use this specific SimAmp, as long as we construct a simulator that simulates well. However, it is the most natural way of constructing the simulator of the multi-run case; it just repeats the simulator of the single-run case.⁹

We are now ready to introduce our main ZK iteration result. Let Sim, V and D be a simulator, malicious verifier, and a distinguisher, respectively. Let Di(D) denote a distinguisher which executes S(V).simulate(s) exactly i times and then calls D.guess and returns its result. (Here i is a global variable that belongs to Di.¹⁰ For brevity, we omit the formal definition of Di here.)

If there exists a δ which is an upper bound for the distinguishing probability with respect to Sim, V, D and honest prover HP, then the difference between ZKIdeal experiment played by SimAmp (Sim) and the real amplified game ZKRealAmp played by P, V, and D is upper-bounded by $n\delta$. The most important aspect of this result is that the security degrades linearly with the number of performed runs of the protocol.

⁹This construction makes use of the fact that the verifier's state is part of the simulator's state. The iterated simulator needs to "feed" the state from the previous iteration to the internally simulated verifier. However, in the present setting, this is automatic because the iterated simulator just keeps the state between iterations of the loop. This is why we do not see any explicit state passing between the iterations of the loop in SimAmp.

 10 The variable i does not appear explicitly in the code below. This is because the arbitrary initial value of i is implicitly present in the memory **n**.

The proof of this result is not as simple as the amplification of soundness and completeness. In fact, to get a linear bound, we used a proof based on hybrid argument. It is fortunate that the standard library of EasyCrypt contains a generic formalization of this technique.

1) Fiat-Shamir Iterated Zero-Knowledge: Recall that for single-run case we showed that the zero-knowledge-error of Fiat-Shamir is upper-bounded by $2(1-p)^N$, where N is the number of iterations performed by the simulator. Hence, as an immediate consequence of zk_seq lemma, the upper bound for the zero-knowledge-error of iterated Fiat-Shamir is only linearly worse, namely, $2n(1-p)^N$, where n is the number of sequential runs of Fiat-Shamir.

VI. MORE INSTANCES AND CASE STUDIES

Throughout this paper we instantiated our definitions and lemmas with the Fiat-Shamir protocol (Sec. II-C). We proved directly completeness (Sec. III-B1), special soundness (Sec. III-D), and one-shot simulator properties (Sec. IV-A1). Due to the algebraic nature of the Fiat-Shamir protocol the built-in support for SMT solvers greatly simplified these proofs. Most importantly, iterated completeness, (iterated) soundness, (iterated) zero-knowledge, and proof of knowledge were implied automatically by our generic results.

Due to the lack of space we are unable to present Schnorr and Blum protocols in the paper. However, in our formalization we successfully instantiated both protocols.

In the Schnorr protocol the prover tries to convince a verifier that it knows a discrete logarithm of element of a cyclic group. For the Schnorr protocol we proved perfect completeness manually and derived perfect iterated completeness automatically. We also manually proved perfect special soundness and automatically derived statistical proof of knowledge. It is interesting that the soundness property is meaningless for Schnorr protocols because all statements have witnesses. Another important aspect of Schnorr protocol is that the challenge space is exponentially big. As a result, no efficient construction of a simulator is known and, hence, the protocol is not (malicious-verifier) zero-knowledge.

The language of the Blum protocol is NP-complete and consists of Hamiltonian graphs. More specifically, the statements are graphs and witnesses are Hamiltonian cycles. For the Blum protocol we manually proved completeness, special soundness, and conditional success probability of a one-shot simulator. Then we used our library to automatically derive perfect iterated completeness from completeness, computational soundness from extractability, statistical (iterated) zero-knowledge from the one-shot simulator, and computational proof of knowledge from special soundness.

We believe that any sigma protocol can be instantiated in our framework. However, our framework is most useful when users can take advantage of generic derivations to automate their proofs. For example, we believe that with reasonably small effort one should be able to instantiate other well-known ZK protocols like Okamoto, Chaum-Pedersen, Guillou-Quisquater, Feige-Fiat-Shamir, etc. At the same time, not all generic derivations of our framework will be applicable, e.g., to the 3coloring ZK protocol [20]. The main restriction is in derivations of extractability and soundness. More specifically, in the extractability derivation from special soundness, we rely on the existence of a function special_soundness_extract which must be able to extract a witness from two valid transcripts. However, in the 3-coloring sigma protocol the extraction needs n successful transcripts. Similar restriction applies to our derivation of soundness from extractability since it uses an extractor which produces two transcripts. However, the described restrictions could be overcome by adding an extra parameter which would indicate the smallest number of valid transcripts necessary for witness extraction (extractability and soundness derivations also would need to be updated). Alternatively, direct proofs could be given for those properties, while still using our definitions of soundness etc. And one the zero-knowledge side, our generic results would still be applicable in this case.

VII. FORMALIZATION

In this section we present aspects related to the formalization effort. In Sec. VII-A, we discuss the modular structure of the formalization and give tips on how to instantiate sigma protocols in our framework. In Sec. VII-B we discuss subtle and challenging aspects which we encountered during our formalization effort.

A. Framework Structure

In EasyCrypt, theories can be used to group together related definitions. So-called abstract theories can have parameters in the form of declared but undefined operators, types, and axioms. Later, the theory can be "cloned" and the operators and types instantiated with concrete values for which the axioms are provable. Regular (non-abstract) theories just group results and definitions.

In our work we use this mechanism to arrange our generic results modularly, within the constraints of the EasyCrypt theory system. Our generic results are distributed over several files. (GenericBasics.eca, GenericCompleteness.eca, GenericSoundness.eca, GenericZeroKnowledge.eca, GenericExtractability.eca, GenericSpecialSoundness.eca; .eca is the suffix for abstract theory files.) This keeps the sizes of the individual files down and makes them easier to read. However, these individual abstract theories are not intended to be cloned directly by a user of our library; they depend on each other and if one clones them individually, one ends up with duplicated theories where EasyCrypt does not recognize, e.g., that the same type in different copies of the theory is the same. Instead, we include the content of all files in one abstract theory GenericSigmaProtocol.eca. The user is supposed to clone that theory and, when cloning, instantiate the various types and constants specific to the sigma protocol under consideration. For example, Fiat-Shamir is instantiated as follows:

```
clone include GenericSigmaProtocol with
  type statement
                        \leftarrow qr_stat,
                        \leftarrow qr\_com,
  type commitment
  type witness
                        \leftarrow qr_wit,
  type response
                        \leftarrow qr_resp,
  type challenge
                        \leftarrow bool,
  op challenge_set
                        ← [false; true],
  op soundness_relation
                           \leftarrow fs_relation,
  op completeness_relation ← fs_relation,
  op zk_relation
                            \leftarrow fs relation
          (* ... proof obligations ... *)
proof *.
```

(Here we use the same relation fs_relation for all soundness, completeness, and ZK. We are free to use different ones, however.)

The material exported by cloning GenericSigma-Protocol is again structured in subtheories, some of them abstract because they need additional parameters. These theories are directly accessible after the clone include command above. Where possible, modules and module types (e.g., HonestProver) are on the toplevel, lemmas proven are in the subtheories.

- StatisticalCompleteness, PerfectCompleteness. Contain the proofs for sequential composition of statistical and perfect completeness, respectively (see Sec. V-A).
- StatisticalSoundness (abstract). Contains proof of sequential amplification of statistical soundness (see Sec. V-B). Needs to be cloned; soundness_error has to be instantiated with the soundness error of a single repetition.
- ZeroKnowledge (abstract). Contains subtheories: SequentialComposition for the sequential composition theorem (see Sec. V-C). OneShotSimulator (abstract) for constructing simulators from one-shot simulators (see Sec. IV-A), with subtheories Computational and Statistical. ZeroKnowledge needs to be cloned and instantiated with op n as the number of repetition in the sequential repetition.¹¹ OneShotSimulator needs to be cloned and instantiated with op N as the number of repetitions of the one-shot simulator.
- **Extractability.** Contains the proof that extractability implies soundness (statistical case, Sec. IV-C).
- SpecialSoundness (abstract). Contains subtheories Computational and Perfect, with proofs that statistical and perfect special soundness imply extractability and soundness. (See Sec. IV-B) SpecialSoundness needs to be cloned with op special_soundness_extract as the function that extract a witness from two transcripts.

B. Formalization Aspects

Initialization: One important design decision that arose in the formalization was how the initial state of the various ad-

versarial algorithms (e.g., malicious verifier, malicious prover) is instantiated. We identified several options:

- (a) Adversarial algorithms get a special procedure called init() whose task is to initialize their state.
- (b) Adversarial algorithms get no initialization procedure.
- (c) Any of the two options above, and the adversary additionally gets an all-quantified auxiliary input as an argument.

Different choices have different subtle consequences on the details of the formal proofs.

Cryptographically, giving an additional all-quantified argument (known as auxiliary input) gives us a definition called non-uniform zero-knowledge. In contrast, without auxiliary input, we get *uniform zero-knowledge*. It is known that to get sequential composition of zero-knowledge proofs, we need to use non-uniform zero-knowledge [21]. So which of the above design options lead to a non-uniform definition? Obviously, option (c) has an auxiliary input. However, options (a) and (b) also have one, this is just implicit in the way how EasyCrypt works: Unless we explicitly enforce procedures that do not look at their initial state, all procedures can access the content of their global variables in the initial memory m. And all our theorems are of the form " \forall m, ...", which means that the adversary effectively gets an auxiliary input implicitly. We believe that it is important to stress this point explicitly because EasyCrypt's handling of global variables makes it easy to overlook this implicit dependency.

In the formal setting it is easier to work with games without explicit state initialization. For example, in our development we defined the Soundness (P, HV) game which encodes the three message exchange between the malicious prover P and the honest verifier HV. Later, we defined the module SoundnessAmp which sequentially iterates the Soundness game n times. Then we proved that n-time sequential composition of sigma protocols exponentially reduces the soundnesserror to δ^n . The proof is based on the premise that for any initial state the soundness-error for the Soundness game is below δ . However, if we add state initialization to the malicious prover in the Soundness game, then it is meaningless to keep SoundnessAmp defined as an n-time iteration of the Soundness game (it would mean that the malicious prover forgets everything between iterations). Instead, we will need to add an explicit initialization of the malicious prover before the while-loop and the body of the while-loop must implement the three message exchange. This means that the proof of amplified soundness (similar to lemma soundness_seq) will become more complicated due to the fact that we split the prover into an iterated and a non-iterated part (e.g., we will need to use "averaging" technique and Jensen's inequality).

In our formalization we used the (b) approach as it results in simpler proofs. However, we recognize that in some situations an explicit initialization of adversaries is necessary. To make our results relevant for these situations, we provide generic lemmas for removing/adding init-procedures in security claims. We proved generic lemmas which state that for any algorithm A, if there exists an ϵ which is an upper/lower bound for the probability of the "initialize-then-single-run" program then

¹¹It would be logical to have n as a parameter to Sequential-Composition but technical reasons made this impossible. See the comments in the source code.

there exists a memory **n** (initial state) so that running A on **n** (without explicit initialization) results in the success-probability also bounded from above/below by ϵ . For example, the result for lower-bound looks as follows:

```
lemma exists_mem_init_run_res m M \epsilon: 0 ≤ \epsilon

⇒ \epsilon < Pr[ A.init(); r \leftarrow A.run<sub>1</sub>() @m : M r ]

⇒ ∃ n, \epsilon < Pr[ r \leftarrow A.run<sub>1</sub>() @n : M r].
```

The above lemma is generic and is not limited to the zeroknowledge setting. The proof of the lemma uses advanced techniques like probabilistic reflection and averaging which were previously studied in [6]. We also prove the analogous results for indistinguishability case (which is then also used in the derivation of an upper-bound for sequential composition of zero-knowledge).

Disjointness of Module-Variables: In the cryptographic setting, when we have a definition such as zero-knowledge, and we say "there is a simulator S such that for all verifiers V and all distinguishers D, \ldots , we usually implicitly mean that those three algorithms S, V, D have disjoint state. That is, we expect that they do not access each other's variables unless we would explicitly specify that they do so. When, e.g., the simulator runs a simulated V internally, we then can think of that V as a *copy* of the original V inside the simulator. The simulator still has no access to the variables of the "original" V. In the case of the simulator and the verifier, this distinction is of lesser importance because in the games making up the definition of zero-knowledge, S and V never run in the same game, so they cannot influence each other anyway. However, the distinguisher and the verifier, for example, run at the same time. So it can make a difference whether they can read/write each other's variables or not. The implicit assumption in the cryptographic setting is again that they do not. And if the distinguisher D needs, e.g., to simulate internally a copy of the verifier V (e.g., in the sequential composition proof), it is understood that this is a completely separate instance of Vwhose variables are part of the state of D.

Translating this to the EasyCrypt setting, the obvious solution would be to restrict the global variables of the various algorithms analogously. That is, we quantify over $S\{-V, -D\}$, $D\{-S, -V\}$, $V\{-S, -D\}$, which EasyCrypt understands to mean that their global variables are disjoint. Doing so, however, we encountered a problem that has no counterpart in the cryptographic pen-and-paper proofs: In the sequential composition proof for zero-knowledge, we needed to construct a distinguisher *D* that internally simulates a copy of *V*. However, EasyCrypt has no support for "copying" a module. Instead, if *D* wants to depend on the behavior of *V*, *D* has to access the module *V* directly, and this access can modify the state of V.¹² In order to be able to prove sequential composition, we thus needed to relax the condition on the variables of *D* and

V, and allow the distinguisher and verifier to have common global variables. (I.e., declaring $D\{-S\}, V\{-S\}$.)

Since this departs from what the cryptographer expects, it is important to check whether this changes the meaning of the zero-knowledge definition. Fortunately, in the specific case of zero-knowledge, it does not, because the distinguisher runs after the verifier has already been terminated, so their code does not "get into each other's way". Also, the goal of the verifier is to output as much information as possible about what it learned, so we can assume without loss of generality that the verifier tells everything to the distinguisher anyway. So the fact that the distinguisher can read the verifier's state does not give any information to the distinguisher that it should not have.

So in the present situation, all is fine if we relax the disjointness conditions. However, in other contexts (maybe some proof where a distinguishing entity runs concurrently with an adversary such as in the Universal Composability framework [22]), it might not be possibly to allow different modules to share state for technical reasons. We believe that it would be a very useful feature if EasyCrypt would allow us to "copy" modules to facilitate proofs where one program simulates another.

Representation of Adversaries: A further design choice we encountered was in the representation of adversarial entities (e.g., malicious provers or verifiers, simulators, distinguishers, ...). The standard approach in EasyCrypt is to model them as all-quantified modules. That is, they can be arbitrary programs, potentially accessing global variables to maintain state between invocations.

An alternative representation would be to represent them simply as probabilistic functions. That is, an adversary would be a map from the input to the output distribution and has no side-effects. (Type input \rightarrow output distr).

The latter representation seems to have some advantages at a first glance. For example, when proving how to get a simulator from a one-shot simulator (cf. Sec. IV-A), if the one-shot simulator was modeled as a probabilistic function, it would be much easier to prove lemma statistical_zk: We would not need to consider challenges like the effect of the one-shot simulator on the global state and reset it, and we could directly reason about probability distributions. This is the approach that [15] takes.

However, this approach would make it hard, if not impossible, to combine it with other formalizations or other parts of the same formalization where adversaries are modeled as programs. For example, we would need to write the one-shot simulator as a probabilistic function. That means that the malicious verifier (on which the one-shot simulator depends) needs to be written as a probabilistic function, too.¹³

And if the malicious verifier is constructed, e.g., by reduction from some other adversary in the analysis of a bigger protocol that uses the zero-knowledge protocol, then that reduction

 $^{^{12}}$ We can, if we want, undo this modification using the setState and getState procedures (see Sec. II-B). While this will make sure that semantically D does not change the state of V, it does not change which variables EasyCrypt *thinks* that D accesses because that is determined syntactically.

¹³Note that converting a probabilistic function into a program is easy (simply write a program that samples from the resulting distrbution) but the other direction is not immediately possible in EasyCrypt.

would also have to be written as a probabilistic function. In the end, one might have to switch completely to modeling all entities as probabilistic functions. This would mean that we lose all EasyCrypt support for reasoning about programs, including all tactics for pRHL. Since this is EasyCrypt's main strength, this would seem to be an unacceptable limitation.

In [6], authors use EasyCrypt to prove a lemma called *probabilistic reflection* which establishes existence of probabilistic functions which capture the denotational semantics of program modules in EasyCrypt. Therefore, we could instead try to use the probabilistic reflection to convert adversaries that are represented as programs into probabilistic functions. In some cases, this might be possible (but hard), but we believe that this should not be the task of the user of our library, but must already be done inside our formalization. Indeed, a large part of our work was in applying probabilistic reflection in the proofs (e.g., zero-knowledge, extractability, sequential composition) which relied on standard facts from probability theory (e.g., averaging, Jensen's inequality, conditional probabilities, etc.).

However, it is important to understand that probabilistic reflection only shows the existence of a distribution describing a given module. There is no mechanism to define that probabilistic function (essentially because EasyCrypt does not support constants that depend on modules). That means that, e.g., if we have a one-shot simulator as a program, transform it via probabilistic reflection, and then transform it into a full simulator via rewinding, we might only get the existence of a full simulator, but be unable to define this simulator (e.g., assign a name for it). This might block further attempts to use the simulator in other reductions (e.g., when plugging the simulator into a cryptographic assumption that is formulated as a game involving an adversary module). It is unclear whether this difficulty can be circumvented in all cases; we think that in some situations the conversion might turn out to be impossible, at least without extensions to EasyCrypt's logic itself.

In light of these problems, we decided not to use the easy way out, and chose to consistently model all adversarial entities as modules and not as probabilistic functions.

Rewinding: In sigma protocols, the proofs of extractability and zero-knowledge rely on rewindability of adversaries. In our formalization, we decided to use development of rewinding presented in [6] (see Sec. II-B). In their work, authors axiomatize rewindability and develop a library of properties which illustrate that their definitions are well-behaved. However, all their properties only cover cases where an adversary is being rewound at most once.

At the same time, in sigma protocols adversaries are being rewound multiple times. For example, in zero-knowledge the one-shot simulator rewinds a malicious verifier once. Next, for the zero-knowledge property derivation, the one-shot simulator is packaged into multiple-shot simulator SimN which runs and rewinds one-shot simulator N-times (where N is a parameter). Finally, for the sequentially composed zero-knowledge we run multiple-shot simulator SimN another n-times. In this way, in our framework we are using rewindable adversaries in a lot more complicated environment and, therefore, prove that rewindability performs well under iteration and composition.

REFERENCES

- G. Barthe, B. Grégoire, S. Heraud, and S. Z. Béguelin, "Computer-aided security proofs for the working cryptographer," in *Annual Cryptology Conference*. Springer, 2011, pp. 71–90.
- [2] V. Cortier, C. C. Drăgan, F. Dupressoir, B. Schmidt, P.-Y. Strub, and B. Warinschi, "Machine-checked proofs of privacy for electronic voting protocols," in 2017 IEEE Symposium on Security and Privacy (SP). IEEE, 2017, pp. 993–1008.
- [3] D. Firsov, H. Lakk, and A. Truu, "Verified multiple-time signature scheme from one-time signatures and timestamping," in 34th IEEE Computer Security Foundations Symposium (CSF). Los Alamitos, CA, USA: IEEE Computer Society, Jun 2021, pp. 653–665.
- [4] G. Barthe, G. Danezis, B. Grégoire, C. Kunz, and S. Zanella-Béguelin, "Verified computational differential privacy with applications to smart metering," in 2013 IEEE 26th Computer Security Foundations Symposium, 2013, pp. 287–301.
- [5] J. Nussbaumer, "Security analysis for IPsec with EasyCrypt," Master's thesis, University of Bonn, 2019.
- [6] D. Firsov and D. Unruh, "Reflection, rewinding, and coin-toss in easycrypt," in *Proceedings of the 11th ACM SIGPLAN International Conference on Certified Programs and Proofs*, 2022, pp. 166–179.
- [7] A. Fiat and A. Shamir, "How to prove yourself: Practical solutions to identification and signature problems," in *Conference on the theory and application of cryptographic techniques*. Springer, 1986, pp. 186–194.
- [8] C.-P. Schnorr, "Efficient signature generation by smart cards," *Journal of cryptology*, vol. 4, no. 3, pp. 161–174, 1991.
- [9] M. Blum, "How to prove a theorem so no one else can claim it," in Proceedings of the International Congress of Mathematicians, vol. 1. Citeseer, 1986, p. 2.
- [10] D. Firsov and D. Unruh, "Zero-knowledge in EasyCrypt accompanying EasyCrypt code," https://doi.org/10.5281/zenodo.7564759, 2023.
- [11] G. Barthe, D. Hedin, S. Z. Béguelin, B. Grégoire, and S. Heraud, "A machine-checked formalization of sigma-protocols," in 2010 23rd IEEE Computer Security Foundations Symposium. IEEE, 2010, pp. 246–260.
- [12] J. B. Almeida, E. Bangerter, M. Barbosa, S. Krenn, A.-R. Sadeghi, and T. Schneider, "A certifying compiler for zero-knowledge proofs of knowledge based on σ-protocols," in *European Symposium on Research in Computer Security*. Springer, 2010, pp. 151–167.
- [13] J. Bacelar Almeida, M. Barbosa, E. Bangerter, G. Barthe, S. Krenn, and S. Zanella Béguelin, "Full proof cryptography: verifiable compilation of efficient zero-knowledge protocols," in *Proc. of the 2012 ACM conference* on Computer and Communications Security, 2012, pp. 488–500.
- [14] D. Butler, A. Lochbihler, D. Aspinall, and A. Gascón, "Formalising ∑-protocols and commitment schemes using CryptHOL," *Journal of Automated Reasoning*, vol. 65, no. 4, pp. 521–567, 2021.
- [15] J. B. Almeida, M. Barbosa, M. L. Correia, K. Eldefrawy, S. Graham-Lengrand, H. Pacheco, and V. Pereira, "Machine-checked ZKP for NP relations: Formally verified security proofs and implementations of MPCin-the-head," in *Proceedings of the 2021 ACM SIGSAC Conference on Computer and Communications Security*, 2021, pp. 2587–2600.
- [16] N. Sidorenco, S. Oechsner, and B. Spitters, "Formal security analysis of MPC-in-the-head zero-knowledge protocols," in *IEEE 34th Comp. Sec. Found. Symp. (CSF)*. IEEE, 2021, pp. 1–14.
- [17] I. Giacomelli, J. Madsen, and C. Orlandi, "ZKBoo: Faster Zero-Knowledge for boolean circuits," in 25th USENIX Security Symposium (USENIX Security 16), 2016, pp. 1069–1083.
- [18] G. Barthe, F. Dupressoir, B. Grégoire, C. Kunz, B. Schmidt, and P.-Y. Strub, "EasyCrypt: A tutorial," in *Foundations of Security Analysis and Design VII*. Springer, 2013, pp. 146–166.
- [19] O. Goldreich, Foundations of Cryptography, Volume 1. Cambridge University Press Cambridge, 2001.
- [20] O. Goldreich, S. Micali, and A. Wigderson, "Proofs that yield nothing but their validity or all languages in np have zero-knowledge proof systems," *Journal of the ACM (JACM)*, vol. 38, no. 3, pp. 690–728, 1991.
- [21] O. Goldreich and H. Krawczyk, "On the composition of zero-knowledge proof systems," SIAM Journ. on Comp., vol. 25, pp. 169–192, 1996.
- [22] R. Canetti, "Universally composable security: A new paradigm for cryptographic protocols," in *Proceedings 42nd IEEE Symposium on Foundations of Computer Science*. IEEE, 2001, pp. 136–145.